



# QSEU 23-0118

RPR LIGHTNING PROTECTION SYSTEM, MULTI FAC

BUILDING 1121

Corrected Final Submittal

Specifications

May 22, 2024







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DOCUMENT 00 01 15

LIST OF DRAWINGS  
02/11

PART 1 GENERAL

1.1 SUMMARY

This section lists the drawings for the project pursuant to contract clause "DFARS 252.236-7001, Contract Drawings, Maps and Specifications."

1.2 CONTRACT DRAWINGS

Contract drawings are as follows:

DRAWING NO.	NAVFAC DWG NO.	TITLE
C-1		Building 1121 Cover Sheet
E-1		Building 1121 LPS Demolition Drawing
E-2		Building 1121 LPS Above Grade Plan
E-3		Building 1121 LPS Below Grade Grounding Plan
E-4		Building 1121 Details
E-5		Building 1121 Details

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SECTION 01 11 00

SUMMARY OF WORK

08/15

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals  
Salvage Plan; G

1.2 WORK COVERED BY CONTRACT DOCUMENTS

1.2.1 Project Description

The work includes demolish deficiencies and faulty components in the existing lightning protection system on Facilities B1107. Inspection of existing lightning protection systems in these facilities has been completed.

1.2.2 Location

The work is located at the Moody Air Force Base, approximately as indicated. The exact location will be shown by the Contracting Officer.

1.3 OCCUPANCY OF PREMISES

Building(s) will be occupied during performance of work under this Contract.

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment.

1.4 EXISTING WORK

In addition to FAR 52.236-9 Protection of Existing Vegetation, Structures, Equipment, Utilities, and Improvements:

- a. Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.
- b. Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer. At the completion of operations, existing work must be in a condition equal to or better than that which existed before new work started.

1.5 LOCATION OF UNDERGROUND UTILITIES

Obtain digging permits prior to start of excavation, and comply with Installation requirements for locating and marking underground utilities. Contact local utility locating service a minimum of 48 hours prior to excavating, to mark utilities, and within sufficient time required if work

occurs on a Monday or after a Holiday. Verify existing utility locations indicated on contract drawings, within area of work.

Identify and mark all other utilities not managed and located by the local utility companies. Scan the construction site with Ground Penetrating Radar (GPR), electromagnetic, or sonic equipment, and mark the surface of the ground or paved surface where existing underground utilities or utilities encased in pier structures are discovered. Verify the elevations of existing piping, utilities, and any type of underground obstruction not indicated, or specified to be removed, that is indicated or discovered during scanning, in locations to be traversed by piping, ducts, and other work to be conducted or installed. Verify elevations before installing new work closer than nearest manhole or other structure at which an adjustment in grade can be made.

#### 1.5.1 Notification Prior to Excavation

Notify the Contracting Officer at least 48 hours prior to starting excavation work.

#### 1.6 SALVAGE MATERIAL AND EQUIPMENT

Items designated by the Contracting Officer to be salvaged remain the property of the Government. Segregate, itemize, deliver and off-load the salvaged property at the Government designated storage area.

Provide a [salvage plan](#), listing material and equipment to be salvaged, and their storage location. Maintain property control records for material or equipment designated as salvage. Use a system of property control that is approved by the Contracting Officer. Store and protect salvaged materials and equipment until disposition by the Contracting Officer.

#### PART 2 PRODUCTS

Not used.

#### PART 3 EXECUTION

Not used.

-- End of Section --



SECTION 01 14 00

WORK RESTRICTIONS

11/11

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contact Personnel

1.2 SPECIAL SCHEDULING REQUIREMENTS

- a. Contractor must be ready for operation as approved by Contracting Officer before work is started on start date which would interfere with normal operation.
- b. Have materials, equipment, and personnel required to perform the work at the site prior to the commencement of the work. Specific items of work to which this requirement applies include:
  - (1) Temporary Offices
  - (2) Storage Facilities
- c. Permission to interrupt any Activity roads, railroads, or utility service must be requested in writing a minimum of 15 calendar days prior to the desired date of interruption.

1.3 CONTRACTOR ACCESS AND USE OF PREMISES

1.3.1 Activity Regulations

Ensure that Contractor personnel employed on the Activity become familiar with and obey Activity regulations including safety, fire, traffic and security regulations. Keep within the limits of the work and avenues of ingress and egress. Wear appropriate personal protective equipment (PPE) in designated areas. Do not enter any restricted areas unless required to do so and until cleared for such entry. Mark Contractor equipment for identification.

1.3.1.1 Subcontractors and Personnel Contacts

Provide a list of contact personnel of the Contractor and subcontractors including addresses and telephone numbers for use in the event of an emergency. As changes occur and additional information becomes available, correct and change the information contained in previous lists.

1.3.1.2 No Smoking Policy

Smoking is prohibited within and outside of all buildings on installation,

except in designated smoking areas. This applies to existing buildings, buildings under construction and buildings under renovation. Discarding tobacco materials other than into designated tobacco receptacles is considered littering and is subject to fines. The Contracting Officer will identify designated smoking areas.

#### 1.3.2 Working Hours

Regular working hours will consist of an 8 1/2 hour period established by the Contracting Officer, between 7 a.m. and 3:30 p.m., Monday through Friday , and 7 a.m. to 3:30 p.m. on Saturday , excluding Government holidays.

#### 1.3.3 Work Outside Regular Hours

Work outside regular working hours requires Contracting Officer approval. Make application 15 calendar days prior to such work to allow arrangements to be made by the Government for inspecting the work in progress, giving the specific dates, hours, location, type of work to be performed, contract number and project title. Based on the justification provided, the Contracting Officer may approve work outside regular hours. During periods of darkness, the different parts of the work must be lighted in a manner approved by the Contracting Officer. Make utility cutovers after normal working hours or on Saturdays, Sundays, and Government holidays unless directed otherwise.

#### 1.3.4 Exclusionary Period

No work may be performed during the period 5:30 p.m. to 6:30 a.m., inclusive, without prior written approval of the Contracting Officer. This period has not been considered in computing the time allowed for the performance of this contract.

#### 1.3.5 Occupied Buildings

The Contractor shall be working around existing buildings which are occupied. Do not enter the buildings without prior approval of the Contracting Officer.

The existing buildings and their contents must be kept secure at all times. Provide temporary closures as required to maintain security as directed by the Contracting Officer.

Provide dust covers or protective enclosures to protect existing work that remains, and Government material located in the **building** during the construction period.

Relocate movable furniture approximately **6 feet** away from the Contractor's working area as required to perform the work, protect the furniture, and replace the furniture in its original locations upon completion of the work.

Leave attached equipment in place, and protect it against damage, or temporarily disconnect, relocate, protect, and reinstall it at the completion of the work.

The Government will remove and relocate other Government property in the areas of the buildings scheduled to receive work.

#### 1.3.6 Utility Cutovers and Interruptions

a. Make utility cutovers and interruptions after normal working hours or

on Saturdays, Sundays, and Government holidays. Conform to procedures required in paragraph WORK OUTSIDE REGULAR HOURS.

- b. Ensure that new utility lines are complete, except for the connection, before interrupting existing service.
- c. Interruption to water, sanitary sewer, storm sewer, telephone service, electric service, air conditioning, heating, fire alarm, compressed air, and fire protection are considered utility cutovers pursuant to the paragraph WORK OUTSIDE REGULAR HOURS. Such interruptions are further limited to eight (8) hours. This time limit includes time for deactivation and reactivation. When there is an interruption in fire protection, the contractor shall post a fire watch for the entire time of the interruption. Interruptions in the fire protection system may require times longer than 8 hours.
- d. Operation of Station Utilities: The Contractor must not operate nor disturb the setting of control devices in the station utilities system, including water, sewer, electrical, and steam services. The Government will operate the control devices as required for normal conduct of the work. The Contractor must notify the Contracting Officer giving reasonable advance notice when such operation is required.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

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SECTION 01 20 00

PRICE AND PAYMENT PROCEDURES

11/20

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

1.2 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

1.2.1 Submission of Invoices

If DFARS Clause 252.232-7006 Wide Area WorkFlow Payment Instructions is included in the Contract, provide the documents listed in above paragraph CONTENT OF INVOICE in their entirety as attachments in Wide Area Work Flow (WAWF) for each invoice submitted. The maximum size of each WAWF attachment is two megabytes, but there are no limits on the number of attachments. If a document cannot be attached in WAWF due to system or size restriction, provide it as instructed by the Contracting Officer. Monthly invoices and supporting forms for work performed through the anniversary award date of the Contract must be submitted to the Contracting Officer within 5 calendar days of the date of invoice. For example, if Contract award date is the 7th of the month, the date of each monthly invoice must be the 7th and the invoice must be submitted by the 12th of the month.

1.2.2 Final Invoice

- a. For final invoices being submitted via WAWF, the original Contractor's Final Release Form and required certification of Transportation of Supplies by Sea must be provided directly to the respective Contracting Officer prior to submission of the final invoice. Once receipt of the original Final Release Form and required certification of Transportation of Supplies by Sea has been confirmed by the Contracting Officer, the Contractor must then submit final invoice and attach a copy of the Final Release Form and required certification of Transportation of Supplies by Sea in WAWF.
- b. Final invoices not accompanied by the Contractor's Final Release and required certification of Transportation of Supplies by Sea will be considered incomplete and will be returned to the Contractor.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

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SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS

11/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1

(2014) Safety and Health Requirements  
Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

[SD-01 Preconstruction Submittals](#)

[View Location Map](#)

[Progress and Completion Pictures](#)

[SD-04 Samples](#)

[Color Boards; G](#)

1.3 COLOR BOARDS FOR AIR FORCE PROJECTS

Submit five sets of color boards within 90 calendar days after Contract Award. Each set of boards must include samples of colors and finishes of interior surfaces, such as walls, floors, and ceilings. Present the samples on 8 by 10-1/2 inches boards (modules) with a maximum spread of 24 by 31-1/2 inches for foldouts. Design modules to fit in a standard loose-leaf, three-ring binder. Where special finishes such as architectural concrete, carpet, or prefinished textured metal panels are required, submit samples not less than 12 inches square with the board. If more space is needed, more than one board per set may be submitted. Certify that the color samples have been reviewed in detail, and that the color samples are in strict accordance with contract drawings and specifications, except as may be otherwise explicitly stated. Submittal of color samples does not relieve the Contractor of the responsibility to submit samples required elsewhere herein.

1.4 VIEW LOCATION MAP

Submit, prior to or with the first digital photograph submittals, a sketch or drawing indicating the required photographic locations. Update as required if the locations are moved.

## 1.5 PROGRESS AND COMPLETION PICTURES

Photographically document site conditions prior to start of construction operations. Provide monthly, and within one month of the completion of work, digital photographs, 1600x1200x24 bit true color minimum resolution in JPEG file format showing the sequence and progress of work. Take a minimum of 20 digital photographs each week throughout the entire project from a minimum of ten different viewpoints selected by the Contractor unless otherwise directed by the Contracting Officer. Submit with the monthly invoice two sets of digital photographs, each set on a separate compact disc (CD) or data versatile disc (DVD), cumulative of all photos to date. Indicate photographs demonstrating environmental procedures. Provide photographs for each month in a separate monthly directory and name each file to indicate its location on the view location sketch. Also provide the view location sketch on the CD or DVD as a digital file. Include a date designator in file names. Photographs provided are for unrestricted use by the Government.

## 1.6 MINIMUM INSURANCE REQUIREMENTS

Provide the minimum insurance coverage required by FAR 28.307-2 Liability, during the entire period of performance under this contract. Provide other insurance coverage as required by State law.

## 1.7 SUPERVISION

### 1.7.1 Superintendent Qualifications

Provide project superintendent with a minimum of 10 years experience in construction with at least 5 of those years as a superintendent on projects similar in size and complexity. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification and safety compliance. The individual must be capable of interpreting a critical path schedule and construction drawings. The qualification requirements for the alternate superintendent are the same as for the project superintendent. The Contracting Officer may request proof of the superintendent's qualifications at any point in the project if the performance of the superintendent is in question.

### 1.7.2 Minimum Communication Requirements

Have at least one qualified superintendent, or competent alternate, capable of reading, writing, and conversing fluently in the English language, on the job-site at all times during the performance of Contract work. In addition, if a Quality Control (QC) representative is required on the Contract, then that individual must also have fluent English communication skills.

### 1.7.3 Duties

The project superintendent is primarily responsible for managing subcontractors and coordinating day-to-day production and schedule adherence on the project. The superintendent is required to attend Red Zone meetings, partnering meetings, and quality control meetings. The superintendent or qualified alternative must be on-site at all times during the performance of this contract until the work is completed and accepted.

### 1.7.4 Non-Compliance Actions



The Project Superintendent is subject to removal by the Contracting Officer for non-compliance with requirements specified in the contract and for failure to manage the project to ensure timely completion. Furthermore, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders is acceptable as the subject of claim for extension of time for excess costs or damages by the Contractor.

#### 1.8 PRECONSTRUCTION

Immediately after award, prior to commencing any work at the site, coordinate with the Contracting Officer a time and place to meet for the Preconstruction. The must take place within 35 calendar days after award of the contract, but prior to commencement of any work at the site. The purpose of this is to discuss and develop a mutual understanding of the administrative requirements of the Contract including but not limited to: daily reporting, invoicing, value engineering, safety, base-access, outage requests, hot work permits, schedule requirements, quality control, schedule of prices or earned value report, shop drawings, submittals, cybersecurity, prosecution of the work, government acceptance, final inspections and contract close-out. Contractor must present and discuss their basic approach to scheduling the construction work and any required phasing.

##### 1.8.1 Attendees

Contractor attendees must include the Project Manager, Superintendent, Site Safety and Health Officer (SSHO), Quality Control Manager and major subcontractors.

#### 1.9 FACILITY TURNOVER PLANNING MEETINGS (Red Zone Meetings)

Meet with the Government to identify strategies to ensure the project is carried to expeditious closure and turnover to the Client. Start planning the turnover process at the Pre-Construction Conference meeting with a discussion of the Red Zone process and convene at regularly scheduled NRZ Meetings beginning at approximately 75 percent of project completion. Include the following in the facility Turnover effort:

##### 1.9.1 Red Zone Checklist

- a. Contracting Officer's Technical Representative (COTR) will provide the Contractor a copy of the Red Zone Checklist template.
- b. Prior to 75 percent completion, modify the Red Zone Checklist template by adding or deleting critical activities applicable to the project and assign planned completion dates for each activity. Submit the modified Red Zone Checklist to the Contracting Officer. The Contracting Officer may request additional activities be added to the Red Zone Checklist at any time as necessary.

##### 1.9.2 Meetings

- a. Conduct regular Red Zone Meetings beginning at approximately 75 percent project completion, or three to six months prior to Beneficial Occupancy Date (BOD), whichever comes first.
- b. The Contracting Officer will establish the frequency of the meetings,

which is expected to increase as the project completion draws nearer. At the beginning, Red Zone meetings may be every two weeks then increase to weekly towards the final month of the project.

- c. Using the Red Zone Checklist as a Plan of Action and Milestones (POAM) and basis for discussion, review upcoming critical activities and strategies to ensure work is completed on time.
- d. During the Red Zone Meetings discuss with the COTR any upcoming activities that require Government involvement.
- e. Maintain the Red Zone Checklist by documenting the actual completion dates as work is completed and update the Red Zone Checklist with revised planned completion dates as necessary to match progress. Distribute copies of the current Red Zone Checklist to attendees at each Red Zone Meeting.

#### 1.10 PARTNERING

Contractor shall host the partnering session within 45 calendar days of contract award. To most effectively accomplish this Contract, the Contractor and Government must form a cohesive partnership with the common goal of drawing on the strength of each organization in an effort to achieve a successful project without safety mishaps, conforming to the Contract, within budget and on schedule. The partnering team must consist of personnel from both the Government and Contractor including project level and corporate level leadership positions. Key Personnel from the supported command, end user, , Contractor, key subcontractors and the Designer of Record are required to participate in the Partnering process.

##### 1.10.1 Facilitated (Formal) Partnering

- a. Within 35 calendar days after award and prior to the start of work, host a Formal Partnering session with key personnel from the project team including both Contractor and Government personnel. All costs associated with the Partnering session including the third-party independent Facilitator Consultant, meeting room and other incidental items are the responsibility of the Contractor.
- b. Before the Facilitated (Formal) Partnering session, coordinate with the Facilitator all requirements for incidental items (such as audio-visual equipment, easels, flipchart paper, colored markers, note pads, pens/pencils, colored flash cards) and have these items available at the Partnering session. Provide copies of any documents required for distribution to all attendees. Participants will bear their own costs for meals, lodging and transportation associated with Partnering.
- c. The Initial Partnering Session must be a duration of one day and be held at a location off base as agreed to by the Contracting Officer. Partnering session may take place concurrently with the Pre-Construction Meeting.
- d. Facilitator must be experienced in conducting corporate Partnering sessions and must be a third-party independent facilitating consultant - not an employee of the Contractor. The Facilitator is responsible for leading all aspects of the Partnering session necessary to achieve the Partnering goal.
- e. An outcome of the Partnering session must be an escalation matrix

agreed upon by both the Government and Contractor, which identifies key Government and Contractor decision makers by name and anticipated decision durations.

- f. Host follow-on Partnering Sessions at three- to six-month intervals or more frequently if needed and lasting generally a half day or less. Attendees need only be those required to resolve current issues. The same Facilitator used in the Initial Partnering session must lead the follow-on sessions unless an alternative is permitted by the Contractor Officer. All costs associated with follow-on Partnering sessions are the responsibility of the Contractor.

#### 1.11 MOBILIZATION

Contractor shall mobilize to the jobsite within 60 calendar days of contract award. Mobilize is defined as having equipment AND having a physical presence of at least one person from the contractor's team on the jobsite.

#### PART 2 PRODUCTS

Not Used

#### PART 3 EXECUTION

Not Used

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SECTION 01 32 01.00 10

PROJECT SCHEDULE  
02/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AACE INTERNATIONAL (AACE)

AACE 29R-03 (2011) Forensic Schedule Analysis

AACE 52R-06 (2006) Time Impact Analysis - As Applied  
in Construction

U.S. ARMY CORPS OF ENGINEERS (USACE)

ER 1-1-11 (1995) Administration -- Progress,  
Schedules, and Network Analysis Systems

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Project Scheduler Qualifications; G

Preliminary Project Schedule; G

Initial Project Schedule; G

Periodic Schedule Update; G

1.3 PROJECT SCHEDULER QUALIFICATIONS

Designate an authorized representative to be responsible for the preparation of the schedule and all required updating and production of reports. The authorized representative must have a minimum of 2-years experience scheduling construction projects similar in size and nature to this project with scheduling software that meets the requirements of this specification. Representative must have a comprehensive knowledge of CPM scheduling principles and application.

PART 2 PRODUCTS

2.1 SOFTWARE

The scheduling software utilized to produce and update the schedules

required herein must be capable of meeting all requirements of this specification.

#### 2.1.1 Government Default Software

The Government intends to use **Microsoft Project 2016**.

#### 2.1.2 Contractor Software

Scheduling software used by the contractor must be commercially available from the software vendor for purchase with vendor software support agreements available. The software routine used to create the required sdef file must be created and supported by the software manufacturer.

##### 2.1.2.1 Primavera

If Primavera P6 is selected for use, provide the "xer" export file in a version of P6 importable by the Government system.

##### 2.1.2.2 Other Than Primavera

If the contractor chooses software other than Primavera P6, that is compliant with this specification, provide for the Government's use two licenses, two computers, and training for two Government employees in the use of the software. These computers will be stand-alone and not connected to Government network. Computers and licenses will be returned at project completion.

### PART 3 EXECUTION

#### 3.1 GENERAL REQUIREMENTS

Prepare for approval a Project Schedule, as specified herein, pursuant to FAR Clause 52.236-15 Schedules for Construction Contracts. Show in the schedule the proposed sequence to perform the work and dates contemplated for starting and completing all schedule activities. The scheduling of the entire project is required. The scheduling of construction is the responsibility of the Contractor. Contractor management personnel must actively participate in its development. Subcontractors and suppliers working on the project must also contribute in developing and maintaining an accurate Project Schedule. Provide a schedule that is a forward planning as well as a project monitoring tool. Use the Critical Path Method (CPM) of network calculation to generate all Project Schedules. Prepare each Project Schedule using the Precedence Diagram Method (PDM).

#### 3.2 BASIS FOR PAYMENT AND COST LOADING

The schedule is the basis for determining contract earnings during each update period and therefore the amount of each progress payment. The aggregate value of all activities coded to a contract CLIN must equal the value of the CLIN.

##### 3.2.1 Activity Cost Loading

Activity cost loading must be reasonable and without front-end loading. Provide additional documentation to demonstrate reasonableness if requested by the Contracting Officer.

##### 3.2.2 Withholdings / Payment Rejection

Failure to meet the requirements of this specification may result in the disapproval of the preliminary, initial or periodic schedule updates and subsequent rejection of payment requests until compliance is met.

In the event that the Contracting Officer directs schedule revisions and those revisions have not been included in subsequent Project Schedule revisions or updates, the Contracting Officer may withhold 10 percent of pay request amount from each payment period until such revisions to the project schedule have been made.

### 3.3 PROJECT SCHEDULE DETAILED REQUIREMENTS

#### 3.3.1 Level of Detail Required

Develop the Project Schedule to the appropriate level of detail to address major milestones and to allow for satisfactory project planning and execution. Failure to develop the Project Schedule to an appropriate level of detail will result in its disapproval. The Contracting Officer will consider, but is not limited to, the following characteristics and requirements to determine appropriate level of detail:

#### 3.3.2 Activity Durations

Reasonable activity durations are those that allow the progress of ongoing activities to be accurately determined between update periods. Less than 2 percent of all non-procurement activities may have Original Durations (OD) greater than 20 work days or 30 calendar days.

#### 3.3.3 Procurement Activities

Include activities associated with the critical submittals and their approvals, procurement, fabrication, and delivery of long lead materials, equipment, fabricated assemblies, and supplies. Long lead procurement activities are those with an anticipated procurement sequence of over 90 calendar days.

#### 3.3.4 Mandatory Tasks

Include the following activities/tasks in the initial project schedule and all updates.

- a. Submission, review and acceptance of SD-01 Preconstruction Submittals (individual activity for each).
- b. Submission, review and acceptance of features require design completion
- c. Submission of mechanical/electrical/information systems layout drawings.
- d. Long procurement activities
- e. Submission and approval of O & M manuals.
- f. Submission and approval of as-built drawings.
- g. Submission and approval of DD1354 data and installed equipment lists.
- h. Submission and approval of testing and air balance (TAB).

- i. Submission of TAB specialist design review report.
- j. Submission and approval of fire protection specialist.
- k. Submission and approval of Building Commissioning Plan, test data, and reports: Develop the schedule logic associated with testing and commissioning of mechanical systems to a level of detail consistent with the contract commissioning requirements. All tasks associated with all building testing and commissioning will be completed prior to submission of building commissioning report and subsequent contract completion.
- l. Air and water balancing.
- m. Building commissioning - Functional Performance Testing.
- n. Controls testing plan submission.
- o. Controls testing.
- p. Performance Verification testing.
- q. Other systems testing, if required.
- r. Contractor's pre-final inspection.
- s. Correction of punch list from Contractor's pre-final inspection.
- t. Government's pre-final inspection.
- u. Correction of punch list from Government's pre-final inspection.
- v. Final inspection.

### 3.3.5 Government Activities

Show Government and other agency activities that could impact progress. These activities include, but are not limited to: [approvals](#), environmental permit approvals by State regulators, inspections, utility tie-in, Government Furnished Equipment (GFE) and Notice to Proceed (NTP) for phasing requirements.

### 3.3.6 Standard Activity Coding Dictionary

Use the activity coding structure defined in the Standard Data Exchange Format (SDEF) in [ER 1-1-11](#). This exact structure is mandatory. Develop and assign all Activity Codes to activities as detailed herein. A template SDEF compatible schedule backup file is available on the QCS web site: <http://rms.usace.army.mil>.

The SDEF format is as follows:

Field	Activity Code	Length	Description
1	WRKP	3	Workers per day



Field	Activity Code	Length	Description
2	RESP	4	Responsible party
3	AREA	4	Area of work
4	MODF	6	Modification Number
5	BIDI	6	Bid Item (CLIN)
6	PHAS	2	Phase of work
7	CATW	1	Category of work
8	FOW	20	Feature of work*

\*Some systems require that FEATURE OF WORK values be placed in several activity code fields. The notation shown is for Primavera P6. Refer to the specific software guidelines with respect to the FEATURE OF WORK field requirements.

### 3.3.6.1 Workers Per Day (WRKP)

Assign Workers per Day for all field construction or direct work activities, if directed by the Contracting Officer. Workers per day is based on the average number of workers expected each day to perform a task for the duration of that activity.

### 3.3.6.2 Responsible Party Coding (RESP)

Assign responsibility code for all activities to the Prime Contractor, Subcontractor(s) or Government agency(ies) responsible for performing the activity.

- a. Activities coded with a Government Responsibility code include, but are not limited to: Government approvals, Government design reviews, environmental permit approvals by State regulators, Government Furnished Property/Equipment (GFP) and Notice to Proceed (NTP) for phasing requirements.
- b. Activities cannot have more than one Responsibility Code. Examples of acceptable activity code values are: DOR (for the designer of record); ELEC (for the electrical subcontractor); MECH (for the mechanical subcontractor); and GOVT (for USACE).

### 3.3.6.3 Area of Work Coding (AREA)

Assign Work Area code to activities based upon the work area in which the activity occurs. Define work areas based on resource constraints or space constraints that would preclude a resource, such as a particular trade or craft work crew from working in more than one work area at a time due to restraints on resources or space. Examples of Work Area Coding include different areas within a floor of a building, different floors within a building, and different buildings within a complex of buildings. Activities cannot have more than one Work Area Code.

Not all activities are required to be Work Area coded. A lack of Work Area coding indicates the activity is not resource or space constrained.

#### 3.3.6.4 Modification Number (MODF)

Assign a Modification Number Code to any activity or sequence of activities added to the schedule as a result of a Contract Modification, when approved by Contracting Officer. Key all Code values to the Government's modification numbering system. An activity can have only one Modification Number Code.

#### 3.3.6.5 Bid Item Coding (BIDI)

Assign a Bid Item Code to all activities using the Contract Line Item Schedule (CLIN) to which the activity belongs, even when an activity is not cost loaded. An activity can have only one BIDI Code.

#### 3.3.6.6 Phase of Work Coding (PHAS)

Assign Phase of Work Code to all activities. Examples of phase of work are procurement phase and construction phase. Each activity can have only one Phase of Work code.

- a. Code proposed fast track design and construction phases proposed to allow filtering and organizing the schedule by fast track design and construction packages.
- b. If the contract specifies phasing with separately defined performance periods, identify a Phase Code to allow filtering and organizing the schedule accordingly.

#### 3.3.6.7 Category of Work Coding (CATW)

Assign a Category of Work Code to all activities. Category of Work Codes include, but are not limited to construction submittal, procurement, fabrication, weather sensitive installation, non-weather sensitive installation, start-up, and testing activities. Each activity can have no more than one Category of Work Code.

#### 3.3.6.8 Feature of Work Coding (FOW)

Assign a Feature of Work Code to appropriate activities based on the Definable Feature of Work to which the activity belongs based on the approved QC plan.

Definable Feature of Work is defined in Section 01 45 00.00 10 QUALITY CONTROL. An activity can have only one Feature of Work Code.

#### 3.3.7 Contract Milestones and Constraints

Milestone activities are to be used for significant project events including, but not limited to, project phasing, project start and end activities, or interim completion dates. The use of artificial float constraints such as "zero free float" or "zero total float" are prohibited.

Mandatory constraints that ignore or effect network logic are prohibited. No constrained dates are allowed in the schedule other than those specified herein. Submit additional constraints to the Contracting Officer for

approval on a case by case basis.

#### 3.3.7.1 Project Start Date Milestone and Constraint

The first activity in the project schedule must be a start milestone titled "NTP Acknowledged," which must have a "Start On" constraint date equal to the date that the NTP is acknowledged.

#### 3.3.7.2 End Project Finish Milestone and Constraint

The last activity in the schedule must be a finish milestone titled "End Project."

Constrain the project schedule to the Contract Completion Date in such a way that if the schedule calculates an early finish, then the float calculation for "End Project" milestone reflects positive float on the longest path. If the project schedule calculates a late finish, then the "End Project" milestone float calculation reflects negative float on the longest path. The Government is under no obligation to accelerate Government activities to support a Contractor's early completion.

#### 3.3.7.3 Interim Completion Dates and Constraints

Constrain contractually specified interim completion dates to show negative float when the calculated late finish date of the last activity in that phase is later than the specified interim completion date.

##### 3.3.7.3.1 Start Phase

Use a start milestone as the first activity for a project phase. Call the start milestone "Start Phase X" where "X" refers to the phase of work.

##### 3.3.7.3.2 End Phase

Use a finish milestone as the last activity for a project phase. Call the finish milestone "End Phase X" where "X" refers to the phase of work.

#### 3.3.8 Calendars

Schedule activities on a Calendar to which the activity logically belongs. Develop calendars to accommodate any contract defined work period such as a 7-day calendar for Government Acceptance activities, concrete cure times, etc. Develop the default Calendar to match the physical work plan with non-work periods identified including weekends and holidays. Develop sSeasonal Calendar(s) and assign to seasonally affected activities as applicable.

If an activity is weather sensitive it should be assigned to a calendar showing non-work days on a monthly basis, with the non-work days selected at random across the weeks of the calendar, using the anticipated adverse weather delay work days provided in the Special Contract [Requirements](#). Assign non-work days over a seven-day week as weather records are compiled on seven-day weeks, which may cause some of the weather related non-work days to fall on weekends.

#### 3.3.9 Open Ended Logic

Only two open ended activities are allowed: the first activity "NTP Acknowledged" may have no predecessor logic, and the last activity -"End

Project" may have no successor logic.

Predecessor open ended logic may be allowed in a time impact analyses upon the Contracting Officer's approval.

#### 3.3.10 Default Progress Data Disallowed

Actual Start and Finish dates must not automatically update with default mechanisms included in the scheduling software. Updating of the percent complete and the remaining duration of any activity must be independent functions. Disable program features that calculate one of these parameters from the other. Activity Actual Start (AS) and Actual Finish (AF) dates assigned during the updating process must match those dates provided in the Contractor Quality Control Reports. Failure to document the AS and AF dates in the Daily Quality Control report will result in disapproval of the Contractor's schedule.

#### 3.3.11 Out-of-Sequence Progress

Activities that have progressed before all preceding logic has been satisfied (Out-of-Sequence Progress) will be allowed only on a case-by-case basis subject to approval by the Contracting Officer. Propose logic corrections to eliminate out of sequence progress or justify not changing the sequencing for approval prior to submitting an updated project schedule. Address out of sequence progress or logic changes in the Narrative Report and in the periodic schedule update meetings.

#### 3.3.12 Added and Deleted Activities

Do not delete activities from the project schedule or add new activities to the schedule without approval from the Contracting Officer. Activity ID and description changes are considered new activities and cannot be changed without Contracting Officer approval.

#### 3.3.13 Original Durations

Activity Original Durations (OD) must be reasonable to perform the work item. OD changes are prohibited unless justification is provided and approved by the Contracting Officer.

#### 3.3.14 Leads, Lags, and Start to Finish Relationships

Lags must be reasonable as determined by the Government and not used in place of realistic original durations, must not be in place to artificially absorb float, or to replace proper schedule logic.

- a. Leads (negative lags) are prohibited.
- b. Start to Finish (SF) relationships are prohibited.

#### 3.3.15 Retained Logic

Schedule calculations must retain the logic between predecessors and successors ("retained logic" mode) even when the successor activity(s) starts and the predecessor activity(s) has not finished (out-of-sequence progress). Software features that in effect sever the tie between predecessor and successor activities when the successor has started and the predecessor logic is not satisfied ("progress override") are not be allowed.

### 3.3.16 Percent Complete

Update the percent complete for each activity started, based on the realistic assessment of earned value. Activities which are complete but for remaining minor punch list work and which do not restrain the initiation of successor activities may be declared 100 percent complete to allow for proper schedule management.

### 3.3.17 Remaining Duration

Update the remaining duration for each activity based on the number of estimated work days it will take to complete the activity. Remaining duration may not mathematically correlate with percentage found under paragraph entitled Percent Complete.

### 3.3.18 Cost Loading of Closeout Activities

Cost load the "Correction of punch list from Government pre-final inspection" activity(ies) not less than 1 percent of the present contract value. Activity(ies) may be declared 100 percent complete upon the Government's verification of completion and correction of all punch list work identified during Government pre-final inspection(s).

#### 3.3.18.1 As-Built Drawings

If there is no separate contract line item (CLIN) for as-built drawings, cost load the "Submission and approval of as-built drawings" activity not less than \$35,000 or 1 percent of the present contract value, which ever is greater, up to \$200,000. Activity will be declared 100 percent complete upon the Government's approval.

#### 3.3.18.2 O & M Manuals

Cost load the "Submission and approval of O & M manuals" activity not less than \$20,000. Activity will be declared 100 percent complete upon the Government's approval of all O & M manuals.

### 3.3.19 Early Completion Schedule and the Right to Finish Early

An Early Completion Schedule is an Initial Project Schedule (IPS) that indicates all scope of the required contract work will be completed before the contractually required completion date.

- a. No IPS indicating an Early Completion will be accepted without being fully resource-loaded (including crew sizes and manhours) and the Government agreeing that the schedule is reasonable and achievable.
- b. The Government is under no obligation to accelerate work items it is responsible for to ensure that the early completion is met nor is it responsible to modify incremental funding (if applicable) for the project to meet the contractor's accelerated work.

### 3.4 PROJECT SCHEDULE SUBMISSIONS

Provide the submissions as described below. The data CD/DVD, reports, and network diagrams required for each submission are contained in paragraph SUBMISSION REQUIREMENTS. If the Contractor fails or refuses to furnish the information and schedule updates as set forth herein, then the Contractor will be deemed not to have provided an estimate upon which a progress

payment can be made.

Review comments made by the Government on the schedule(s) do not relieve the Contractor from compliance with requirements of the Contract Documents.

#### 3.4.1 Preliminary Project Schedule Submission

Within 15 calendar days after the NTP is acknowledged submit the [Preliminary Project Schedule](#) defining the planned operations detailed for the first 90 calendar days for approval. The approved Preliminary Project Schedule will be used for payment purposes not to exceed 90 calendar days after NTP. Completely cost load the Preliminary Project Schedule to balance the contract award CLINS shown on the Price Schedule. The Preliminary Project Schedule may be summary in nature for the remaining performance period. It must be early start and late finish constrained and logically tied as specified. The Preliminary Project Schedule forms the basis for the Initial Project Schedule specified herein and must include all of the required plan and program preparations, submissions and approvals identified in the contract (for example, Quality Control Plan, Safety Plan, and Environmental Protection Plan) as well as design activities, planned submissions of all early design packages, permitting activities, design review conference activities, and other non-construction activities intended to occur within the first 90 calendar days. Government acceptance of the associated design package(s) and all other specified Program and Plan approvals must occur prior to any planned construction activities. Activity code any activities that are summary in nature after the first 90 calendar days with Bid Item (CLIN) code (BIDI), Responsibility Code (RESP) and Feature of Work code (FOW).

#### 3.4.2 Initial Project Schedule Submission

Submit the [Initial Project Schedule](#) for approval within 42 calendar days after notice to proceed is issued. The schedule must demonstrate a reasonable and realistic sequence of activities which represent all work through the entire contract performance period. No payment will be made for work items not fully detailed in the Project Schedule.

#### 3.4.3 Periodic Schedule Updates

Update the Project Schedule on a regular basis, monthly at a minimum. Provide a draft Periodic Schedule Update for review at the schedule update meetings as prescribed in the paragraph PERIODIC SCHEDULE UPDATE MEETINGS. These updates will enable the Government to assess Contractor's progress.

- a. Update information including Actual Start Dates (AS), Actual Finish Dates (AF), Remaining Durations (RD), and Percent Complete is subject to the approval of the Government at the meeting.
- b. AS and AF dates must match the date(s) reported on the Contractor's Quality Control Report for an activity start or finish.

### 3.5 SUBMISSION REQUIREMENTS

Submit the following items for the Preliminary Schedule, Initial Schedule, and every Periodic Schedule Update throughout the life of the project:

#### 3.5.1 Data CD/DVDs

Provide two sets of data CD/DVDs containing the current project schedule

and all previously submitted schedules in the format of the scheduling software (e.g. .xer). Also include on the data CD/DVDs the Narrative Report and all required Schedule Reports. Label each CD/DVD indicating the type of schedule (Preliminary, Initial, Update), full contract number, Data Date and file name. Each schedule must have a unique file name and use project specific settings.

### 3.5.2 Narrative Report

Provide a Narrative Report with each schedule submission. The Narrative Report is expected to communicate to the Government the thorough analysis of the schedule output and the plans to compensate for any problems, either current or potential, which are revealed through that analysis. Include the following information as minimum in the Narrative Report:

- a. Identify and discuss the work scheduled to start in the next update period.
- b. A description of activities along the two most critical paths where the total float is less than or equal to 20 work days.
- c. A description of current and anticipated problem areas or delaying factors and their impact and an explanation of corrective actions taken or required to be taken.
- d. Identify and explain why activities based on their calculated late dates should have either started or finished during the update period but did not.
- e. Identify and discuss all schedule changes by activity ID and activity name including what specifically was changed and why the change was needed. Include at a minimum new and deleted activities, logic changes, duration changes, calendar changes, lag changes, resource changes, and actual start and finish date changes.
- f. Identify and discuss out-of-sequence work.

### 3.5.3 Schedule Reports

The format, filtering, organizing and sorting for each schedule report will be as directed by the Contracting Officer. Typically, reports contain Activity Numbers, Activity Description, Original Duration, Remaining Duration, Early Start Date, Early Finish Date, Late Start Date, Late Finish Date, Total Float, Actual Start Date, Actual Finish Date, and Percent Complete. Provide the reports electronically in .pdf format. Provide **three** set(s) of hardcopy reports. The following lists typical reports that will be requested:

#### 3.5.3.1 Activity Report

List of all activities sorted according to activity number.

#### 3.5.3.2 Logic Report

List of detailed predecessor and successor activities for every activity in ascending order by activity number.

#### 3.5.3.3 Total Float Report

A list of all incomplete activities sorted in ascending order of total float. List activities which have the same amount of total float in ascending order of Early Start Dates. Do not show completed activities on this report.

#### 3.5.3.4 Earnings Report by CLIN

A compilation of the Total Earnings on the project from the NTP to the data date, which reflects the earnings of activities based on the agreements made in the schedule update meeting defined herein. Provided a complete schedule update has been furnished, this report serves as the basis of determining progress payments. Group activities by CLIN number and sort by activity number. Provide a total CLIN percent earned value, CLIN percent complete, and project percent complete. The printed report must contain the following for each activity: the Activity Number, Activity Description, Original Budgeted Amount, Earnings to Date, Earnings this period, Total Quantity, Quantity to Date, and Percent Complete (based on cost).

#### 3.5.3.5 Schedule Log

Provide a Scheduling/Leveling Report generated from the current project schedule being submitted.

#### 3.5.4 Network Diagram

The Network Diagram is required for the Preliminary, Initial and Periodic Updates. Depict and display the order and interdependence of activities and the sequence in which the work is to be accomplished. The Contracting Officer will use, but is not limited to, the following conditions to review compliance with this paragraph:

##### 3.5.4.1 Continuous Flow

Show a continuous flow from left to right with no arrows from right to left. Show the activity number, description, duration, and estimated earned value on the diagram.

##### 3.5.4.2 Project Milestone Dates

Show dates on the diagram for start of project, any contract required interim completion dates, and contract completion dates.

##### 3.5.4.3 Critical Path

Show all activities on the critical path. The critical path is defined as the longest path.

##### 3.5.4.4 Banding

Organize activities using the WBS or as otherwise directed to assist in the understanding of the activity sequence. Typically, this flow will group activities by major elements of work, category of work, work area and/or responsibility.

##### 3.5.4.5 Cash Flow / Schedule Variance Control (SVC) Diagram

With each schedule submission, provide a SVC diagram showing 1) Cash Flow S-Curves indicating planned project cost based on projected early and late activity finish dates, and 2) Earned Value to-date.



### 3.6 PERIODIC SCHEDULE UPDATE

#### 3.6.1 Periodic Schedule Update Meetings

Conduct periodic schedule update meetings for the purpose of reviewing the proposed Periodic Schedule Update, Narrative Report, Schedule Reports, and progress payment. Conduct meetings at least monthly within five days of the proposed schedule data date. Provide a computer with the scheduling software loaded and a projector which allows all meeting participants to view the proposed schedule during the meeting. The Contractor's authorized scheduler must organize, group, sort, filter, perform schedule revisions as needed and review functions as requested by the Contractor and/or Government. The meeting is a working interactive exchange which allows the Government and Contractor the opportunity to review the updated schedule on a real time and interactive basis. The meeting will last no longer than 8 hours. Provide a draft of the proposed narrative report and schedule data file to the Government a minimum of two workdays in advance of the meeting. The Contractor's Project Manager and scheduler must attend the meeting with the authorized representative of the Contracting Officer. Superintendents, foremen and major subcontractors must attend the meeting as required to discuss the project schedule and work. Following the periodic schedule update meeting, make corrections to the draft submission. Include only those changes approved by the Government in the submission and invoice for payment.

#### 3.6.2 Update Submission Following Progress Meeting

Submit the complete [Periodic Schedule Update](#) of the Project Schedule containing all approved progress, revisions, and adjustments, pursuant to paragraph SUBMISSION REQUIREMENTS not later than 4 work days after the periodic schedule update meeting.

### 3.7 WEEKLY PROGRESS MEETINGS

Conduct a weekly meeting with the Government (or as otherwise mutually agreed to) between the meetings described in paragraph entitled PERIODIC SCHEDULE UPDATE MEETINGS for the purpose of jointly reviewing the actual progress of the project as compared to the as planned progress and to review planned activities for the upcoming two weeks. Use the current approved schedule update for the purposes of this meeting and for the production and review of reports. At the weekly progress meeting, address the status of RFIs, RFPs and Submittals.

### 3.8 REQUESTS FOR TIME EXTENSIONS

Provide a justification of delay to the Contracting Officer in accordance with the contract provisions and clauses for approval within 10 days of a delay occurring. Also prepare a time impact analysis for each Government request for proposal (RFP) to justify time extensions.

#### 3.8.1 Justification of Delay

Provide a description of the event(s) that caused the delay and/or impact to the work. As part of the description, identify all schedule activities impacted. Show that the event that caused the delay/impact was the responsibility of the Government. Provide a time impact analysis that demonstrates the effects of the delay or impact on the project completion date or interim completion date(s). Evaluate multiple impacts

chronologically; each with its own justification of delay. With multiple impacts consider any concurrency of delay. A time extension and the schedule fragnet becomes part of the project schedule and all future schedule updates upon approval by the Contracting Officer.

### 3.8.2 Time Impact Analysis (Prospective Analysis)

Prepare a time impact analysis for approval by the Contracting Officer based on industry standard [AACE 52R-06](#). Utilize a copy of the last approved schedule prior to the first day of the impact or delay for the time impact analysis. If Contracting Officer determines the time frame between the last approved schedule and the first day of impact is too great, prepare an interim updated schedule to perform the time impact analysis. Unless approved by the Contracting Officer, no other changes may be incorporated into the schedule being used to justify the time impact.

### 3.8.3 Forensic Schedule Analysis (Retrospective Analysis)

Prepare an analysis for approval by the Contracting Officer based on industry standard [AACE 29R-03](#).

### 3.8.4 Fragmentary Network (Fragnet)

Prepare a proposed fragnet for time impact analysis consisting of a sequence of new activities that are proposed to be added to the project schedule to demonstrate the influence of the delay or impact to the project's contractual dates. Clearly show how the proposed fragnet is to be tied into the project schedule including all predecessors and successors to the fragnet activities. The proposed fragnet must be approved by the Contracting Officer prior to incorporation into the project schedule.

### 3.8.5 Time Extension

The Contracting Officer must approve the Justification of Delay including the time impact analysis before a time extension will be granted. No time extension will be granted unless the delay consumes all available Project Float and extends the projected finish date ("End Project" milestone) beyond the Contract Completion Date. The time extension will be in calendar days.

Actual delays that are found to be caused by the Contractor's own actions, which result in a calculated schedule delay will not be a cause for an extension to the performance period, completion date, or any interim milestone date.

### 3.8.6 Impact to Early Completion Schedule

No extended overhead will be paid for delay prior to the original Contract Completion Date for an Early Completion IPS unless the Contractor actually performed work in accordance with that Early Completion Schedule. The Contractor must show that an early completion was achievable had it not been for the impact.

## 3.9 FAILURE TO ACHIEVE PROGRESS

Should the progress fall behind the approved project schedule for reasons other than those that are excusable within the terms of the contract, the Contracting Officer may require provision of a written recovery plan for approval. The plan must detail how progress will be made-up to include

which activities will be accelerated by adding additional crews, longer work hours, extra work days, etc.

### 3.9.1 Artificially Improving Progress

Artificially improving progress by means such as, but not limited to, revising the schedule logic, modifying or adding constraints, shortening activity durations, or changing calendars in the project schedule is prohibited. Indicate assumptions made and the basis for any logic, constraint, duration and calendar changes used in the creation of the recovery plan. Any additional resources, manpower, or daily and weekly work hour changes proposed in the recovery plan must be evident at the work site and documented in the daily report along with the Schedule Narrative Report.

### 3.9.2 Failure to Perform

Failure to perform work and maintain progress in accordance with the supplemental recovery plan may result in an interim and final unsatisfactory performance rating and may result in corrective action directed by the Contracting Officer pursuant to FAR 52.236-15 Schedules for Construction Contracts, FAR 52.249-10 Default (Fixed-Price Construction), and other contract provisions.

### 3.9.3 Recovery Schedule

Should the Contracting Officer find it necessary, submit a recovery schedule pursuant to FAR 52.236-15 Schedules for Construction Contracts.

### 3.10 OWNERSHIP OF FLOAT

Except for the provision given in the paragraph IMPACT TO EARLY COMPLETION SCHEDULE, float available in the schedule, at any time, may not be considered for the exclusive use of either the Government or the Contractor including activity and/or project float. Activity float is the number of work days that an activity can be delayed without causing a delay to the "End Project" finish milestone. Project float (if applicable) is the number of work days between the projected early finish and the contract completion date milestone.

### 3.11 TRANSFER OF SCHEDULE DATA INTO RMS/QCS

Import the schedule data into the Quality Control System (QCS) and export the QCS data to the Government. This data is considered to be additional supporting data in a form and detail required by the Contracting Officer pursuant to FAR 52.232-5 Payments under Fixed-Price Construction Contracts. The receipt of a proper payment request pursuant to FAR 52.232-27 Prompt Payment for Construction Contracts is contingent upon the Government receiving both acceptable and approvable hard copies and matching electronic export from QCS of the application for progress payment.

### 3.12 PRIMAVERA P6 MANDATORY REQUIREMENTS

If Primavera P6 is being used, request a backup file template (.xer) from the Government, if one is available, prior to building the schedule. The following settings are mandatory and required in all schedule submissions to the Government:

- a. Activity Codes must be Project Level, not Global or EPS level.

- b. Calendars must be Project Level, not Global or Resource level.
- c. Activity Duration Types must be set to "Fixed Duration & Units".
- d. Percent Complete Types must be set to "Physical".
- e. Time Period Admin Preferences must remain the default "8.0 hr/day, 40 hr/week, 172 hr/month, 2000 hr/year". Set Calendar Work Hours/Day to 8.0 Hour days.
- f. Set Schedule Option for defining Critical Activities to "Longest Path".
- g. Set Schedule Option for defining progressed activities to "Retained Logic".
- h. Set up cost loading using a single lump sum labor resource. The Price/Unit must be \$1/hr, Default Units/Time must be "8h/d", and settings "Auto Compute Actuals" and "Calculate costs from units" selected.
- i. Activity ID's must not exceed 10 characters.
- j. Activity Names must have the most defining and detailed description within the first 30 characters.

-- End of Section --

SECTION 01 33 00

SUBMITTAL PROCEDURES

08/18

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Submittal Descriptions (SD)

[SD-01 Preconstruction Submittals](#)

For Government approved division 01 preconstruction submittals that are required prior to or commencing with the start of work shall be submitted within 30 calendar days of contract award unless specified elsewhere in the specifications. For contractor approved division 01 submittals that are required prior to or commencing with the start of work shall be submitted within 45 calendar days of contract award unless specified elsewhere in the specifications.

Preconstruction Submittals include schedules and a tabular list of locations, features, and other pertinent information regarding products, materials, equipment, or components to be used in the work.

Certificates Of Insurance

Surety Bonds

List Of Proposed Subcontractors

List Of Proposed Products

Baseline Network Analysis Schedule (NAS)

Submittal Register

Schedule Of Prices Or Earned Value Report

Work Plan

Quality Control (QC) plan

Environmental Protection Plan

SD-02

[SD-02 Shop Drawings](#)

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

[SD-03 Product Data](#)

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials, systems or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

#### SD-04 Samples

Fabricated or unfabricated physical examples of materials, equipment or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards ensuring work can be judged. Includes assemblies or portions of assemblies that are to be incorporated into the project and those that will be removed at conclusion of the work.

#### SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

#### SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. Unless specified in another section, testing must have been within three years of date of contract award for the project.

Report that includes findings of a test required to be performed on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report that includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily logs and checklists

Final acceptance test and operational test procedure

#### SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that the product, system, or material meets specification requirements. Must be dated after award of project contract and

clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work by documenting procedures, acceptability of methods, or personnel qualifications.

Confined space entry permits

Text of posted operating instructions

#### SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and (SDS) concerning impedances, hazards and safety precautions.

#### SD-10 Operation and Maintenance Data

Data provided by the manufacturer, or the system provider, including manufacturer's help and product line documentation, necessary to maintain and install equipment, for operating and maintenance use by facility personnel.

Data required by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

Data incorporated in an operations and maintenance manual or control system.

#### SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Submittals required for Guiding Principle Validation (GPV) or Third Party Certification (TPC).

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

##### 1.1.2 Approving Authority

Office or designated person authorized to approve the submittal.

##### 1.1.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction. In exception, excludes work to produce SD-01 submittals.

##### 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation;

submittals not having a "G" designation are for Contractor QC approval.  
Submit the following in accordance with this section.

**SD-01 Preconstruction Submittals**

**Submittal Register; G**

1.3 SUBMITTAL CLASSIFICATION

1.3.1 Government Approved (G)

Government approval is required for extensions of design, critical materials, variations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Government.

Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, submittals are considered to be "shop drawings."

1.3.2 For Information Only

Submittals not requiring Government approval will be for information only. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are not considered to be "shop drawings."

1.4 PREPARATION

1.4.1 Transmittal Form

1.4.2 Submittal Format

1.4.2.1 Format of SD-01 Preconstruction Submittals

When the submittal includes a document that is to be used in the project, or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.4.2.2 Format for SD-02 Shop Drawings

Provide shop drawings not less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full-size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless another form is required. Ensure drawings are suitable for reproduction and of a quality to produce clear, distinct lines and letters, with dark lines on a white background.

- a. Include the nameplate data, size, and capacity on drawings. Also include applicable federal, military, industry, and technical society publication references.
- b. Dimension drawings, except diagrams and schematic drawings. Prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

Present shop drawings sized 8 1/2 by 11 inches as part of the bound volume for submittals. Present larger drawings in sets.



#### 1.4.2.2.1 Drawing Identification

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Each drawing is to bear the number of the submittal in a uniform location next to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

Reserve a blank space, no smaller than 2 inches on the right-hand side of each sheet for the Government disposition stamp.

#### 1.4.2.3 Format of SD-03 Product Data

Present product data submittals for each section as a complete, bound volume. Include a table of contents, listing the page and catalog item numbers for product data.

Indicate, by prominent notation, each product that is being submitted; indicate the specification section number and paragraph number to which it pertains.

#### 1.4.2.3.1 Product Information

Supplement product data with material prepared for the project to satisfy the submittal requirements where product data does not exist. Identify this material as developed specifically for the project, with information and format as required for submission of SD-07 Certificates.

Provide product data in units used in the Contract documents. Where product data are included in preprinted catalogs with another unit, submit the dimensions in contract document units, on a separate sheet.

#### 1.4.2.3.2 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

#### 1.4.2.3.3 Data Submission

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal that is marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of the construction effort.

Submit the manufacturer's instructions before installation.

#### 1.4.2.4 Format of SD-04 Samples

##### 1.4.2.4.1 Sample Characteristics

Furnish samples in the following sizes, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately the same size as specified:

- a. Sample of Equipment or Device: Full size.
- b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.
- c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.
- d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.
- e. Sample Volume of Nonsolid Materials: Pint. Examples of nonsolid materials are sand and paint.
- f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.
- g. Sample Panel: 4 by 4 feet.
- h. Sample Installation: 100 square feet.

##### 1.4.2.4.2 Sample Incorporation

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at the time of use.

Recording of Sample Installation: Note and preserve the notation of any area constituting a sample installation, but remove the notation at the final clean-up of the project.

##### 1.4.2.4.3 Comparison Sample

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

When color, texture, or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

##### 1.4.2.5 Format of SD-05 Design Data

Provide design data and certificates on 8 1/2 by 11 inch paper. Provide a bound volume for submittals containing numerous pages.

#### 1.4.2.6 Format of SD-06 Test Reports

Provide reports on 8 1/2 by 11 inch paper in a complete bound volume.

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

#### 1.4.2.7 Format of SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inch paper. Provide a bound volume for submittals containing numerous pages.

#### 1.4.2.8 Format of SD-08 Manufacturer's Instructions

Present manufacturer's instructions submittals for each section as a complete, bound volume. Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry, and technical-society publication references. If supplemental information is needed to clarify the manufacturer's data, submit it as specified for SD-07 Certificates.

Submit the manufacturer's instructions before installation.

##### 1.4.2.8.1 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

#### 1.4.2.9 Format of SD-09 Manufacturer's Field Reports

Provide reports on 8 1/2 by 11 inch paper in a complete bound volume.

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

#### 1.4.2.10 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format.

#### 1.4.2.11 Format of SD-11 Closeout Submittals

When the submittal includes a document that is to be used in the project or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

#### 1.4.3 Source Drawings for Shop Drawings

##### 1.4.3.1 Source Drawings

The entire set of source drawing files (DWG) will not be provided to the Contractor. Request the specific Drawing Number for the preparation of shop drawings. Only those drawings requested to prepare shop drawings will be provided. These drawings are provided only after award.

##### 1.4.3.2 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim, and waives to the fullest extent permitted by law any claim or cause of action of any nature against the Government, its agents, or its subconsultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic source drawing files are not construction documents. Differences may exist between the source drawing files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic source drawing files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. The Contractor is responsible for determining if any conflict exists. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished source drawing files, the signed and sealed construction documents govern. Use of these source drawing files does not relieve the Contractor of the duty to fully comply with the contract documents, including and without limitation the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indication of ownership (seals, logos, signatures, initials and dates).

#### 1.5 QUANTITY OF SUBMITTALS

##### 1.5.1 Number of SD-01 Preconstruction Submittal Copies

Unless otherwise specified, submit three sets of administrative submittals.

##### 1.5.2 Number of SD-02 Shop Drawing Copies

Submit six copies of submittals of shop drawings requiring review and approval by a QC organization. Submit six copies of shop drawings requiring review and approval by the Contracting Officer.

##### 1.5.3 Number of SD-03 Product Data Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.5.4 Number of SD-04 Samples

- a. Submit two samples, or two sets of samples showing the range of variation, of each required item. One approved sample or set of samples will be retained by the approving authority and one will be returned to the Contractor.
- b. Submit one sample panel or provide one sample installation where directed. Include components listed in the technical section or as directed.
- c. Submit one sample installation, where directed.
- d. Submit one sample of nonsolid materials.

1.5.5 Number of SD-05 Design Data Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.5.6 Number of SD-06 Test Report Copies

Submit in compliance with quantity and quality requirements specified for shop drawings, other than field test results that will be submitted with QC reports.

1.5.7 Number of SD-07 Certificate Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.5.8 Number of SD-08 Manufacturer's Instructions Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.5.9 Number of SD-09 Manufacturer's Field Report Copies

Submit in compliance with quantity and quality requirements specified for shop drawings other than field test results that will be submitted with QC reports.

1.5.10 Number of SD-10 Operation and Maintenance Data Copies

Submit six copies of O&M data to the Contracting Officer for review and approval.

1.5.11 Number of SD-11 Closeout Submittals Copies

Unless otherwise specified, submit two sets of administrative submittals.

1.6 INFORMATION ONLY SUBMITTALS

Submittals without a "G" designation must be certified by the QC manager and submitted to the Contracting Officer for information-only. Approval of the Contracting Officer is not required on information only submittals. The Contracting Officer will mark "receipt acknowledged" on submittals for information and will return only the transmittal cover sheet to the Contractor. Normally, submittals for information only will not be returned. However, the Government reserves the right to return

unsatisfactory submittals and require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

#### 1.7 PROJECT SUBMITTAL REGISTER

A sample Project Submittal Register showing items of equipment and materials for when submittals are required by the specifications is provided as "Appendix A - Submittal Register."

##### 1.7.1 Submittal Management

Prepare and maintain a submittal register, as the work progresses. Do not change data that is output in columns (c), (d), (e), and (f) as delivered by Government; retain data that is output in columns (a), (g), (h), and (i) as approved. As an attachment, provide a submittal register showing items of equipment and materials for which submittals are required by the specifications. This list may not be all-inclusive and additional submittals may be required.

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD Number. and type, e.g., SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in each specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting the project requirements.

Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns and all dates on which submittals are received by and returned by the Government.

##### 1.7.2 Preconstruction Use of Submittal Register

Submit the submittal register. Include the QC plan and the project schedule. Verify that all submittals required for the project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for the approving authority to receive submittals.

Column (h) Contractor Approval Date: Date that Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

#### 1.7.3 Contractor Use of Submittal Register

Update the following fields with each submittal throughout the contract.

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) Date submittal transmitted.

Column (q) Date approval was received.

#### 1.7.4 Approving Authority Use of Submittal Register

Update the following fields:

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (l) Date submittal was received.

Column (m) through (p) Dates of review actions.

Column (q) Date of return to Contractor.

#### 1.7.5 Action Codes

#### 1.7.6 Delivery of Copies

Submit an updated electronic copy of the submittal register to the Contracting Officer with each invoice request. Provide an updated Submittal Register monthly regardless of whether an invoice is submitted.

### 1.8 VARIATIONS

Variations from contract requirements require Contracting Officer approval pursuant to contract Clause FAR 52.236-21 Specifications and Drawings for Construction, and will be considered where advantageous to the Government.

#### 1.8.1 Considering Variations

Specifically point out variations from contract requirements in a transmittal letter. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

#### 1.8.2 Proposing Variations

The Contracting Officer will indicate an approval or disapproval of the variation request; and if not approved as submitted, will indicate the Government's reasons therefore. Any work done before such approval is received is performed at the Contractor's risk."

#### 1.8.3 Warranting that Variations are Compatible

When delivering a variation for approval, the Contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

#### 1.8.4 Review Schedule Extension

In addition to the normal submittal review period, a period of 14 days will be allowed for the Government to consider submittals with variations.

#### 1.9 SCHEDULING

Schedule and submit concurrently product data and shop drawings covering component items forming a system or items that are interrelated. Submit pertinent certifications at the same time. No delay damages or time extensions will be allowed for time lost in late submittals. .

- a. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. The Contractor is responsible for additional time required for Government reviews resulting from required resubmittals. The review period for each resubmittal is the same as for the initial submittal.
- b. Submittals required by the contract documents are listed on the submittal register. If a submittal is listed in the submittal register but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but that have been omitted from the register or marked "N/A."
- c. Resubmit the submittal register and annotate it monthly with actual submission and approval dates. When all items on the register have been fully approved, no further resubmittal is required.

Contracting Officer review will be completed within 14 days after the date of submission.

#### 1.10 GOVERNMENT APPROVING AUTHORITY

When the approving authority is the Contracting Officer, the Government will:

- a. Note the date on which the submittal was received.
- b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance with contract documents.
- c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring Government approval, stamp and date submittals. Six copies of the submittal will be retained by the Contracting Officer and six copies of the submittal will be returned to the Contractor.



#### 1.10.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

- a. Submittals marked "approved" or "accepted" authorize proceeding with the work covered.
- b. Submittals marked "approved as noted" or "approved, except as noted, resubmittal not required," authorize proceeding with the work covered provided that the Contractor takes no exception to the corrections.
- c. Submittals marked "not approved," "disapproved," or "revise and resubmit" indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.
- d. Submittals marked "not reviewed" indicate that the submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and approved by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.
- e. Submittals marked "receipt acknowledged" indicate that submittals have been received by the Government. This applies only to "information-only submittals" as previously defined.

#### 1.11 DISAPPROVED SUBMITTALS

Make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications, give notice to the Contracting Officer as required under the FAR clause titled CHANGES. The Contractor is responsible for the dimensions and design of connection details and the construction of work. Failure to point out variations may cause the Government to require rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and resubmit in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

#### 1.12 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals is not to be construed as a complete check, and indicates only that [the general method of construction, materials, detailing, and other information are satisfactory.](#)

Approval or acceptance by the Government for a submittal does not relieve the Contractor of the responsibility for meeting the contract requirements or for any error that may exist, because under the Quality Control (QC) requirements of this contract, the Contractor is responsible for ensuring information contained within each submittal accurately conforms with the requirements of the contract documents.

After submittals have been approved or accepted by the Contracting Officer,

no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

#### 1.13 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, provide assurance that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those that may be damaged in testing, will be returned to the Contractor, at its expense, upon completion of the contract. Unapproved samples will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make as that material. The Government reserves the right to disapprove any material or equipment that has previously proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Replace such materials or equipment to meet contract requirements.

#### PART 2 PRODUCTS

Not Used

#### PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS

11/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B30.3	(2020) Tower Cranes
ASME B30.5	(2018) Mobile and Locomotive Cranes
ASME B30.7	(2016) Winches
ASME B30.8	(2015) Floating Cranes and Floating Derricks
ASME B30.9	(2018) Slings
ASME B30.20	(2018) Below-the-Hook Lifting Devices
ASME B30.22	(2016) Articulating Boom Cranes
ASME B30.23	(2016) Personnel Lifting Systems Safety Standard for Cableways, Cranes, Derricks, Hoists, Hooks, Jacks, and Slings
ASME B30.26	(2015; R 2020) Rigging Hardware

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP A10.22	(2007; R 2017) Safety Requirements for Rope-Guided and Non-Guided Workers' Hoists
ASSP A10.34	(2001; R 2012) Protection of the Public on or Adjacent to Construction Sites
ASSP A10.44	(2020) Control of Energy Sources (Lockout/Tagout) for Construction and Demolition Operations
ASSP Z244.1	(2016) The Control of Hazardous Energy Lockout, Tagout and Alternative Methods
ASSP Z359.0	(2018) Definitions and Nomenclature Used for Fall Protection and Fall Arrest
ASSP Z359.1	(2016) The Fall Protection Code
ASSP Z359.2	(2017) Minimum Requirements for a Comprehensive Managed Fall Protection

Program

- ASSP Z359.3 (2019) Safety Requirements for Lanyards and Positioning Lanyards
- ASSP Z359.4 (2013) Safety Requirements for Assisted-Rescue and Self-Rescue Systems, Subsystems and Components
- ASSP Z359.6 (2016) Specifications and Design Requirements for Active Fall Protection Systems
- ASSP Z359.7 (2019) Qualification and Verification Testing of Fall Protection Products
- ASSP Z359.11 (2014) Safety Requirements for Full Body Harnesses
- ASSP Z359.12 (2019) Connecting Components for Personal Fall Arrest Systems
- ASSP Z359.13 (2013) Personal Energy Absorbers and Energy Absorbing Lanyards
- ASSP Z359.14 (2014) Safety Requirements for Self-Retracting Devices for Personal Fall Arrest and Rescue Systems
- ASSP Z359.15 (2014) Safety Requirements for Single Anchor Lifelines and Fall Arresters for Personal Fall Arrest Systems
- ASSP Z359.16 (2016) Safety Requirements for Climbing Ladder Fall Arrest Systems
- ASSP Z359.18 (2017) Safety Requirements for Anchorage Connectors for Active Fall Protection Systems
- ASSP Z490.1 (2016) Criteria for Accepted Practices in Safety, Health, and Environmental Training

ASTM INTERNATIONAL (ASTM)

- ASTM F855 (2019) Standard Specifications for Temporary Protective Grounds to Be Used on De-energized Electric Power Lines and Equipment

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

- IEEE 1048 (2016) Guide for Protective Grounding of Power Lines
- IEEE C2 (2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 10	(2018; ERTA 1-2 2018) Standard for Portable Fire Extinguishers
NFPA 51B	(2019) Standard for Fire Prevention During Welding, Cutting, and Other Hot Work
NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code
NFPA 70E	(2018; TIA 18-1; TIA 18-2) Standard for Electrical Safety in the Workplace
NFPA 241	(2019) Standard for Safeguarding Construction, Alteration, and Demolition Operations
NFPA 306	(2019) Standard for the Control of Gas Hazards on Vessels

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-222	(2018H; Add 1 2019) Structural Standard for Antenna Supporting Structures and Antennas and Small Wind Turbine Support Structures
TIA-1019	(2012; R 2016) Standard for Installation, Alteration and Maintenance of Antenna Supporting Structures and Antennas

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual
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U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 20	Standards for Protection Against Radiation
29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1910.146	Permit-required Confined Spaces
29 CFR 1910.147	The Control of Hazardous Energy (Lock Out/Tag Out)
29 CFR 1910.333	Selection and Use of Work Practices
29 CFR 1915	Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment
29 CFR 1915.89	Control of Hazardous Energy (Lockout/Tags-Plus)
29 CFR 1926	Safety and Health Regulations for Construction

29 CFR 1926.16	Rules of Construction
29 CFR 1926.450	Scaffolds
29 CFR 1926.500	Fall Protection
29 CFR 1926.552	Material Hoists, Personal Hoists, and Elevators
29 CFR 1926.553	Base-Mounted Drum Hoists
29 CFR 1926.1400	Cranes and Derricks in Construction
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
CPL 02-01-056	(2014) Inspection Procedures for Accessing Communication Towers by Hoist
CPL 2.100	(1995) Application of the Permit-Required Confined Spaces (PRCS) Standards, 29 CFR 1910.146

## 1.2 DEFINITIONS

### 1.2.1 Competent Person (CP)

The CP is a person designated in writing, who, through training, knowledge and experience, is capable of identifying, evaluating, and addressing existing and predictable hazards in the working environment or working conditions that are dangerous to personnel, and who has authorization to take prompt corrective measures with regards to such hazards.

### 1.2.2 Competent Person, Confined Space

The CP, Confined Space, is a person meeting the competent person requirements as defined EM 385-1-1 Appendix Q, with thorough knowledge of OSHA's Confined Space Standard, 29 CFR 1910.146, and designated in writing to be responsible for the immediate supervision, implementation and monitoring of the confined space program, who through training, knowledge and experience in confined space entry is capable of identifying, evaluating and addressing existing and potential confined space hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

### 1.2.3 Competent Person, Cranes and Rigging

The CP, Cranes and Rigging, as defined in EM 385-1-1 Appendix Q, is a person meeting the competent person, who has been designated in writing to be responsible for the immediate supervision, implementation and monitoring of the Crane and Rigging Program, who through training, knowledge and experience in crane and rigging is capable of identifying, evaluating and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

### 1.2.4 Competent Person, Excavation/Trenching

A CP, Excavation/Trenching, is a person meeting the competent person

requirements as defined in EM 385-1-1 Appendix Q and 29 CFR 1926, who has been designated in writing to be responsible for the immediate supervision, implementation and monitoring of the excavation/trenching program, who through training, knowledge and experience in excavation/trenching is capable of identifying, evaluating and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

#### 1.2.5 Competent Person, Fall Protection

The CP, Fall Protection, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q and in accordance with ASSP Z359.0, who has been designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the fall protection program, who through training, knowledge and experience in fall protection and rescue systems and equipment, is capable of identifying, evaluating and addressing existing and potential fall hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

#### 1.2.6 Competent Person, Scaffolding

The CP, Scaffolding is a person meeting the competent person requirements in EM 385-1-1 Appendix Q, and designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the scaffolding program. The CP for Scaffolding has enough training, knowledge and experience in scaffolding to correctly identify, evaluate and address existing and potential hazards and also has the authority to take prompt corrective measures with regard to these hazards. CP qualifications must be documented including experience on the specific scaffolding systems/types being used, assessment of the base material that the scaffold will be erected upon, load calculations for materials and personnel, and erection and dismantling. The CP for scaffolding must have a documented minimum of 8-hours of scaffold training to include training on the specific type of scaffold being used (e.g. mast-climbing, adjustable, tubular frame), in accordance with EM 385-1-1 Section 22.B.02.

#### 1.2.7 Competent Person (CP) Trainer

A competent person trainer as defined in EM 385-1-1 Appendix Q, who is qualified in the training material presented, and who possesses a working knowledge of applicable technical regulations, standards, equipment and systems related to the subject matter on which they are training Competent Persons. A competent person trainer must be familiar with the typical hazards and the equipment used in the industry they are instructing. The training provided by the competent person trainer must be appropriate to that specific industry. The competent person trainer must evaluate the knowledge and skills of the competent persons as part of the training process.

#### 1.2.8 High Risk Activities

High Risk Activities are activities that involve work at heights, crane and rigging, excavations and trenching, scaffolding, electrical work, and confined space entry.

#### 1.2.9 High Visibility Accident

A High Visibility Accident is any mishap which may generate publicity or

high visibility.

#### 1.2.10 Load Handling Equipment (LHE)

LHE is a term used to describe cranes, hoists and all other hoisting equipment (hoisting equipment means equipment, including crane, derricks, hoists and power operated equipment used with rigging to raise, lower or horizontally move a load).

#### 1.2.11 Medical Treatment

Medical Treatment is treatment administered by a physician or by registered professional personnel under the standing orders of a physician. Medical treatment does not include first aid treatment even when provided by a physician or registered personnel.

#### 1.2.12 Near Miss

A Near Miss is a mishap resulting in no personal injury and zero property damage, but given a shift in time or position, damage or injury may have occurred (e.g., a worker falls off a scaffold and is not injured; a crane swings around to move the load and narrowly misses a parked vehicle).

#### 1.2.13 Operating Envelope

The Operating Envelope is the area surrounding any crane or load handling equipment. Inside this "envelope" is the crane, the operator, riggers and crane walkers, other personnel involved in the operation, rigging gear between the hook, the load, the crane's supporting structure (i.e. ground or rail), the load's rigging path, the lift and rigging procedure.

#### 1.2.14 Qualified Person (QP)

The QP is a person designated in writing, who, by possession of a recognized degree, certificate, or professional standing, or extensive knowledge, training, and experience, has successfully demonstrated their ability to solve or resolve problems related to the subject matter, the work, or the project.

#### 1.2.15 Qualified Person, Fall Protection (QP for FP)

A QP for FP is a person meeting the definition requirements of [EM 385-1-1](#) Appendix Q, and [ASSP Z359.2](#) standard, having a recognized degree or professional certificate and with extensive knowledge, training and experience in the fall protection and rescue field who is capable of designing, analyzing, and evaluating and specifying fall protection and rescue systems.

#### 1.2.16 Recordable Injuries or Illnesses

Recordable Injuries or Illnesses are any work-related injury or illness that results in:

- a. Death, regardless of the time between the injury and death, or the length of the illness;
- b. Days away from work (any time lost after day of injury/illness onset);
- c. Restricted work;



- d. Transfer to another job;
- e. Medical treatment beyond first aid;
- f. Loss of consciousness; or
- g. A significant injury or illness diagnosed by a physician or other licensed health care professional, even if it did not result in (a) through (f) above

#### 1.2.17 Government Property and Equipment

Interpret "USACE" property and equipment specified in USACE EM 385-1-1 as Government property and equipment.

#### 1.2.18 Load Handling Equipment (LHE) Accident or Load Handling Equipment Mishap

A LHE accident occurs when any one or more of the eight elements in the operating envelope fails to perform correctly during operation, including operation during maintenance or testing resulting in personnel injury or death; material or equipment damage; dropped load; derailment; two-blocking; overload; or collision, including unplanned contact between the load, crane, or other objects. A dropped load, derailment, two-blocking, overload and collision are considered accidents, even though no material damage or injury occurs. A component failure (e.g., motor burnout, gear tooth failure, bearing failure) is not considered an accident solely due to material or equipment damage unless the component failure results in damage to other components (e.g., dropped boom, dropped load, or roll over).

#### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

##### SD-01 Preconstruction Submittals

APP - Construction; G

Dive Operations Plan; G

Accident Prevention Plan (APP); G

##### SD-06 Test Reports

Monthly Exposure Reports

Notifications and Reports

Accident Reports; G

LHE Inspection Reports

##### SD-07 Certificates

Crane Operators/Riggers

Standard Lift Plan; G

Critical Lift Plan ; G

Naval Architecture Analysis; G

Activity Hazard Analysis (AHA)

Confined Space Entry Permit

Hot Work Permit

Certificate of Compliance

License Certificates

Radiography Operation Planning Work Sheet; G

Portable Gauge Operations Planning Worksheet; G

#### 1.4 MONTHLY EXPOSURE REPORTS

Provide a Monthly Exposure Report and attach to the monthly billing request. This report is a compilation of employee-hours worked each month for all site workers, both Prime and subcontractor. Failure to submit the report may result in retention of up to 10 percent of the voucher.

#### 1.5 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this Contract, comply with the most recent edition of USACE EM 385-1-1, and the following federal, state, and local laws, ordinances, criteria, rules and regulations. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

#### 1.6 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

##### 1.6.1 Personnel Qualifications

##### 1.6.1.1 Site Safety and Health Officer (SSHO)

Provide an SSHO that meets the requirements of EM 385-1-1 Section 1. The SSHO must ensure that the requirements of 29 CFR 1926.16 are met for the project. Provide a Safety oversight team that includes a minimum of one person at each project site to function as the Site Safety and Health Officer (SSHO). The SSHO or an equally-qualified Alternate SSHO must be at the work site at all times to implement and administer the Contractor's safety program and Government-accepted Accident Prevention Plan. The SSHO and Alternate SSHO must have the required training, experience, and qualifications in accordance with EM 385-1-1 Section 01.A.17, and all associated sub-paragraphs.

If the SSHO is off-site for a period longer than 24 hours, an

equally-qualified alternate SSHO must be provided and must fulfill the same roles and responsibilities as the primary SSHO. When the SSHO is temporarily (up to 24 hours) off-site, a Designated Representative (DR), as identified in the AHA may be used in lieu of an Alternate SSHO, and must be on the project site at all times when work is being performed. Note that the DR is a collateral duty safety position, with safety duties in addition to their full time occupation.

#### 1.6.1.1.1 Additional Site Safety and Health Officer (SSHO) Requirements and Duties

The SSHO may also serve as the Quality Control Manager. The SSHO may also serve as the Superintendent.

The SSHO must have completed a 40 hour contract safety awareness course based on the content and principles of EM 385-1-1, and instructed in accordance with the guidelines of ASSP Z490.1, by a trainer meeting the qualifications of paragraph QUALIFIED TRAINER REQUIREMENTS. If the SSHO does not have a current certification, certification must be obtained within 60 days, maximum, of Contract award.

#### 1.6.1.2 Competent Person Qualifications

Provide Competent Persons in accordance with EM 385-1-1, Appendix Q and herein. Competent Persons for high risk activities include confined space, cranes and rigging, excavation/trenching, fall protection, and electrical work. The CP for these activities must be designated in writing, and meet the requirements for the specific activity (i.e. competent person, fall protection).

The Competent Person identified in the Contractor's Safety and Health Program and accepted Accident Prevention Plan, must be on-site at all times when the work that presents the hazards associated with their professional expertise is being performed. Provide the credentials of the Competent Persons(s) to the Contracting Officer for information in consultation with the Safety Office.

##### 1.6.1.2.1 Competent Person for Confined Space Entry

Provide a Confined Space (CP) Competent Person who meets the requirements of EM 385-1-1, Appendix Q, and herein. The CP for Confined Space Entry must supervise the entry into each confined space in accordance with EM 385-1-1, Section 34.

Since this work involves operations that handle combustible or hazardous materials, this person must have the ability to understand and follow through on the air sampling, Personal Protective Equipment (PPE), and instructions of a Marine Chemist, Coast Guard authorized persons, or Certified Industrial Hygienist. Confined space and enclosed space work must comply with NFPA 306, OSHA 29 CFR 1915, Subpart B, "Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment," or as applicable, 29 CFR 1910.147 for general industry.

##### 1.6.1.2.2 Competent Person for Scaffolding

Provide a Competent Person for Scaffolding who meets the requirements of EM 385-1-1, Section 22.B.02 and herein.

##### 1.6.1.2.3 Competent Person for Fall Protection

Provide a Competent Person for Fall Protection who meets the requirements of EM 385-1-1, Section 21.C.04, 21.B.03, and herein.

#### 1.6.1.3 Qualified Trainer Requirements

Individuals qualified to instruct the 40 hour contract safety awareness course, or portions thereof, must meet the definition of a Competent Person Trainer, and, at a minimum, possess a working knowledge of the following subject areas: EM 385-1-1, Electrical Standards, Lockout/Tagout, Fall Protection, Confined Space Entry for Construction; Excavation, Trenching and Soil Mechanics, and Scaffolds in accordance with 29 CFR 1926.450, Subpart L.

Instructors are required to:

- a. Prepare class presentations that cover construction-related safety requirements.
- b. Ensure that all attendees attend all sessions by using a class roster signed daily by each attendee. Maintain copies of the roster for at least five years. This is a certification class and must be attended 100 percent. In cases of emergency where an attendee cannot make it to a session, the attendee can make it up in another class session for the same subject.
- c. Update training course materials whenever an update of the EM 385-1-1 becomes available.
- d. Provide a written exam of at least 50 questions. Students are required to answer 80 percent correctly to pass.
- e. Request, review and incorporate student feedback into a continuous course improvement program.

#### 1.6.1.4 Crane Operators/Riggers

Provide Operators, Signal Persons, and Riggers meeting the requirements in EM 385-1-1, Section 15.B for Riggers and Section 16.B for Crane Operators and Signal Persons. In addition, for mobile cranes with Original Equipment Manufacturer (OEM) rated capacities of 50,000 pounds or greater, designate crane operators qualified by a source that qualifies crane operators (i.e., union, a Government agency, or an organization that tests and qualifies crane operators). Provide proof of current qualification.

#### 1.6.2 Personnel Duties

##### 1.6.2.1 Duties of the Site Safety and Health Officer (SSHO)

The SSHO must:

- a. Conduct daily safety and health inspections and maintain a written log which includes area/operation inspected, date of inspection, identified hazards, recommended corrective actions, estimated and actual dates of corrections. Attach safety inspection logs to the Contractors' daily production report.
- b. Conduct mishap investigations and complete required accident reports. Report mishaps and near misses.

- c. Use and maintain OSHA's Form 300 to log work-related injuries and illnesses occurring on the project site for Prime Contractors and subcontractors, and make available to the Contracting Officer upon request. Post and maintain the Form 300A on the site Safety Bulletin Board.
- d. Maintain applicable safety reference material on the job site.
- e. Attend the pre-construction, pre-work meetings including preparatory meetings, and periodic in-progress meetings.
- f. Review the APP and AHAs for compliance with EM 385-1-1, and approve, sign, implement and enforce them.
- g. Establish a Safety and Occupational Health (SOH) Deficiency Tracking System that lists and monitors outstanding deficiencies until resolution.
- h. Ensure subcontractor compliance with safety and health requirements.
- i. Maintain a list of hazardous chemicals on site and their material Safety Data Sheets (SDS).
- j. Maintain a weekly list of high hazard activities involving energy, equipment, excavation, entry into confined space, and elevation, and be prepared to discuss details during QC Meetings.
- k. Provide and keep a record of site safety orientation and indoctrination for Contractor employees, subcontractor employees, and site visitors.

Superintendent, QC Manager, and SSO are subject to dismissal if the above or any other required duties are not being effectively carried out. If either the Superintendent, QC Manager, or SSO are dismissed, project work will be stopped and will not be allowed to resume until a suitable replacement is approved and the above duties are again being effectively carried out.

### 1.6.3 Meetings

#### 1.6.3.1 Preconstruction

- a. Contractor representatives who have a responsibility or significant role in accident prevention on the project must attend the preconstruction. This includes the project superintendent, Site Safety and Occupational Health Officer, quality control manager, or any other assigned safety and health professionals who participated in the development of the APP (including the Activity Hazard Analyses (AHAs) and special plans, program and procedures associated with it).
- b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the Contract. This list of proposed AHAs will be reviewed and an agreement will be reached between the Contractor and the Contracting Officer as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, and Government review of AHAs to preclude project delays.

- c. Deficiencies in the submitted APP, identified during the Contracting Officer's review, must be corrected, and the APP re-submitted for review prior to the start of construction. Work is not permitted to begin until an APP is established that is acceptable to the Contracting Officer.

#### 1.6.3.2 Safety Meetings

Conduct safety meetings to review past activities, plan for new or changed operations, review pertinent aspects of appropriate AHA (by trade), establish safe working procedures for anticipated hazards, and provide pertinent Safety and Occupational Health (SOH) training and motivation. Conduct meetings at least once a month for all supervisors at the project location. The SSHO, supervisors, foremen, or CDSOs must conduct meetings at least once a week for the trade workers. Document meeting minutes to include the date, persons in attendance, subjects discussed, and names of individual(s) who conducted the meeting. Maintain documentation on-site and furnish copies to the Contracting Officer on request. Notify the Contracting Officer of all scheduled meetings 7 calendar days in advance.

### 1.7 ACCIDENT PREVENTION PLAN (APP)

#### 1.7.1 APP - Construction

A qualified person must prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of EM 385-1-1, Appendix A, and as supplemented herein. Cover all paragraph and subparagraph elements in EM 385-1-1, Appendix A. The APP must be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP must interface with the Contractor's overall safety and health program referenced in the APP in the applicable APP element, and made site-specific. Describe the methods to evaluate past safety performance of potential subcontractors in the selection process. Also, describe innovative methods used to ensure and monitor safe work practices of subcontractors. The Government considers the Prime Contractor to be the "controlling authority" for all work site safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the Contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP must be signed by an officer of the firm (Prime Contractor senior person), the individual preparing the APP, the on-site superintendent, the designated SSHO, the Contractor Quality Control Manager, and any designated Certified Safety Professional (CSP) or Certified Health Physicist (CIH). The SSHO must provide and maintain the APP and a log of signatures by each subcontractor foreman, attesting that they have read and understand the APP, and make the APP and log available on-site to the Contracting Officer. If English is not the foreman's primary language, the Prime Contractor must provide an interpreter.

Submit the APP to the Contracting Officer 15 calendar days prior to the date of the preconstruction for acceptance. Submit the APP to the Contracting Officer within 30 calendar days of Contract award and not less than 10 calendar days prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP. Once reviewed and accepted by the Contracting Officer, the APP and attachments will be enforced as part of the Contract. Disregarding the provisions of

this Contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified. Continuously review and amend the APP, as necessary, throughout the life of the Contract. Changes to the accepted APP must be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSO and Quality Control Manager. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered. Should any severe hazard exposure (i.e. imminent danger) become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate and remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by [ASSP A10.34](#)), and the environment.

#### 1.7.2 Names and Qualifications

Provide plans in accordance with the requirements outlined in Appendix A of [EM 385-1-1](#), including the following:

- a. Names and qualifications (resumes including education, training, experience and certifications) of site safety and health personnel designated to perform work on this project to include the designated Site Safety and Health Officer and other competent and qualified personnel to be used. Specify the duties of each position.
- b. Qualifications of competent and of qualified persons. As a minimum, designate and submit qualifications of competent persons for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard recognition, evaluation and control of chemical, physical and biological agents; and personal protective equipment and clothing to include selection, use and maintenance.

#### 1.7.3 Plans

Provide plans in the APP in accordance with the requirements outlined in Appendix A of [EM 385-1-1](#), including the following:

##### 1.7.3.1 Confined Space Entry Plan

Develop a confined or enclosed space entry plan in accordance with [EM 385-1-1](#), applicable OSHA standards [29 CFR 1910](#), [29 CFR 1915](#), and [29 CFR 1926](#), OSHA Directive [CPL 2.100](#), and any other federal, state and local regulatory requirements identified in this Contract. Identify the qualified person's name and qualifications, training, and experience. Delineate the qualified person's authority to direct work stoppage in the event of hazardous conditions. Include procedure for rescue by Contractor personnel and the coordination with emergency responders. (If there is no confined space work, include a statement that no confined space work exists and none will be created.)

##### 1.7.3.2 Standard Lift Plan (SLP)

Plan lifts to avoid situations where the operator cannot maintain safe control of the lift. Prepare a written SLP in accordance with [EM 385-1-1](#), Section 16.A.03, using Form 16-2 for every lift or series of lifts (if duty cycle or routine lifts are being performed). The SLP must be developed, reviewed and accepted by all personnel involved in the lift in conjunction

with the associated AHA. Signature on the AHA constitutes acceptance of the plan. Maintain the SLP on the LHE for the current lift(s) being made. Maintain historical SLPs for a minimum of three months.

#### 1.7.3.3 Critical Lift Plan - Crane or Load Handling Equipment

Provide a Critical Lift Plan as required by EM 385-1-1, Section 16.H.01, using Form 16-3. In addition, Critical Lift Plans are required for the following:

- a. Lifts over 50 percent of the capacity of barge mounted mobile crane's hoist.
- b. When working around energized power lines where the work will get closer than the minimum clearance distance in EM 385-1-1 Table 16-1.
- c. For lifts with anticipated binding conditions.
- d. When erecting cranes.

##### 1.7.3.3.1 Critical Lift Plan Planning and Schedule

Critical lifts require detailed planning and additional or unusual safety precautions. Develop and submit a critical lift plan to the Contracting Officer 30 calendar days prior to critical lift. Comply with load testing requirements in accordance with EM 385-1-1, Section 16.F.03.

##### 1.7.3.3.2 Lifts of Personnel

In addition to the requirements of EM 385-1-1, Section 16.H.02, for lifts of personnel, demonstrate compliance with the requirements of 29 CFR 1926.1400 and EM 385-1-1, Section 16.T.

##### 1.7.3.4 Barge Mounted Mobile Crane Lift Plan

Provide a Naval Architecture Analysis and include an LHE Manufacturer's Floating Service Load Chart in accordance with EM 385-1-1, Section 16.L.03.

##### 1.7.3.5 Multi-Purpose Machines, Material Handling Equipment, and Construction Equipment Lift Plan

Multi-purpose machines, material handling equipment, and construction equipment used to lift loads that are suspended by rigging gear, require proof of authorization from the machine OEM that the machine is capable of making lifts of loads suspended by rigging equipment. Written approval from a qualified registered professional engineer, after a safety analysis is performed, is allowed in lieu of the OEM's approval. Demonstrate that the operator is properly trained and that the equipment is properly configured to make such lifts and is equipped with a load chart.

##### 1.7.3.6 Fall Protection and Prevention (FP&P) Plan

The plan must be in accordance with the requirements of EM 385-1-1, Section 21.D and ASSP Z359.2, be site specific, and address all fall hazards in the work place and during different phases of construction. Address how to protect and prevent workers from falling to lower levels when they are exposed to fall hazards above 6 feet. A competent person or qualified person for fall protection must prepare and sign the plan documentation. Include fall protection and prevention systems, equipment and methods



employed for every phase of work, roles and responsibilities, assisted rescue, self-rescue and evacuation procedures, training requirements, and monitoring methods. Review and revise, as necessary, the Fall Protection and Prevention Plan documentation as conditions change, but at a minimum every six months, for lengthy projects, reflecting any changes during the course of construction due to changes in personnel, equipment, systems or work habits. Keep and maintain the accepted Fall Protection and Prevention Plan documentation at the job site for the duration of the project. Include the Fall Protection and Prevention Plan documentation in the Accident Prevention Plan (APP).

#### 1.7.3.7 Rescue and Evacuation Plan

Provide a Rescue and Evacuation Plan in accordance with EM 385-1-1 Section 21.N and ASSP Z359.2, and include in the FP&P Plan and as part of the APP. Include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility.

#### 1.7.3.8 Hazardous Energy Control Program (HECP)

Develop a HECP in accordance with EM 385-1-1 Section 12, 29 CFR 1910.147, 29 CFR 1910.333, 29 CFR 1915.89, ASSP Z244.1, and ASSP A10.44. Submit this HECP as part of the Accident Prevention Plan (APP). Conduct a preparatory meeting and inspection with all effected personnel to coordinate all HECP activities. Document this meeting and inspection in accordance with EM 385-1-1, Section 12.A.02. Ensure that each employee is familiar with and complies with these procedures.

#### 1.7.3.9 Excavation Plan

Identify the safety and health aspects of excavation, and provide and prepare the plan in accordance with EM 385-1-1, Section 25.A and Section 31 00 00 EARTHWORK.

#### 1.7.3.10 Site Safety and Health Plan

Identify the safety and health aspects, and prepare in accordance with Section 01 35 29.13 HEALTH, SAFETY, AND EMERGENCY RESPONSE PROCEDURES FOR CONTAMINATED SITES.

#### [1.7.3.11 Site Demolition Plan

Identify the safety and health aspects, and prepare in accordance with Section 02 41 00 DEMOLITION and referenced sources. Include engineering survey as applicable.1.8 ACTIVITY HAZARD ANALYSIS (AHA)

Before beginning each activity, task or Definable Feature of Work (DFOW) involving a type of work presenting hazards not experienced in previous project operations, or where a new work crew or subcontractor is to perform the work, the Contractor(s) performing that work activity must prepare an AHA. AHAs must be developed by the Prime Contractor, subcontractor, or supplier performing the work, and provided for Prime Contractor review and approval before submitting to the Contracting Officer. AHAs must be signed by the SSHO, Superintendent, QC Manager and the subcontractor Foreman performing the work. Format the AHA in accordance with EM 385-1-1, Section 1 or as directed by the Contracting Officer. Submit the AHA for review at least 15 working days prior to the start of each activity task, or DFO.

The Government reserves the right to require the Contractor to revise and resubmit the AHA if it fails to effectively identify the work sequences, specific anticipated hazards, site conditions, equipment, materials, personnel and the control measures to be implemented.

AHAs must identify competent persons required for phases involving high risk activities, including confined entry, crane and rigging, excavations, trenching, electrical work, fall protection, and scaffolding.

#### 1.8.1 AHA Management

Review the AHA list periodically (at least monthly) at the Contractor supervisory safety meeting, and update as necessary when procedures, scheduling, or hazards change. Use the AHA during daily inspections by the SSHO to ensure the implementation and effectiveness of the required safety and health controls for that work activity.

#### 1.8.2 AHA Signature Log

Each employee performing work as part of an activity, task or DFOW must review the AHA for that work and sign a signature log specifically maintained for that AHA prior to starting work on that activity. The SSHO must maintain a signature log on site for every AHA. Provide employees whose primary language is other than English, with an interpreter to ensure a clear understanding of the AHA and its contents.

### 1.9 DISPLAY OF SAFETY INFORMATION

#### 1.9.1 Safety Bulletin Board

Prior to commencement of work, erect a safety bulletin board at the job site. Where size, duration, or logistics of project do not facilitate a bulletin board, an alternative method, acceptable to the Contracting Officer, that is accessible and includes all mandatory information for employee and visitor review, may be deemed as meeting the requirement for a bulletin board. Include and maintain information on safety bulletin board as required by EM 385-1-1, Section 01.A.07. Additional items required to be posted include:

- a. Confined space entry permit.
- b. Hot work permit.

#### 1.9.2 Safety and Occupational Health (SOH) Deficiency Tracking System

Establish a SOH deficiency tracking system that lists and monitors the status of SOH deficiencies in chronological order. Use the tracking system to evaluate the effectiveness of the APP. A monthly evaluation of the data must be discussed in the QC or SOH meeting with everyone on the project. The list must be posted on the project bulletin board and updated daily, and provide the following information:

- a. Date deficiency identified;
- b. Description of deficiency;
- c. Name of person responsible for correcting deficiency;
- d. Projected resolution date;

e. Date actually resolved.

#### 1.10 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in paragraph REFERENCES. Maintain applicable equipment manufacturer's manuals.

#### 1.11 EMERGENCY MEDICAL TREATMENT

Contractors must arrange for their own emergency medical treatment in accordance with EM 385-1-1. Government has no responsibility to provide emergency medical treatment.

#### 1.12 NOTIFICATIONS and REPORTS

##### 1.12.1 Mishap Notification

Notify the Contracting Officer as soon as practical, but no more than twenty-four hours, after any mishaps, including recordable accidents, incidents, and near misses, as defined in EM 385-1-1 Appendix Q, any report of injury, illness, or any property damage. For LHE or rigging mishaps, notify the Contracting Officer as soon as practical but not more than four hours after mishap. The Contractor is responsible for obtaining appropriate medical and emergency assistance and for notifying fire, law enforcement, and regulatory agencies. Immediate reporting is required for electrical mishaps, to include Arc Flash; shock; uncontrolled release of hazardous energy (includes electrical and non-electrical); load handling equipment or rigging; fall from height (any level other than same surface); and underwater diving. These mishaps must be investigated in depth to identify all causes and to recommend hazard control measures.

Within notification include Contractor name; Contract title; type of Contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (for example, type of construction equipment used and PPE used). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted. Assist and cooperate fully with the Government's investigation(s) of any mishap.

##### 1.12.2 Accident Reports

- a. Conduct an accident investigation for recordable injuries and illnesses, property damage, and near misses as defined in EM 385-1-1, to establish the root cause(s) of the accident. The Contracting Officer will provide copies of any required or special forms.
- b. Near Misses: Near miss reports are considered positive and proactive Contractor safety management actions.
- c. Conduct an accident investigation for any load handling equipment accident (including rigging accidents) to establish the root cause(s) of the accident. Complete the LHE Accident Report (Crane and Rigging Accident Report) form and provide the report to the Contracting Officer within 30 calendar days of the accident. Do not proceed with crane operations until cause is determined and corrective actions have been

implemented to the satisfaction of the Contracting Officer. The Contracting Officer will provide a blank copy of the accident report form.

#### 1.12.3 LHE Inspection Reports

Submit LHE inspection reports required in accordance with EM 385-1-1 and as specified herein with Daily Reports of Inspections.

#### 1.12.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging

Provide a FORM 16-1 Certificate of Compliance for LHE entering an activity under this Contract and in accordance with EM 385-1-1. Post certifications on the crane.

Develop a Standard Lift Plan (SLP) in accordance with EM 385-1-1, Section 16.H.03 using Form 16-2 Standard Pre-Lift Crane Plan/Checklist for each lift planned. Submit SLP to the Contracting Officer for approval within 15 calendar days in advance of planned lift.

#### 1.13 HOT WORK

##### 1.13.1 Permit and Personnel Requirements

Submit and obtain a written permit prior to performing "Hot Work" (i.e. welding or cutting) or operating other flame-producing/spark producing devices, from the Fire Division. A permit is required from the Explosives Safety Office for work in and around where explosives are processed, stored, or handled. CONTRACTORS ARE REQUIRED TO MEET ALL CRITERIA BEFORE A PERMIT IS ISSUED. Provide at least two 20 pound 4A:20 BC rated extinguishers for normal "Hot Work". The extinguishers must be current inspection tagged, and contain an approved safety pin and tamper resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch must be trained in accordance with NFPA 51B and remain on-site for a minimum of one hour after completion of the task or as specified on the hot work permit.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the emergency Fire Division phone number. REPORT ANY FIRE, NO MATTER HOW SMALL, TO THE RESPONSIBLE FIRE DIVISION IMMEDIATELY.

##### 1.13.2 Work Around Flammable Materials

Obtain permit approval from a NFPA Certified Marine Chemist for "HOT WORK" within or around flammable materials (such as fuel systems or welding/cutting on fuel pipes) or confined spaces (such as sewer wet wells, manholes, or vaults) that have the potential for flammable or explosive atmospheres.

Whenever these materials, except beryllium and chromium (VI), are encountered in indoor operations, local mechanical exhaust ventilation systems that are sufficient to reduce and maintain personal exposures to within acceptable limits must be used and maintained in accordance with manufacturer's instruction and supplemented by exceptions noted in EM 385-1-1, Section 06.H

#### 1.14 RADIATION SAFETY REQUIREMENTS

Submit [License Certificates](#), employee training records, and Leak Test Reports for radiation materials and equipment to the Contracting Officer and Radiation Safety Office (RSO) for all specialized and licensed material and equipment proposed for use on the construction project (excludes portable machine sources of ionizing radiation including moisture density and X-Ray Fluorescence (XRF)). Maintain on-site records whenever licensed radiological materials or ionizing equipment are on Government property.

Protect workers from radiation exposure in accordance with [10 CFR 20](#), ensuring any personnel exposures are maintained As Low As Reasonably Achievable.

#### 1.14.1 Radiography Operation Planning Work Sheet

Submit a Gamma and X-Ray [Radiography Operation Planning Work Sheet](#) to Contracting Officer 14 days prior to commencement of operations involving radioactive materials or radiation generating devices. For portable machine sources of ionizing radiation, including moisture density and XRF, use and submit the [Portable Gauge Operations Planning Worksheet](#) instead. The Contracting Officer will review the submitted worksheet and provide questions and comments.

Contractors must use primary dosimeters process by a National Voluntary Laboratory Accreditation Program (NVLAP) accredited laboratory.

#### 1.14.2 Site Access and Security

Coordinate site access and security requirements with the Contracting Officer for all radiological materials and equipment containing ionizing radiation that are proposed for use on a government facility. For gamma radiography materials and equipment, a Government escort is required for any travels on the Installation. The Government authorized representative will meet the Contractor at a designated location outside the Installation, ensure safety of the materials being transported, and will escort the Contractor for gamma sources onto the Installation, to the job site, and off the Installation. For portable machine sources of ionizing radiation, including moisture density and XRF, the Government authorized representative will meet the Contractor at the job site.

Provide a copy of all calibration records, and utilization records for radiological operations performed on the site.

#### 1.14.3 Loss or Release and Unplanned Personnel Exposure

Loss or release of radioactive materials, and unplanned personnel exposures must be reported immediately to the Contracting Officer, RSO, and Base Security Department Emergency Number.

#### 1.14.4 Site Demarcation and Barricade

Properly demark and barricade an area surrounding radiological operations to preclude personnel entrance, in accordance with [EM 385-1-1](#), Nuclear Regulatory Commission, and Applicable State regulations and license requirements, and in accordance with requirements established in the accepted Radiography Operation Planning Work Sheet.

Do not close or obstruct streets, walks, and other facilities occupied and used by the Government without written permission from the Contracting

Officer.

#### 1.14.5 Security of Material and Equipment

Properly secure the radiological material and ionizing radiation equipment at all times, including keeping the devices in a properly marked and locked container, and secondarily locking the container to a secure point in the Contractor's vehicle or other approved storage location during transportation and while not in use. While in use, maintain a continuous visual observation on the radiological material and ionizing radiation equipment. In instances where radiography is scheduled near or adjacent to buildings or areas having limited access or one-way doors, make no assumptions as to building occupancy. Where necessary, the Contracting Officer will direct the Contractor to conduct an actual building entry, search, and alert. Where removal of personnel from such a building cannot be accomplished and it is otherwise safe to proceed with the radiography, position a fully instructed employee inside the building or area to prevent exiting while external radiographic operations are in process.

#### 1.14.6 Transportation of Material

Comply with 49 CFR 173 for Transportation of Regulated Amounts of Radioactive Material. Notify Local Fire authorities and the site Radiation Safety Officer (RSO) of any Radioactive Material use.

#### 1.14.7 Schedule for Exposure or Unshielding

Actual exposure of the radiographic film or unshielding the source must not be initiated until after 5 p.m. on weekdays.

#### 1.14.8 Transmitter Requirements

Adhere to the base policy concerning the use of transmitters, such as radios and cell phones. Obey Emissions control (EMCON) restrictions.

#### 1.15 CONFINED SPACE ENTRY REQUIREMENTS

Confined space entry must comply with Section 34 of EM 385-1-1, OSHA 29 CFR 1926, OSHA 29 CFR 1910, OSHA 29 CFR 1910.146, and OSHA Directive CPL 2.100. Any potential for a hazard in the confined space requires a permit system to be used.

##### 1.15.1 Entry Procedures

Prohibit entry into a confined space by personnel for any purpose, including hot work, until the qualified person has conducted appropriate tests to ensure the confined or enclosed space is safe for the work intended and that all potential hazards are controlled or eliminated and documented. Comply with EM 385-1-1, Section 34 for entry procedures. Hazards pertaining to the space must be reviewed with each employee during review of the AHA.

##### 1.15.2 Forced Air Ventilation

Forced air ventilation is required for all confined space entry operations and the minimum air exchange requirements must be maintained to ensure exposure to any hazardous atmosphere is kept below its action level.

##### 1.15.3 Sewer Wet Wells

Sewer wet wells require continuous atmosphere monitoring with audible alarm for toxic gas detection.

#### 1.15.4 Rescue Procedures and Coordination with Local Emergency Responders

Develop and implement an on-site rescue and recovery plan and procedures. The rescue plan must not rely on local emergency responders for rescue from a confined space.

#### 1.16 DIVE SAFETY REQUIREMENTS

Develop a [Dive Operations Plan](#), AHA, emergency management plan, and personnel list that includes qualifications, for each separate diving operation. Submit these documents to the District Dive Coordinator (DDC) via the Contracting Officer, for review and approval at least 15 working days prior to commencement of diving operations. These documents must be at the diving location at all times. Provide each of these documents as a part of the project file.

#### 1.17 SEVERE STORM PLAN

In the event of a severe storm warning, the Contractor must comply with the applicable Storm Plan and:

- a. Secure outside equipment and materials and place materials that could be damaged in protected areas.
- b. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.
- c. Ensure that temporary erosion controls are adequate.

### PART 2 PRODUCTS

### PART 3 EXECUTION

#### 3.1 CONSTRUCTION AND OTHER WORK

Comply with [EM 385-1-1](#), [NFPA 70](#), [NFPA 70E](#), [NFPA 241](#), the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be worn or carried/available on each person. Mandatory PPE includes:

- a. Hard Hat
- b. Long Pants
- c. Appropriate Safety Shoes
- d. Appropriate Class Reflective Vests

### 3.1.1 Worksite Communication

Employees working alone in a remote location or away from other workers must be provided an effective means of emergency communications (i.e., cellular phone, two-way radios, land-line telephones or other acceptable means). The selected communication must be readily available (easily within the immediate reach) of the employee and must be tested prior to the start of work to verify that it effectively operates in the area/environment. Develop an employee check-in/check-out communication procedure to ensure employee safety.

### 3.1.2 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this Contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint, and hexavalent chromium, are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further Contracting Officer approval. Notify the Radiation Safety Officer (RSO) prior to excepted items of radioactive material and devices being brought on base.

### 3.1.3 Unforeseen Hazardous Material

Contract documents identify materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e. 29 CFR Part 1910.1000). If material(s) that may be hazardous to human health upon disturbance are encountered during construction operations, stop that portion of work and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification pursuant to FAR 52.243-4 Changes and FAR 52.236-2 Differing Site Conditions.

## 3.2 UTILITY OUTAGE REQUIREMENTS

Apply for utility outages at least three (3) days in advance. At a minimum, the written request must include the location of the outage, utilities being affected, duration of outage, any necessary sketches, and a description of the means to fulfill energy isolation requirements in accordance with EM 385-1-1, Section 11.A.02 (Isolation). Some examples of energy isolation devices and procedures are highlighted in EM 385-1-1, Section 12.D. In accordance with EM 385-1-1, Section 12.A.01, where outages involve Government or Utility personnel, coordinate with the Government on all activities involving the control of hazardous energy.

These activities include, but are not limited to, a review of HECF and HEC procedures, as well as applicable Activity Hazard Analyses (AHAs). In accordance with EM 385-1-1, Section 11.A.02 and NFPA 70E, work on energized electrical circuits must not be performed without prior Government authorization. Government permission is considered through the permit process and submission of a detailed AHA. Energized work permits are



considered only when de-energizing introduces additional or increased hazard or when de-energizing is infeasible.

### 3.3 OUTAGE COORDINATION MEETING

After the utility outage request is approved and prior to beginning work on the utility system requiring shut-down, conduct a pre-outage coordination meeting in accordance with EM 385-1-1, Section 12.A. This meeting must include the Prime Contractor, the Prime and subcontractors performing the work, the Contracting Officer, and the Public Utilities representative. All parties must fully coordinate HEC activities with one another. During the coordination meeting, all parties must discuss and coordinate on the scope of work, HEC procedures (specifically, the lock-out/tag-out procedures for worker and utility protection), the AHA, assurance of trade personnel qualifications, identification of competent persons, and compliance with HEC training in accordance with EM 385-1-1, Section 12.C. Clarify when personal protective equipment is required during switching operations, inspection, and verification.

### 3.4 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Provide and operate a Hazardous Energy Control Program (HECP) in accordance with EM 385-1-1 Section 12, 29 CFR 1910.333, 29 CFR 1915.89, ASSP A10.44, NFPA 70E, and paragraph HAZARDOUS ENERGY CONTROL PROGRAM (HECP).

#### 3.4.1 Safety Preparatory Inspection Coordination Meeting with the Government or Utility

For electrical distribution equipment that is to be operated by Government or Utility personnel, the Prime Contractor and the subcontractor performing the work must attend the safety preparatory inspection coordination meeting, which will also be attended by the Contracting Officer's Representative, and required by EM 385-1-1, Section 12.A.02. The meeting will occur immediately preceding the start of work and following the completion of the outage coordination meeting. Both the safety preparatory inspection coordination meeting and the outage coordination meeting must occur prior to conducting the outage and commencing with lockout/tagout procedures.

#### 3.4.2 Lockout/Tagout Isolation

Where the Government or Utility performs equipment isolation and lockout/tagout, the Contractor must place their own locks and tags on each energy-isolating device and proceed in accordance with the HECP. Before any work begins, both the Contractor and the Government or Utility must perform energy isolation verification testing while wearing required PPE detailed in the Contractor's AHA and required by EM 385-1-1, Sections 05.I and 11.B. Install personal protective grounds, with tags, to eliminate the potential for induced voltage in accordance with EM 385-1-1, Section 12.E.06.

#### 3.4.3 Lockout/Tagout Removal

Upon completion of work, conduct lockout/tagout removal procedure in accordance with the HECP. In accordance with EM 385-1-1, Section 12.E.08, each lock and tag must be removed from each energy isolating device by the authorized individual or systems operator who applied the device. Provide formal notification to the Government (by completing the Government form if provided by Contracting Officer's Representative), confirming that steps of

de-energization and lockout/tagout removal procedure have been conducted and certified through inspection and verification. Government or Utility locks and tags used to support the Contractor's work will not be removed until the authorized Government employee receives the formal notification.

### 3.5 FALL PROTECTION PROGRAM

Establish a fall protection program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify roles and responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection, storage, care and maintenance of fall protection equipment and rescue and evacuation procedures in accordance with [ASSP Z359.2](#) and [EM 385-1-1](#), Sections 21.A and 21.D.

#### 3.5.1 Training

Institute a fall protection training program. As part of the Fall Protection Program, provide training for each employee who might be exposed to fall hazards and using personal fall protection equipment. Provide training by a competent person for fall protection in accordance with [EM 385-1-1](#), Section 21.C. Document training and practical application of the competent person in accordance with [EM 385-1-1](#), Section 21.C.04 and [ASSP Z359.2](#) in the AHA.

#### 3.5.2 Fall Protection Equipment and Systems

Enforce use of personal fall protection equipment and systems designated (to include fall arrest, restraint, and positioning) for each specific work activity in the Site Specific Fall Protection and Prevention Plan and AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in [EM 385-1-1](#), Section 21.

Provide personal fall protection equipment, systems, subsystems, and components that comply with [EM 385-1-1](#) Section 21.I, [29 CFR 1926.500](#) Subpart M, [ASSP Z359.0](#), [ASSP Z359.1](#), [ASSP Z359.2](#), [ASSP Z359.3](#), [ASSP Z359.4](#), [ASSP Z359.6](#), [ASSP Z359.7](#), [ASSP Z359.11](#), [ASSP Z359.12](#), [ASSP Z359.13](#), [ASSP Z359.14](#), [ASSP Z359.15](#), [ASSP Z359.16](#) and [ASSP Z359.18](#).

##### 3.5.2.1 Additional Personal Fall Protection Measures

In addition to the required fall protection systems, other protective measures such as safety skiffs, personal floatation devices, and life rings, are required when working above or next to water in accordance with [EM 385-1-1](#), Sections 21.0 through 21.0.06. Personal fall protection systems and equipment are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall protection systems are required when operating other equipment such as scissor lifts. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, travel, or while performing work.

##### 3.5.2.2 Personal Fall Protection Equipment

Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. The use of body belts is not acceptable. Harnesses must have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Snap

hooks and carabineers must be self-closing and self-locking, capable of being opened only by at least two consecutive deliberate actions and have a minimum gate strength of 3,600 lbs in all directions. Use webbing, straps, and ropes made of synthetic fiber. The maximum free fall distance when using fall arrest equipment must not exceed 6 feet, unless the proper energy absorbing lanyard is used. Always take into consideration the total fall distance and any swinging of the worker (pendulum-like motion), that can occur during a fall, when attaching a person to a fall arrest system. Equip all full body harnesses with Suspension Trauma Preventers such as stirrups, relief steps, or similar in order to provide short-term relief from the effects of orthostatic intolerance in accordance with EM 385-1-1, Section 21.I.06.

### 3.5.3 Fall Protection for Roofing Work

Implement fall protection controls based on the type of roof being constructed and work being performed. Evaluate the roof area to be accessed for its structural integrity including weight-bearing capabilities for the projected loading.

#### a. Low Sloped Roofs:

- (1) For work within 6 feet from unprotected edge of a roof having a slope less than or equal to 4:12 (vertical to horizontal), protect personnel from falling by the use of conventional fall protection systems (personal fall arrest/restraint systems, guardrails, or safety nets) in accordance with EM 385-1-1, Section 21 and 29 CFR 1926.500. A safety monitoring system is not adequate fall protection and is not authorized.
- (2) For work greater than 6 feet from the unprotected roof edge, addition to the use of conventional fall protection systems the use of a warning line system is also permitted, in accordance with 29 CFR 1926.500 and EM 385-1-1, Section 21.L.

- #### b. Steep-Sloped Roofs:
- Work on a roof having a slope greater than 4:12 (vertical to horizontal) requires a personal fall arrest system, guardrails with toe-boards, or safety nets. This requirement also applies to residential or housing type construction.

### 3.5.4 Horizontal Lifelines (HLL)

Provide HLL in accordance with EM 385-1-1, Section 21.I.08.d.2. Commercially manufactured horizontal lifelines (HLL) must be designed, installed, certified and used, under the supervision of a qualified person, for fall protection as part of a complete fall arrest system which maintains a safety factor of 2 (29 CFR 1926.500). The competent person for fall protection may (if deemed appropriate by the qualified person) supervise the assembly, disassembly, use and inspection of the HLL system under the direction of the qualified person. Locally manufactured HLLs are not acceptable unless they are custom designed for limited or site specific applications by a Registered Professional Engineer who is qualified in designing HLL systems.

### 3.5.5 Guardrails and Safety Nets

Design, install and use guardrails and safety nets in accordance with EM 385-1-1, Section 21.F.01 and 29 CFR 1926 Subpart M.

### 3.5.6 Rescue and Evacuation Plan and Procedures

When personal fall arrest systems are used, ensure that the mishap victim can self-rescue or can be rescued promptly should a fall occur. Prepare a Rescue and Evacuation Plan and include a detailed discussion of the following: methods of rescue; methods of self-rescue or assisted-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility. Include the Rescue and Evacuation Plan within the Activity Hazard Analysis (AHA) for the phase of work, in the Fall Protection and Prevention (FP&P) Plan, and the Accident Prevention Plan (APP). The plan must be in accordance with the requirements of [EM 385-1-1](#), [ASSP Z359.2](#), and [ASSP Z359.4](#).

## 3.6 WORK PLATFORMS

### 3.6.1 Scaffolding

Provide employees with a safe means of access to the work area on the scaffold. Climbing of any scaffold braces or supports not specifically designed for access is prohibited. Comply with the following requirements:

- a. Scaffold platforms greater than [20 feet](#) in height must be accessed by use of a scaffold stair system.
- b. Ladders commonly provided by scaffold system manufacturers are prohibited for accessing scaffold platforms greater than [20 feet](#) maximum in height.
- c. An adequate gate is required.
- d. Employees performing scaffold erection and dismantling must be qualified.
- e. Scaffold must be capable of supporting at least four times the maximum intended load, and provide appropriate fall protection as delineated in the accepted fall protection and prevention plan.
- f. Stationary scaffolds must be attached to structural building components to safeguard against tipping forward or backward.
- g. Special care must be given to ensure scaffold systems are not overloaded.
- h. Side brackets used to extend scaffold platforms on self-supported scaffold systems for the storage of material are prohibited. The first tie-in must be at the height equal to 4 times the width of the smallest dimension of the scaffold base.
- i. Scaffolding other than suspended types must bear on base plates upon wood mudsills ( [2 in x 10 in x 8 in](#) minimum) or other adequate firm foundation.
- j. Scaffold or work platform erectors must have fall protection during the erection and dismantling of scaffolding or work platforms that are more than [6 feet](#).
- k. Delineate fall protection requirements when working above [6 feet](#) or above dangerous operations in the Fall Protection and Prevention (FP&P)

Plan and Activity Hazard Analysis (AHA) for the phase of work.

### 3.6.2 Elevated Aerial Work Platforms (AWPs)

Workers must be anchored to the basket or bucket in accordance with manufacturer's specifications and instructions (anchoring to the boom may only be used when allowed by the manufacturer and permitted by the CP). Lanyards used must be sufficiently short to prohibit worker from climbing out of basket. The climbing of rails is prohibited. Lanyards with built-in shock absorbers are acceptable. Self-retracting devices are not acceptable. Tying off to an adjacent pole or structure is not permitted unless a safe device for 100 percent tie-off is used for the transfer.

Use of AWPs must be operated, inspected, and maintained as specified in the operating manual for the equipment and delineated in the AHA. Operators of AWPs must be designated as qualified operators by the Prime Contractor. Maintain proof of qualifications on site for review and include in the AHA.

## 3.7 EQUIPMENT

### 3.7.1 Material Handling Equipment (MHE)

- a. Material handling equipment such as forklifts must not be modified with work platform attachments for supporting employees unless specifically delineated in the manufacturer's printed operating instructions. Material handling equipment fitted with personnel work platform attachments are prohibited from traveling or positioning while personnel are working on the platform.
- b. The use of hooks on equipment for lifting of material must be in accordance with manufacturer's printed instructions. Material Handling Equipment Operators must be trained in accordance with OSHA 29 CFR 1910, Subpart N.
- c. Operators of forklifts or power industrial trucks must be licensed in accordance with OSHA.

### 3.7.2 Load Handling Equipment (LHE)

The following requirements apply. In exception, these requirements do not apply to commercial truck mounted and articulating boom cranes used solely to deliver material and supplies (not prefabricated components, structural steel, or components of a systems-engineered metal building) where the lift consists of moving materials and supplies from a truck or trailer to the ground; to cranes installed on mechanics trucks that are used solely in the repair of shore-based equipment; to crane that enter the activity but are not used for lifting; nor to other machines not used to lift loads suspended by rigging equipment. However, LHE accidents occurring during such operations must be reported.

- a. Equip cranes and derricks as specified in EM 385-1-1, Section 16.
- b. Notify the Contracting Officer 15 working days in advance of any LHE entering the activity, in accordance with EM 385-1-1, Section 16.A.02, so that necessary quality assurance spot checks can be coordinated. Contractor's operator must remain with the crane during the spot check. Rigging gear must be in accordance with OSHA, ASME B30.9 Standards safety standards.

- c. Comply with the LHE manufacturer's specifications and limitations for erection and operation of cranes and hoists used in support of the work. Perform erection under the supervision of a designated person (as defined in ASME B30.5). Perform all testing in accordance with the manufacturer's recommended procedures.
- d. Comply with ASME B30.5 for mobile and locomotive cranes, ASME B30.22 for articulating boom cranes, ASME B30.3 for construction tower cranes, ASME B30.8 for floating cranes and floating derricks, ASME B30.9 for slings, ASME B30.20 for below the hook lifting devices and ASME B30.26 for rigging hardware.
- e. When operating in the vicinity of overhead transmission lines, operators and riggers must be alert to this special hazard and follow the requirements of EM 385-1-1 Section 11, and ASME B30.5 or ASME B30.22 as applicable.
- f. Do not use crane suspended personnel work platforms (baskets) unless the Contractor proves that using any other access to the work location would provide a greater hazard to the workers or is impossible. Do not lift personnel with a line hoist or friction crane. Additionally, submit a specific AHA for this work to the Contracting Officer. Ensure the activity and AHA are thoroughly reviewed by all involved personnel.
- g. Inspect, maintain, and recharge portable fire extinguishers as specified in NFPA 10, Standard for Portable Fire Extinguishers.
- h. All employees must keep clear of loads about to be lifted and of suspended loads, except for employees required to handle the load.
- i. Use cribbing when performing lifts on outriggers.
- j. The crane hook/block must be positioned directly over the load. Side loading of the crane is prohibited.
- k. A physical barricade must be positioned to prevent personnel access where accessible areas of the LHE's rotating superstructure poses a risk of striking, pinching or crushing personnel.
- l. Maintain inspection records in accordance by EM 385-1-1, Section 16.D, including shift, monthly, and annual inspections, the signature of the person performing the inspection, and the serial number or other identifier of the LHE that was inspected. Records must be available for review by the Contracting Officer.
- m. Maintain written reports of operational and load testing in accordance with EM 385-1-1, Section 16.F, listing the load test procedures used along with any repairs or alterations performed on the LHE. Reports must be available for review by the Contracting Officer.
- n. Certify that all LHE operators have been trained in proper use of all safety devices (e.g. anti-two block devices).
- o. Take steps to ensure that wind speed does not contribute to loss of control of the load during lifting operations. At wind speeds greater than 20 mph, the operator, rigger and lift supervisor must cease all crane operations, evaluate conditions and determine if the lift may proceed. Base the determination to proceed or not on wind calculations per the manufacturer and a reduction in LHE rated capacity if

applicable. Include this maximum wind speed determination as part of the activity hazard analysis plan for that operation.

- q. Follow FAA guidelines when required based on project location.

### 3.7.3 Machinery and Mechanized Equipment

- a. Proof of qualifications for operator must be kept on the project site for review.
- b. Manufacture specifications or owner's manual for the equipment must be on-site and reviewed for additional safety precautions or requirements that are sometimes not identified by OSHA or USACE EM 385-1-1. Incorporate such additional safety precautions or requirements into the AHAs.

### 3.7.4 Base Mounted Drum Hoists

- a. Operation of base mounted drum hoists must be in accordance with EM 385-1-1 and ASSP A10.22.
- b. Rigging gear must be in accordance with applicable ASME/OSHA standards.
- c. When used on telecommunication towers, base mounted drum hoists must be in accordance with TIA-1019, TIA-222, ASME B30.7, 29 CFR 1926.552, and 29 CFR 1926.553.
- d. When used to hoist personnel, the AHA must include a written standard operating procedure. Operators must have a physical examination in accordance with EM 385-1-1 Section 16.B.05 and trained, at a minimum, in accordance with EM 385-1-1 Section 16.U and 16.T. The base mounted drum hoist must also comply with OSHA Instruction CPL 02-01-056 and ASME B30.23.
- e. Material and personnel must not be hoisted simultaneously.
- f. Personnel cage must be marked with the capacity (in number of persons) and load limit in pounds.
- g. Construction equipment must not be used for hoisting material or personnel or with trolley/tag lines. Construction equipment may be used for towing and assisting with anchoring guy lines.

### 3.7.5 Use of Explosives

Explosives must not be used or brought to the project site without prior written approval from the Contracting Officer. Such approval does not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations.

Storage of explosives, when permitted on Government property, must be only where directed and in approved storage facilities. These facilities must be kept locked at all times except for inspection, delivery, and withdrawal of explosives.

## 3.8 EXCAVATIONS

Soil classification must be performed by a competent person in accordance with 29 CFR 1926 and EM 385-1-1.

### 3.8.1 Utility Locations

Provide a third party, independent, private utility locating company to positively identify underground utilities in the work area in addition to any station locating service and coordinated with the station utility department.

### 3.8.2 Utility Location Verification

Physically verify underground utility locations, including utility depth, by hand digging using wood or fiberglass handled tools when any adjacent construction work is expected to come within 3 feet of the underground system.

### 3.8.3 Utilities Within and Under Concrete, Bituminous Asphalt, and Other Impervious Surfaces

Utilities located within and under concrete slabs or pier structures, bridges, parking areas, and the like, are extremely difficult to identify. Whenever Contract work involves chipping, saw cutting, or core drilling through concrete, bituminous asphalt or other impervious surfaces, the existing utility location must be coordinated with station utility departments in addition to location and depth verification by a third party, independent, private locating company. The third party, independent, private locating company must locate utility depth by use of Ground Penetrating Radar (GPR), X-ray, bore scope, or ultrasound prior to the start of demolition and construction. Outages to isolate utility systems must be used in circumstances where utilities are unable to be positively identified. The use of historical drawings does not alleviate the Contractor from meeting this requirement.

## 3.9 ELECTRICAL

Perform electrical work in accordance with EM 385-1-1, Sections 11 and 12.

### 3.9.1 Conduct of Electrical Work

As delineated in EM 385-1-1, electrical work is to be conducted in a de-energized state unless there is no alternative method for accomplishing the work. In those cases obtain an energized work permit from the Contracting Officer. The energized work permit application must be accompanied by the AHA and a summary of why the equipment/circuit needs to be worked energized. Underground electrical spaces must be certified safe for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Attach temporary grounds in accordance with ASTM F855 and IEEE 1048. Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator is allowed in the space during the actual operation. Plan so that work near energized parts is minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method.

When working in energized substations, only qualified electrical workers are permitted to enter. When work requires work near energized circuits as defined by NFPA 70, high voltage personnel must use personal protective



equipment that includes, as a minimum, electrical hard hat, safety shoes, insulating gloves and electrical arc flash protection for personnel as required by [NFPA 70E](#). Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA. Ensure that each employee is familiar with and complies with these procedures and [29 CFR 1910.147](#).

### 3.9.2 Qualifications

Electrical work must be performed by QP with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed, and must be identified in the appropriate AHA. Journeyman/Apprentice ratio must be in accordance with State, Local requirements applicable to where work is being performed.

### 3.9.3 Arc Flash

Conduct a hazard analysis/arc flash hazard analysis whenever work on or near energized parts greater than 50 volts is necessary, in accordance with [NFPA 70E](#).

All personnel entering the identified arc flash protection boundary must be QPs and properly trained in [NFPA 70E](#) requirements and procedures. Unless permitted by [NFPA 70E](#), no Unqualified Person is permitted to approach nearer than the Limited Approach Boundary of energized conductors and circuit parts. Training must be administered by an electrically qualified source and documented.

### 3.9.4 Grounding

Ground electrical circuits, equipment and enclosures in accordance with [NFPA 70](#) and [IEEE C2](#) to provide a permanent, continuous and effective path to ground unless otherwise noted by [EM 385-1-1](#).

Check grounding circuits to ensure that the circuit between the ground and a grounded power conductor has a resistance low enough to permit sufficient current flow to allow the fuse or circuit breaker to interrupt the current.

### 3.9.5 Testing

Temporary electrical distribution systems and devices must be inspected, tested and found acceptable for Ground-Fault Circuit Interrupter (GFCI) protection, polarity, ground continuity, and ground resistance before initial use, before use after modification and at least monthly. Monthly inspections and tests must be maintained for each temporary electrical distribution system, and signed by the electrical CP or QP.

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SECTION 01 42 00

SOURCES FOR REFERENCE PUBLICATIONS  
02/19

PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date and title. The document number used in the citation is the number assigned by the standards producing organization (e.g., ASTM B564 Standard Specification for Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided.

AACE INTERNATIONAL (AACE)  
1265 Suncrest Towne Centre Drive  
Morgantown, WV 26505-1876 USA  
Ph: 304-296-8444  
Fax: 304-291-5728  
Internet: <https://web.aacei.org/>

ALLIANCE FOR TELECOMMUNICATIONS INDUSTRY SOLUTIONS (ATIS)  
1200 G Street, NW, Suite 500  
Washington, D.C. 20005  
Ph: 202-628-6380  
E-mail: [nbutler@atis.org](mailto:nbutler@atis.org)  
Internet: <http://www.atis.org>

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS  
(AASHTO)  
444 North Capital Street, NW, Suite 249  
Washington, DC 20001  
Ph: 202-624-5800  
Fax: 202-624-5806  
E-Mail: [info@ashto.org](mailto:info@ashto.org)  
Internet: <https://www.transportation.org/>

AMERICAN CONCRETE INSTITUTE (ACI)  
38800 Country Club Drive  
Farmington Hills, MI 48331-3439  
Ph: 248-848-3700  
Fax: 248-848-3701  
Internet: <https://www.concrete.org/>

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)  
1330 Kemper Meadow Drive

Cincinnati, OH 45240  
Ph: 513-742-2020  
Fax: 513-742-3355  
Internet: <https://www.acgih.org/>

AMERICAN HARDBOARD ASSOCIATION (AHA)  
1210 West Northwest Highway  
Palatine, IL 60067  
Ph: 847-934-8800  
Fax: 847-934-8803  
E-mail: [aha@hardboard.org](mailto:aha@hardboard.org)  
Internet: <http://domensino.com/AHA/>

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)  
130 East Randolph, Suite 2000  
Chicago, IL 60601  
Ph: 312-670-5444  
Fax: 312-670-5403  
Steel Solutions Center: 866-275-2472  
E-mail: [solutions@aisc.org](mailto:solutions@aisc.org)  
Internet: <https://www.aisc.org/>

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)  
Two Park Avenue  
New York, NY 10016-5990  
Ph: 800-843-2763  
Fax: 973-882-1717  
E-mail: [customercare@asme.org](mailto:customercare@asme.org)  
Internet: <https://www.asme.org/>

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)  
520 N. Northwest Highway  
Park Ridge, IL 60068  
Ph: 847-699-2929  
E-mail: [customerservice@assp.org](mailto:customerservice@assp.org)  
Internet: <https://www.assp.org/>

AMERICAN WELDING SOCIETY (AWS)  
8669 NW 36 Street, #130  
Miami, FL 33166-6672  
Ph: 800-443-9353  
Internet: <https://www.aws.org/>

ASTM INTERNATIONAL (ASTM)  
100 Barr Harbor Drive, P.O. Box C700  
West Conshohocken, PA 19428-2959  
Ph: 610-832-9500  
Fax: 610-832-9555  
E-mail: [service@astm.org](mailto:service@astm.org)  
Internet: <https://www.astm.org/>

CENTERS FOR DISEASE CONTROL AND PREVENTION (CDC)  
1600 Clifton Road  
Atlanta, GA 30329-4027  
Ph: 800-232-4636  
TTY: 888-232-6348  
Internet: <https://www.cdc.gov>

CONCRETE REINFORCING STEEL INSTITUTE (CRSI)  
933 North Plum Grove Road  
Schaumburg, IL 60173-4758  
Ph: 847-517-1200  
Fax: 847-517-1206  
Internet: <http://www.crsi.org/>

FOREST STEWARDSHIP COUNCIL (FSC)  
708 First Street North, Suite 235  
Minneapolis, MN 55401  
Ph: 612-353-4511  
E-mail: [info@us.fcs.org](mailto:info@us.fcs.org)  
Internet: <https://us.fsc.org/>

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)  
445 and 501 Hoes Lane  
Piscataway, NJ 08854-4141  
Ph: 732-981-0060 or 800-701-4333  
Fax: 732-981-9667  
E-mail: [onlinesupport@ieee.org](mailto:onlinesupport@ieee.org)  
Internet: <https://www.ieee.org/>

MASTER PAINTERS INSTITUTE (MPI)  
2800 Ingleton Avenue  
Burnaby, BC CANADA V5C 6G7  
Ph: 1-888-674-8937  
Fax: 1-888-211-8708  
E-mail: [info@paintinfo.com](mailto:info@paintinfo.com) or [techservices@mpi.net](mailto:techservices@mpi.net)  
Internet: <http://www.mpi.net/>

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)  
1300 North 17th Street, Suite 900  
Arlington, VA 22209  
Ph: 703-841-3200  
Internet: <https://www.nema.org>

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)  
1 Batterymarch Park  
Quincy, MA 02169-7471  
Ph: 800-344-3555  
Fax: 800-593-6372  
Internet: <https://www.nfpa.org>

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)  
100 Bureau Drive  
Gaithersburg, MD 20899  
Ph: 301-975-2000  
Internet: <https://www.nist.gov/>

SOCIETY FOR PROTECTIVE COATINGS (SSPC)  
800 Trumbull Drive  
Pittsburgh, PA 15205  
Ph: 877-281-7772 or 412-281-2331  
Fax: 412-444-3591  
E-mail: [customerservice@sspc.org](mailto:customerservice@sspc.org)  
Internet: <http://www.sspc.org>

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

1320 North Courthouse Road, Suite 200  
Arlington, VA 22201  
Ph: 703-907-7700  
Fax: 703-907-7727  
E-mail: [marketing@tiaonline.org](mailto:marketing@tiaonline.org)  
Internet: <https://www.tiaonline.org/>

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E-mail: [usaf.pentagon.saf-aa.mbx.AFDPO-PPL@mail.mil](mailto:usaf.pentagon.saf-aa.mbx.AFDPO-PPL@mail.mil)  
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or  
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Building 4/D  
700 Robbins Avenue  
Philadelphia, PA 19111-5094  
Ph: 215-697-6396 - for account/password issues

Internet: <https://assist.dla.mil/online/start/>; account  
registration required  
Obtain Unified Facilities Criteria (UFC) from:  
Whole Building Design Guide (WBDG)  
National Institute of Building Sciences (NIBS)  
1090 Vermont Avenue NW, Suite 700  
Washington, DC 20005  
Ph: 202-289-7800  
Fax: 202-289-1092  
Internet:  
<https://www.wbdg.org/ffc/dod/unified-facilities-criteria-ufc>

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)  
1200 Pennsylvania Avenue, N.W.  
Washington, DC 20004  
Ph: 202-564-4700  
Internet: <https://www.epa.gov>  
--- Some EPA documents are available only from:  
National Technical Information Service (NTIS)  
5301 Shawnee Road  
Alexandria, VA 22312  
Ph: 703-605-6060 or 1-800-363-2068  
Fax: 703-605-6880  
TDD: 703-487-4639  
E-mail: [info@ntis.gov](mailto:info@ntis.gov)  
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(ASSIST)  
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2101 L St NW, Suite 500  
Washington, DC 20037  
Ph: 202-828-7422  
Internet: <https://new.usgbc.org/>

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College Park, MD 20740-6001  
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Washington, DC 20401  
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UNDERWRITERS LABORATORIES (UL)  
2600 N.W. Lake Road  
Camas, WA 98607-8542  
Ph: 877-854-3577 or 360-817-5500  
E-mail: CustomerExperienceCenter@ul.com  
Internet: <https://www.ul.com/>  
UL Directories available through IHS at <https://ihsmarkit.com/>

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

-- End of Section --



SECTION 01 45 00.00 10

QUALITY CONTROL  
11/16

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

**ASTM D3740** (2019) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction

**ASTM E329** (2020) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program. Include all associated costs in the applicable Bid Schedule item.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

**SD-01 Preconstruction Submittals**

**Contractor Quality Control (CQC) Plan; G**

**SD-06 Test Reports**

**Verification Statement**

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 GENERAL REQUIREMENTS

Establish and maintain an effective quality control (QC) system that complies with FAR 52.246-12 Inspection of Construction. QC consist of plans, procedures, and organization necessary to produce an end product which complies with the Contract requirements. The QC system covers all

construction operations, both onsite and offsite, and be keyed to the proposed construction sequence. The project superintendent will be held responsible for the quality of work and is subject to removal by the Contracting Officer for non-compliance with the quality requirements specified in the Contract. In this context the highest level manager responsible for the overall construction activities at the site, including quality and production is the project superintendent. The project superintendent maintains a physical presence at the site at all times and is responsible for all construction and related activities at the site, except as otherwise acceptable to the Contracting Officer.

### 3.2 CONTRACTOR QUALITY CONTROL (CQC) PLAN

Submit no later than 15 days after receipt of notice to proceed, the Contractor Quality Control (CQC) Plan proposed to implement the requirements FAR 52.246-12 Inspection of Construction. The Government will consider an interim plan for the first five (5) days of operation. Construction will be permitted to begin only after acceptance of the CQC Plan or acceptance of an interim plan applicable to the particular feature of work to be started. Work outside of the accepted interim plan will not be permitted to begin until acceptance of a CQC Plan or another interim plan containing the additional work.

#### 3.2.1 Content of the CQC Plan

Include, as a minimum, the following to cover all construction-operations, both onsite and offsite, including work by subcontractors fabricators, suppliers and purchasing agents:

- a. A description of the quality control organization, including a chart showing lines of authority and acknowledgment that the CQC staff will implement the three phase control system for all aspects of the work specified. Include a CQC System Manager that reports to the project superintendent.
- b. The name, qualifications (in resume format), duties, responsibilities, and authorities of each person assigned a CQC function.
- c. A copy of the letter to the CQC System Manager signed by an authorized official of the firm which describes the responsibilities and delegates sufficient authorities to adequately perform the functions of the CQC System Manager, including authority to stop work which is not in compliance with the Contract. Letters of direction to all other various quality control representatives outlining duties, authorities, and responsibilities will be issued by the CQC System Manager. Furnish copies of these letters to the Contracting Officer.
- d. Procedures for scheduling, reviewing, certifying, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agents. These procedures must be in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.
- e. Control, verification, and acceptance testing procedures for each specific test to include the test name, specification paragraph requiring test, feature of work to be tested, test frequency, and person responsible for each test. (Laboratory facilities approved by the Contracting Officer are required to be used.)
- f. Procedures for tracking preparatory, initial, and follow-up control

phases and control, verification, and acceptance tests including documentation.

- g. Procedures for tracking construction deficiencies from identification through acceptable corrective action. Establish verification procedures that identified deficiencies have been corrected.
- h. Reporting procedures, including proposed reporting formats.
- i. A list of the definable features of work. A definable feature of work is a task which is separate and distinct from other tasks, has separate control requirements, and is identified by different trades or disciplines, or it is work by the same trade in a different environment. Although each section of the specifications can generally be considered as a definable feature of work, there are frequently more than one definable features under a particular section. This list will be agreed upon during the coordination meeting.
- j. Coordinate scheduled work with Special Inspections required by the Statement of Special Inspections and the Schedule of Special Inspections. Where the applicable Code issue by the International Code Council (ICC) calls for inspections by the Building Official, the Contractor must include the inspections in the Quality Control Plan and must perform the inspections required by the applicable ICC. The Contractor must perform these inspections using independent qualified inspectors. Include the Special Inspection Plan requirements in the QC Plan.

### 3.2.2 Acceptance of Plan

Acceptance of the Contractor's plan is required prior to the start of construction. Acceptance is conditional and will be predicated on satisfactory performance during the construction. The Government reserves the right to require the Contractor to make changes in the Contractor Quality Control (CQC) Plan and operations including removal of personnel, as necessary, to obtain the quality specified.

### 3.2.3 Notification of Changes

After acceptance of the CQC Plan, notify the Contracting Officer in writing of any proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

### 3.3 COORDINATION MEETING

After the [Preconstruction Conference](#), before start of construction, and prior to acceptance by the Government of the CQC Plan, meet with the Contracting Officer and discuss the Contractor's quality control system. Submit the CQC Plan a minimum of [five \(5\)](#) calendar days prior to the Coordination Meeting. During the meeting, a mutual understanding of the system details must be developed, including the forms for recording the CQC operations,, control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting will be prepared by the Government, signed by both the Contractor and the Contracting Officer and will become a part of the contract file. There can be occasions when subsequent conferences will be called by either party to reconfirm mutual understandings or address deficiencies in the CQC system or procedures which can require corrective

action by the Contractor.

### 3.4 QUALITY CONTROL ORGANIZATION

#### 3.4.1 Personnel Requirements

The requirements for the CQC organization are a Safety and Health Manager, CQC System Manager, and sufficient number of additional qualified personnel to ensure safety and Contract compliance. The Safety and Health Manager reports directly to a senior project (or corporate) official independent from the CQC System Manager. The Safety and Health Manager will also serve as a member of the CQC Staff Personnel identified in the technical provisions as requiring specialized skills to assure the required work is being performed properly will also be included as part of the CQC organization. The Contractor's CQC staff maintains a presence at the site at all times during progress of the work and have complete authority and responsibility to take any action necessary to ensure Contract compliance. The CQC staff will be subject to acceptance by the Contracting Officer. Provide adequate office space, filing systems and other resources as necessary to maintain an effective and fully functional CQC organization. Promptly complete and furnish all letters, material submittals, shop drawing submittals, schedules and all other project documentation to the CQC organization. The CQC organization is responsible to maintain these documents and records at the site at all times, except as otherwise acceptable to the Contracting Officer.

#### 3.4.2 CQC System Manager

Identify as CQC System Manager an individual within the onsite work organization that is responsible for overall management of CQC and has the authority to act in all CQC matters for the Contractor. The CQC System Manager is required to be a graduate engineer, graduate architect, or a graduate of construction management, with a minimum of three (3) years construction experience on construction similar to this Contract or a construction person with a minimum of three (3) years in related work. This CQC System Manager is on the site at all times during construction and is employed by the prime Contractor. The CQC System Manager is assigned as CQC System Manager but has duties as project superintendent in addition to quality control. Identify in the plan an alternate to serve in the event of the CQC System Manager's absence. The requirements for the alternate are the same as the CQC System Manager.

#### 3.4.3 CQC Personnel

In addition to CQC personnel specified elsewhere in the contract, provide as part of the CQC organization specialized personnel to assist the CQC System Manager for the following areas: electrical, mechanical, structural, environmental, architectural, occupied family housing coordinator. These individuals or specialized technical companies are employees of the prime or subcontractor; be responsible to the CQC System Manager; be physically present at the construction site during work on the specialized personnel's areas of responsibility; have the necessary education or experience in accordance with the experience matrix listed herein. These individuals can perform other duties but need to be allowed sufficient time to perform the specialized personnel's assigned quality control duties as described in the Quality Control Plan. A single person can cover more than one area provided that the single person is qualified to perform quality control activities in each designated and that workload allows.

Experience Matrix	
Area	Qualifications
Civil	Graduate Civil Engineer or Construction Manager with 2 years experience in the type of work being performed on this project or technician with 5 yrs related experience
Mechanical	Graduate Mechanical Engineer with 2 yrs experience or person with 5 years of experience supervising mechanical features of work in the field with a construction company
Electrical	Graduate Electrical Engineer with 2 years related experience or person 5 years of experience supervising electrical features of work in the field with a construction company
Structural	Graduate Civil Engineer (with Structural Track or Focus) or Construction Manager with 2 years experience or person 5 years of experience supervising structural features of work in the field with a construction company
Architectural	Graduate Architect with 2 years experience or person with 5 years related experience
Environmental	Graduate Environmental Engineer with 3 years experience
Submittals	Submittal Clerk with 1 year experience
Occupied Family Housing	Person, customer relations type, coordinator experience
Concrete, Pavements and Soils	Materials Technician with 2 years experience for the appropriate area
Testing, Adjusting and Balancing (TAB) Personnel	Specialist must be a member of AABC or an experienced technician of the firm certified by the NEBB

3.4.4 Additional Requirement

In addition to the above experience and education requirements, the Contractor Quality Control (CQC) System Manager and Alternate CQC System Manager are required to have completed the Construction Quality Management (CQM) for Contractors course. If the CQC System Manager does not have a current certification, obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

The Construction Quality Management Training certificate expires after 5 years. If the CQC System Manager's certificate has expired, retake the course to remain current.

#### 3.4.5 Organizational Changes

Maintain the CQC staff at full strength at all times. When it is necessary to make changes to the CQC staff, revise the CQC Plan to reflect the changes and submit the changes to the Contracting Officer for acceptance.

#### 3.5 SUBMITTALS AND DELIVERABLES

Submittals, if needed, have to comply with the requirements in Section 01 33 00 SUBMITTAL PROCEDURES. The CQC organization is responsible for certifying that all submittals and deliverables are in compliance with the contract requirements.

#### 3.6 CONTROL

CQC is the means by which the Contractor ensures that the construction, to include that of subcontractors and suppliers, complies with the requirements of the contract. At least three phases of control are required to be conducted by the CQC System Manager for each definable feature of the construction work as follows:

##### 3.6.1 Preparatory Phase

This phase is performed prior to beginning work on each definable feature of work, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. This phase includes:

- a. A review of each paragraph of applicable specifications, reference codes, and standards. Make available during the preparatory inspection a copy of those sections of referenced codes and standards applicable to that portion of the work to be accomplished in the field. Maintain and make available in the field for use by Government personnel until final acceptance of the work.
- b. Review of the Contract drawings.
- c. Check to assure that all materials and equipment have been tested, submitted, and approved.
- d. Review of provisions that have been made to provide required control inspection and testing.
- e. Examination of the work area to assure that all required preliminary work has been completed and is in compliance with the Contract.
- f. Examination of required materials, equipment, and sample work to assure

that they are on hand, conform to approved shop drawings or submitted data, and are properly stored.

- g. Review of the appropriate activity hazard analysis to assure safety requirements are met.
- h. Discussion of procedures for controlling quality of the work including repetitive deficiencies. Document construction tolerances and workmanship standards for that feature of work.
- i. Check to ensure that the portion of the plan for the work to be performed has been accepted by the Contracting Officer.
- j. Discussion of the initial control phase.
- k. The Government needs to be notified at least 24 hours in advance of beginning the preparatory control phase. Include a meeting conducted by the CQC System Manager and attended by the superintendent, other CQC personnel (as applicable), and the foreman responsible for the definable feature. Document the results of the preparatory phase actions by separate minutes prepared by the CQC System Manager and attach to the daily CQC report. Instruct applicable workers as to the acceptable level of workmanship required in order to meet contract specifications.

#### 3.6.2 Initial Phase

This phase is accomplished at the beginning of a definable feature of work. Accomplish the following:

- a. Check work to ensure that it is in full compliance with contract requirements. Review minutes of the preparatory meeting.
- b. Verify adequacy of controls to ensure full contract compliance. Verify required control inspection and testing are in compliance with the contract.
- c. Establish level of workmanship and verify that it meets minimum acceptable workmanship standards. Compare with required sample panels as appropriate.
- d. Resolve all differences.
- e. Check safety to include compliance with and upgrading of the safety plan and activity hazard analysis. Review the activity analysis with each worker.
- f. The Government needs to be notified at least 24 hours in advance of beginning the initial phase for definable feature of work. Prepare separate minutes of this phase by the CQC System Manager and attach to the daily CQC report. Indicate the exact location of initial phase for definable feature of work for future reference and comparison with follow-up phases.
- g. The initial phase for each definable feature of work is repeated for each new crew to work onsite, or any time acceptable specified quality standards are not being met.

#### 3.6.3 Follow-up Phase

Perform daily checks to assure control activities, including control testing, are providing continued compliance with contract requirements, until completion of the particular feature of work. Record the checks in the CQC documentation. Conduct final follow-up checks and correct all deficiencies prior to the start of additional features of work which may be affected by the deficient work. Do not build upon nor conceal non-conforming work.

#### 3.6.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same definable features of work if: the quality of on-going work is unacceptable; if there are changes in the applicable CQC staff, onsite production supervision or work crew; if work on a definable feature is resumed after a substantial period of inactivity; or if other problems develop.

### 3.7 TESTS

#### 3.7.1 Testing Procedure

Perform specified or required tests to verify that control measures are adequate to provide a product which conforms to contract requirements. Upon request, furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and acceptance tests when specified. Procure the services of a Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site. Perform the following activities and record and provide the following data:

- a. Verify that testing procedures comply with contract requirements.
- b. Verify that facilities and testing equipment are available and comply with testing standards.
- c. Check test instrument calibration data against certified standards.
- d. Verify that recording forms and test identification control number system, including all of the test documentation requirements, have been prepared.
- e. Record results of all tests taken, both passing and failing on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test. If approved by the Contracting Officer, actual test reports are submitted later with a reference to the test number and date taken. Provide an information copy of tests performed by an offsite or commercial test facility directly to the Contracting Officer. Failure to submit timely test reports as stated results in nonpayment for related work performed and disapproval of the test facility for this Contract.

#### 3.7.2 Testing Laboratories

All testing laboratories must be validated by the USACE Material Testing Center (MTC) for the tests to be performed. Information on the USACE MTC with web-links to both a list of validated testing laboratories and for the laboratory inspection request for can be found at:

<https://mtc.erdc.dren.mil/>.



### 3.7.2.1 Capability Check

The Government reserves the right to check laboratory equipment in the proposed laboratory for compliance with the standards set forth in the contract specifications and to check the laboratory technician's testing procedures and techniques. Laboratories utilized for testing soils, concrete, asphalt, and steel is required to meet criteria detailed in [ASTM D3740](#) and [ASTM E329](#).

### 3.7.2.2 Capability Recheck

If the selected laboratory fails the capability check, the Contractor will be assessed a charge of \$50.00 to reimburse the Government for each succeeding recheck of the laboratory or the checking of a subsequently selected laboratory. Such costs will be deducted from the Contract amount due the Contractor.

### 3.7.3 Onsite Laboratory

The Government reserves the right to utilize the Contractor's control testing laboratory and equipment to make assurance tests, and to check the Contractor's testing procedures, techniques, and test results at no additional cost to the Government.

## 3.8 COMPLETION INSPECTION

### 3.8.1 Punch-Out Inspection

Conduct an inspection of the work by the CQC System Manager near the end of the work, or any increment of the work established by a time stated in FAR 52.211-10 Commencement, Prosecution, and Completion of Work, or by the specifications. Prepare and include in the CQC documentation a punch list of items which do not conform to the approved drawings and specifications, as required by paragraph DOCUMENTATION. Include within the list of deficiencies the estimated date by which the deficiencies will be corrected. Make a second inspection the CQC System Manager or staff to ascertain that all deficiencies have been corrected. Once this is accomplished, notify the Government that the facility is ready for the Government Pre-Final inspection.

### 3.8.2 Pre-Final Inspection

The Government will perform the pre-final inspection to verify that the facility is complete and ready to be occupied. A Government Pre-Final Punch List may be developed as a result of this inspection. Ensure that all items on this list have been corrected before notifying the Government, so that a Final inspection with the customer can be scheduled. Correct any items noted on the Pre-Final inspection in a timely manner. These inspections and any deficiency corrections required by this paragraph need to be accomplished within the time slated for completion of the entire work or any particular increment of the work if the project is divided into increments by separate completion dates.

### 3.8.3 Final Acceptance Inspection

The Contractor's Quality Control Inspection personnel, plus the superintendent or other primary management person, and the Contracting Officer's Representative is required to be in attendance at the final

acceptance inspection. Additional Government personnel including, but not limited to, those from Base/Post Civil Facility Engineer user groups, and major commands can also be in attendance. The final acceptance inspection will be formally scheduled by the Contracting Officer based upon results of the Pre-Final inspection. Notify the Contracting Officer at least 14 days prior to the final acceptance inspection and include the Contractor's assurance that all specific items previously identified to the Contractor as being unacceptable, along with all remaining work performed under the Contract, will be complete and acceptable by the date scheduled for the final acceptance inspection. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance FAR 52.246-12 Inspection of Construction.

### 3.9 DOCUMENTATION

#### 3.9.1 Quality Control Activities

Maintain current records providing factual evidence that required quality control activities and tests have been performed. Include in these records the work of subcontractors and suppliers on an acceptable form that includes, as a minimum, the following information:

- a. The name and area of responsibility of the Contractor/Subcontractor.
- b. Operating plant/equipment with hours worked, idle, or down for repair.
- c. Work performed each day, giving location, description, and by whom. When Network Analysis (NAS) is used, identify each phase of work performed each day by NAS activity number.
- d. Test and control activities performed with results and references to specifications/drawings requirements. Identify the control phase (Preparatory, Initial, Follow-up). List of deficiencies noted, along with corrective action.
- e. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications/drawings requirements.
- f. Submittals and deliverables reviewed, with Contract reference, by whom, and action taken.
- g. Offsite surveillance activities, including actions taken.
- h. Job safety evaluations stating what was checked, results, and instructions or corrective actions.
- i. Instructions given/received and conflicts in plans and specifications.

#### 3.9.2 Verification Statement

Indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. Cover both conforming and deficient features and include a statement that equipment and materials incorporated in the work and workmanship comply with the Contract. Furnish the original and one copy of these records in report form to the Government daily within 24 hours after the date covered by the report, except that reports need not be submitted

for days on which no work is performed. As a minimum, prepare and submit one report for every 7 days of no work and on the last day of a no work period. All calendar days need to be accounted for throughout the life of the contract. The first report following a day of no work will be for that day only. Reports need to be signed and dated by the Contractor Quality Control (CQC) System Manager. Include copies of test reports and copies of reports prepared by all subordinate quality control personnel within the CQC System Manager Report.

### 3.10 SAMPLE FORMS

Sample forms enclosed at the end of this section.

### 3.11 NOTIFICATION OF NONCOMPLIANCE

The Contracting Officer will notify the Contractor of any detected noncompliance with the foregoing requirements. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, will be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer can issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of claim for extension of time or for excess costs or damages by the Contractor.

-- End of Section --

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SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS  
11/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4)  
National Electrical Code

NFPA 241 (2019) Standard for Safeguarding  
Construction, Alteration, and Demolition  
Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety and Health Requirements  
Manual

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)

MUTCD (2009; Rev 2012) Manual on Uniform Traffic  
Control Devices

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00  
SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Site Plan; G

Traffic Control Plan; G

Haul Road Plan; G

SD-06 Test Reports

Backflow Preventer Tests

SD-07 Certificates

Backflow Tester Certification

Backflow Preventers Certificate of Full Approval

### 1.3 CONSTRUCTION SITE PLAN

Prior to the start of work, submit for Government approval a site plan showing the locations and dimensions of temporary facilities (including layouts and details, equipment and material storage area (onsite and offsite), and access and haul routes, avenues of ingress/egress to the fenced area and details of the fence installation. Identify any areas which may have to be graveled to prevent the tracking of mud. Indicate if the use of a supplemental or other staging area is desired. Show locations of safety and construction fences, site trailers, construction entrances, trash dumpsters, temporary sanitary facilities, and worker parking areas.

### 1.4 BACKFLOW PREVENTERS CERTIFICATE

#### 1.4.1 Backflow Tester Certificate

Prior to testing, submit to the Contracting Officer certification issued by the State or local regulatory agency attesting that the [backflow tester](#) has successfully completed a certification course sponsored by the regulatory agency. Tester must not be affiliated with a company participating in other phases of this Contract.

#### 1.4.2 Backflow Prevention Training Certificate

Submit a certificate recognized by the State or local authority that states the Contractor has completed at least 10 hours of training in backflow preventer installations. The certificate must be current.

### 1.5 DOD CONDITION OF READINESS (COR)

DOD will set the Condition of Readiness (COR) based on the weather forecast for sustained winds 50 knots ([58 mph](#)) or greater. Contact the Contracting Officer for the current COR setting.

Monitor weather conditions a minimum of twice a day and take appropriate actions according to the approved Emergency Plan in the accepted Accident Prevention Plan, [EM 385-1-1](#) Section 01 Emergency Planning and the instructions below.

Unless otherwise directed by the Contracting Officer, comply with:

- a. Condition FOUR (Sustained winds of [58 mph](#) or greater expected within 72 hours): Normal daily jobsite cleanup and good housekeeping practices. Collect and store in piles or containers scrap lumber, waste material, and rubbish for removal and disposal at the close of each work day. Maintain the construction site including storage areas, free of accumulation of debris. Stack form lumber in neat piles less than [3.3 feet](#) high. Remove all debris, trash, or objects that could become missile hazards. Review requirements pertaining to "Condition THREE" and continue action as necessary to attain "Condition FOUR" readiness. Contact Contracting Officer for weather and COR updates and completion of required actions.
- b. Condition THREE (Sustained winds of [58 mph](#) or greater expected within 48 hours): Maintain "Condition FOUR" requirements and commence securing operations necessary for "Condition ONE" which cannot be completed within 18 hours. Cease all routine activities which might interfere with securing operations. Commence securing and stow all

gear and portable equipment. Make preparations for securing buildings. Reinforce or remove formwork and scaffolding. Secure machinery, tools, equipment, materials, or remove from the jobsite. Expend every effort to clear all missile hazards and loose equipment from general base areas. Contact Contracting Officer for weather and COR updates and completion of required actions. Review requirements pertaining to "Condition TWO" and continue action as necessary to attain "Condition THREE" readiness.

- c. Condition TWO (Sustained winds of 58 mph or greater expected within 24 hours): Secure the jobsite, and leave Government premises.
- d. Condition ONE. (Sustained winds of 58 mph or greater expected within 12 hours): Contractor access to the jobsite and Government premises is prohibited.

## 1.6 CYBERSECURITY DURING CONSTRUCTION

{For Reference Only: This subpart (and its subparts) relates to AC-18, SA-3, CCI-00258.} Meet the following requirements throughout the construction process.

### 1.6.1 Contractor Computer Equipment

Contractor owned computers may be used for construction. When used, contractor computers must meet the following requirements:

#### 1.6.1.1 Operating System

The operating system must be an operating system currently supported by the manufacturer of the operating system. The operating system must be current on security patches and operating system manufacturer required updates.

#### 1.6.1.2 Anti-Malware Software

The computer must run anti-malware software from a reputable software manufacturer. Anti-malware software must be a version currently supported by the software manufacturer, must be current on all patches and updates, and must use the latest definitions file. All computers used on this project must be scanned using the installed software at least once per day.

#### 1.6.1.3 Passwords and Passphrases

The passwords and passphrases for all computers must be changed from their default values. Passwords must be a minimum of eight characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

#### 1.6.1.4 Contractor Computer Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Computer Cybersecurity Compliance Statements for each company using contractor owned computers. Contractor Computer Cybersecurity Compliance Statements must use the template published at <http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphics-tables>. Each Statement must be signed by a cybersecurity representative for the relevant company.

### 1.6.2 Temporary IP Networks

Temporary contractor-installed IP networks may be used during construction. When used, temporary contractor-installed IP networks must meet the following requirements:

#### 1.6.2.1 Network Boundaries and Connections

The network must not extend outside the project site and must not connect to any IP network other than IP networks provided under this project or Government furnished IP networks provided for this purpose. Any and all network access from outside the project site is prohibited.

#### 1.6.3 Government Access to Network

Government personnel must be allowed to have complete and immediate access to the network at any time in order to verify compliance with this specification.

#### 1.6.4 Temporary Wireless IP Networks

In addition to the other requirements on temporary IP networks, temporary wireless IP (WiFi) networks must not interfere with existing wireless network and must use WPA2 security. Network names (SSID) for wireless networks must be changed from their default values.

#### 1.6.5 Passwords and Passphrases

The passwords and passphrases for all network devices and network access must be changed from their default values. Passwords must be a minimum 8 characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

#### 1.6.6 Contractor Temporary Network Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Temporary Network Cybersecurity Compliance Statements for each company implementing a temporary IP network. Contractor Temporary Network Cybersecurity Compliance Statements must use the template published at <http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphics-tables>. Each Statement must be signed by a cybersecurity representative for the relevant company. If no temporary IP networks will be used, provide a single copy of the Statement indicating this.

## PART 2 PRODUCTS

### 2.1 TEMPORARY SIGNAGE

#### 2.1.1 Bulletin Board

Prior to the commencement of work activities, provide a clear weatherproof covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the Contract, Wage Rate Information poster, Safety and Health Information as required by EM 385-1-1 Section 01 and other information approved by the Contracting Officer. Coordinate requirements herein with 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Locate the bulletin board at the project site in a conspicuous place easily accessible to all employees, and in location as approved by the Contracting Officer.

#### 2.1.2 Project Identification Signs



The requirements for the signs, their content, and location are as indicated and as specified in Section 01 58 00 PROJECT IDENTIFICATION. Erect signs within 15 days after receipt of the notice to proceed. Correct the data required by the safety sign daily, with light colored metallic or non-metallic numerals.

### 2.1.3 Warning Signs

Post temporary signs, tags, and labels to give workers and the public adequate warning and caution of construction hazards according to the EM 385-1-1 Section 04. Attach signs to the perimeter fencing every 150 feet warning the public of the presence of construction hazards. Signs must require unauthorized persons to keep out of the construction site. Correct the data required by safety signs daily. Post signs at all points of entry designating the construction site as a hard hat area.

## 2.2 TEMPORARY TRAFFIC CONTROL

### 2.2.1 Haul Roads

Construct access and haul roads necessary for proper prosecution of the work under this Contract in accordance with EM 385-1-1 Section 04. Construct with suitable grades and widths; avoid sharp curves, blind corners, and dangerous cross traffic. Submit [haul road plan](#) for approval. Provide necessary lighting, signs, barricades, and distinctive markings for the safe movement of traffic. The method of dust control, although optional, must be adequate to ensure safe operation at all times. Location, grade, width, and alignment of construction and haul roads are subject to approval by the Contracting Officer. Lighting must be adequate to assure full and clear visibility for full width of haul road and work areas during any night work operations.

### 2.2.2 Barricades

Erect and maintain temporary barricades to limit public access to hazardous areas. Barricades are required whenever safe public access to paved areas such as roads, parking areas or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic. Securely place barricades clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

## 2.3 TEMPORARY WIRING

Provide temporary wiring in accordance with EM 385-1-1 Section 11, NFPA 241 and NFPA 70. Include monthly inspection and testing of all equipment and apparatus.

## PART 3 EXECUTION

### 3.1 EMPLOYEE PARKING

Construction Contract employees must park privately owned vehicles in an area designated by the Contracting Officer. Employee parking must not interfere with existing and established parking requirements of the Government installation.

### 3.2 AVAILABILITY AND USE OF UTILITY SERVICES

### 3.2.1 Temporary Utilities

Provide temporary utilities required for construction. Materials may be new or used, must be adequate for the required usage, not create unsafe conditions, and not violate applicable codes and standards.

### 3.2.2 Sanitation

Provide and maintain within the construction area minimum field-type sanitary facilities in accordance with EM 385-1-1 Section 02. Locate the facilities behind the construction fence or out of the public view. Clean units and empty wastes at least once a week or more frequently into a municipal, district, or station sanitary sewage system, or remove waste to a commercial facility. Obtain approval from the system owner prior to discharge into a municipal, district, or commercial sanitary sewer system. Penalties or fines associated with improper discharge will be the responsibility of the Contractor. Coordinate with the Contracting Officer and follow station regulations and procedures when discharging into the station sanitary sewer system. Maintain these conveniences at all times. Include provisions for pest control and elimination of odors. Government toilet facilities will not be available to Contractor's personnel.

### 3.2.3 Telephone

Make arrangements and pay all costs for telephone facilities desired.

### 3.2.4 Fire Protection

Provide temporary fire protection equipment for the protection of personnel and property during construction. Remove debris and flammable materials daily to minimize potential hazards.

## 3.3 TRAFFIC PROVISIONS

### 3.3.1 Maintenance of Traffic

- a. Conduct operations in a manner that will not close a thoroughfare or interfere with traffic on railways or highways except with written permission of the Contracting Officer at least 15 calendar days prior to the proposed modification date, and provide a [Traffic Control Plan](#) for Government approval detailing the proposed controls to traffic movement for approval. The plan must be in accordance with State and local regulations and the [MUTCD](#), Part VI. Make all notifications and obtain all permits required for modification to traffic movements outside Station's jurisdiction.. Contractor may move oversized and slow-moving vehicles to the worksite provided requirements of the highway authority have been met.
- b. Conduct work so as to minimize obstruction of traffic, and maintain traffic on at least half of the roadway width at all times. Obtain approval from the Contracting Officer prior to starting any activity that will obstruct traffic.
- c. Provide, erect, and maintain, at Contractor's expense, lights, barriers, signals, passageways, detours, and other items, that may be required by the Life Safety Signage, overhead protection authority having jurisdiction.

- d. Provide cones, signs, barricades, lights, or other traffic control devices and personnel required to control traffic. Do not use foil-backed material for temporary pavement marking because of its potential to conduct electricity during accidents involving downed power lines.

### 3.3.2 Protection of Traffic

Maintain and protect traffic on all affected roads during the construction period except as otherwise specifically directed by the Contracting Officer. Measures for the protection and diversion of traffic, including the provision of watchmen and flagmen, erection of barricades, placing of lights around and in front of equipment the work, and the erection and maintenance of adequate warning, danger, and direction signs, will be as required by the State and local authorities having jurisdiction. Provide self-illuminated (lighted) barricades during hours of darkness. Brightly-colored (orange) vests are required for all personnel working in roadways. Protect the traveling public from damage to person and property. Minimize the interference with public traffic on roads selected for hauling material to and from the site. Investigate the adequacy of existing roads and their allowable load limit. Contractor is responsible for the repair of damage to roads caused by construction operations.

### 3.3.3 Rush Hour Restrictions

Do not interfere with the peak traffic flows preceding and during normal operations without notification to and approval by the Contracting Officer.

### 3.3.4 Dust Control

Dust control methods and procedures must be approved by the Contracting Officer.

## 3.4 CONTRACTOR'S TEMPORARY FACILITIES

Contractor-owned or -leased trailers must be identified by Government assigned numbers. Size and location of the number will comply with [Government requirements](#). Apply the number to the trailer within 14 calendar days of notification, or sooner, if directed by the Government. Temporary facilities must meet requirements as identified in [EM 385-1-1](#) Section 04.

Contractor is responsible for security of their property. Provide adequate outside security lighting at the temporary facilities. Trailers must be anchored to resist high winds and meet applicable state or local standards for anchoring mobile trailers. Coordinate anchoring with [EM 385-1-1](#) Section 04. The Contract Clause entitled "FAR 52.236-10, Operations and Storage Areas" and the following apply:

### 3.4.1 Administrative Field Offices

Provide and maintain administrative field office facilities within the construction area at the designated site. Government office and warehouse facilities will not be available to the Contractor's personnel.

In the event a new building is constructed for the temporary project field office, it must be a minimum [12 feet](#) in width, [16 feet](#) in length and have a minimum of [7 feet](#) headroom. Equip the building with approved electrical wiring, at least one double convenience outlet and the required switches

and fuses to provide 110-120 volt power. Provide a work table with stool, desk with chair, two additional chairs, and one legal size file cabinet that can be locked. The building must be waterproof, supplied with a heater, have a minimum of two doors, electric lights, a telephone, a battery-operated smoke detector alarm, a sufficient number of adjustable windows for adequate light and ventilation, and a supply of approved drinking water. Provide approved sanitary facilities. Screen the windows and doors and provide the doors with deadbolt type locking devices or a padlock and heavy-duty hasp bolted to the door. Door hinge pins must be non-removable. Arrange the windows to open and to be securely fastened from the inside. Protect glass panels in windows by bars or heavy mesh screens to prevent easy access. In warm weather, provide air conditioning capable of maintaining the office at 50 percent relative humidity and a room temperature 20 degrees F below the outside temperature when the outside temperature is 95 degrees F. Unless otherwise directed by the Contracting Officer, remove the building from the site upon completion and acceptance of the work.

#### 3.4.2 Appearance of Trailers

- a. Trailers must be roadworthy and comply with all appropriate state and local vehicle requirements. Trailers which are rusted, have peeling paint or are otherwise in need of repair will not be allowed on Installation property. Trailers must present a clean and neat exterior appearance and be in a state of good repair.
- b. Maintain the temporary facilities. Failure to do so will be sufficient reason to require their removal at the Contractor's expense.

#### 3.4.3 Safety Systems

Protect the integrity of all installed safety systems or personnel safety devices. Obtain prior approval from the Contracting Officer if entrance into systems serving safety devices is required. If it is temporarily necessary to remove or disable personnel safety devices in order to accomplish Contract requirements, provide alternative means of protection prior to removing or disabling any permanently installed safety devices or equipment and obtain approval from the Contracting Officer.

#### 3.4.4 Weather Protection of Temporary Facilities and Stored Materials

Take necessary precautions to ensure that roof openings and other critical openings in the building are monitored carefully. Take immediate actions required to seal off such openings when rain or other detrimental weather is imminent, and at the end of each workday. Ensure that the openings are completely sealed off to protect materials and equipment in the building from damage.

##### 3.4.4.1 Building and Site Storm Protection

When a warning of gale force winds is issued, take precautions to minimize danger to persons, and protect the work and nearby Government property. Precautions must include, but are not limited to, closing openings; removing loose materials, tools and equipment from exposed locations; and removing or securing scaffolding and other temporary work. Close openings in the work when storms of lesser intensity pose a threat to the work or any nearby Government property.

#### 3.5 PLANT COMMUNICATIONS

Whenever the individual elements of the plant are located so that operation by normal voice between these elements is not satisfactory, install a satisfactory means of communication, such as telephone or other suitable devices and make available for use by Government personnel.

### 3.6 CLEANUP

Remove construction debris, waste materials, packaging material and the like from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways must be cleaned away. Store all salvageable materials resulting from demolition activities within the fenced area described above or at the supplemental storage area. Neatly stack stored materials not in trailers, whether new or salvaged.

### 3.7 RESTORATION OF STORAGE AREA

Upon completion of the project remove the bulletin board, signs, barricades, haul roads, and all other temporary products from the site. After removal of trailers, materials, and equipment from within the fenced area, remove the fence. Restore areas used during the performance of the Contract to the original or better condition. Remove gravel used to traverse grassed areas and restore the area to its original condition, including top soil and seeding as necessary.

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SECTION 01 58 00

PROJECT IDENTIFICATION  
08/19

PART 1 GENERAL

1.1 PROJECT IDENTIFICATION SIGN

Prior to initiating any work on site, provide one project identification sign at the location designated. Construct the sign in accordance with project sign detail, which can be downloaded at:

<http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphics-tables>. Maintain sign throughout the life of the project. Upon completion of the project, remove the sign from the site.

1.1.1 Project Signboard

Furnish the sign, maintain the sign during construction, and remove the sign from the job site upon completion of the project.

On the project sign, list two points of contact by name and telephone number for an Air Force representative, that will be provided by the Contracting Officer.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

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SECTION 01 74 19

CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL  
02/19

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 273	Standards for Universal Waste Management
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Co-mingle

The practice of placing unrelated materials together in a single container, usually for benefits of convenience and speed.

1.2.2 Construction Waste

Waste generated by construction activities, such as scrap materials, damaged or spoiled materials, temporary and expendable construction materials, and other waste generated by the workforce during construction activities.

1.2.3 Demolition Debris/Waste

Waste generated from demolition activities, including minor incidental demolition waste materials generated as a result of Intentional dismantling of all or portions of a building, to include clearing of building contents that have been destroyed or damaged.

1.2.4 Disposal

Depositing waste in a solid waste disposal facility, usually a managed landfill, regulated in the US under the Resource Conservation and Recovery Act (RCRA).

1.2.5 Diversion

The practice of diverting waste from disposal in a landfill, by means of eliminating or minimizing waste, or reuse of materials.

1.2.6 Final Construction Waste Diversion Report

A written assertion by a material recovery facility operator identifying

constituent materials diverted from disposal, usually including summary tabulations of materials, weight in short-ton.

#### 1.2.7 Recycling

The series of activities, including collection, separation, and processing, by which products or other materials are diverted from the solid waste stream for use in the form of raw materials in the manufacture of new products sold or distributed in commerce, or the reuse of such materials as substitutes for goods made of virgin materials, other than fuel.

#### 1.2.8 Reuse

The use of a product or materials again for the same purpose, in its original form or with little enhancement or change.

#### 1.2.9 Salvage

Usable, salable items derived from buildings undergoing demolition or deconstruction, parts from vehicles, machinery, other equipment, or other components.

#### 1.2.10 Source Separation

The practice of administering and implementing a management strategy to identify and segregate unrelated waste at the first opportunity.

### 1.3 CONSTRUCTION WASTE (INCLUDES DEMOLITION DEBRIS/WASTE)

Divert a minimum of percent by weight of the project construction waste and demolition debris/waste from the landfill. Follow applicable industry standards in the management of waste. Apply sound environmental principles in the management of waste. (1) Practice efficient waste management when sizing, cutting, and installing products and materials and (2) use all reasonable means to divert construction waste and demolition debris/waste from landfills and incinerators and to facilitate the recycling or reuse of excess construction materials.

### 1.4 CONSTRUCTION WASTE MANAGEMENT

Implement a construction waste management program for the project. Take a pro-active, responsible role in the management of construction construction waste, recycling process, disposal of demolition debris/waste, and require all subcontractors, vendors, and suppliers to participate in the construction waste management program. Establish a process for clear tracking, and documentation of construction waste and demolition debris/waste.

#### 1.4.1 Implementation of Construction Waste Management Program

Develop and document how the construction waste management program will be implemented in a construction waste management plan. Submit a Construction Waste Management Plan to the Contracting Officer for approval. Construction waste and demolition debris/waste materials include un-used construction materials not incorporated in the final work, as well as demolition debris/waste materials from demolition activities or deconstruction activities. In the management of waste, consider the availability of viable markets, the condition of materials, the ability to provide material in suitable condition and in a quantity acceptable to

available markets, and time constraints imposed by internal project completion mandates.

#### 1.4.2 Oversight

The Quality Control Manager, as specified in Section 01 45 00.00 10 QUALITY CONTROL, is responsible for overseeing and documenting results from executing the construction waste management plan for the project.

#### 1.4.3 Special Programs

Implement any special programs involving rebates or similar incentives related to recycling of construction waste and demolition debris/waste materials. Retain revenue or savings from salvaged or recycling, unless otherwise directed. Ensure firms and facilities used for recycling, reuse, and disposal are permitted for the intended use to the extent required by federal, state, and local regulations.

#### 1.4.4 Special Instructions

Provide on-site instruction of appropriate separation, handling, recycling, salvage, reuse, and return methods to be used by all parties at the appropriate stages of the projects. Designation of single source separating or commingling will be clearly marked on the containers.

#### 1.4.5 Waste Streams

Delineate waste streams and characterization, including estimated material types and quantities of waste, in the construction waste management plan. Manage all waste streams associated with the project. Typical waste streams are listed below. Include additional waste streams not listed:

- a. Land Clearing Debris
- b. Asphalt
- c. Masonry and CMU
- d. Concrete
- e. Metals (e.g. banding, stud trim, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized, stainless steel, aluminum, copper, zinc, bronze, etc.)
- f. Wood (nails and staples allowed)
- g. Glass
- h. Paper
- i. Plastics (PET, HDPE, PVC, LDPE, PP, PS, Other)
- j. Gypsum
- k. Non-hazardous paint and paint cans
- l. Carpet
- m. Ceiling Tiles

- n. Insulation
- o. Beverage Containers

#### 1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00  
SUBMITTAL PROCEDURES:

##### SD-01 Preconstruction Submittals

Construction Waste Management Plan; G

##### SD-11 Closeout Submittals

Final Construction Waste Diversion Report;

#### 1.6 MEETINGS

Conduct Construction Waste Management meetings. After award of the Contract and prior to commencement of work, schedule and conduct a meeting with the Contracting Officer to discuss the proposed construction waste management plan and to develop a mutual understanding relative to the management of the construction waste management program and how waste diversion requirements will be met.

The requirements of this meeting may be fulfilled during the coordination and mutual Understanding meeting outlined in Section QUALITY CONTROL. At a minimum, discuss and document waste management goals at following meetings:

- a. Preconstruction meeting.
- b. Regular site meetings.
- c. Work safety meeting (if applicable).

#### 1.7 CONSTRUCTION WASTE MANAGEMENT PLAN

Submit Construction Waste Management Plan within 15 calendar days after notice to proceed. Revise and resubmit Construction Waste Management Plan as necessary, in order for construction to begin. Manage demolition debris/waste or deconstruction materials in accordance with the approved construction waste management plan.

An approved construction waste management plan will not relieve the Contractor of responsibility for compliance with applicable environmental regulations or meeting project cumulative waste diversion requirement. Ensure all subcontractors receive a copy of the approved Construction Waste Management Plan. The plan demonstrates how to meet the project waste diversion requirement. Also, include the following in the plan:

- a. Identify the names of individuals responsible for waste management and waste management tracking, along with roles and responsibilities on the project..

- b. Actions that will be taken to reduce solid waste generation, including coordination with subcontractors to ensure awareness and participation.
- c. Description of the regular meetings to be held to address waste management.
- d. Description of the specific approaches to be used in recycling/reuse of the various materials generated, including the areas on site and equipment to be used for processing, sorting, and temporary storage of materials.
- e. Name of landfill and/or incinerator to be used.
- f. Identification of local and regional re-use programs, including non-profit organizations such as schools, local housing agencies, and organization that accept used materials such as material exchange networks and resale stores. Include the name, location, phone number for each re-use facility identified, and provide a copy of the permit or license for each facility.
- g. List of specific materials, by type and quantity, that will be salvaged for resale, salvaged and reused on the current project, salvaged and stored for reuse on a future project, or recycled. Identify the recycling facilities by name, address, and phone number.
- h. Identification of materials that cannot be recycled or reused with an explanation or justification, to be approved by the Contracting Officer.
- i. Description of the means by which any materials identified in item (g) above will be protected from contamination.
- j. Description of the means of transportation of the recyclable materials (whether materials will be site-separated and self-hauled to designated centers, or whether mixed materials will be collected by a waste hauler and removed from the site).
- k. Copy of training plan for subcontractors and other services to prevent contamination by co-mingling materials identified for diversion and waste materials.
- l. Identification of at least 5 construction or demolition material streams for diversion.
- m. Detailed plan and distribution of waste diversion between buildings, when project is a part of a campus.
- n. Facilities or subcontractors offering construction waste transport on-site or off-site must ensure that proper shipping orders, bill of lading, manifests, or other shipping documents containing waste diversion information meet requirements of [40 CFR 273](#) Universal Waste Management, [49 CFR 173](#) Shippers - General Requirements for Shipments and Packagings, and [49 CFR 178](#) Specifications for Packaging. Individuals signing manifests or other shipping documents should meet the minimum training requirements.
- p. Identify any local jurisdiction requirements for waste management. Include those requirements, points of contact, etc.

Distribute copies of the waste management plan to each subcontractor, [Quality Control Manager](#) , and the Contracting Officer.

## 1.8 RECORDS (DOCUMENTATION)

### 1.8.1 General

Maintain records to document the types and quantities of waste generated and diverted through re-use, recycling and/or sale to third parties; through disposal to a landfill or incinerator facility. Provide explanations for any materials not recycled, reused or sold. Collect and retain manifests, weight tickets, sales receipts, and invoices specifically identifying diverted project waste materials or disposed materials.

### 1.8.2 Accumulated

Maintain a running record of materials generated and diverted from landfill disposal, including accumulated diversion rates for the project. Make records available to the Contracting Officer during construction or incidental demolition activities. Provide a copy of the diversion records to the Contracting Officer upon completion of the construction, incidental demolitions or minor deconstruction activities.

## 1.9 REPORTS

### 1.9.1 General

Maintain current construction waste diversion information on site for periodic inspection by the Contracting Officer. Include in the quarterly reports, annual reports and final reports: the project name, contract information, information for waste generated, diverted and disposed of for the current reporting period and show cumulative totals for the project. Reports must identify quantities of waste by type and disposal method. Also include in each report, supporting documentation to include manifests, weigh tickets, receipts, and invoices specifically identifying the project and waste material type and weighted sum.

### 1.9.2 Quarterly Reporting

Provide cumulative reports at the end of each quarter (December, March, June, and September, corresponding with the federal fiscal year for reporting purposes). Submit [quarterly reports](#) not later than 15 calendar days after the preceding quarter has ended.

### 1.9.3 Annual Reporting

Provide a cumulative construction waste diversion report annually. Submit [annual report](#) not later than 30 calendar days after the preceding fourth quarter has ended.

## 1.10 FINAL CONSTRUCTION WASTE DIVERSION REPORT

A Final Construction Waste Diversion Report is required at the end of the project. Provide [Final Construction Waste Diversion Report](#) 30 days prior to the Beneficial Occupancy Date (BOD).

## 1.11 COLLECTION

Collect, store, protect, and handle reusable and recyclable materials at the site in a manner which prevents contamination, and provides protection from the elements to preserve their usefulness and monetary value. Provide receptacles and storage areas designated specifically for recyclable and reusable materials and label them clearly and appropriately to prevent contamination from other waste materials. Keep receptacles or storage areas neat and clean.

Train subcontractors and other service providers to either separate waste streams or use the co-mingling method as described in the construction waste management plan. Handle hazardous waste and hazardous materials in accordance with applicable regulations. Separate materials by one of the following methods described herein:

#### 1.11.1 Source Separation Method

Separate waste products and materials that are recyclable from trash and sort as described below into appropriately marked separate containers and then transport to the respective recycling facility for further processing. Deliver materials in accordance with recycling or reuse facility requirements (e.g., free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process). Separate materials into the category types as defined in the construction waste management plan.

#### 1.11.2 Co-Mingled Method

Place waste products and recyclable materials into a single container and then transport to an authorized recycling facility, which meets all applicable requirements to accept and dispose of recyclable materials in accordance with all applicable local, state and federal regulations. The Co-mingled materials must be sorted and processed in accordance with the approved construction waste management plan.

#### 1.11.3 Other Methods

Other methods proposed by the Contractor may be used when approved by the Contracting Officer.

#### 1.12 DISPOSAL

Control accumulation of waste materials and trash. Recycle or dispose of collected materials off-site at intervals approved by the Contracting Officer and in compliance with waste management procedures as described in the waste management plan. Except as otherwise specified in other sections of the specifications, dispose of in accordance with the following:

##### 1.12.1 Reuse

Give first consideration to reusing construction and demolition materials as a disposition strategy. Recover for reuse materials, products, and components as described in the approved construction waste management plan. Coordinate with the Contracting Officer to identify onsite reuse opportunities or material sales or donation available through Government resale or donation programs. Sale of recovered materials is not allowed on the Installation.

##### 1.12.2 Recycle

Recycle non-hazardous construction and demolition/debris materials that are not suitable for reuse. Track rejection of contaminated recyclable materials by the recycling facility. Rejected recyclables materials will not be counted as a percentage of diversion calculation. Recycle all fluorescent lamps, HID lamps, mercury (Hg) -containing thermostats and ampoules, and PCBs-containing ballasts and electrical components as directed by the Contracting Officer. Do not crush lamps on site as this creates a hazardous waste stream with additional handling requirements.

1.12.3 Waste

Dispose by landfill or incineration only those waste materials with no practical use, economic benefit, or recycling opportunity.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used. -- End of Section --



SECTION 01 78 00

CLOSEOUT SUBMITTALS  
05/19

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 As-Built Drawings

As-built drawings are the marked-up drawings, maintained by the Contractor on-site, that depict actual conditions and deviations from the Contract Documents. These deviations and additions may result from coordination required by, but not limited to: contract modifications; official responses to submitted Requests for Information (RFI's); direction from the Contracting Officer; design that is the responsibility of the Contractor, and differing site conditions. Maintain the as-builts throughout construction as red-lined hard copies on site. These files serve as the basis for the creation of the record drawings.

1.1.2 Record Drawings

The record drawings are the final compilation of actual conditions reflected in the as-built drawings.

1.2 SOURCE DRAWING FILES

Request the full set of electronic drawings, in the source format, for Record Drawing preparation, after award and at least 30 days prior to required use.

1.2.1 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse shall be at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim and waives to the fullest extent permitted by law, any claim or cause of action of any nature against the Government, its agents or sub consultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic CAD drawing files are not construction documents. Differences may exist between the CAD files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic CAD files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished Source drawing files, the signed and sealed construction documents govern. The Contractor is responsible for determining if any conflict exists. Use of these Source Drawing files does not relieve the

Contractor of duty to fully comply with the contract documents, including and without limitation, the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indicia of ownership (seals, logos, signatures, initials and dates).

### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

#### SD-03 Product Data

Warranty Management Plan

Warranty Tags

Spare Parts Data

#### SD-08 Manufacturer's Instructions

Posted Instructions

#### SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

#### SD-11 Closeout Submittals

As-Built Drawings; G

As-Built Record of Equipment and Materials

### 1.4 SPARE PARTS DATA

Submit two copies of the Spare Parts Data list.

- a. Indicate manufacturer's name, part number, and stock level required for test and balance, pre-commissioning, maintenance and repair activities. List those items that may be standard to the normal maintenance of the system.

### 1.5 WARRANTY MANAGEMENT

#### 1.5.1 Warranty Management Plan

Develop a warranty management plan which contains information relevant to FAR 52.246-21 Warranty of Construction. At least 30 days before the planned pre-warranty conference, submit one set of the warranty management plan. Include within the warranty management plan all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan narrative must contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below must include due date and

whether item has been submitted or was accomplished. Submit warranty information, made available during the construction phase, to the Contracting Officer for approval prior to each monthly pay estimate. Assemble approved information in a binder and turn over to the Government upon acceptance of the work. The construction warranty period must begin on the date of project acceptance and continue for the full product warranty period. Conduct a joint 4 month and 9 month warranty inspection, measured from time of acceptance; with the Contractor, Contracting Officer and the Customer Representative. The warranty management plan must include, but is not limited to, the following:

- a. Roles and responsibilities of personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.
- b. For each warranty, the name, address, telephone number, and e-mail of each of the guarantor's representatives nearest to the project location.
- c. A list and status of delivery of Certificates of Warranty for extended warranty items, including roofs, HVAC balancing, pumps, motors, transformers, and for commissioned systems, such as fire protection and alarm systems, sprinkler systems, and lightning protection systems.
- d. **As-Built Record of Equipment and Materials** list for each warranted equipment, item, feature of construction or system indicating:
  - (1) Name of item.
  - (2) Model and serial numbers.
  - (3) Location where installed.
  - (4) Name and phone numbers of manufacturers or suppliers.
  - (5) Names, addresses and telephone numbers of sources of spare parts.
  - (6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have warranties longer than one year must be indicated with separate warranty expiration dates.
  - (7) Cross-reference to warranty certificates as applicable.
  - (8) Starting point and duration of warranty period.
  - (9) Summary of maintenance procedures required to continue the warranty in force.
  - (10) Cross-reference to specific pertinent Operation and Maintenance manuals.
  - (11) Organization, names and phone numbers of persons to call for warranty service.
  - (12) Typical response time and repair time expected for various warranted equipment.
- e. The plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.
- f. Procedure and status of tagging of equipment covered by warranties longer than one year.
- g. Copies of **instructions** to be posted near selected pieces of equipment where operation is critical for warranty or safety reasons.

#### 1.5.2 Performance Bond

The Performance Bond must remain effective throughout the construction and

warranty period .

- a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the remaining construction warranty funds of expenses incurred by the Government while performing the work, including, but not limited to administrative expenses.
- b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.
- c. Following oral or written notification of required construction warranty repair work, respond in a timely manner. Written verification will follow oral instructions. Failure to respond will be cause for the Contracting Officer to proceed against the Contractor.

#### 1.5.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. At this meeting, establish and review communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty. In connection with these requirements and at the time of the Contractor's quality control completion inspection, furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact must be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

#### 1.5.4 Warranty Tags

At the time of installation, tag each warranted item with a durable, oil and water resistant tag approved by the Contracting Officer. Attach each tag with a copper wire and spray with a silicone waterproof coating. Also, submit two record copies of the warranty tags showing the layout and design. The date of acceptance and the QC signature must remain blank until the project is accepted for beneficial occupancy. Show the following information on the tag.

Type of product/material	
Model number	
Serial number	

Contract number	
Warranty period from/to	
Inspector's signature	
Construction Contractor	
Address	
Telephone number	
Warranty contact	
Address	
Telephone number	
Warranty response time priority code	
WARNING - PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE DURING THE WARRANTY PERIOD.	

PART 2 PRODUCTS

2.1 CERTIFICATION OF EPA DESIGNATED ITEMS

Submit the [Certification of EPA Designated Items](#) as required by FAR 52.223-9 Estimate of Percentage of Recovered Material Content for EPA Designated Items and FAR 52-223-17 Affirmative Procurement of EPA designated items in Service and Construction Contracts. Include on the certification form the following information: project name, project number, Contractor name, license number, Contractor address, and certification. The certification will read as follows and be signed and dated by the Contractor. "I hereby certify the information provided herein is accurate and that the requisition/procurement of all materials listed on this form comply with current EPA standards for recycled/recovered materials content. The following exemptions may apply to the non-procurement of recycled/recovered content materials:

- a. The product does not meet appropriate performance standards;
- b. The product is not available within a reasonable time frame;
- c. The product is not available competitively (from two or more sources);
- d. The product is only available at an unreasonable price (compared with a comparable non-recycled content product)."

PART 3 EXECUTION

3.1 [AS-BUILT DRAWINGS](#)

Provide and maintain two black line print copies of the PDF contract drawings for As-Built Drawings. Maintain the as-builts throughout construction as red-lined hard copies on site and red-lined PDF files. Submit As-Built Drawings 30 days prior to Beneficial Occupancy Date (BOD).

### 3.1.1 As-Built Drawings Content

Show on the as-built drawings, but not limited to, the following information:

- a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.
- b. The location and dimensions of any changes within the building structure.
- c. Layout and schematic drawings of electrical circuits and piping.
- d. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.
- e. Changes in details of design or additional information obtained from working drawings specified to be prepared or furnished by the Contractor; including but not limited to shop drawings, fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment, and foundations.
- f. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.
- g. Changes or Revisions which result from the final inspection.
- h. Where contract drawings or specifications present options, show only the option selected for construction on the working as-built markup drawings.
- i. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, furnish a contour map of the final borrow pit/spoil area elevations.
- j. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.
- k. Changes in location of equipment and architectural features.
- l. Modifications.
- m. Actual location of anchors, construction and control joints, etc., in concrete.

- n. Unusual or uncharted obstructions that are encountered in the contract work area during construction.
- o. Location, extent, thickness, and size of stone protection particularly where it will be normally submerged by water.

### 3.2 OPERATION AND MAINTENANCE MANUALS

Provide project operation and maintenance manuals as specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA. Provide four electronic copies of the Operation and Maintenance Manual files. Submit to the Contracting Officer for approval within 14 calendar days of the Beneficial Occupancy Date (BOD). Update and resubmit files for final approval at BOD.

### 3.3 CLEANUP

Leave premises "broom clean." Clean interior and exterior glass surfaces exposed to view; remove temporary labels, stains and foreign substances; polish transparent and glossy surfaces; vacuum carpeted and soft surfaces. Clean equipment and fixtures to a sanitary condition. Replace filters of operating equipment. Clean debris from roofs, gutters, downspouts and drainage systems. Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site..

-- End of Section --

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SECTION 01 78 23

OPERATION AND MAINTENANCE DATA

08/15

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-10 Operation and Maintenance Data

O&M Database; G

Training Plan; G

Training Outline; G

Training Content; G

SD-11 Closeout Submittals

Training Video Recording; G

Validation of Training Completion; G

1.2 OPERATION AND MAINTENANCE DATA

Submit Operation and Maintenance (O&M) Data for the provided equipment, product, or system, defining the importance of system interactions, troubleshooting, and long-term preventive operation and maintenance. Compile, prepare, and aggregate O&M data to include clarifying and updating the original sequences of operation to as-built conditions. Organize and present information in sufficient detail to clearly explain O&M requirements at the system, equipment, component, and subassembly level. Include an index preceding each submittal. Submit in accordance with this section and Section 01 33 00 SUBMITTAL PROCEDURES.

1.2.1 Package Quality

Documents must be fully legible. Operation and Maintenance data must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions.

1.2.2 Package Content

Provide data package content in accordance with paragraph SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES. Comply with the data package requirements specified in the individual technical sections, including the content of the packages and addressing each product, component, and system designated for data package submission, except as follows. Use Data Package 4 for commissioned items without a specified data package requirement in the individual technical sections. Provide a Data Package 4 instead of Data Package 1 or 2, as specified in the individual technical

section, for items that are commissioned.

### 1.2.3 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

### 1.3 O&M DATABASE

Develop an editable, electronic spreadsheet based on the equipment in the Operation and Maintenance Manuals that contains the information required to start a preventive maintenance program. As a minimum, provide list of system equipment, location installed, warranty expiration date, manufacturer, model, and serial number.

### 1.4 OPERATION AND MAINTENANCE MANUAL FILE FORMAT

Assemble data packages into electronic Operation and Maintenance Manuals. Assemble each manual into a composite electronically indexed file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide compact disks (CD) or data digital versatile disk (DVD) as appropriate, so that each one contains operation, maintenance and record files, project record documents, and training videos. Include a complete electronically linked operation and maintenance directory.

#### 1.4.1 Organization

Bookmark Product and Drawing Information documents using the current version of CSI MasterFormat numbering system, and arrange submittals using the specification sections as a structure. Use CSI MasterFormat and UFGS numbers along with descriptive bookmarked titles that explain the content of the information that is being bookmarked.

#### 1.4.2 CD or DVD Label and Disk Holder or Case

Provide the following information on the disk label and disk holder or case:

- a. Building Number
- b. Project Title
- c. Activity and Location
- d. Construction Contract Number
- e. Prepared For: (Contracting Agency)
- f. Prepared By: (Name, title, phone number and email address)
- g. Include the disk content on the disk label
- h. Date
- i. Virus scanning program used

## 1.5 TYPES OF INFORMATION REQUIRED IN O&M DATA PACKAGES

The following are a detailed description of the data package items listed in paragraph SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES.

### 1.5.1 Operating Instructions

Provide specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each system:

#### 1.5.1.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for operating conditions. List all residual hazards identified in the Activity Hazard Analysis provided under Section 01 35 26 GOVERNMENT SAFETY REQUIREMENTS. Provide recommended safeguards for each identified hazard.

#### 1.5.1.2 Operator Prestart

Provide procedures required to install, set up, and prepare each system for use.

#### 1.5.1.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

#### 1.5.1.4 Normal Operations

Provide Control Diagrams with data to explain operation and control of systems and specific equipment. Provide narrative description of Normal Operating Procedures.

#### 1.5.1.5 Emergency Operations

Provide Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Provide Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of utility systems including required valve positions, valve locations and zones or portions of systems controlled.

#### 1.5.1.6 Operator Service Requirements

Provide instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gauge readings.

#### 1.5.1.7 Environmental Conditions

Provide a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

#### 1.5.1.8 Operating Log

Provide forms, sample logs, and instructions for maintaining necessary

operating records.

#### 1.5.1.9 Additional Requirements for HVAC Control Systems

Provide Data Package 5 and the following for control systems:

- a. Narrative description on how to perform and apply functions, features, modes, and other operations, including unoccupied operation, seasonal changeover, manual operation, and alarms. Include detailed technical manual for programming and customizing control loops and algorithms.
- b. Full as-built sequence of operations.
- c. Copies of checkout tests and calibrations performed by the Contractor (not Cx tests).
- d. Full points list. Provide a listing of rooms with the following information for each room:
  - (1) Floor
  - (2) Room number
  - (3) Room name
  - (4) Air handler unit ID
  - (5) Reference drawing number
  - (6) Air terminal unit tag ID
  - (7) Heating or cooling valve tag ID
  - (8) Minimum cfm
  - (9) Maximum cfm
- e. Full print out of all schedules and set points after testing and acceptance of the system.
- f. Full as-built print out of software program.
- g. Marking of system sensors and thermostats on the as-built floor plan and mechanical drawings with their control system designations.

#### 1.5.2 Preventive Maintenance

Provide the following information for preventive and scheduled maintenance to minimize repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials.

##### 1.5.2.1 Lubrication Data

Include the following preventive maintenance lubrication data, in addition to instructions for lubrication required under paragraph OPERATOR SERVICE REQUIREMENTS:

- a. A table showing recommended lubricants for specific temperature ranges

and applications.

- b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities.
- c. A Lubrication Schedule showing service interval frequency.

#### 1.5.2.2 Preventive Maintenance Plan, Schedule, and Procedures

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (predictive tests) and adjustments required to ensure proper and economical operation and to minimize repairs. Provide instructions stating when the systems should be retested. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including craft requirements by type of craft. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

- a. Define the anticipated time required to perform each of each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize repairs.
- b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

#### 1.5.3 Repair

Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs.

##### 1.5.3.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

##### 1.5.3.2 Wiring Diagrams and Control Diagrams

Provide point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

##### 1.5.3.3 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards.

#### 1.5.3.4 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Use a combination of text and illustrations.

#### 1.5.3.5 Spare Parts and Supply Lists

Provide lists of spare parts and supplies required for repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

#### 1.5.3.6 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate.

#### 1.5.4 Appendices

Provide information required below and information not specified in the preceding paragraphs but pertinent to the maintenance or operation of the product or equipment. Include the following:

##### 1.5.4.1 Product Submittal Data

Provide a copy of SD-03 Product Data submittals documented with the required approval.

##### 1.5.4.2 Certificates

Provide a copy of SD-07 Certificates submittals documented with the required approval.

##### 1.5.4.3 Manufacturer's Instructions

Provide a copy of SD-08 Manufacturer's Instructions submittals documented with the required approval.

##### 1.5.4.4 O&M Submittal Data

Provide a copy of SD-10 Operation and Maintenance Data submittals documented with the required approval.

##### 1.5.4.5 Parts Identification

Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and

exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing must show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Group the parts shown in the listings by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master parts catalog.

#### 1.5.4.6 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Include warranty information for primary components of the system. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

#### 1.5.4.7 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific operation and maintenance procedures that must be performed to keep the warranty valid. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

#### 1.5.4.8 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

#### 1.5.4.9 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

#### 1.5.4.10 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

#### 1.5.4.11 Field Test Reports and Manufacturer's Field Reports

Provide a copy of Field Test Reports (SD-06) and Manufacturer's Field Reports (SD-09) submittals documented with the required approval.

#### 1.5.4.12 Contractor Information

Provide a list that includes the name, address, and telephone number of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product,

equipment, and system manufacturers.

#### 1.6 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Provide the O&M data packages specified in individual technical sections. The information required in each type of data package follows:

##### 1.6.1 Data Package 1

- a. Safety precautions and hazards
- b. Cleaning recommendations
- c. Maintenance and repair procedures
- d. Warranty information
- e. Extended warranty information
- f. Contractor information
- g. Spare parts and supply list

##### 1.6.2 Data Package 2

- a. Safety precautions and hazards
- b. Normal operations
- c. Environmental conditions
- d. Lubrication data
- e. Preventive maintenance plan, schedule, and procedures
- f. Cleaning recommendations
- g. Maintenance and repair procedures
- h. Removal and replacement instructions
- i. Spare parts and supply list
- j. Parts identification
- k. Warranty information
- l. Extended warranty information
- m. Contractor information

##### 1.6.3 Data Package 3

- a. Safety precautions and hazards
- b. Operator prestart
- c. Startup, shutdown, and post-shutdown procedures



- d. Normal operations
  - e. Emergency operations
  - f. Environmental conditions
  - g. Operating log
  - h. Lubrication data
  - i. Preventive maintenance plan, schedule, and procedures
  - j. Cleaning recommendations
  - k. Troubleshooting guides and diagnostic techniques
  - l. Wiring diagrams and control diagrams
  - m. Maintenance and repair procedures
  - n. Removal and replacement instructions
  - o. Spare parts and supply list
  - p. Product submittal data
  - q. O&M submittal data
  - r. Parts identification
  - s. Warranty information
  - t. Extended warranty information
  - u. Testing equipment and special tool information
  - v. Testing and performance data
  - w. Contractor information
  - x. Field test reports
- 1.6.4 Data Package 4
- a. Safety precautions and hazards
  - b. Operator prestart
  - c. Startup, shutdown, and post-shutdown procedures
  - d. Normal operations
  - e. Emergency operations
  - f. Operator service requirements
  - g. Environmental conditions
  - h. Operating log

- i. Lubrication data
  - j. Preventive maintenance plan, schedule, and procedures
  - k. Cleaning recommendations
  - l. Troubleshooting guides and diagnostic techniques
  - m. Wiring diagrams and control diagrams
  - n. Repair procedures
  - o. Removal and replacement instructions
  - p. Spare parts and supply list
  - q. Repair work-hours
  - r. Product submittal data
  - s. O&M submittal data
  - t. Parts identification
  - u. Warranty information
  - v. Extended warranty information
  - w. Personnel training requirements
  - x. Testing equipment and special tool information
  - y. Testing and performance data
  - z. Contractor information
  - aa. Field test reports
- 1.6.5 Data Package 5
- a. Safety precautions and hazards
  - b. Operator prestart
  - c. Start-up, shutdown, and post-shutdown procedures
  - d. Normal operations
  - e. Environmental conditions
  - f. Preventive maintenance plan, schedule, and procedures
  - g. Troubleshooting guides and diagnostic techniques
  - h. Wiring and control diagrams
  - i. Maintenance and repair procedures

- j. Removal and replacement instructions
- k. Spare parts and supply list
- l. Product submittal data
- m. Manufacturer's instructions
- n. O&M submittal data
- o. Parts identification
- p. Testing equipment and special tool information
- q. Warranty information
- r. Extended warranty information
- s. Testing and performance data
- t. Contractor information
- u. Field test reports

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 TRAINING

Prior to acceptance of the facility by the Contracting Officer for Beneficial Occupancy, provide comprehensive training for the systems and equipment specified in the technical specifications. The training must be targeted for the building maintenance personnel, and applicable building occupants. Instructors must be well-versed in the particular systems that they are presenting. Address aspects of the Operation and Maintenance Manual submitted in accordance with Section 01 78 00 CLOSEOUT SUBMITTALS.. Training must include classroom or field lectures based on the system operating requirements. The location of classroom training requires approval by the Contracting Officer.

3.1.1 [Training Plan](#)

Submit a written training plan to the Contracting Officer for approval at least 60 calendar days prior to the scheduled training. Training plan must be approved by the Quality Control Manager (QC) prior to forwarding to the Contracting Officer. Also, coordinate the training schedule with the Contracting Officer and QC. Include within the plan the following elements:

- a. Equipment included in training
- b. Intended audience
- c. Location of training
- d. Dates of training

- e. Objectives
- f. Outline of the information to be presented and subjects covered including description
- g. Start and finish times and duration of training on each subject
- h. Methods (e.g. classroom lecture, video, site walk-through, actual operational demonstrations, written handouts)
- i. Instructor names and instructor qualifications for each subject
- j. List of texts and other materials to be furnished by the Contractor that are required to support training
- k. Description of proposed software to be used for video recording of training sessions.

### 3.1.2 Training Content

The core of this training must be based on manufacturer's recommendations and the operation and maintenance information. The QC is responsible for overseeing and approving the content and adequacy of the training. Spend 95 percent of the instruction time during the presentation on the OPERATION AND MAINTENANCE DATA. Include the following for each system training presentation:

- a. Start-up, normal operation, shutdown, unoccupied operation, seasonal changeover, manual operation, controls set-up and programming, troubleshooting, and alarms.
- b. Relevant health and safety issues.
- c. Discussion of how the feature or system is environmentally responsive. Advise adjustments and optimizing methods for energy conservation.
- d. Design intent.
- e. Use of O&M Manual Files.
- f. Review of control drawings and schematics.
- g. Interactions with other systems.
- h. Special maintenance and replacement sources.
- i. Tenant interaction issues.

### 3.1.3 Training Outline

Provide the Operation and Maintenance Manual Files (Bookmarked PDF) and a written course outline listing the major and minor topics to be discussed by the instructor on each day of the course to each trainee in the course. Provide the course outline 14 calendar days prior to the training.

### 3.1.4 Training Video Recording

Record classroom training session(s) on video. Provide to the Contracting Officer two copies of the training session(s) in DVD video recording

format. Capture within the recording, in video and audio, the instructors' training presentations including question and answer periods with the attendees. The recording camera(s) must be attended by a person during the recording sessions to assure proper size of exhibits and projections during the recording are visible and readable when viewed as training.

### 3.1.5 Unresolved Questions from Attendees

If, at the end of the training course, there are questions from attendees that remain unresolved, the instructor must send the answers, in writing, to the Contracting Officer for transmittal to the attendees, and the training video must be modified to include the appropriate clarifications.

### 3.1.6 Validation of Training Completion

Ensure that each attendee at each training session signs a class roster daily to confirm Government participation in the training. At the completion of training, submit a signed validation letter that includes a sample record of training for reporting what systems were included in the training, who provided the training, when and where the training was performed, and copies of the signed class rosters. Provide two copies of the validation to the Contracting Officer, and one copy to the Operation and Maintenance Manual Preparer for inclusion into the Manual's documentation.

### 3.1.7 Quality Control Coordination

Coordinate this training with the in accordance with [Section 01 45 00.00 10 QUALITY CONTROL](#).

-- End of Section --

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SECTION 02 41 00

DEMOLITION  
05/10

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS  
(AASHTO)

AASHTO M 145 (1991; R 2008) Standard Specification for Classification of Soils and Soil-Aggregate Mixtures for Highway Construction Purposes

AASHTO T 180 (2010) Standard Method of Test for Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and a 457-mm (18-in.) Drop

AMERICAN SOCIETY OF SAFETY ENGINEERS (ASSE/SAFE)

ASSE/SAFE A10.6 (2006) Safety Requirements for Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2008; Errata 2011) Safety and Health Requirements Manual

U.S. FEDERAL AVIATION ADMINISTRATION (FAA)

FAA AC 70/7460-1 (2007; Rev K) Obstruction Marking and Lighting

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 61 National Emission Standards for Hazardous Air Pollutants

1.2 PROJECT DESCRIPTION

1.2.1 Demolition/Deconstruction Plan

Prepare a [Demolition Plan](#) and submit proposed demolition, and removal procedures for approval before work is started. Include in the plan procedures for careful removal and disposition of materials specified to be [demolished](#). Coordinate with Waste Management Plan. Provide procedures for safe conduct of the work in accordance with [EM 385-1-1](#). Plan shall be approved by Contracting Officer prior to work beginning.

1.2.2 General Requirements

Do not begin demolition until authorization is received from the Contracting Officer. Remove rubbish and debris from the project site; do not allow accumulations inside or outside the buildings. The work includes demolition, of identified items and materials, and removal of resulting rubbish and debris. Remove rubbish and debris from Government property daily, unless otherwise directed. Store materials that cannot be removed daily in areas specified by the Contracting Officer. In the interest of occupational safety and health, perform the work in accordance with [EM 385-1-1](#), Section 23, Demolition, and other applicable Sections.

### 1.3 ITEMS TO REMAIN IN PLACE

Take necessary precautions to avoid damage to existing items to remain in place, to be reused, or to remain the property of the Government. Repair or replace damaged items as approved by the Contracting Officer. Coordinate the work of this section with all other work indicated. Construct and maintain shoring, bracing, and supports as required. Ensure that structural elements are not overloaded. Increase structural supports or add new supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract. Do not overload pavements to remain. Provide new supports and reinforcement for existing construction weakened by demolition or removal work. Repairs, reinforcement, or structural replacement require approval by the Contracting Officer prior to performing such work.

#### 1.3.1 Existing Construction Limits and Protection

Do not disturb existing construction beyond the extent indicated or necessary for installation of new construction. Provide protective measures to control accumulation and migration of dust and dirt in all work areas. Remove dust, dirt, and debris from work areas daily.

#### 1.3.2 Trees

Protect trees within the project site which might be damaged during demolition and which are indicated to be left in place, by a 6 foot high fence. Erect and secure fence a minimum of 5 feet from the trunk of individual trees or follow the outer perimeter of branches or clumps of trees. Replace any tree designated to remain that is damaged during the work under this contract with like-kind or as approved by the Contracting Officer.

#### 1.3.3 Utility Service

Maintain existing utilities indicated to stay in service and protect against damage during demolition and deconstruction operations.

#### 1.3.4 Facilities

Protect electrical and mechanical services and utilities. Where removal of existing utilities and pavement is specified or indicated, provide approved barricades, temporary covering of exposed areas, and temporary services or connections for electrical and mechanical utilities.

### 1.4 BURNING

The use of burning at the project site for the disposal of refuse and debris will not be permitted.



## 1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

### SD-01 Preconstruction Submittals

Existing Conditions; G,

### SD-07 Certificates

Demolition Plan; G,  
Notification; G,

### SD-11 Closeout Submittals

Landfill Receipts for Demolished Materials

## 1.6 QUALITY ASSURANCE

Submit timely notification of demolition projects to Federal, State, regional, and local authorities in accordance with 40 CFR 61, Subpart M. Notify the Contracting Officer in writing 10 working days prior to the commencement of work in accordance with 40 CFR 61, Subpart M. Comply with federal, state, and local hauling and disposal regulations. In addition to the requirements of the "Contract Clauses," conform to the safety requirements contained in ASSE/SAFE A10.6. Comply with the Environmental Protection Agency requirements specified. Use of explosives will not be permitted.

### 1.6.1 Dust and Debris Control

Prevent the spread of dust and debris and avoid the creation of a nuisance in the surrounding area. Do not use water if it results in hazardous or objectionable conditions such as, but not limited to, ice, flooding, or pollution. Sweep pavements as often as necessary to control the spread of debris that may result in foreign object damage potential.

## 1.7 PROTECTION

### 1.7.1 Traffic Control Signs

a. Where pedestrian and driver safety is endangered in the area of removal work, use traffic barricades with flashing lights. Anchor barricades in a manner to prevent displacement by wind. Notify the Contracting Officer prior to beginning such work.

Provide a minimum of 2 FAA type L-810 steady burning red obstruction lights on temporary structures (including cranes) over 100 feet, but less than 200 ft, above ground level. The use of LED based obstruction lights are not permitted. For temporary structures (including cranes) over 200 ft above ground level provide obstruction lighting in accordance with FAA AC 70/7460-1. Light construction and installation shall comply with FAA AC 70/7460-1. Lights shall be operational during periods of reduced visibility, darkness, and as

directed by the Contracting Officer. Maintain the temporary services during the period of construction and remove.

1.8 FOREIGN OBJECT DAMAGE (FOD)

Aircraft and aircraft engines are subject to FOD from debris and waste material lying on airfield pavements. Remove all such materials that may appear on operational aircraft pavements due to the Contractor's operations. If necessary, the Contracting Officer may require the Contractor to install a temporary barricade at the Contractor's expense to control the spread of FOD potential debris. The barricade shall include a fence covered with a fabric designed to stop the spread of debris. Anchor the fence and fabric to prevent displacement by winds or jet/prop blasts. Remove barricade when no longer required.

1.9 EXISTING CONDITIONS

Before beginning any demolition, survey the site and examine the drawings and specifications to determine the extent of the work. Record existing conditions in the presence of the Contracting Officer showing the condition of structures and other facilities adjacent to areas of alteration or removal. Photographs sized 4 inch will be acceptable as a record of existing conditions. Include in the record the elevation of possible conflicting electrical conduits, plumbing lines, alarms systems, the location and extent of existing cracks and other damage and description of surface conditions that exist prior to before starting work. It is the Contractor's responsibility to verify and document all required outages which will be required during the course of work, and to note these outages on the record document. Submit survey results.

PART 2 PRODUCTS

2.1 FILL MATERIAL

- a. Comply with excavating, backfilling, and compacting procedures for soils used as backfill material to fill basements, voids, depressions or excavations resulting from demolition or deconstruction of structures.
- b. Fill material shall conform to the definition of satisfactory soil material as defined in AASHTO M 145, Soil Classification Groups A-1, A-2-4, A-2-5 and A-3. In addition, fill material shall be free from roots and other organic matter, trash, debris, frozen materials, and stones larger than 2 inches in any dimension.
- c. Proposed fill material must be sampled and tested by an approved soil testing laboratory, as follows:

Soil classification	AASHTO M 145
Moisture-density relations	AASHTO T 180, Method B or D

PART 3 EXECUTION

3.1 EXISTING FACILITIES TO BE REMOVED

Inspect and evaluate existing structures onsite for reuse. Existing construction scheduled to be removed for reuse shall be disassembled. Dismantled and removed materials are to be separated, set aside, and

prepared as specified, and stored or delivered to a collection point for reuse, remanufacture, recycling, or other disposal, as specified. Materials shall be designated for reuse onsite whenever possible.

### 3.1.1 Structures

- a. Remove sidewalks, curbs, gutters and street light bases as indicated.

### 3.1.2 Utilities and Related Equipment

#### 3.1.2.1 General Requirements

Do not interrupt existing utilities serving occupied or used facilities, except when authorized in writing by the Contracting Officer. Do not interrupt existing utilities serving facilities occupied and used by the Government except when approved in writing and then only after temporary utility services have been approved and provided. Do not begin demolition work until all utility disconnections have been made. Shut off and cap utilities for future use, as indicated.

#### 3.1.2.2 Disconnecting Existing Utilities

Remove existing utilities , as indicated and terminate in a manner conforming to the nationally recognized code covering the specific utility and approved by the Contracting Officer. When utility lines are encountered but are not indicated on the drawings, notify the Contracting Officer prior to further work in that area.

### 3.1.3 Paving and Slabs

Remove sawcut concrete and asphaltic concrete paving and slabs including aggregate base as indicated. Provide neat sawcuts at limits of pavement removal as indicated. Pavement and slabs shall be removed from the Installation at Contractor's expense.

## 3.2 DISPOSITION OF MATERIAL

### 3.2.1 Title to Materials

All materials removed and not reused or salvaged, shall become the property of the Contractor and shall be removed from Government property. Title to materials resulting from demolition is vested in the Contractor upon approval by the Contracting Officer of the Contractor's demolition and removal procedures, and authorization by the Contracting Officer to begin demolition and deconstruction. The Government will not be responsible for the condition or loss of, or damage to, such property after contract award. Showing for sale or selling materials and equipment on site is prohibited.

## 3.3 DISPOSAL OF REMOVED MATERIALS

### 3.3.1 Regulation of Removed Materials

Dispose of debris, rubbish, scrap, and other nonsalvageable materials resulting from removal operations with all applicable federal, state and local regulations as contractually specified off the [Moody Air Force Base](#) . Storage of removed materials on the project site is prohibited.

### 3.3.2 Burning on Government Property

Burning of materials removed from demolished or newly constructed structures will not be permitted on Government property.

### 3.3.3 Removal from Government Property

Transport waste materials removed from demolished structures, waste soil, from Government property for legal disposal. Dispose of waste soil as off-site.

-- End of Section --

SECTION 03 30 00

CAST-IN-PLACE CONCRETE

02/19, CHG 1: 02/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

- ACI 117 (2010; Errata 2011) Specifications for Tolerances for Concrete Construction and Materials and Commentary
- ACI 121R (2008) Guide for Concrete Construction Quality Systems in Conformance with ISO 9001
- ACI 301 (2016) Specifications for Structural Concrete
- ACI 302.1R (2015) Guide for Concrete Floor and Slab Construction
- ACI 304.2R (2017) Guide to Placing Concrete by Pumping Methods
- ACI 304R (2000; R 2009) Guide for Measuring, Mixing, Transporting, and Placing Concrete
- ACI 305.1 (2014) Specification for Hot Weather Concreting
- ACI 305R (2010) Guide to Hot Weather Concreting
- ACI 306.1 (1990; R 2002) Standard Specification for Cold Weather Concreting
- ACI 306R (2016) Guide to Cold Weather Concreting
- ACI 308.1 (2011) Specification for Curing Concrete
- ACI SP-2 (2007; Abstract: 10th Edition) ACI Manual of Concrete Inspection
- ACI SP-15 (2011) Field Reference Manual: Standard Specifications for Structural Concrete ACI 301-05 with Selected ACI References

AMERICAN HARDBOARD ASSOCIATION (AHA)

- AHA A135.4 (1995; R 2004) Basic Hardboard

AMERICAN WELDING SOCIETY (AWS)

AWS D1.4/D1.4M (2011) Structural Welding Code -  
Reinforcing Steel

ASTM INTERNATIONAL (ASTM)

ASTM A53/A53M (2020) Standard Specification for Pipe,  
Steel, Black and Hot-Dipped, Zinc-Coated,  
Welded and Seamless

ASTM A615/A615M (2020) Standard Specification for Deformed  
and Plain Carbon-Steel Bars for Concrete  
Reinforcement

ASTM A706/A706M (2016) Standard Specification for  
Low-Alloy Steel Deformed and Plain Bars  
for Concrete Reinforcement

ASTM A934/A934M (2016) Standard Specification for  
Epoxy-Coated Prefabricated Steel  
Reinforcing Bars

ASTM A1064/A1064M (2017) Standard Specification for  
Carbon-Steel Wire and Welded Wire  
Reinforcement, Plain and Deformed, for  
Concrete

ASTM C31/C31M (2019a) Standard Practice for Making and  
Curing Concrete Test Specimens in the Field

ASTM C33/C33M (2018) Standard Specification for Concrete  
Aggregates

ASTM C39/C39M (2020) Standard Test Method for  
Compressive Strength of Cylindrical  
Concrete Specimens

ASTM C42/C42M (2020) Standard Test Method for Obtaining  
and Testing Drilled Cores and Sawed Beams  
of Concrete

ASTM C78/C78M (2018) Standard Test Method for Flexural  
Strength of Concrete (Using Simple Beam  
with Third-Point Loading)

ASTM C94/C94M (2020) Standard Specification for  
Ready-Mixed Concrete

ASTM C138/C138M (2017a) Standard Test Method for Density  
(Unit Weight), Yield, and Air Content  
(Gravimetric) of Concrete

ASTM C143/C143M (2020) Standard Test Method for Slump of  
Hydraulic-Cement Concrete

ASTM C150/C150M (2020) Standard Specification for Portland  
Cement

ASTM C172/C172M	(2017) Standard Practice for Sampling Freshly Mixed Concrete
ASTM C173/C173M	(2016) Standard Test Method for Air Content of Freshly Mixed Concrete by the Volumetric Method
ASTM C231/C231M	(2017a) Standard Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C260/C260M	(2010a; R 2016) Standard Specification for Air-Entraining Admixtures for Concrete
ASTM C311/C311M	(2018) Standard Test Methods for Sampling and Testing Fly Ash or Natural Pozzolans for Use in Portland-Cement Concrete
ASTM C330/C330M	(2017a) Standard Specification for Lightweight Aggregates for Structural Concrete
ASTM C494/C494M	(2019) Standard Specification for Chemical Admixtures for Concrete
ASTM C567/C567M	(2019) Determining Density of Structural Lightweight Concrete
ASTM C595/C595M	(2020) Standard Specification for Blended Hydraulic Cements
ASTM C618	(2019) Standard Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use in Concrete
ASTM C803/C803M	(2018) Standard Test Method for Penetration Resistance of Hardened Concrete
ASTM C845/C845M	(2018) Standard Specification for Expansive Hydraulic Cement
ASTM C873/C873M	(2015) Standard Test Method for Compressive Strength of Concrete Cylinders Cast in Place in Cylindrical Molds
ASTM C900	(2015) Standard Test Method for Pullout Strength of Hardened Concrete
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM C989/C989M	(2018a) Standard Specification for Slag Cement for Use in Concrete and Mortars
ASTM C1012/C1012M	(2018b) Standard Test Method for Length Change of Hydraulic-Cement Mortars Exposed to a Sulfate Solution
ASTM C1017/C1017M	(2013; E 2015) Standard Specification for

Chemical Admixtures for Use in Producing  
Flowing Concrete

ASTM C1074	(2011) Standard Practice for Estimating Concrete Strength by the Maturity Method
ASTM C1077	(2017) Standard Practice for Agencies Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Testing Agency Evaluation
ASTM C1107/C1107M	(2020) Standard Specification for Packaged Dry, Hydraulic-Cement Grout (Nonshrink)
ASTM C1116/C1116M	(2010a; R 2015) Standard Specification for Fiber-Reinforced Concrete
ASTM C1157/C1157M	(2020) Standard Performance Specification for Hydraulic Cement
ASTM C1218/C1218M	(2020c) Standard Test Method for Water-Soluble Chloride in Mortar and Concrete
ASTM C1240	(2020) Standard Specification for Silica Fume Used in Cementitious Mixtures
ASTM C1260	(2014) Standard Test Method for Potential Alkali Reactivity of Aggregates (Mortar-Bar Method)
ASTM C1293	(2008; R 2015) Standard Test Method for Determination of Length Change of Concrete Due to Alkali-Silica Reaction
ASTM C1567	(2013) Standard Test Method for Potential Alkali-Silica Reactivity of Combinations of Cementitious Materials and Aggregate (Accelerated Mortar-Bar Method)
ASTM C1602/C1602M	(2018) Standard Specification for Mixing Water Used in Production of Hydraulic Cement Concrete
ASTM D1751	(2004; E 2013; R 2013) Standard Specification for Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
ASTM D2628	(1991; R 2016) Standard Specification for Preformed Polychloroprene Elastomeric Joint Seals for Concrete Pavements
ASTM D2835	(1989; R 2017) Standard Specification for Lubricant for Installation of Preformed Compression Seals in Concrete Pavements
ASTM D5759	(2012; R 2020) Characterization of Coal



Fly Ash and Clean Coal Combustion Fly Ash  
for Potential Uses

- ASTM D6690 (2015) Standard Specification for Joint and Crack Sealants, Hot Applied, for Concrete and Asphalt Pavements
- ASTM E96/E96M (2016) Standard Test Methods for Water Vapor Transmission of Materials
- ASTM E329 (2020) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection
- ASTM E1643 (2018a) Standard Practice for Selection, Design, Installation, and Inspection of Water Vapor Retarders Used in Contact with Earth or Granular Fill Under Concrete Slabs
- ASTM E1745 (2017) Standard Specification for Water Vapor Retarders Used in Contact with Soil or Granular Fill under Concrete Slabs

CONCRETE REINFORCING STEEL INSTITUTE (CRSI)

- CRSI 10MSP (2018) Manual of Standard Practice
- CRSI RB4.1 (2016) Supports for Reinforcement Used in Concrete

FOREST STEWARDSHIP COUNCIL (FSC)

- FSC STD 01 001 (2015) Principles and Criteria for Forest Stewardship

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)

- NIST PS 1 (2009) DOC Voluntary Product Standard PS 1-07, Structural Plywood

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

- FS SS-S-200 (Rev E; Am 1; Notice 1) Sealant, Joint, Two-Component, Jet-Blast-Resistant, Cold-Applied, for Portland Cement Concrete Pavement

U.S. GREEN BUILDING COUNCIL (USGBC)

- LEED NC (2009) Leadership in Energy and Environmental Design(tm) New Construction Rating System

1.2 DEFINITIONS

- a. "Cementitious material" as used herein must include all portland cement, pozzolan, fly ash, and slag cement.
- b. "Exposed to public view" means situated so that it can be seen from eye

level from a public location after completion of the building. A public location is accessible to persons not responsible for operation or maintenance of the building.

- c. "Chemical admixtures" are materials in the form of powder or fluids that are added to the concrete to give it certain characteristics not obtainable with plain concrete mixes.
- d. "Supplementary cementing materials" (SCM) include coal fly ash, slag cement, natural or calcined pozzolans, and ultra-fine coal ash when used in such proportions to replace the portland cement that result in improvement to sustainability and durability and reduced cost.
- e. "Design strength" (f'c) is the specified compressive strength of concrete at time(s) specified in this section to meet structural design criteria.
- f. "Mass Concrete" is any concrete system that approaches a maximum temperature of 158 degrees F within the first 72 hours of placement. In addition, it includes all concrete elements with a section thickness of 3 feet or more regardless of temperature.
- g. "Mixture proportioning" is the process of designing concrete mixture proportions to enable it to meet the strength, service life and constructability requirements of the project while minimizing the initial and life-cycle cost.
- h. "Mixture proportions" are the masses or volumes of individual ingredients used to make a unit measure (cubic meter or cubic yard) of concrete.
- i. "Pozzolan" is a siliceous or siliceous and aluminous material, which in itself possesses little or no cementitious value but will, in finely divided form and in the presence of moisture, chemically react with calcium hydroxide at ordinary temperatures to form compounds possessing cementitious properties.
- j. "Workability (or consistence)" is the ability of a fresh (plastic) concrete mix to fill the form/mould properly with the desired work (vibration) and without reducing the concrete's quality. Workability depends on water content, chemical admixtures, aggregate (shape and size distribution), cementitious content and age (level of hydration).

### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

#### SD-01 Preconstruction Submittals

Concrete Curing Plan

Quality Control Plan; G

Quality Control Personnel Certifications; G

Quality Control Organizational Chart

Laboratory Accreditation; G

Maturity Method Data

SD-02 Shop Drawings

Reinforcing Steel; G

SD-03 Product Data

Joint Sealants; (LEED NC)

Joint Filler; (LEED NC)

Formwork Materials

Cementitious Materials; (LEED NC)

Vapor Retarder

Concrete Curing Materials

Reinforcement; (LEED NC)

Admixtures

Reinforcing Fibers

Local/Regional Materials; (LEED NC)

Pumping Concrete

Finishing Plan

Nonshrink Grout

SD-04 Samples

Slab Finish Sample

Surface Finish Samples

SD-05 Design Data

Concrete Mix Design; G

SD-06 Test Reports

Concrete Mix Design; G

Fly Ash

Aggregates

Fiber-Reinforced Concrete; G

Compressive Strength Tests; G

Unit Weight of Structural Concrete

Chloride Ion Concentration

Air Content

Slump Tests

Water

#### SD-07 Certificates

Reinforcing Bars

Welder Qualifications

VOC Content for Form Release Agents, Curing Compounds, and  
Concrete Penetrating Sealers

Safety Data Sheets

Forest Stewardship Council (FSC) Certification

Field Testing Technician and Testing Agency

#### SD-08 Manufacturer's Instructions

Joint Sealants; (LEED NC)

Curing Compound

### 1.4 MODIFICATION OF REFERENCES

Accomplish work in accordance with ACI publications except as modified herein. Consider the advisory or recommended provisions to be mandatory. Interpret reference to the "Building Official," the "Structural Engineer," and the "Architect/Engineer" to mean the Contracting Officer.

### 1.5 DELIVERY, STORAGE, AND HANDLING

Follow ACI 301, ACI 304R and ASTM A934/A934M requirements and recommendations. Do not deliver concrete until vapor retarder, forms, reinforcement, embedded items, and chamfer strips are in place and ready for concrete placement. Do not store concrete curing compounds or sealers with materials that have a high capacity to adsorb volatile organic compound (VOC) emissions. Do not store concrete curing compounds or sealers in occupied spaces.

#### 1.5.1 Reinforcement

Store reinforcement of different sizes and shapes in separate piles or racks raised above the ground to avoid excessive rusting. Protect from contaminants such as grease, oil, and dirt. Ensure bar sizes can be accurately identified after bundles are broken and tags removed.

### 1.6 QUALITY ASSURANCE

### 1.6.1 Design Data

#### 1.6.1.1 Concrete Mix Design

Sixty days minimum prior to concrete placement, submit a mix design for each strength and type of concrete. Submit a complete list of materials including type; brand; source and amount of cement, supplementary cementitious materials, fibers, and admixtures; and applicable reference specifications. Submit mill test and all other test for cement, supplementary cementitious materials, aggregates, and admixtures. Provide documentation of maximum nominal aggregate size, gradation analysis, percentage retained and passing sieve, and a graph of percentage retained verses sieve size. Provide mix proportion data using at least three different water-cementitious material ratios for each type of mixture, which produce a range of strength encompassing those required for each type of concrete required. If source material changes, resubmit mix proportion data using revised source material. Provide only materials that have been proven by trial mix studies to meet the requirements of this specification, unless otherwise approved in writing by the Contracting Officer. Indicate clearly in the submittal where each mix design is used when more than one mix design is submitted. Resubmit data on concrete components if the qualities or source of components changes. For previously approved concrete mix designs used within the past twelve months, the previous mix design may be re-submitted without further trial batch testing if accompanied by material test data conducted within the last six months. Obtain mix design approval from the contracting officer prior to concrete placement.

### 1.6.2 Shop Drawings

#### 1.6.2.1 Reinforcing Steel

Indicate bending diagrams, assembly diagrams, splicing and laps of bars, shapes, dimensions, and details of bar reinforcing, accessories, and concrete cover. Do not scale dimensions from structural drawings to determine lengths of reinforcing bars. Reproductions of contract drawings are unacceptable.

### 1.6.3 Control Submittals

#### 1.6.3.1 Concrete Curing Plan

Submit proposed materials, methods and duration for curing concrete elements in accordance with [ACI 308.1](#).

#### 1.6.3.2 Pumping Concrete

Submit proposed materials and methods for pumping concrete. Submittal must include mix designs, pumping equipment including type of pump and size and material for pipe, and maximum length and height concrete is to be pumped.

#### 1.6.3.3 Finishing Plan

Submit proposed material and procedures to be used in obtaining the finish for the floors. Include qualification of person to be used for obtaining floor tolerance measurement, description of measuring equipment to be used, and a sketch showing lines and locations the measuring equipment will follow.

#### 1.6.3.4 VOC Content for form release agents, curing compounds, and concrete penetrating sealers

Submit certification for the form release agent, curing compounds, and concrete penetrating sealers that indicate the VOC content of each product.

#### 1.6.3.5 Safety Data Sheets

Submit Safety Data Sheets (SDS) for all materials that are regulated for hazardous health effects. SDS must be readily accessible during each work shift to employees when they are at the construction site.

#### 1.6.4 Test Reports

##### 1.6.4.1 Fly Ash

Submit test results in accordance with [ASTM C618](#) for fly ash. Submit test results performed within 6 months of submittal date.

##### 1.6.4.2 Aggregates

Submit test results in accordance with [ASTM C33/C33M](#), or [ASTM C330/C330M](#) for lightweight aggregate, and [ASTM C1293](#) or [ASTM C1567](#) as required in the paragraph titled ALKALI-AGGREGATE REACTION.

##### 1.6.4.3 Fiber-Reinforced Concrete

Test to determine flexural toughness index I5 in accordance with [ASTM C1116/C1116M](#).

#### 1.6.5 Field Samples

##### 1.6.5.1 Slab Finish Sample

Install minimum of 10 foot by 10 foot slab. Slab finish sample must not be part of the final project. Finish as required by specification.

##### 1.6.5.2 Surface Finish Samples

Provide a minimum of three sample concrete panels for each finish for each mix design, 3 feet by 3 feet, 3 inches thick. Use the approved concrete mix design(s). Provide sample panels on-site at locations directed. Once approved, each set of panels must be representative of each of the finishes specified and of the workmanship and finish(es) required. Do not remove or destroy samples until directed by the Contracting Officer.

#### 1.6.6 Quality Control Plan

Develop and submit for approval a concrete quality control program in accordance with the guidelines of [ACI 121R](#) and as specified herein. The plan must include approved laboratories. Provide direct oversight for the concrete qualification program inclusive of associated sampling and testing. All quality control reports must be provided to the Contracting Officer, Quality Manager and Concrete Supplier. Maintain a copy of [ACI SP-15](#) and [CRSI 10MSP](#) at project site.

#### 1.6.7 Quality Control Personnel Certifications

The Contractor must submit for approval the responsibilities of the various

quality control personnel, including the names and qualifications of the individuals in those positions and a [quality control organizational chart](#) defining the quality control hierarchy and the responsibility of the various positions. Quality control personnel must be employed by the Contractor.

Submit American Concrete Institute certification for the following:

- a. CQC personnel responsible for inspection of concrete operations.
- b. Lead Foreman or Journeyman of the Concrete Placing, Finishing, and Curing Crews.
- c. Field Testing Technicians: ACI Concrete Field Testing Technician, Grade I.

#### 1.6.7.1 Quality Manager Qualifications

The quality manager must hold a current license as a professional engineer in a U.S. state or territory with experience on at least five similar projects. Evidence of extraordinary proven experience may be considered by the Contracting Officer as sufficient to act as the Quality Manager.

#### 1.6.7.2 [Field Testing Technician and Testing Agency](#)

Submit data on qualifications of proposed testing agency and technicians for approval by the Contracting Officer prior to performing testing on concrete.

- a. Work on concrete under this contract must be performed by an ACI Concrete Field Testing Technician Grade 1 qualified in accordance with [ACI SP-2](#) or equivalent. Equivalent certification programs must include requirements for written and performance examinations as stipulated in [ACI SP-2](#).
- b. Testing agencies that perform testing services on reinforcing steel must meet the requirements of [ASTM E329](#).
- c. Testing agencies that perform testing services on concrete materials must meet the requirements of [ASTM C1077](#).

#### 1.6.8 Laboratory Qualifications for Concrete Qualification Testing

The concrete testing laboratory must have the necessary equipment and experience to accomplish required testing. The laboratory must meet the requirements of [ASTM C1077](#) and be Cement and Concrete Reference Laboratory (CCRL) inspected.

#### 1.6.9 [Laboratory Accreditation](#)

Laboratory and testing facilities must be provided by and at the expense of the Contractor. The laboratories performing the tests must be accredited in accordance with [ASTM C1077](#), including [ASTM C78/C78M](#) and [ASTM C1260](#). The accreditation must be current and must include the required test methods, as specified. Furthermore, the testing must comply with the following requirements:

- a. Aggregate Testing and Mix Proportioning: Aggregate testing and mixture proportioning studies must be performed by an accredited laboratory and

under the direction of a registered professional engineer in a U.S. state or territory competent in concrete materials who is competent in concrete materials and must sign all reports and designs.

- b. Acceptance Testing: Furnish all materials, labor, and facilities required for molding, curing, testing, and protecting test specimens at the site and in the laboratory. Furnish and maintain boxes or other facilities suitable for storing and curing the specimens at the site while in the mold within the temperature range stipulated by [ASTM C31/C31M](#).
- c. Contractor Quality Control: All sampling and testing must be performed by an approved, onsite, independent, accredited laboratory.

#### 1.7 ENVIRONMENTAL REQUIREMENTS

Provide space ventilation according to material manufacturer recommendations, at a minimum, during and following installation of concrete curing compound and sealer. Maintain one of the following ventilation conditions during the curing period or for 72 hours after installation:

- a. Supply 100 percent outside air 24 hours a day.
- b. Supply airflow at a rate of 6 air changes per hour, when outside temperatures are between 55 degrees F and 84 degrees F and humidity is between 30 percent and 60 percent.
- c. Supply airflow at a rate of 1.5 air changes per hour, when outside air conditions are not within the range stipulated above.

##### 1.7.1 Submittals for Environmental Performance

- a. Provide data indication the percentage of post-industrial pozzolan (fly ash, slag cement) cement substitution as a percentage of the full product composite by weight.
- b. Provide data indicating the percentage of post-industrial and post-consumer recycled content aggregate.
- c. Provide product data indicating the percentage of post-consumer recycled steel content in each type of steel reinforcement as a percentage of the full product composite by weight.
- d. Provide product data stating the location where all products were manufactured
- e. For projects using FSC certified formwork, provide chain-of-custody documentation for all certified wood products.
- f. For projects using reusable formwork, provide data showing how formwork is reused.
- g. Provide SDS product information data showing that form release agents meet any environmental performance goals such as using vegetable and soy based products.
- h. Provide SDS product information data showing that concrete adhesives meet any environmental performance goals including low emitting, low



volatile organic compound products.

## 1.8 SUSTAINABLE DESIGN REQUIREMENTS

### 1.8.1 Local/Regional Materials

Use materials or products extracted, harvested, or recovered, as well as manufactured, within a 500 mile radius from the project site, if available from a minimum of three sources. Concrete materials may be locally available. Submit documentation indicating distance between manufacturing facility and the project site. Indicate distance of raw material origin from the project site. Indicate relative dollar value of local/regional materials to total dollar value of products included in project.

### 1.8.2 Forest Stewardship Council (FSC) Certification

Use FSC-certified wood where specified. Provide letter of certification signed by lumber supplier. Indicate compliance with FSC STD 01 001 and identify certifying organization. Submit FSC certification numbers; identify each certified product on a line-item basis. Submit copies of invoices bearing the FSC certification numbers.

## 1.9 QUALIFICATIONS FOR WELDING WORK

Welding procedures must be in accordance with AWS D1.4/D1.4M.

Verify that Welder qualifications are in accordance with AWS D1.4/D1.4M for welding of reinforcement or under an equivalent qualification test approved in advance. Welders are permitted to do only the type of welding for which each is specifically qualified.

## PART 2 PRODUCTS

### 2.1 FORMWORK MATERIALS

- a. Form-facing material in contact with concrete must be lumber, plywood, tempered concrete-form-grade hardboard or metal. Submit product information on proposed form-facing materials if different from that specified herein.
- b. Design formwork to support loads transmitted to them and to comply with applicable building code requirements.
- c. Design formwork to withstand pressure resulting from placement and vibration of concrete and to maintain specified tolerances.
- d. Provide temporary openings in formwork if needed to facilitate cleaning and inspection.
- e. Design formwork joints to inhibit leakage of mortar.
- f. Limit deflection of facing materials for concrete surfaces exposed to view to 1/400 of center-to-center spacing of facing supports.
- g. Submit product information on proposed form-facing materials if different from that specified herein.

#### 2.1.1 Wood Forms

Use lumber as follows. Provide lumber that is square edged or tongue-and-groove boards, free of raised grain, knotholes, or other surface defects. Provide plywood that complies with NIST PS 1, B-B concrete form panels or better or AHA A135.4, hardboard for smooth form lining.

#### 2.1.1.1 Concrete Form Plywood (Standard Rough)

Provide plywood that conforms to NIST PS 1, B-B, concrete form, not less than 5/8-inch thick.

#### 2.1.1.2 Overlaid Concrete Form Plywood (Standard Smooth)

Provide plywood that conforms to NIST PS 1, B-B, high density form overlay, not less than 5/8-inch thick.

#### 2.1.2 Steel Forms

Provide steel form surfaces that do not contain irregularities, dents, or sags.

### 2.2 FORMWORK ACCESSORIES

- a. Use commercially manufactured formwork accessories, including ties and hangers.
- b. Form ties and accessories must not reduce the effective cover of the reinforcement.

#### 2.2.1 Form Ties

- a. Use form ties with ends or end fasteners that can be removed without damage to concrete.
- b. Where indicated in Contract Documents, use form ties with integral water barrier plates or other acceptable positive water barriers in walls.
- c. The breakback distance for ferrous ties must be at least 3/4 in. for Surface Finish-2.0 or Surface Finish-3.0, as defined in ACI 301.
- d. If the breakback distance is less than 3/4 in., use coated or corrosion-resistant ties.
- e. Submit manufacturer's data sheet on form ties.

#### 2.2.2 Form Release Agent

- a. Provide product that does not bond with, stain, or adversely affect concrete surfaces and does not impair subsequent treatments of concrete surfaces.
- b. Provide form release agent that reduces formwork moisture absorption, and does not contain diesel fuel, petroleum-based lubricating oils, waxes, or kerosene.
- c. Submit manufacturer's product data on formwork release agent for use on each form-facing material.

#### 2.2.3 Chamfer Materials

Use lumber materials with dimensions of 3/4 x 3/4 in.

#### 2.2.4 Construction and movement joints

- a. Submit details and locations of construction joints in accordance with the requirements herein.
- b. Locate construction joints within middle one-third of spans of slabs, beams, and girders. If a beam intersects a girder within the middle one-third of girder span, the distance between the construction joint in the girder and the edge of the beam must be at least twice the width of the larger member.
- c. Make construction joints perpendicular to main reinforcement.
- d. Provide keyways where indicated in Contract Documents. Longitudinal keyways indicated in Contract Documents must be at least 1-1/2 in. deep, measured perpendicular to the plane of the joint.

#### 2.2.5 Other Embedded items

Use sleeves, inserts, anchors, and other embedded items of material and design indicated in Contract Documents.

### 2.3 CONCRETE MATERIALS

#### 2.3.1 Cementitious Materials

##### 2.3.1.1 Portland Cement

- a. Unless otherwise specified, provide cement that conforms to **ASTM C150/C150M Type I / II**.
- b. Use one brand and type of cement for formed concrete having exposed-to-view finished surfaces.
- c. Submit information along with evidence demonstrating compliance with referenced standards. Submittals must include types of cementitious materials, manufacturing locations, shipping locations, and certificates showing compliance.
- d. Cementitious materials must be stored and kept dry and free from contaminants.

##### 2.3.1.2 Fly Ash

- a. **ASTM C618**, Class F, except that the maximum allowable loss on ignition must not exceed 6 percent.
- b. Fly ash content must be a minimum of 25 percent by weight of cementitious material, provided the fly ash does not reduce the amount of cement in the concrete mix below the minimum requirements of local building codes. Where the use of fly ash cannot meet the minimum level, provide the maximum amount of fly ash permissible that meets the code requirements for cement content. Report the chemical analysis of the fly ash in accordance with **ASTM C311/C311M**. Evaluate and classify fly ash in accordance with **ASTM D5759**.

### 2.3.2 Water

- a. Water or ice must comply with the requirements of [ASTM C1602/C1602M](#).
- b. Minimize the amount of water in the mix. Improve workability by adjusting the grading of the aggregate and using admixture rather than by adding water.
- c. Water must be potable ; free from injurious amounts of oils, acids, alkalis, salts, organic materials, or other substances deleterious to concrete.
- d. Protect mixing water and ice from contamination during storage and delivery.
- e. Submit test report showing water complies with [ASTM C1602/C1602M](#).
- f. When nonpotable source is proposed for use, submit documentation on effects of water on strength and setting time in compliance with [ASTM C1602/C1602M](#).

### 2.3.3 Aggregate

#### 2.3.3.1 Normal-Weight Aggregate

- a. Aggregates must conform to [ASTM C33/C33M](#) unless otherwise specified in the Contract Documents or approved by the contracting officer.
- b. Aggregates used in concrete must be obtained from the same sources and have the same size range as aggregates used in concrete represented by submitted field test records or used in trial mixtures.
- c. Store and handle aggregate in a manner that will avoid segregation and prevents contamination by other materials or other sizes of aggregates. Store aggregates in locations that will permit them to drain freely. Do not use aggregates that contain frozen lumps.
- de. Submit types, pit or quarry locations, producers' names, aggregate supplier statement of compliance with [ASTM C33/C33M](#), and [ASTM C1293](#) expansion data not more than 18 months old.

### 2.3.4 Admixtures

- a. Chemical admixtures must conform to [ASTM C494/C494M](#).
- b. Air-entraining admixtures must conform to [ASTM C260/C260M](#).
- c. Chemical admixtures for use in producing flowing concrete must conform to [ASTM C1017/C1017M](#).
- d. Do not use calcium chloride admixtures.
- e. Admixtures used in concrete must be the same as those used in the concrete represented by submitted field test records or used in trial mixtures.
- f. Protect stored admixtures against contamination, evaporation, or damage.

- g. To ensure uniform distribution of constituents, provide agitating equipment for admixtures used in the form of suspensions or unstable solutions. Protect liquid admixtures from freezing and from temperature changes that would adversely affect their characteristics.
- h. Submit types, brand names, producers' names, manufacturer's technical data sheets, and certificates showing compliance with standards required herein.

## 2.4 MISCELLANEOUS MATERIALS

### 2.4.1 Concrete Curing Materials

Provide concrete curing material in accordance with [ACI 301](#) Section 5 and [ACI 308.1](#) Section 2. Submit product data for concrete [curing compounds](#). Submit manufactures instructions for placement of curing compound.

### 2.4.2 Nonshrink Grout

Nonshrink grout in accordance with [ASTM C1107/C1107M](#).

### 2.4.3 Floor Finish Materials

#### 2.4.3.1 Liquid Chemical Floor Hardeners and Sealers

- a. Hardener must be a colorless aqueous solution containing a blend of inorganic silicate or silicate material and proprietary components combined with a wetting agent; that penetrates, hardens, and densifies concrete surfaces. Submit manufactures instructions for placement of liquid chemical floor hardener.
- b. Use concrete penetrating sealers with a low (maximum 100 grams/liter, less water and less exempt compounds) VOC content. Submit manufactures instructions for placement of sealers.

#### 2.4.3.2 Abrasive Aggregate for Nonslip Aggregate Finish

Aggregate must be packaged, factory-graded fused aluminum oxide grits, or it may be crushed emery containing not less than 40-percent aluminum oxide and not less than 25-percent ferric oxide. Aggregate must be rust proof and nonglazing and must be unaffected by freezing, moisture, and cleaning materials.

Aggregate must be packaged, factory-graded, silicon carbide grits. Aggregate must be rust proof and must be unaffected by freezing, moisture, and cleaning materials.

Aggregate must be well-graded in size from particles retained on [No. 30 sieve 0.0236 inch](#) to particles passing [No. 8 sieve 0.0929 inch](#).

#### 2.4.4 Expansion/Contraction [Joint Filler](#)

[ASTM D1751](#) . Material must be [1/2 inch](#) thick, unless otherwise indicated.

#### 2.4.5 [Joint Sealants](#)

Submit manufacturer's product data, indicating VOC content.

#### 2.4.5.1 Horizontal Surfaces, 3 Percent Slope, Maximum

ASTM D6690 or ASTM C920, Type M, Class 25, Use T.

2.4.5.2 Vertical Surfaces Greater Than 3 Percent Slope

ASTM C920, Type M, Grade NS, Class 25, Use T . FS SS-S-200, no sag.

2.4.5.3 Preformed Polychloroprene Elastomeric Type

ASTM D2628.

2.4.5.4 Lubricant for Preformed Compression Seals

ASTM D2835.

2.4.6 Vapor Retarder

ASTM E1745 Class A polyethylene sheeting, minimum 15 mil thickness or other equivalent material with a maximum permeance rating of 0.04 perms per ASTM E96/E96M.

2.5 CONCRETE MIX DESIGN

2.5.1 Properties and Requirements

- a. Use materials and material combinations listed in this section and the contract documents.
- b. Cementitious material content must be adequate for concrete to satisfy the specified requirements for strength, w/cm, durability, and finishability described in this section and the contract documents.

The minimum cementitious material content for concrete used in floors must meet the following requirements:

Nominal maximum size of aggregate, in.	Minimum cementitious material content, pounds per cubic yard
1-1/2	470
1	520
3/4	540
3/8	610

- c. Selected target slump must meet the requirements this section, the contract documents, and must not exceed 9 in. Concrete must not show visible signs of segregation.
- d. The target slump must be enforced for the duration of the project. Determine the slump by ASTM C143/C143M. Slump tolerances must meet the requirements of ACI 117.
- e. The nominal maximum size of coarse aggregate for a mixture must not exceed three-fourths of the minimum clear spacing between reinforcement, one-fifth of the narrowest dimension between sides of

forms, or one-third of the thickness of slabs or toppings.

- f. Concrete must be air entrained for members assigned to Exposure Class F1, F2, or F3. The total air content must be in accordance with the requirements of the paragraph titled DURABILITY.
- g. Measure air content at the point of delivery in accordance with [ASTM C173/C173M](#) or [ASTM C231/C231M](#).
- h. Concrete for slabs to receive a hard-troweled finish must not contain an air-entraining admixture or have a total air content greater than 3 percent.
- i. Concrete properties and requirements for each portion of the structure are specified in the table below. Refer to the paragraph titled DURABILITY for more details on exposure categories and their requirements.

	Minimum $f'c$ psi	Exposure	Miscellaneous Requirements
<b>Footings</b>	4000t 7 days	; ; ; F1	Nominal maximum aggregate size must be 1 in.
<b>Slabs-on-ground</b>	4000t 7 days	; ; ; F1	Min. dosage 1.5 (pounds per cubic yard) for synthetic micro-fiber

## 2.5.2 Durability

### 2.5.2.1 Alkali-Aggregate Reaction

Do not use any aggregate susceptible to alkali-carbonate reaction (ACR). Use one of the three options below for qualifying concrete mixtures to reduce the potential of alkali-silica reaction (ASR):

- a. For each aggregate used in concrete, the expansion result determined in accordance with [ASTM C1293](#) must not exceed 0.04 percent at one year.
- b. For each aggregate used in concrete, the expansion result of the aggregate and cementitious materials combination determined in accordance with [ASTM C1567](#) must not exceed 0.10 percent at an age of 16

days.

2.5.2.2 Freezing and Thawing Resistance

- a. Provide concrete meeting the following requirements based on exposure class assigned to members for freezing-and-thawing exposure in Contract Documents:

Exposure class	Maximum w/cm*	Minimum $f'c$ , psi	Air content	Additional Requirements
F0	N/A	2500		N/A
F1	0.55	3500	Depends on aggregate size	N/A
F2	0.45	4500	Depends on aggregate size	See limits on maximum cementitious material by mass
F3	0.40	5000	Depends on aggregate size	See limits on maximum cementitious material by mass
F3 plain concrete	0.45	4500	Depends on aggregate size	See limits on maximum cementitious material by mass

\*The maximum w/cm limits do not apply to lightweight concrete.

- b. Concrete must be air entrained for members assigned to Exposure Class F1, F2, or F3. The total air content must meet the requirements of the following table:

Nominal maximum aggregate size, in.	Total air content, percent* <sup>^</sup>	
	Exposure Class F2 and F3	Exposure Class F1
3/8	7.5	6.0
1/2	7.0	5.5



Nominal maximum aggregate size, in.	Total air content, percent*^	
	Exposure Class F2 and F3	Exposure Class F1
3/4	6.0	5.0
1	6.0	4.5
1-1/2	5.5	4.5
2	5.0	4.0
3	5.5	3.5

\*Tolerance on air content as delivered must be plus/minus 1.5 percent.  
 ^For f'c greater than 5000 psi, reducing air content by 1.0 percentage point is acceptable.

- c. Submit documentation verifying compliance with specified requirements.
- d. For sections of the structure that are assigned Exposure Class F3, submit certification on cement composition verifying that concrete mixture meets the requirements of the following table:

Cementitious material	Maximum percent of total cementitious material by mass*
Fly ash or other pozzolans conforming to <a href="#">ASTM C618</a>	25
Slag cement conforming to <a href="#">ASTM C989/C989M</a>	50
Silica fume conforming to <a href="#">ASTM C1240</a>	10
Total of fly ash or other pozzolans, slag cement, and silica fume	50^
Total of fly ash or other pozzolans and silica fume	35^

\*Total cementitious material also includes [ASTM C150/C150M](#), [ASTM C595/C595M](#), [ASTM C845/C845M](#), and [ASTM C1157/C1157M](#) cement. The maximum percentages above must include:

- i. Fly ash or other pozzolans present in [ASTM C1157/C1157M](#) or [ASTM C595/C595M](#) Type IP blended cement.
- ii. Slag cement present in [ASTM C1157/C1157M](#) or [ASTM C595/C595M](#) Type IS blended cement.
- iii. Silica fume conforming to [ASTM C1240](#) present in [ASTM C1157/C1157M](#) or [ASTM C595/C595M](#) Type IP blended cement.

^Fly ash or other pozzolans and silica fume must constitute no more than 25 percent and 10 percent, respectively, of the total mass of the cementitious materials.

2.5.2.3 Corrosion and Chloride Content

- a. Provide concrete meeting the requirements of the following table based on the exposure class assigned to members requiring protection against reinforcement corrosion in Contract Documents.
- b. Submit documentation verifying compliance with specified requirements.
- c. Water-soluble chloride ion content contributed from constituents including water, aggregates, cementitious materials, and admixtures must be determined for the concrete mixture by **ASTM C1218/C1218M** at age between 28 and 42 days.
- d. The maximum water-soluble chloride ion (Cl-) content in concrete, percent by mass of cement is as follows:

Exposure class	Maximum w/cm*	Minimum f'c, psi	Maximum water-soluble chloride ion (CL-) content in concrete, percent by mass of cement
Reinforced concrete			
C0	N/A	2500	1.00
C1	N/A	2500	0.30
C2	0.4	5000	0.15
Prestressed concrete			
C0	N/A	2500	0.06
C1	N/A	2500	0.06
C2	0.4	5000	0.06

\*The maximum w/cm limits do not apply to lightweight concrete.

2.5.2.4 Sulfate Resistance

- a. Provide concrete meeting the requirements of the following table based on the exposure class assigned to members for sulfate exposure.

Exposure class	Maximum w/cm	Minimum f'c, psi	Required cementitious materials-types			Calcium chloride admixture
			ASTM C150/C150M	ASTM C595/C595M	ASTM C1157/C1157M	
S0	N/A	2500	N/A	N/A	N/A	No restrictions
S1	0.50	4000	II*^	IP (MS) ; IS (<70) (MS) ; IT (MS)	MS	No restrictions
S2	0.45	4500	IV^	IP (HS) ; IS (<70) (HS) ; IT (HS)	HS	Not permitted

Exposure class	Maximum w/cm	Minimum f'c, psi	Required cementitious materials-types			Calcium chloride admixture
			ASTM C150/C150M	ASTM C595/C595M	ASTM C1157/C1157M	
S3	0.45	4500	V + pozzolan or slag cement**	IP(HS) + pozzolan or slag cement^; IS (<70) (HS) + pozzolan or slag cement^; IT (HS) + pozzolan or slag cement**	HS + pozzolan or slag cement**	Not permitted

\*For seawater exposure, other types of portland cements with tricalcium aluminate (C3A) contents up to 10 percent are acceptable if the w/cm does not exceed 0.40.

\*\* The amount of the specific source of the pozzolan or slag cement to be used shall be at least the amount determined by test or service record to improve sulfate resistance when used in concrete containing Type V cement. Alternatively, the amount of the specific source of the pozzolan or slag used shall not be less than the amount tested in accordance with ASTM C1012/C1012M and meeting the requirements maximum expansion requirements listed herein.

^ Other available types of cement, such as Type III or Type I, are acceptable in exposure classes S1 or S2 if the C3A contents are less than 8 or 5 percent, respectively.

- b. Alternative combinations of cementitious materials of those listed in this paragraph are acceptable if they meet the maximum expansion requirements listed in the following table:

Exposure class	Maximum expansion when tested using ASTM C1012/C1012M		
	At 6 months	At 6 months	At 18 months
S1	0.10 percent	N/A	N/A
S2	0.05 percent	0.10 percent^	N/A
S3	N/A	N/A	0.10 percent

^The 12-month expansion limit applies only when the measured expansion exceeds the 6-month maximum expansion limit.

2.5.2.5 Concrete Temperature

The temperature of concrete as delivered must not exceed 95°F.

### 2.5.3 Trial Mixtures

Trial mixtures must be in accordance to [ACI 301](#).

### 2.5.4 Ready-Mix Concrete

Provide concrete that meets the requirements of [ASTM C94/C94M](#).

Ready-mixed concrete manufacturer must provide duplicate delivery tickets with each load of concrete delivered. Provide delivery tickets with the following information in addition to that required by [ASTM C94/C94M](#):

- a. Type and brand cement
- b. Cement and supplementary cementitious materials content in 94-pound bags per cubic yard of concrete
- c. Maximum size of aggregate
- d. Amount and brand name of admixtures
- e. Total water content expressed by water cementitious material ratio

## 2.6 REINFORCEMENT

- a. Bend reinforcement cold. Fabricate reinforcement in accordance with fabricating tolerances of [ACI 117](#).
- b. Submit manufacturer's certified test report for reinforcement.
- c. Submit placing drawings showing fabrication dimensions and placement locations of reinforcement and reinforcement supports. Placing drawings must indicate locations of splices, lengths of lap splices, and details of mechanical and welded splices.
- d. Submit request with locations and details of splices not indicated in Contract Documents.
- e. Submit request and procedure to field-bend or straighten reinforcing bars partially embedded in concrete at locations not indicated in Contract Documents. Field bending or straightening of reinforcing bars is permitted where indicated in the Contract Documents
- f. Submit request for field cutting, including location and type of bar to be cut and reason field cutting is required.

### 2.6.1 Reinforcing Bars

- a. Reinforcing bars must be deformed, except spirals, load-transfer dowels, and welded wire reinforcement, which may be plain.
- b. [ASTM A615/A615M](#) with the bars marked S, Grade 60 ; . Provide reinforcing bars that contain a minimum of 100 percent recycled content.
- c. Submit mill certificates for reinforcing bars.

### 2.6.2 Wire

- a. Plain or deformed steel wire must conform to [ASTM A1064/A1064M](#).

### 2.6.3 Welded wire reinforcement

- a. Use welded wire reinforcement specified in Contract Documents and conforming to one or more of the specifications given herein.
- b. Plain welded wire reinforcement must conform to [ASTM A1064/A1064M](#), with welded intersections spaced no greater than 12 in. apart in direction of principal reinforcement.
- c. Deformed welded wire reinforcement must conform to [ASTM A1064/A1064M](#), with welded intersections spaced no greater than 16 in. apart in direction of principal reinforcement.

### 2.6.4 Reinforcing Bar Supports

- a. Provide reinforcement support types within structure as required by Contract Documents. Reinforcement supports must conform to [CRSI RB4.1](#). Submit description of reinforcement supports and materials for fastening coated reinforcement if not in conformance with [CRSI RB4.1](#).
- b. Legs of supports in contact with formwork must be hot-dip galvanized, or plastic coated after fabrication, or stainless-steel bar supports.

### 2.6.5 Reinforcing Fibers

#### 2.6.5.1 Synthetic Fibers

In addition to the requirements specified above, provide fiber reinforced concrete in accordance with [ASTM C1116/C1116M](#) Type III, synthetic fiber reinforced concrete, and as follows. Synthetic reinforcing fibers must be 100 percent virgin monofilament polypropylene fibers, with a minimum of 5 percent post-consumer recycled content, or a minimum of 20 percent post-industrial recycled content.

Provide fibers that have a specific gravity of 0.9, a minimum tensile strength of 70 ksi, graded per manufacturer, and specifically manufactured to an optimum gradation for use as concrete secondary reinforcement. Add fibers at the batch plant. Toughness indices must meet requirements for performance level I. Provide the services of a qualified technical representative to instruct the concrete supplier in proper batching and mixing of materials to be provided.

#### 2.6.6 Dowels for Load Transfer in Floors

Provide greased dowels for load transfer in floors of the type, design, weight, and dimensions indicated. Provide dowel bars that are plain-billet steel conforming to [ASTM A615/A615M](#), Grade 40. Provide dowel pipe that is steel conforming to [ASTM A53/A53M](#).

#### 2.6.7 Welding

- a. Provide weldable reinforcing bars that conform to [ASTM A706/A706M](#) and [ASTM A615/A615M](#) and Supplement S1, Grade 60, except that the maximum carbon content must be 0.55 percent.
- b. Comply with [AWS D1.4/D1.4M](#) unless otherwise specified. Do not tack weld reinforcing bars.

- c. Welded assemblies of steel reinforcement produced under factory conditions, such as welded wire reinforcement, bar mats, and deformed bar anchors, are allowed.
- d. After completing welds on zinc-coated (galvanized), epoxy-coated, or zinc and epoxy dual-coated reinforcement, coat welds and repair coating damage as previously specified.

### PART 3 EXECUTION

#### 3.1 EXAMINATION

- a. Do not begin installation until substrates have been properly constructed; verify that substrates are level.
- b. If substrate preparation is the responsibility of another installer, notify Contracting Officer of unsatisfactory preparation before processing.
- c. Check field dimensions before beginning installation. If dimensions vary too much from design dimensions for proper installation, notify Contracting Officer and wait for instructions before beginning installation.

#### 3.2 PREPARATION

Determine quantity of concrete needed and minimize the production of excess concrete. Designate locations or uses for potential excess concrete before the concrete is poured.

##### 3.2.1 General

- a. Surfaces against which concrete is to be placed must be free of debris, loose material, standing water, snow, ice, and other deleterious substances before start of concrete placing.
- b. Remove standing water without washing over freshly deposited concrete. Divert flow of water through side drains provided for such purpose.

##### 3.2.2 Subgrade Under Foundations and Footings

- a. When subgrade material is semi-porous and dry, sprinkle subgrade surface with water as required to eliminate suction at the time concrete is deposited, or seal subgrade surface by covering surface with specified vapor retarder.
- b. When subgrade material is porous, seal subgrade surface by covering surface with specified vapor retarder.

##### 3.2.3 Subgrade Under Slabs on Ground

- a. Before construction of slabs on ground, have underground work on pipes and conduits completed and approved.
- b. Previously constructed subgrade or fill must be cleaned of foreign materials
- c. Finish surface of capillary water barrier under interior slabs on ground must not show deviation in excess of 1/4 inch when tested with a

10-foot straightedge parallel with and at right angles to building lines.

- d. Finished surface of subgrade or fill under exterior slabs on ground must not be more than 0.02-foot above or 0.10-foot below elevation indicated.

#### 3.2.4 Edge Forms and Screed Strips for Slabs

- a. Set edge forms or bulkheads and intermediate screed strips for slabs to obtain indicated elevations and contours in finished slab surface and must be strong enough to support vibrating bridge screeds or roller pipe screeds if nature of specified slab finish requires use of such equipment.
- b. Align concrete surface to elevation of screed strips by use of strike-off templates or approved compacting-type screeds.

#### 3.2.5 Reinforcement and Other Embedded Items

- a. Secure reinforcement, joint materials, and other embedded materials in position, inspected, and approved before start of concrete placing.
- b. When concrete is placed, reinforcement must be free of materials deleterious to bond. Reinforcement with rust, mill scale, or a combination of both will be considered satisfactory, provided minimum nominal dimensions, nominal weight, and minimum average height of deformations of a hand-wire-brushed test specimen are not less than applicable ASTM specification requirements.

### 3.3 FORMS

- a. Provide forms, shoring, and scaffolding for concrete placement. Set forms mortar-tight and true to line and grade.
- b. Chamfer above grade exposed joints, edges, and external corners of concrete 0.75 inch. Place chamfer strips in corners of formwork to produce beveled edges on permanently exposed surfaces. Do not bevel reentrant corners or edges of formed joints of concrete.
- c. Provide formwork with clean-out openings to permit inspection and removal of debris.
- d. Inspect formwork and remove foreign material before concrete is placed.
- e. At construction joints, lap form-facing materials over the concrete of previous placement. Ensure formwork is placed against hardened concrete so offsets at construction joints conform to specified tolerances.
- f. Provide positive means of adjustment (such as wedges or jacks) of shores and struts. Do not make adjustments in formwork after concrete has reached initial setting. Brace formwork to resist lateral deflection and lateral instability.
- g. Fasten form wedges in place after final adjustment of forms and before concrete placement.
- h. Provide anchoring and bracing to control upward and lateral movement of

formwork system.

- i. Construct formwork for openings to facilitate removal and to produce opening dimensions as specified and within tolerances.
- j. Provide runways for moving equipment. Support runways directly on formwork or structural members. Do not support runways on reinforcement. Loading applied by runways must not exceed capacity of formwork or structural members.
- k. Position and support expansion joint materials, waterstops, and other embedded items to prevent displacement. Fill voids in sleeves, inserts, and anchor slots temporarily with removable material to prevent concrete entry into voids.
- l. Clean surfaces of formwork and embedded materials of mortar, grout, and foreign materials before concrete placement.

#### 3.3.1 Coating

- a. Cover formwork surfaces with an acceptable material that inhibits bond with concrete.
- b. If formwork release agent is used, apply to formwork surfaces in accordance with manufacturer's recommendations before placing reinforcement. Remove excess release agent on formwork prior to concrete placement.
- c. Do not allow formwork release agent to contact reinforcement or hardened concrete against which fresh concrete is to be placed.

#### 3.3.2 Reuse

- a. Reuse forms providing the structural integrity of concrete and the aesthetics of exposed concrete are not compromised.
- b. Wood forms must not be clogged with paste and must be capable of absorbing high water-cementitious material ratio paste.
- c. Remove leaked mortar from formwork joints before reuse.

#### 3.3.3 Forms for Standard Smooth Form Finish

Provide formwork in accordance with [ACI 301](#) Section 5 with a surface finish, SF-3.0, for formed surfaces that are exposed to view. Do not provide mockup of concrete surface appearance and texture.

#### 3.3.4 Form Ties

- a. After ends or end fasteners of form ties have been removed, repair tie holes in accordance with [ACI 301](#) Section 5 requirements.

#### 3.3.5 Tolerances for Form Construction

- a. Construct formwork so concrete surfaces conform to tolerances in [ACI 117](#).
- b. Position and secure sleeves, inserts, anchors, and other embedded items such that embedded items are positioned within [ACI 117](#) tolerances.



- c. To maintain specified elevation and thickness within tolerances, install formwork to compensate for deflection and anticipated settlement in formwork during concrete placement. Set formwork and intermediate screed strips for slabs to produce designated elevation, camber, and contour of finished surface before formwork removal. If specified finish requires use of vibrating screeds or roller pipe screeds, ensure that edge forms and screed strips are strong enough to support such equipment.

#### 3.3.6 Removal of Forms and Supports

- a. If vertical formed surfaces require finishing, remove forms as soon as removal operations will not damage concrete.
- b. Remove top forms on sloping surfaces of concrete as soon as removal will not allow concrete to sag. Perform repairs and finishing operations required. If forms are removed before end of specified curing period, provide curing and protection.
- c. Do not damage concrete during removal of vertical formwork for columns, walls, and sides of beams. Perform needed repair and finishing operations required on vertical surfaces. If forms are removed before end of specified curing period, provide curing and protection.
- d. Leave formwork and shoring in place to support construction loads and weight of concrete in beams, slabs, and other structural members until in-place required strength of concrete is reached.
- e. Form-facing material and horizontal facing support members may be removed before in-place concrete reaches specified compressive strength if shores and other supports are designed to allow facing removal without deflection of supported slab or member.

#### 3.3.7 Strength of Concrete Required for Removal of Formwork

If removal of formwork, reshoring, or backshoring is based on concrete reaching a specified in-place strength, mold and field-cure cylinders in accordance with [ASTM C31/C31M](#). Test cylinders in accordance with [ASTM C39/C39M](#). Alternatively, use one or more of the methods listed herein to evaluate in-place concrete strength for formwork removal.

- a. Tests of cast-in-place cylinders in accordance with [ASTM C873/C873M](#). This option is limited to slabs with concrete depths from 5 to 12 in.
- b. Penetration resistance in accordance with [ASTM C803/C803M](#).
- c. Pullout strength in accordance with [ASTM C900](#).
- d. Maturity method in accordance with [ASTM C1074](#). Submit [maturity method data](#) using project materials and concrete mix proportions used on the project to demonstrate the correlation between maturity and compressive strength of laboratory cured test specimens to the Contracting Officer.

#### 3.4 PLACING REINFORCEMENT AND MISCELLANEOUS MATERIALS

- a. Unless otherwise specified, placing reinforcement and miscellaneous materials must be in accordance to [ACI 301](#). Provide bars, welded wire reinforcement, wire ties, supports, and other devices necessary to install and secure reinforcement.

- b. Reinforcement must not have rust, scale, oil, grease, clay, or foreign substances that would reduce the bond. Rusting of reinforcement is a basis of rejection if the effective cross-sectional area or the nominal weight per unit length has been reduced. Remove loose rust prior to placing steel. Tack welding is prohibited.
- c. Nonprestressed cast-in-place concrete members must have concrete cover for reinforcement given in the following table:

Concrete Exposure	Member	Reinforcement	Specified cover, in.
Cast against and permanently in contact with ground	All	All	3
Exposed to weather or in contact with ground	All	No. 6 through No. 18 bars	2
		No. 5 bar, W31 or D31 wire, and smaller	1-1/2
Not exposed to weather or in contact with ground	Slabs, joists, and walls	No. 14 and No. 18 bars	1-1/2
		No. 11 bar and smaller	3/4
	Beams, columns, pedestals, and tension ties	Primary reinforcement, stirrups, ties, spirals, and hoops	1-1/2

Concrete Exposure	Member	Reinforcement	Specified cover, in.
Exposed to weather or in contact with ground	Walls	No. 14 and No. 18 bars; tendons larger than 1-1/2 in. diameter	1-1/2
		No. 11 bars and smaller; W31 and D31 wire, and smaller; tendons and strands 1-1/2 in.	3/4
	All other	No. 14 and No. 18 bars; tendons larger than 1-1/2 in.	2
		No. 6 through No. 11 bars; tendons and strands larger than 5/8 in. diameter through 1-1/2 in.	1-1/2
		No. 5 bar, W31 or D31 wire, and smaller; tendons and strands 5/8 in. diameter and smaller	1-1/4

Concrete Exposure	Member	Reinforcement	Specified cover, in.
Not exposed to weather or in contact with ground	Slabs, joists, and walls	No. 14 and No. 18 bars; tendons larger than 1-1/2 in. diameter	1-1/4
		Tendons and strands 1-1/2 in. diameter and smaller	3/4
		No. 11 bar, W31 or D31	5/8
	Beams, columns, pedestals, and tension ties	Primary reinforcement	Greater of bar diameter and 5/8 and need not exceed 1-1/2
		Stirrups, ties, spirals, and hoops	3/8

3.4.1 General

Provide details of reinforcement that are in accordance with the Contract Documents.

3.4.2 Vapor Retarder

- a. Install in accordance with [ASTM E1643](#). Provide beneath the on-grade concrete floor slab. Use the greatest widths and lengths practicable to eliminate joints wherever possible. Lap joints a minimum of 12

inches and tape.

- b. Remove torn, punctured, or damaged vapor retarder material and provide with new vapor retarder prior to placing concrete. Concrete placement must not damage vapor retarder. Place a 2 inch layer of clean concrete sand on vapor retarder and vapor barrier before placing concrete.

#### 3.4.3 Reinforcement Supports

Provide reinforcement support in accordance with CRSI RB4.1 and ACI 301 Section 3 requirements. Supports for coated or galvanized bars must also be coated with electrically compatible material for a distance of at least 2 inches beyond the point of contact with the bars.

#### 3.4.4 Splicing

As indicated in the Contract Documents. For splices not indicated follow ACI 301. Do not splice at points of maximum stress. Overlap welded wire reinforcement the spacing of the cross wires, plus 2 inches. AWS D1.4/D1.4M. Approve welded splices prior to use.

#### 3.4.5 Setting Miscellaneous Material

Place and secure anchors and bolts, pipe sleeves, conduits, and other such items in position before concrete placement and support against displacement. Plumb anchor bolts and check location and elevation. Temporarily fill voids in sleeves with readily removable material to prevent the entry of concrete.

#### 3.4.6 Fabrication

Shop fabricate reinforcing bars to conform to shapes and dimensions indicated for reinforcement, and as follows:

- a. Provide fabrication tolerances that are in accordance with ACI 117.
- b. Provide hooks and bends that are in accordance with the Contract Documents.

Reinforcement must be bent cold to shapes as indicated. Bending must be done in the shop. Rebending of a reinforcing bar that has been bent incorrectly is not permitted. Bending must be in accordance with standard approved practice and by approved machine methods.

Deliver reinforcing bars bundled, tagged, and marked. Tags must be metal with bar size, length, mark, and other information pressed in by machine. Marks must correspond with those used on the placing drawings.

Do not use reinforcement that has any of the following defects:

- a. Bar lengths, depths, and bends beyond specified fabrication tolerances
- b. Bends or kinks not indicated on drawings or approved shop drawings
- c. Bars with reduced cross-section due to rusting or other cause

Replace defective reinforcement with new reinforcement having required shape, form, and cross-section area.

### 3.4.7 Placing Reinforcement

Place reinforcement in accordance with [ACI 301](#).

For slabs on grade (over earth or over capillary water barrier) and for footing reinforcement, support bars or welded wire reinforcement on precast concrete blocks, spaced at intervals required by size of reinforcement, to keep reinforcement the minimum height specified above the underside of slab or footing.

For slabs other than on grade, supports for which any portion is less than [1 inch](#) from concrete surfaces that are exposed to view or to be painted must be of precast concrete units, plastic-coated steel, or stainless steel protected bar supports. Precast concrete units must be wedge shaped, not larger than [3-1/2 by 3-1/2 inches](#), and of thickness equal to that indicated for concrete protection of reinforcement. Provide precast units that have cast-in galvanized tie wire hooked for anchorage and blend with concrete surfaces after finishing is completed.

Provide reinforcement that is supported and secured together to prevent displacement by construction loads or by placing of wet concrete, and as follows:

- a. Provide supports for reinforcing bars that are sufficient in number and have sufficient strength to carry the reinforcement they support, and in accordance with [ACI 301](#) and [CRSI 10MSP](#). Do not use supports to support runways for concrete conveying equipment and similar construction loads.
- b. Equip supports on ground and similar surfaces with sand-plates.
- c. Support welded wire reinforcement as required for reinforcing bars.
- d. Secure reinforcements to supports by means of tie wire. Wire must be black, soft iron wire, not less than [16 gage](#).
- e. Reinforcement must be accurately placed, securely tied at intersections, and held in position during placing of concrete by spacers, chairs, or other approved supports. Point wire-tie ends away from the form. Unless otherwise indicated, numbers, type, and spacing of supports must conform to the Contract Documents.
- f. Bending of reinforcing bars partially embedded in concrete is permitted only as specified in the Contract Documents.

### 3.4.8 Spacing of Reinforcing Bars

- a. Spacing must be as indicated in the Contract Documents.
- b. Reinforcing bars may be relocated to avoid interference with other reinforcement, or with conduit, pipe, or other embedded items. If any reinforcing bar is moved a distance exceeding one bar diameter or specified placing tolerance, resulting rearrangement of reinforcement is subject to preapproval by the Contracting Officer.

### 3.4.9 Concrete Protection for Reinforcement

Additional concrete protection must be in accordance with the Contract

Documents.

#### 3.4.10 Welding

Welding must be in accordance with [AWS D1.4/D1.4M](#).

### 3.5 BATCHING, MEASURING, MIXING, AND TRANSPORTING CONCRETE

In accordance with [ASTM C94/C94M](#), [ACI 301](#), [ACI 302.1R](#) and [ACI 304R](#), except as modified herein. Batching equipment must be such that the concrete ingredients are consistently measured within the following tolerances: 1 percent for cement and water, 2 percent for aggregate, and 3 percent for admixtures. Furnish mandatory batch ticket information for each load of ready mix concrete.

#### 3.5.1 Measuring

Make measurements at intervals as specified in paragraphs SAMPLING and TESTING.

#### 3.5.2 Mixing

- a. Mix concrete in accordance with [ASTM C94/C94M](#), [ACI 301](#) and [ACI 304R](#).
- b. Machine mix concrete. Begin mixing within 30 minutes after the cement has been added to the aggregates. Place concrete within 90 minutes of either addition of mixing water to cement and aggregates or addition of cement to aggregates if the air temperature is less than [84 degrees F](#).
- c. Reduce mixing time and place concrete within 60 minutes if the air temperature is greater than [84 degrees F](#) except as follows: if set retarding admixture is used and slump requirements can be met, limit for placing concrete may remain at 90 minutes. Additional water may be added, provided that both the specified maximum slump and submitted water-cementitious material ratio are not exceeded and the required concrete strength is still met. When additional water is added, an additional 30 revolutions of the mixer at mixing speed is required.
- d. If the entrained air content falls below the specified limit, add a sufficient quantity of admixture to bring the entrained air content within the specified limits. Dissolve admixtures in the mixing water and mix in the drum to uniformly distribute the admixture throughout the batch. Do not reconstitute concrete that has begun to solidify.
- e. When fibers are used, add fibers together with the aggregates and never as the first component in the mixer. Fibers must be dispensed into the mixing system using appropriate dispensing equipment and procedure as recommended by the manufacturer.

#### 3.5.3 Transporting

Transport concrete from the mixer to the forms as rapidly as practicable. Prevent segregation or loss of ingredients. Clean transporting equipment thoroughly before each batch. Do not use aluminum pipe or chutes. Remove concrete which has segregated in transporting and dispose of as directed.

### 3.6 PLACING CONCRETE

Place concrete in accordance with [ACI 301](#) Section 5.

### 3.6.1 Footing Placement

Concrete for footings may be placed in excavations without forms upon inspection and approval by the Contracting Officer. Excavation width must be a minimum of 4 inches greater than indicated.

### 3.6.2 Pumping

ACI 304R and ACI 304.2R. Pumping must not result in separation or loss of materials nor cause interruptions sufficient to permit loss of plasticity between successive increments. Loss of slump in pumping equipment must not exceed 2 inches at discharge/placement. Do not convey concrete through pipe made of aluminum or aluminum alloy. Avoid rapid changes in pipe sizes. Limit maximum size of coarse aggregate to 33 percent of the diameter of the pipe. Limit maximum size of well-rounded aggregate to 40 percent of the pipe diameter. Take samples for testing at both the point of delivery to the pump and at the discharge end.

### 3.6.3 Cold Weather

Cold weather concrete must meet the requirements of ACI 306.1 unless otherwise specified. Do not allow concrete temperature to decrease below 50 degrees F. Obtain approval prior to placing concrete when the ambient temperature is below 40 degrees F or when concrete is likely to be subjected to freezing temperatures within 24 hours. Cover concrete and provide sufficient heat to maintain 50 degrees F minimum adjacent to both the formwork and the structure while curing. Limit the rate of cooling to 37 degrees F in any 1 hour and 50 degrees F per 24 hours after heat application.

### 3.6.4 Hot Weather

Hot weather concrete must meet the requirements of ACI 305.1 unless otherwise specified. Maintain required concrete temperature using Figure 4.2 in ACI 305R to prevent the evaporation rate from exceeding 0.2 pound of water per square foot of exposed concrete per hour. Cool ingredients before mixing or use other suitable means to control concrete temperature and prevent rapid drying of newly placed concrete. Shade the fresh concrete as soon as possible after placing. Start curing when the surface of the fresh concrete is sufficiently hard to permit curing without damage. Provide water hoses, pipes, spraying equipment, and water hauling equipment, where job site is remote to water source, to maintain a moist concrete surface throughout the curing period. Provide burlap cover or other suitable, permeable material with fog spray or continuous wetting of the concrete when weather conditions prevent the use of either liquid membrane curing compound or impervious sheets. For vertical surfaces, protect forms from direct sunlight and add water to top of structure once concrete is set.

### 3.6.5 Bonding

Surfaces of set concrete at joints, must be roughened and cleaned of laitance, coatings, loose particles, and foreign matter. Roughen surfaces in a manner that exposes the aggregate uniformly and does not leave laitance, loosened particles of aggregate, nor damaged concrete at the surface.

Obtain bonding of fresh concrete that has set as follows:



- a. At joints between footings and walls or columns, between walls or columns and the beams or slabs they support, and elsewhere unless otherwise specified; roughened and cleaned surface of set concrete must be dampened, but not saturated, immediately prior to placing of fresh concrete.
- b. At joints in exposed-to-view work; at vertical joints in walls; at joints near midpoint of span in girders, beams, supported slabs, other structural members; in work designed to contain liquids; the roughened and cleaned surface of set concrete must be dampened but not saturated and covered with a cement grout coating.
- c. Provide cement grout that consists of equal parts of portland cement and fine aggregate by weight with not more than 6 gallons of water per sack of cement. Apply cement grout with a stiff broom or brush to a minimum thickness of 1/16 inch. Deposit fresh concrete before cement grout has attained its initial set.

### 3.7 WASTE MANAGEMENT

Provide as specified in the Waste Management Plan and as follows.

#### 3.7.1 Mixing Equipment

Before concrete pours, designate on-site area to be paved later in project for cleaning out concrete mixing trucks. Minimize water used to wash equipment.

#### 3.7.2 Hardened, Cured Waste Concrete

Crush and reuse hardened, cured waste concrete as fill or as a base course for pavement.

#### 3.7.3 Reinforcing Steel

Collect reinforcing steel and place in designated area for recycling.

#### 3.7.4 Other Waste

Identify concrete manufacturer's or supplier's policy for collection or return of construction waste, unused material, deconstruction waste, and/or packaging material. Return excess cement to supplier.

### 3.8 SURFACE FINISHES EXCEPT FLOOR, SLAB, AND PAVEMENT FINISHES

#### 3.8.1 Defects

Repair surface defects in accordance with ACI 301 Section 5.

#### 3.8.2 Not Against Forms (Top of Walls)

Surfaces not otherwise specified must be finished with wood floats to even surfaces. Finish must match adjacent finishes.

#### 3.8.3 Formed Surfaces

##### 3.8.3.1 Tolerances

Tolerances in accordance with ACI 117 and as indicated.

#### 3.8.3.2 As-Cast Rough Form

Provide for surfaces not exposed to public view a surface finish SF-1.0. Patch holes and defects in accordance with ACI 301.

#### 3.8.3.3 Standard Smooth Finish

Provide for surfaces exposed to public view a surface finish SF-3.0. Patch holes and defects in accordance with ACI 301.

### 3.9 FLOOR, SLAB, AND PAVEMENT FINISHES AND MISCELLANEOUS CONSTRUCTION

In accordance with ACI 301 and ACI 302.1R, unless otherwise specified. Slope floors uniformly to drains where drains are provided.

#### 3.9.1 Finish

Place, consolidate, and immediately strike off concrete to obtain proper contour, grade, and elevation before bleedwater appears. Permit concrete to attain a set sufficient for floating and supporting the weight of the finisher and equipment. If bleedwater is present prior to floating the surface, drag the excess water off or remove by absorption with porous materials. Do not use dry cement to absorb bleedwater.

##### 3.9.1.1 Scratched

Use for surfaces intended to receive bonded applied cementitious applications. Finish concrete in accordance with ACI 301 Section 5 for a scratched finish.

##### 3.9.1.2 Floated

Use for exterior slabs where not otherwise specified. Finish concrete in accordance with ACI 301 Section 5 for a floated finish.

##### 3.9.1.3 Steel Troweled

Use for floors intended as walking surfaces, and for reception of floor coverings. Finish concrete in accordance with ACI 301 Section 5 for a steel troweled finish.

##### 3.9.1.4 Nonslip Finish

Use on surfaces of exterior platforms, steps, and landings; and on exterior and interior pedestrian ramps. Finish concrete in accordance with ACI 301 Section 5 for a dry-shake finish. After the selected material has been embedded by the two floatings, complete the operation with a broomed finish.

##### 3.9.1.5 Broomed

Use on surfaces of exterior walks, platforms, patios, and ramps, unless otherwise indicated. Finish concrete in accordance with ACI 301 Section 5 for a broomed finish.

##### 3.9.1.6 Pavement

Screed the concrete with a template advanced with a combined longitudinal

and crosswise motion. Maintain a slight surplus of concrete ahead of the template. After screeding, float the concrete longitudinally. Use a straightedge to check slope and flatness; correct and refloat as necessary. Obtain final finish by belting. Lay belt flat on the concrete surface and advance with a sawing motion; continue until a uniform but gritty nonslip surface is obtained. a burlap drag. Drag a strip of clean, wet burlap from 3 to 10 feet wide and 2 feet longer than the pavement width across the slab. Produce a fine, granular, sandy textured surface without disfiguring marks. Round edges and joints with an edger having a radius of 1/8 inch.

#### 3.9.1.7 Chemical-Hardener Treatment

Apply liquid-chemical floor hardener where indicated after curing and drying concrete surface. Dilute liquid hardener with water and apply in three coats. First coat must be one-third strength, second coat one-half strength, and third coat two-thirds strength. Apply each coat evenly and allow to dry 24 hours between coats.

Approved proprietary chemical hardeners must be applied in accordance with manufacturer's printed directions.

#### 3.9.2 Concrete Walks

Provide 4 inches thick minimum. Provide contraction joints spaced every 5 linear feet unless otherwise indicated. Cut contraction joints 1 inch deep, or one fourth the slab thickness whichever is deeper, with a jointing tool after the surface has been finished. Provide 0.5 inch thick transverse expansion joints at changes in direction where sidewalk abuts curb, steps, rigid pavement, or other similar structures; space expansion joints every 50 feet maximum. Give walks a broomed finish. Unless indicated otherwise, provide a transverse slope of 1/48. Limit variation in cross section to 1/4 inch in 5 feet.

#### 3.9.3 Curbs and Gutters

Provide contraction joints spaced every 10 feet maximum unless otherwise indicated. Cut contraction joints 3/4 inch deep with a jointing tool after the surface has been finished. Provide expansion joints 1/2 inch thick and spaced every 100 feet maximum unless otherwise indicated. Perform pavement finish.

#### 3.9.4 Splash Blocks

Provide at outlets of downspouts emptying at grade. Splash blocks may be precast concrete, and must be 24 inches long, 12 inches wide and 4 inches thick, unless otherwise indicated, with smooth-finished countersunk dishes sloped to drain away from the building.

### 3.10 JOINTS

#### 3.10.1 Construction Joints

Make and locate joints not indicated so as not to impair strength and appearance of the structure, as approved. Joints must be perpendicular to main reinforcement. Reinforcement must be continued and developed across construction joints. Locate construction joints as follows:

##### 3.10.1.1 Maximum Allowable Construction Joint Spacing

- a. In walls at not more than 60 feet in any horizontal direction.
- b. In slabs on ground, so as to divide slab into areas not in excess of 1,200 square feet.

#### 3.10.1.2 Construction Joints for Constructability Purposes

- a. In walls, at top of footing; at top of slabs on ground; at top and bottom of door and window openings or where required to conform to architectural details; and at underside of deepest beam or girder framing into wall.
- b. In columns or piers, at top of footing; at top of slabs on ground; and at underside of deepest beam or girder framing into column or pier.
- c. Near midpoint of spans for supported slabs, beams, and girders unless a beam intersects a girder at the center, in which case construction joints in girder must offset a distance equal to twice the width of the beam. Make transfer of shear through construction joint by use of inclined reinforcement.

Provide keyways at least 1-1/2-inches deep in construction joints in walls and slabs and between walls and footings; approved bulkheads may be used for slabs.

#### 3.10.2 Isolation Joints in Slabs on Ground

- a. Provide joints at points of contact between slabs on ground and vertical surfaces, such as column pedestals, foundation walls, grade beams, and elsewhere as indicated.
- b. Fill joints with premolded joint filler strips 1/2 inch thick, extending full slab depth. Install filler strips at proper level below finish floor elevation with a slightly tapered, dress-and-oiled wood strip temporarily secured to top of filler strip to form a groove not less than 3/4 inch in depth where joint is sealed with sealing compound and not less than 1/4 inch in depth where joint sealing is not required. Remove wood strip after concrete has set. Contractor must clean groove of foreign matter and loose particles after surface has dried.

#### 3.10.3 Contraction Joints in Slabs on Ground

- a. Provide joints to form panels as indicated.
- b. Under and on exact line of each control joint, cut 50 percent of welded wire reinforcement before placing concrete.
- c. Sawcut contraction joints into slab on ground in accordance with ACI 301 Section 5.
- d. Joints must be 1/8-inch wide by 1/5 to 1/4 of slab depth and formed by inserting hand-pressed fiberboard strip into fresh concrete until top surface of strip is flush with slab surface. After concrete has cured for at least 7 days, the Contractor must remove inserts and clean groove of foreign matter and loose particles.
- e. Sawcutting will be limited to within 12 hours after set and at 1/4 slab

depth.

#### 3.10.4 Sealing Joints in Slabs on Ground

- a. Contraction and control joints which are to receive finish flooring material must be sealed with joint sealing compound after concrete curing period. Slightly underfill groove with joint sealing compound to prevent extrusion of compound. Remove excess material as soon after sealing as possible.
- b. Sealed groove must be left ready to receive filling material that is provided as part of finish floor covering work.

#### 3.11 CURING AND PROTECTION

Curing and protection in accordance with **ACI 301** Section 5, unless otherwise specified. Begin curing immediately following form removal. Avoid damage to concrete from vibration created by blasting, pile driving, movement of equipment in the vicinity, disturbance of formwork or protruding reinforcement, and any other activity resulting in ground vibrations. Protect concrete from injurious action by sun, rain, flowing water, frost, mechanical injury, tire marks, and oil stains. Do not allow concrete to dry out from time of placement until the expiration of the specified curing period. Do not use membrane-forming compound on surfaces where appearance would be objectionable, on any surface to be painted, where coverings are to be bonded to the concrete, or on concrete to which other concrete is to be bonded. If forms are removed prior to the expiration of the curing period, provide another curing procedure specified herein for the remaining portion of the curing period. Provide moist curing for those areas receiving liquid chemical sealer, hardener, or epoxy coating. Allow curing compound/sealer installations to cure prior to the installation of materials that adsorb VOCs.

##### 3.11.1 Curing Periods

**ACI 301** Section 5, except 10 days for retaining walls, pavement or chimneys. Begin curing immediately after placement. Protect concrete from premature drying, excessively hot temperatures, and mechanical injury; and maintain minimal moisture loss at a relatively constant temperature for the period necessary for hydration of the cement and hardening of the concrete. The materials and methods of curing are subject to approval by the Contracting Officer.

##### 3.11.2 Curing Formed Surfaces

Accomplish curing of formed surfaces, including undersurfaces of girders, beams, supported slabs, and other similar surfaces by moist curing with forms in place for full curing period or until forms are removed. If forms are removed before end of curing period, accomplish final curing of formed surfaces by any of the curing methods specified above, as applicable.

##### 3.11.3 Curing Unformed Surfaces

- a. Accomplish initial curing of unformed surfaces, such as monolithic slabs, floor topping, and other flat surfaces, by membrane curing.
- b. Accomplish final curing of unformed surfaces by any of curing methods specified, as applicable.

- c. Accomplish final curing of concrete surfaces to receive liquid floor hardener of finish flooring by moisture-retaining cover curing.

#### 3.11.4 Temperature of Concrete During Curing

When temperature of atmosphere is 41 degrees F and below, maintain temperature of concrete at not less than 55 degrees F throughout concrete curing period or 45 degrees F when the curing period is measured by maturity. When necessary, make arrangements before start of concrete placing for heating, covering, insulation, or housing as required to maintain specified temperature and moisture conditions for concrete during curing period.

When the temperature of atmosphere is 80 degrees F and above or during other climatic conditions which cause too rapid drying of concrete, make arrangements before start of concrete placing for installation of wind breaks, of shading, and for fog spraying, wet sprinkling, or moisture-retaining covering of light color as required to protect concrete during curing period.

Changes in temperature of concrete must be uniform and not exceed 37 degrees F in any 1 hour nor 80 degrees F in any 24-hour period.

#### 3.11.5 Protection from Mechanical Injury

During curing period, protect concrete from damaging mechanical disturbances, particularly load stresses, heavy shock, and excessive vibration and from damage caused by rain or running water.

#### 3.11.6 Protection After Curing

Protect finished concrete surfaces from damage by construction operations.

### 3.12 FIELD QUALITY CONTROL

#### 3.12.1 Sampling

ASTM C172/C172M. Collect samples of fresh concrete to perform tests specified. ASTM C31/C31M for making test specimens.

#### 3.12.2 Testing

##### 3.12.2.1 Slump Tests

ASTM C143/C143M. Take concrete samples during concrete placement/discharge. The maximum slump may be increased as specified with the addition of an approved admixture provided that the water-cementitious material ratio is not exceeded. Perform tests at commencement of concrete placement, when test cylinders are made, and for each batch (minimum) or every 20 cubic yards (maximum) of concrete.

##### 3.12.2.2 Temperature Tests

Test the concrete delivered and the concrete in the forms. Perform tests in hot or cold weather conditions (below 50 degrees F and above 80 degrees F ) for each batch (minimum) or every 20 cubic yards (maximum) of concrete, until the specified temperature is obtained, and whenever test cylinders and slump tests are made.

### 3.12.2.3 Compressive Strength Tests

ASTM C39/C39M. Make nine 6 inch by 12 inch 4 inch by 8 inch test cylinders for each set of tests in accordance with ASTM C31/C31M, ASTM C172/C172M and applicable requirements of ACI 305R and ACI 306R. Take precautions to prevent evaporation and loss of water from the specimen. Test three cylinders at 7 days, three cylinders at 28 days, and hold three cylinder in reserve. Take samples for strength tests of each mix design of concrete placed each day not less than once a day, nor less than once for each 100 cubic yards of concrete for the first 500 cubic yards, then every 500 cubic yards thereafter, nor less than once for each 5400 square feet of surface area for slabs or walls. For the entire project, take no less than five sets of samples and perform strength tests for each mix design of concrete placed. Each strength test result must be the average of three cylinders from the same concrete sample tested at 28 days . Concrete compressive tests must meet the requirements of this section, the Contract Document, and ACI 301. Retest locations represented by erratic core strengths. Where retest does not meet concrete compressive strength requirements submit a mitigation or remediation plan for review and approval by the contracting officer. Repair core holes with nonshrink grout. Match color and finish of adjacent concrete.

### 3.12.2.4 Air Content

ASTM C173/C173M or ASTM C231/C231M for normal weight concrete. Test air-entrained concrete for air content at the same frequency as specified for slump tests.

### 3.12.2.5 Unit Weight of Structural Concrete

ASTM C567/C567M and ASTM C138/C138M. Determine unit weight of normal weight concrete. Perform test for every 20 cubic yards maximum.

### 3.12.2.6 Chloride Ion Concentration

Chloride ion concentration must meet the requirements of the paragraph titled CORROSION AND CHLORIDE CONTENT. Determine water soluble ion concentration in accordance with ASTM C1218/C1218M. Perform test once for each mix design.

### 3.12.2.7 Strength of Concrete Structure

The strength of the concrete structure will be considered to be deficient if any of the following conditions are identified:

- a. Failure to meet compressive strength tests as evaluated.
- b. Reinforcement not conforming to requirements specified.
- c. Concrete which differs from required dimensions or location in such a manner as to reduce strength.
- d. Concrete curing and protection of concrete against extremes of temperature during curing, not conforming to requirements specified.
- e. Concrete subjected to damaging mechanical disturbances, particularly load stresses, heavy shock, and excessive vibration.

- f. Poor workmanship likely to result in deficient strength.

Where the strength of the concrete structure is considered deficient submit a mitigation or remediation plan for review and approval by the contracting officer.

#### 3.12.2.8 Non-Conforming Materials

Factors that indicate that there are non-conforming materials include (but not limited to) excessive compressive strength, inadequate compressive strength, excessive slump, excessive voids and honeycombing, concrete delivery records that indicate excessive time between mixing and placement, or excessive water was added to the mixture during delivery and placement. Any of these indicators alone are sufficient reason for the Contracting Officer to request additional sampling and testing.

Investigations into non-conforming materials must be conducted at the Contractor's expense. The Contractor must be responsible for the investigation and must make written recommendations to adequately mitigate or remediate the non-conforming material. The Contracting Officer may accept, accept with reduced payment, require mitigation, or require removal and replacement of non-conforming material at no additional cost to the Government.

#### 3.12.2.9 Testing Concrete Structure for Strength

When there is evidence that strength of concrete structure in place does not meet specification requirements or there are non-conforming materials, make cores drilled from hardened concrete for compressive strength determination in accordance with [ASTM C42/C42M](#), and as follows:

- a. Take at least three representative cores from each member or area of concrete-in-place that is considered potentially deficient. Location of cores will be determined by the Contracting Officer.
- b. Test cores after moisture conditioning in accordance with [ASTM C42/C42M](#) if concrete they represent is more than superficially wet under service.
- c. Air dry cores, (60 to 80 degrees F with relative humidity less than 60 percent) for 7 days before test and test dry if concrete they represent is dry under service conditions.
- d. Strength of cores from each member or area are considered satisfactory if their average is equal to or greater than 85 percent of the 28-day design compressive strength of the class of concrete.
- e. Core specimens will be taken and tested by the Government. If the results of core-boring tests indicate that the concrete as placed does not conform to the drawings and specification, the cost of such tests and restoration required must be borne by the Contractor.

Fill core holes solid with patching mortar and finished to match adjacent concrete surfaces.

Correct concrete work that is found inadequate by core tests in a manner approved by the Contracting Officer.

#### 3.13 REPAIR, REHABILITATION AND REMOVAL



Before the Contracting Officer accepts the structure the Contractor must inspect the structure for cracks, damage and substandard concrete placements that may adversely affect the service life of the structure. A report documenting these defects must be prepared which includes recommendations for repair, removal or remediation must be submitted to the Contracting Officer for approval before any corrective work is accomplished.

#### 3.13.1 Crack Repair

Prior to final acceptance, all cracks in excess of 0.02 inches wide must be documented and repaired. The proposed method and materials to repair the cracks must be submitted to the Contracting Officer for approval. The proposal must address the amount of movement expected in the crack due to temperature changes and loading.

#### 3.13.2 Repair of Weak Surfaces

Weak surfaces are defined as mortar-rich, rain-damaged, uncured, or containing exposed voids or deleterious materials. Concrete surfaces with weak surfaces less than 1/4 inch thick must be diamond ground to remove the weak surface. Surfaces containing weak surfaces greater than 1/4 inch thick must be removed and replaced or mitigated in a manner acceptable to the Contracting Officer.

#### 3.13.3 Failure of Quality Assurance Test Results

Proposed mitigation efforts by the Contractor must be approved by the Contracting Officer prior to proceeding.

-- End of Section --

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SECTION 05 50 13

MISCELLANEOUS METAL FABRICATIONS  
05/17

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

**AISC 303** (2016) Code of Standard Practice for Steel Buildings and Bridges

AMERICAN WELDING SOCIETY (AWS)

**AWS D1.1/D1.1M** (2020) Structural Welding Code - Steel

ASTM INTERNATIONAL (ASTM)

**ASTM A53/A53M** (2020) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless

**ASTM A123/A123M** (2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products

**ASTM A153/A153M** (2016a) Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware

**ASTM A475** (2003; R 2020) Standard Specification for Zinc-Coated Steel Wire Strand

**ASTM A653/A653M** (2020) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process

**ASTM A780/A780M** (2020) Standard Practice for Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings

**ASTM A924/A924M** (2020) Standard Specification for General Requirements for Steel Sheet, Metallic-Coated by the Hot-Dip Process

**ASTM B26/B26M** (2014; E 2015) Standard Specification for Aluminum-Alloy Sand Castings

**ASTM B108/B108M** (2019) Standard Specification for Aluminum-Alloy Permanent Mold Castings

ASTM B209	(2014) Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate
ASTM B209M	(2014) Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate (Metric)
ASTM B221	(2014) Standard Specification for Aluminum and Aluminum-Alloy Extruded Bars, Rods, Wire, Profiles, and Tubes
ASTM B221M	(2013) Standard Specification for Aluminum and Aluminum-Alloy Extruded Bars, Rods, Wire, Profiles, and Tubes (Metric)
ASTM D1187/D1187M	(1997; E 2011; R 2011) Asphalt-Base Emulsions for Use as Protective Coatings for Metal

MASTER PAINTERS INSTITUTE (MPI)

MPI 79	(2012) Primer, Alkyd, Anti-Corrosive for Metal
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SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC SP 3	(1982; E 2004) Power Tool Cleaning
SSPC SP 6/NACE No.3	(2007) Commercial Blast Cleaning

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual
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1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Bollards/Pipe Guards; G

1.3 QUALIFICATION OF WELDERS

Qualify welders in accordance with AWS D1.1/D1.1M. Use procedures, materials, and equipment of the type required for the work.

1.4 DELIVERY, STORAGE, AND PROTECTION

Protect from corrosion, deformation, and other types of damage. Store items in an enclosed area free from contact with soil and weather. Remove and replace damaged items with new items.

1.5 MISCELLANEOUS REQUIREMENTS

### 1.5.1 Fabrication Drawings

Submit fabrication drawings showing layout(s), connections to structural system, and anchoring details as specified in [AISC 303](#).

### 1.5.2 Installation Drawings

Submit templates, erection, and installation drawings indicating thickness, type, grade, class of metal, and dimensions. Show construction details, reinforcement, anchorage, and installation in relation to the building construction.

## PART 2 PRODUCTS

### 2.1 RECYCLED CONTENT

Provide products with recycled content. Provide [certificates of compliance](#) for recycled content.

### 2.2 MATERIALS

Provide exposed fastenings of compatible materials (avoid contact of dissimilar metals). Coordinate color and finish with the material to which fastenings are applied. Submit the manufacturer's [certified mill](#) reports which clearly show the applicable ASTM mechanical and chemical requirements together with the actual test results for the supplied materials.

#### 2.2.1 Steel Pipe

Provide in accordance with [ASTM A53/A53M](#), Type E or S, Grade B.

#### 2.2.2 Aluminum Alloy Products

Provide in accordance with [ASTM B209M](#), [ASTM B209](#) for sheet plate, [ASTM B221M](#), [ASTM B221M](#), [ASTM B221](#) for extrusions and [ASTM B26/B26M](#) or [ASTM B108/B108M](#) for castings. Provide aluminum extrusions at least [1/8 inch](#) thick and aluminum plate or sheet at least [0.050 inch](#) thick.

### 2.3 FABRICATION FINISHES

#### 2.3.1 Galvanizing

Hot-dip galvanize items specified to be zinc-coated, after fabrication where practicable. Provide galvanizing in accordance with [ASTM A123/A123M](#), [ASTM A153/A153M](#), [ASTM A653/A653M](#) or [ASTM A924/A924M](#), Z275 G90.

#### 2.3.2 Galvanize

Anchor bolts, grating fasteners, washers, and parts or devices necessary for proper installation, unless indicated otherwise.

#### 2.3.3 Repair of Zinc-Coated Surfaces

Repair damaged surfaces with galvanizing repair method and paint in accordance with [ASTM A780/A780M](#) or by application of stick or thick paste material specifically designed for repair of galvanizing, as approved by Contracting Officer. Clean areas to be repaired and remove slag from welds. Heat, with a torch, surfaces to which stick or paste material will

be applied. Heat to a temperature sufficient to melt the metals in the stick or paste. Spread molten material uniformly over surfaces to be coated and wipe off excess material.

#### 2.3.4 Shop Cleaning and Painting

##### 2.3.4.1 Surface Preparation

Blast clean surfaces in accordance with **SSPC SP 6/NACE No.3**. Surfaces that will be exposed in spaces above ceiling or in attic spaces, crawl spaces, furred spaces, and chases may be cleaned in accordance with **SSPC SP 3** in lieu of being blast cleaned. Wash cleaned surfaces which become contaminated with rust, dirt, oil, grease, or other contaminants with solvents until thoroughly clean. Steel to be embedded in concrete must be free of dirt and grease prior to embed. Do not paint or galvanize bearing surfaces, including contact surfaces within slip critical joints. Shop coat these surfaces with rust prevention.

##### 2.3.4.2 Pretreatment, Priming and Painting

Apply pre-treatment, primer, and paint in accordance with manufacturer's printed instructions. On surfaces concealed in the finished construction or not accessible for finish painting, apply an additional prime coat to a minimum dry film thickness of **1.0 mil**. Tint additional prime coat with a small amount of tinting pigment.

#### 2.3.5 Nonferrous Metal Surfaces

Protect by plating, anodic, or organic coatings.

#### 2.4 BOLLARDS/PIPE GUARDS

Provide **8 inch** galvanized weight steel pipe in accordance with **ASTM A53/A53M**. Anchor posts in concrete as indicated and fill solidly with concrete with minimum compressive strength of **3000 psi**.

#### 2.5 GUY CABLES

Provide guy cables as pre-stretched, galvanized wire rope of sizes indicated. Provide wire rope in accordance with **ASTM A475**, high strength grade with Class A coating. Guys must have a factory attached clevis top-end fitting, a factory attached open-bridge strand socket bottom-end fitting, and must be complete with oval eye, threaded anchor rods. Provide hot-dip galvanized fittings and accessories.

### PART 3 EXECUTION

#### 3.1 GENERAL INSTALLATION REQUIREMENTS

Install items at locations indicated in accordance with manufacturer's instructions. Verify all field dimensions prior to fabrication. Include materials and parts necessary to complete each assembly, whether indicated or not. Miss-alignment and miss-sizing of holes for fasteners is cause for rejection. Conceal fastenings where practicable. Joints exposed to weather must be watertight.

#### 3.2 WORKMANSHIP

Provide miscellaneous metalwork that is true and accurate in shape, size,

and profile. Make angles and lines continuous and straight. Make curves consistent, smooth and unfaceted. Provide continuous welding along the entire area of contact except where tack welding is permitted. Do not tack weld exposed connections. Unless otherwise indicated and approved, provide a smooth finish on exposed surfaces. Provide countersunk rivets where exposed. Provide coped and mitered corner joints aligned flush and without gaps.

### 3.3 ANCHORAGE, FASTENINGS, AND CONNECTIONS

Provide anchorage as necessary, whether indicated or not, for fastening miscellaneous metal items securely in place. Include slotted inserts, expansion shields, powder-driven fasteners, toggle bolts (when approved for concrete), through bolts for masonry, headed shear studs, machine and carriage bolts for steel, through bolts, lag bolts, and screws for wood. Do not use wood plugs. Provide non-ferrous attachments for non-ferrous metal. Provide exposed fastenings of compatible materials (avoid contact of dissimilar metals), that generally match in color and finish the surfaces to which they are applied. Conceal fastenings where practicable. Provide all fasteners flush with the surfaces they fasten, unless indicated otherwise. Test a minimum of 2 bolt, nut, and washer assemblies from each certified mill batch in a tension measuring device at the job site prior to the beginning of bolting start-up.

### 3.4 BUILT-IN WORK

Where necessary and not otherwise indicated, form built-in metal work for anchorage with concrete or masonry. Provide built-in metal work in ample time for securing in place as the work progresses.

### 3.5 WELDING

Perform welding, welding inspection, and corrective welding in accordance with [AWS D1.1/D1.1M](#). Use continuous welds on all exposed connections. Grind visible welds smooth in the finished installation. Provide welded headed shear studs in accordance with [AWS D1.1/D1.1M](#), Clause 7, except as otherwise specified. Provide in accordance with the safety requirements of [EM 385-1-1](#).

### 3.6 DISSIMILAR METALS

Where dissimilar metals are in contact, protect surfaces with a coating in accordance with [MPI 79](#) to prevent galvanic or corrosive action. Where aluminum is in contact with concrete, plaster, mortar, masonry, wood, or absorptive materials subject to wetting, protect in accordance with [ASTM D1187/D1187M](#), asphalt-base emulsion. Clean surfaces with metal shavings from installation at the end of each work day.

### 3.7 PREPARATION

#### 3.7.1 Material Coatings and Surfaces

Remove rust preventive coating just prior to field erection, using a remover approved by the metal manufacturer. Surfaces, when assembled, must be free of rust, grease, dirt and other foreign matter.

#### 3.7.2 Environmental Conditions

Do not clean or paint surfaces when damp or exposed to foggy or rainy

weather, when metallic surface temperature is less than minus 5 degrees F above the dew point of the surrounding air, or when surface temperature is below 45 degrees F or over 95 degrees F, unless approved by the Contracting Officer. Metal surfaces to be painted must be dry for a minimum of 48 hours prior to the application of primer or paint.

### 3.8 INSTALLATION OF BOLLARDS/PIPE GUARDS

Set bollards/pipe guards vertically in concrete piers. Fill hollow cores with concrete having a compressive strength of 3000 psi.

### 3.9 INSTALLATION MISCELLANEOUS PLATES AND SHAPES

Provide lintels fabricated from structural steel shapes over openings in masonry walls and partitions as required to support wall loads over openings. Provide with connections and fasteners. Construct to have at least 8 inches bearing on masonry at each end.

-- End of Section --



SECTION 09 90 00

PAINTS AND COATINGS

02/21

PART 1 GENERAL

1.1 RELATED REQUIREMENTS

1.1.1 Painting Included

1.1.1.1 Exterior Painting

Includes painting of metal bollards.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)

ACGIH 0100 (2017; Suppl 2020) Documentation of the Threshold Limit Values and Biological Exposure Indices

ASTM INTERNATIONAL (ASTM)

ASTM D523 (2014; R 2018) Standard Test Method for Specular Gloss

ASTM D6386 (2016a) Standard Practice for Preparation of Zinc (Hot-Dip Galvanized) Coated Iron and Steel Product and Hardware Surfaces for Painting

CENTERS FOR DISEASE CONTROL AND PREVENTION (CDC)

Intelligence Bulletin 65 (2013) Occupational Exposure to Carbon Nanotubes and Nanofibers

MASTER PAINTERS INSTITUTE (MPI)

MPI 9 (2016) Alkyd, Exterior Gloss (MPI Gloss Level 6)

MPI 23 (2015) Primer, Metal, Surface Tolerant

MPI 79 (2016) Primer, Alkyd, Anti-Corrosive for Metal

MPI 94 (2016) Alkyd, Exterior, Semi-Gloss (MPI Gloss Level 5)

MPI 101 (2016) Primer, Epoxy, Anti-Corrosive, for Metal

MPI 107 (2016) Primer, Rust-Inhibitive, Water Based

MPI ASM (2019) Architectural Painting  
Specification Manual

MPI MRM (2015) Maintenance Repainting Manual

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC Glossary (2011) SSPC Protective Coatings Glossary

SSPC PA 1 (2016) Shop, Field, and Maintenance  
Coating of Metals

SSPC SP 1 (2015) Solvent Cleaning

SSPC SP 2 (2018) Hand Tool Cleaning

SSPC SP 3 (2018) Power Tool Cleaning

SSPC SP 6/NACE No.3 (2007) Commercial Blast Cleaning

SSPC-SP WJ-3/NACE WJ-3 (2012) Thorough Cleaning, Waterjet  
Cleaning of Metals

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety -- Safety and Health  
Requirements Manual

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FED-STD-313 (2018) Material Safety Data,  
Transportation Data and Disposal Data for  
Hazardous Materials Furnished to  
Government Activities

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.1000 Air Contaminants

1.3 DEFINITIONS

1.3.1 Coating

SSPC Glossary; (1) A liquid, liquefiable, or mastic composition that is converted to a solid protective, decorative, or functional adherent film after application as a thin layer; (2) Generic term for paint, lacquer, enamel.

1.3.2 DFT or dft

Dry film thickness, the film thickness of the fully cured, dry paint or coating.

1.3.3 DSD

Degree of Surface Degradation, the MPI system of defining degree of surface degradation. Five levels are generically defined under the Assessment

sections in the MPI MRM, MPI Maintenance Repainting Manual.

#### 1.3.4 EXT

MPI short term designation for an exterior coating system.

#### 1.3.5 Loose Paint

Paint or coating that can be removed with a dull putty knife.

#### 1.3.6 mil / mils

The English measurement for 0.001 in or one one-thousandth of an inch.

#### 1.3.7 MPI Gloss Levels

MPI system of defining gloss. Seven gloss levels (G1 to G7) are generically defined under the Evaluation sections of the MPI Manuals. Traditionally, Flat refers to G1/G2, Eggshell refers to G3, Semigloss refers to G5, and Gloss refers to G6.

Gloss levels are defined by MPI as follows:

Gloss Level	Description	Units at 60 degree angle	Units at 80 degree angle
G1	Matte or Flat	0 to 5	10 max
G2	Velvet	0 to 10	10 to 35
G3	Eggshell	10 to 25	10 to 35
G4	Satin	20 to 35	35 min
G5	Semi-Gloss	35 to 70	
G6	Gloss	70 to 85	
G7	High Gloss		

Gloss is tested in accordance with ASTM D523. Historically, the Government has used Flat (G1 / G2), Eggshell (G3), Semi-Gloss (G5), and Gloss (G6).

#### 1.3.8 MPI System Number

The MPI coating system number in each MPI Division found in either the MPI Architectural Painting Specification Manual or the Maintenance Repainting Manual and defined as an exterior (EXT/REX) or interior system (INT/RIN).

#### 1.3.9 Paint

SSPC Glossary; (1) Any pigmented liquid, liquefiable, or mastic composition designed for application to a substrate in a thin layer that is converted to an opaque solid film after application. Used for protection, decoration, identification, or to serve some other functional purposes; (2) Application of a coating material.

#### 1.3.10 REX

MPI short term designation for an exterior coating system used in

repainting projects or over existing coating systems.

#### 1.3.11 RIN

MPI short term designation for an interior coating system used in repainting projects or over existing coating systems.

#### 1.4 SCHEDULING

Allow paint, polyurethane, varnish, and wood stain installations to cure prior to the installation of materials that adsorb VOCs.

#### 1.5 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Samples of specified materials may be taken and tested for compliance with specification requirements.

##### SD-03 Product Data

Coating; G

Product Data Sheets

##### SD-04 Samples

Color; G[, [\_\_\_\_]]SD-08 Manufacturer's Instructions

Application Instructions

Mixing

Manufacturer's Safety Data Sheets

##### SD-10 Operation and Maintenance Data

Coatings, Data Package 1; G[, [\_\_\_\_]]1.6 QUALITY ASSURANCE

#### 1.6.1 Regulatory Requirements

##### 1.6.1.1 Environmental Protection

In addition to requirements specified elsewhere for environmental protection, provide coating materials that conform to the restrictions of the local Air Pollution Control District and regional jurisdiction. Notify Contracting Officer of any paint specified herein which fails to conform.

##### 1.6.1.2 Lead Content

Do not use coatings having a lead content over 0.06 percent by weight of nonvolatile content.

##### 1.6.1.3 Chromate Content

Do not use coatings containing zinc-chromate or strontium-chromate.

#### 1.6.1.4 Asbestos Content

Provide asbestos-free materials.

#### 1.6.1.5 Mercury Content

Provide materials free of mercury or mercury compounds.

#### 1.6.1.6 Silica

Provide abrasive blast media containing no free crystalline silica.

#### 1.6.1.7 Human Carcinogens

Provide materials that do not contain [ACGIH 0100](#) confirmed human carcinogens (A1) or suspected human carcinogens (A2).

#### 1.6.1.8 Carbon Based Fibers / Tubes

Materials must not contain carbon based fibers such as carbon nanotubes or carbon nanofibers. [Intelligence Bulletin 65](#) ranks toxicity of carbon nanotubes on a par with asbestos.

### 1.6.2 Field Samples and Tests

The Contracting Officer may choose up to two coatings that have been delivered to the site to be tested at no cost to the Government. Take samples of each chosen product as specified in the paragraph SAMPLING PROCEDURE. Test each chosen product as specified in the paragraph TESTING PROCEDURE. Remove products from the job site which do not conform, and replace with new products that conform to the referenced specification. Test replacement products that failed initial testing as specified in the paragraph TESTING PROCEDURE at no cost to the Government.

### 1.7 PACKAGING, LABELING, AND STORAGE

Provide paints in sealed containers that legibly show the Contract specification number, designation name, formula or specification number, batch number, color, quantity, date of manufacture, manufacturer's formulation number, manufacturer's directions including any warnings and special precautions, and name and address of manufacturer. Furnish pigmented paints in containers not larger than [5 gallons](#). Store paints and thinners in accordance with the manufacturer's written directions, and as a minimum, stored off the ground, under cover, with sufficient ventilation to prevent the buildup of flammable vapors, and at temperatures between [40 to 95 degrees F](#).

### 1.8 SAFETY AND HEALTH

Comply with applicable Federal, State, and local laws and regulations, and with the ACCIDENT PREVENTION PLAN, including the Activity Hazard Analysis as specified in Section [01 35 26](#) GOVERNMENTAL SAFETY REQUIREMENTS and in Appendix A of [EM 385-1-1](#). Include in the Activity Hazard Analysis the potential impact of painting operations on painting personnel and on others involved in and adjacent to the work zone.

#### 1.8.1 Toxic Materials

To protect personnel from overexposure to toxic materials, conform to the most stringent guidance of:

- a. The applicable [manufacturer's Safety Data Sheets](#) (SDS) or local regulation.
- b. [29 CFR 1910.1000](#).
- c. [ACGIH 0100](#), threshold limit values.

Submit manufacturer's Safety Data Sheets for coatings, solvents, and other potentially hazardous materials, as defined in [FED-STD-313](#).

## 1.9 ENVIRONMENTAL REQUIREMENTS

Comply, at minimum, with manufacturer recommendations for space ventilation during and after installation.

### 1.9.1 Coatings

Do not apply coating when air or substrate conditions are:

- a. Less than [5 degrees F](#) above dew point;
- b. Below [50 degrees F](#) or over [95 degrees F](#), unless specifically pre-approved by the Contracting Officer and the product manufacturer. Do not, under any circumstances, violate the manufacturer's application recommendations.

## PART 2 PRODUCTS

### 2.1 MATERIALS

Conform to the [coating](#) specifications and standards referenced in PART 3. Submit [Product Data Sheets](#) for specified [coatings](#) and solvents. Provide preprinted cleaning and maintenance instructions for all coating systems. Submit Manufacturer's Instructions on [Mixing](#): Detailed mixing instructions, minimum and maximum application temperature and humidity, pot life, and curing and drying times between coats.

### 2.2 COLOR SELECTION OF FINISH COATS

Provide colors of finish coats as indicated or specified. Allow Contracting Officer to select colors not indicated or specified. Manufacturers' names and color identification are used for the purpose of color identification only. Named products are acceptable for use only if they conform to specified requirements. Products of other manufacturers are acceptable if the colors are approximately the colors indicated and the product conforms to specified requirements.

## PART 3 EXECUTION

### 3.1 PROTECTION OF AREAS AND SPACES NOT TO BE PAINTED

Prior to surface preparation and coating applications, remove, mask, or

otherwise protect hardware, hardware accessories, machined surfaces, radiator covers, plates, lighting fixtures, public and private property, and other such items not to be coated that are in contact with surfaces to be coated. Following completion of painting, reinstall removed items by workmen skilled in the trades. Restore surfaces contaminated by coating materials, to original condition and repair damaged items.

### 3.2 RESEALING OF EXISTING EXTERIOR JOINTS

#### 3.2.1 Primer and Bond Breaker

Install the type recommended by the sealant manufacturer.

#### 3.2.2 Ambient Temperature

Between 38 degrees F and 95 degrees F when applying sealant.

### 3.3 SURFACE PREPARATION

Remove dirt, splinters, loose particles, grease, oil, and other foreign matter and substances deleterious to coating performance as specified for each substrate before application of paint or surface treatments. Remove oil and grease prior to mechanical cleaning. Schedule cleaning so that dust and other contaminants will not fall on wet, newly painted surfaces. Spot-prime exposed ferrous metals such as nail heads on or in contact with surfaces to be painted with water-thinned paints, with a suitable corrosion-inhibitive primer capable of preventing flash rusting and compatible with the coating specified for the adjacent areas. Refer to **MPI ASM** and **MPI MRM** for additional more specific substrate preparation requirements.

### 3.4 PREPARATION OF METAL SURFACES

#### 3.4.1 New Ferrous Surfaces

- a. Ferrous Surfaces That Contain Rust, Mill Scale and Other Foreign Substances: Solvent clean or detergent wash in accordance with **SSPC SP 1** to remove oil and grease.

#### 3.4.2 Galvanized Surfaces

- a. New Galvanized Surfaces With Only Dirt and Zinc Oxidation Products: Clean with solvent, or non-alkaline detergent solution in accordance with **SSPC SP 1**. Completely remove coating by brush-off abrasive blast if the galvanized metal has been passivated or stabilized. Do not "passivate" or "stabilize" new galvanized steel to be coated. If the absence of hexavalent stain inhibitors is not documented, test as described in **ASTM D6386**, Appendix X2, and remove by one of the methods described therein.
- b. Galvanized with Slight Coating Deterioration or with Little or No Rusting: Water jetting to **SSPC-SP WJ-3/NACE WJ-3** to remove loose coating from surfaces with less than 20 percent coating deterioration and no blistering, peeling, or cracking. Use inhibitor as recommended by the coating manufacturer to prevent rusting.

### 3.5 APPLICATION

#### 3.5.1 Coating Application

- a. Comply with applicable federal, state and local laws enacted to ensure compliance with Federal Clean Air Standards. Apply coating materials in accordance with **SSPC PA 1**. **SSPC PA 1** methods are applicable to all substrates, except as modified herein.
- b. At the time of application, paint must show no signs of deterioration. Maintain uniform suspension of pigments during application.
- c. Unless otherwise specified or recommended by the paint manufacturer, paint may be applied by brush, roller, or spray. Use trigger operated spray nozzles for water hoses. Use rollers for applying paints and enamels of a type designed for the coating to be applied and the surface to be coated. Wear protective clothing and respirators when applying oil-based paints or using spray equipment with any paints.
- d. Only apply paints, except water-thinned types, to surfaces that are completely free of moisture as determined by sight or touch.
- e. Thoroughly work coating materials into joints, crevices, and open spaces. Pay special attention to ensure that all edges, corners, crevices, welds, and rivets receive a film thickness equal to that of adjacent painted surfaces.
- f. Apply each coat of paint so that dry film is of uniform thickness and free from runs, drops, ridges, waves, pinholes or other voids, laps, brush marks, and variations in color, texture, and finish. Completely hide all blemishes.
- g. Touch up damaged coatings before applying subsequent coats.
- h. **Eight-inch steel pipe bollard filled with concrete. Paint with two coats of rust inhibiting primer and two coats of OSHA safety yellow enamel, formulated for exterior metal.**
- i. **Unpainted portion of bollard shall be painted with two coats of rust inhibiting primer.**
- m. Drying Time: Allow time between coats, as recommended by the coating manufacturer, to permit thorough drying, but not to present topcoat adhesion problems. Provide each coat in specified condition to receive next coat.
- n. Primers, and Intermediate Coats: Do not allow primers or intermediate coats to dry more than 30 days, or longer than recommended by manufacturer, before applying subsequent coats. Follow manufacturer's recommendations for surface preparation if primers or intermediate coats are allowed to dry longer than recommended by manufacturers of subsequent coatings. Cover each preceding coat or surface completely by ensuring visually perceptible difference in shades of successive coats.
- o. Finished Surfaces: Provide finished surfaces free from runs, drops, ridges, waves, laps, brush marks, and variations in colors.

### 3.5.2 Mixing and Thinning of Paints

Reduce paints to proper consistency by adding fresh paint, except when thinning is mandatory to suit surface, temperature, weather conditions,



application methods, or for the type of paint being used. Obtain written permission from the Contracting Officer to use thinners. Verify that the written permission includes quantities and types of thinners to use.

When thinning is allowed, thin paints immediately prior to application with not more than **one pint** of suitable thinner per **gallon**. The use of thinner does not relieve the Contractor from obtaining complete hiding, full film thickness, or required gloss. Thinning cannot cause the paint to exceed limits on volatile organic compounds. Do not mix paints of different manufacturers.

### 3.6 COATING SYSTEMS FOR METAL

Apply coatings of Tables in MPI Division 5 for Exterior and Interior.

- a. Apply specified ferrous metal primer to steel surfaces on the same day that surface is cleaned, to surfaces that meet all specified surface preparation requirements at time of application.
- b. Inaccessible Surfaces: Prior to erection, use one coat of specified primer on metal surfaces that will be inaccessible after erection.
- c. Shop-primed Surfaces: Touch up exposed substrates and damaged coatings to protect from rusting prior to applying field primer.
- d. Surface Previously Coated with Epoxy or Urethane: Apply **MPI 101**, **1.5 mils** DFT immediately prior to application of epoxy or urethane coatings.
- e. Pipes and Tubing: The semitransparent film applied to some pipes and tubing at the mill is not to be considered a shop coat. Overcoat these items with the specified ferrous-metal primer prior to application of finish coats.
- f. Exposed Nails, Screws, Fasteners, and Miscellaneous Ferrous Surfaces. On surfaces to be coated with water thinned coatings, spot prime exposed nails and other ferrous metal with latex primer **MPI 107**.

### 3.7 WASTE MANAGEMENT

As specified in the Waste Management Plan and as follows. Do not use kerosene or any such organic solvents to clean up water based paints. Properly dispose of paints or solvents in designated containers. Close and seal partially used containers of paint to maintain quality as necessary for reuse. Store in protected, well-ventilated, fire-safe area at moderate temperature. Place materials defined as hazardous or toxic waste in designated containers.

### 3.8 PAINT TABLES

All DFT's are minimum values. Acceptable products are listed in the MPI Green Approved Products List, available at <http://www.specifygreen.com/APL/ProductIdxByMPInum.asp>.

#### 3.8.1 Exterior Paint Tables

##### 3.8.1.1 MPI Division 5: Exterior Metal, Ferrous and Non-Ferrous Paint Table

A. Steel / Ferrous Surfaces

(1) New Steel that has been hand or power tool cleaned to SSPC SP 2 or SSPC SP 3

Alkyd					
New	Existing, uncoated	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1Q-G5 (Semigloss)	MPI REX 5.1D-G5 (Semigloss)	MPI 23	MPI 94	MPI 94	5.25 mils
MPI EXT 5.1Q-G6 (Gloss)	MPI REX 5.1D-G6 (Gloss)	MPI 23	MPI 9	MPI 9	5.25 mils
Topcoat: Coating to match adjacent surfaces.					

(2) New Steel that has been blast-cleaned to SSPC SP 6/NACE No.3

Alkyd					
New	Existing, uncoated	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1D-G5 (Semigloss)	MPI REX 5.1D-G5 (Semigloss)	MPI 79	MPI 94	MPI 94	5.25 mils
MPI EXT 5.1D-G6 (Gloss)	MPI REX 5.1D-G6 (Gloss)	MPI 79	MPI 9	MPI 9	5.25 mils
Topcoat: Coating to match adjacent surfaces.					

-- End of Section --

SECTION 26 05 26.00 40

GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

08/19

PART 1 GENERAL

Section 26 41 00 LIGHTNING PROTECTION SYSTEMS applies to work specified in this section.

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN WELDING SOCIETY (AWS)

AWS A3.0M/A3.0 (2020) Standard Welding Terms and Definitions

AWS B2.1/B2.1M (2014; Errata 2015) Specification for Welding Procedure and Performance Qualification

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 81 (2012) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System

IEEE C2 (2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI/NEMA GR 1 (2007) Grounding Rod Electrodes and Grounding Rod Electrode Couplings

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code

NFPA 780 (2017) Standard for the Installation of Lightning Protection Systems

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-STD-889 (2016; Rev C) Dissimilar Metals

UNDERWRITERS LABORATORIES (UL)

UL 467 (2013; Reprint Jun 2017) UL Standard for Safety Grounding and Bonding Equipment

UL 546 (2008) UL Outline of Investigation for

## Conductor Termination Compounds

### 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00

SUBMITTAL PROCEDURES:

#### SD-03 Product Data

Ground Rods; G

Ground Wires; G[, [\_\_\_\_]] Connectors and Fasteners; G

Test Wells; G[, [\_\_\_\_]] Conductive Corrosion Inhibiting Compounds; G

#### SD-06 Test Reports

Ground Resistance Tests; G

#### SD-07 Certificates

Ground Resistance Test Equipment; G

#### SD-11 Closeout Submittals

Record Drawings

### 1.3 QUALITY CONTROL

#### 1.3.1 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Ensure equipment, materials, installation, and workmanship are in accordance with the mandatory and advisory provisions of NFPA 70, IEEE C2 unless more stringent requirements are specified or indicated.

#### 1.3.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Provide products which have been in satisfactory commercial or industrial use for 2 years prior to bid opening. Ensure the 2-year period includes applications of equipment and materials under similar circumstances and of similar size. Ensure the product has been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items must be products of a single manufacturer.

#### 1.3.3 Ground Resistance Test Equipment

Provide combination 3-point and 4-point type ground resistance test equipment specifically designed for grounding electrode resistance and soil

resistivity tests. Submit proof of current equipment calibration with test equipment product data.

## PART 2 PRODUCTS

Submit material, equipment, and lists for grounding systems, including manufacturer's style or catalog numbers, specification and drawing reference numbers, warranty information, and fabrication site information.

### 2.1 MATERIALS

#### 2.1.1 Ground Rods

Provide ground rods of copper-clad steel conforming to [UL 467](#) and [ANSI/NEMA GR 1](#). Ensure ground rods are not less than  $3/4$  inch in diameter and 10 feet in length.

Where ground rod length is greater than 10 feet, provide sectional type ground rods with each section 10 feet in length. Join sectional type ground rods using exothermic welding completely around both rod/coupling joints. Ensure ground rods have cone-shaped point on the end of the first section driven into the ground.

Provide ground rods and ground rod sections die-stamped near the top with the name or trademark of the manufacturer and the length of the segment in feet.

#### 2.1.2 Connectors and Fasteners

##### 2.1.2.1 Exothermic Welds

Ensure the molds, materials and powder charges used to make exothermic welds are the standard product of a single manufacturer and listed by the manufacturer for use on the specific type, size, quantity and configuration of conductors to which the weld is applied.

##### 2.1.2.2 Mechanical

Provide clamp style mechanical type connectors manufactured from copper, copper alloy, or bronze, listed by the manufacturer as suitable for [copper-to-copper and/or copper-to-steel connections as applicable](#). Ensure mechanical type connectors are applied in strict accordance with the manufacturer's published installation instructions.

##### 2.1.2.3 Fasteners

Provide bolts, nuts, washers, lock washers, and associated fasteners used for grounding and bonding connections manufactured of [bronze or stainless steel](#). Where fasteners contact dissimilar metals, apply conductive oxide-inhibiting compound.

#### 2.1.3 Conductive Corrosion Inhibiting Compounds

Provide conductive corrosion inhibiting compounds UL Listed in accordance with [UL 546](#), listed by the manufacturer as suitable for the application, and suitable for all aluminum and copper conductor/connector applications. Ensure conductive corrosion inhibiting compounds inhibit oxidation at the conductor/connector interface and have no deleterious effect on the conductor/connector metal or EPDM, natural rubber, or polyethylene

insulating materials.

## PART 3 EXECUTION

### 3.1 INSTALLATION

Install grounding systems in accordance with [NFPA 70](#), [NFPA 780](#) and [IEEE C2](#), and as indicated.

Bond grounding conductors in non-metallic raceways to ground. Make ground connections to ground rods as indicated. Interconnect all grounding media in or on the structure to provide a common ground potential. This includes lightning protection, electrical service, telecommunications system grounds, as well as underground metallic piping systems.

Counterpoise ground systems consist of a series of ground rods with a direct buried grounding conductor loop, configured to minimize the number of dead-ends, interconnecting the individual ground rods. Provide ground rods in the locations indicated.

#### 3.1.1 Ground Rods

Install ground rods so that the top of the rod is not less than [18 inches](#) below finished grade.

#### 3.1.2 Counterpoise

Install ground rods vertically into the earth not less [10 feet](#) with top of ground rod not less than [18 inches](#) below finished grade. Bond ground rods to counterpoise grounding conductor.

#### 3.1.3 Bonding Materials And Methods

Accomplish bonding of metal surfaces by [welding or clamping](#).

##### 3.1.3.1 Welding

Weld using the exothermic process with procedures conforming to [AWS A3.0M/A3.0](#), [AWS B2.1/B2.1M](#), and manufacturer's recommendation. Where dissimilar metals are to be joined via exothermic weld, follow the weld kit manufacturer's recommendations and published instructions. Ensure connections between dissimilar metals do not produce galvanic action in accordance with [MIL-STD-889](#).

Use welding processes of the exothermic fusion type that makes a connection without corroding or loosening. Ensure process joins all strands and does not cause the parts to be damaged or weakened. Completed connection or joint is equal or larger in size than the conductors joined. Paint the buried ground connections with a bitumastic paint.

##### 3.1.3.2 Clamping

Connection device may utilize threaded fasteners. Construct device such that positive contact pressure is maintained at all times.

### 3.2 FIELD QUALITY CONTROL

Perform the following tests in the presence of the Contracting Officer. Furnish test equipment and personnel and submit written results of each test. Notify the Contracting Officer at least 14 calendar working days prior to each test.

Submit written results of each test to Contracting Officer for review and approval. Document each location where test is performed, the field conditions at the time of the test, the measured results of the test, and whether the measured results "PASSED" or "FAILED" relative to specified pass/fail performance criteria.

Perform rework to correct FAILED conditions at no additional cost to the Government.

#### 3.2.1 Ground Resistance Tests

Test grounding systems for ground resistance. Total resistance from any point on the ground network to the building counterpoise cannot exceed 50 milliohms.

Make ground resistance and counterpoise tests during dry weather, and no sooner than 48 hours after rainfall. Conduct tests using the ratio method that measures the ratio of the resistance to earth of an auxiliary test electrode to the series resistance of the electrode under test and a second auxiliary electrode. Perform measurements in accordance with IEEE 81.

### 3.3 CLOSEOUT ACTIVITIES

Submit record drawings indicating the location of ground rods connected to the grounding system.

-- End of Section --

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SECTION 26 41 00

LIGHTNING PROTECTION SYSTEM

08/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2023; ERTA 4 2023) National Electrical Code

NFPA 780 (2023) Standard for the Installation of Lightning Protection Systems

U.S. AIR FORCE (USAF)

DAFMAN 32-1065 (2020) Grounding and Electrical Systems

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-575-01 (2012; with Change 1, 2021) Lightning and Static Electricity Protection Systems

UNDERWRITERS LABORATORIES (UL)

UL 96 (2016; May 2023) UL Standard for Safety Lightning Protection Components

UL 96A (2016; Reprint Oct 2022) UL Standard for Safety Installation Requirements for Lightning Protection Systems

UL Electrical Construction (2012) Electrical Construction Equipment Directory

1.2 RELATED REQUIREMENTS

1.2.1 Verification of Dimensions

Confirm all details of work, verify all dimensions in field, and advise Contracting Officer of any discrepancy before performing work. Obtain prior approval of Contracting Officer after engineering approval and before making any departures from the design.

1.2.2 System Requirements

Provide a system furnished under this specification consisting of the latest products of a manufacturer regularly engaged in production of lightning protection system components. Products must be UL listed for use on lightning protection systems unless this rating does not exist for items in question.

Comply with **NFPA 70**, **NFPA 780** (including Chapter 8), **UL 96**, and **UFC 3-575-01**.

### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

#### SD-02 Shop Drawings

Overall Lightning Protection System; G

Each Major Component; G

#### SD-06 Test Reports

Lightning Protection and Grounding System Test Plan; G

Grounding Systems Testing; G

#### SD-07 Certificates

Component UL Listed and Labeled; G

Lightning Protection System Inspection Certificate; G

### 1.4 QUALITY ASSURANCE

In each standard referred to herein, consider the advisory provisions to be mandatory, as though the word "shall" or "must" has been substituted for "should," wherever it appears. Interpret references that require LPS expertise in these standards to mean Base Civil Engineer (BCE) or BCE-designated representative with LPS training certification.

#### 1.4.1 Installation Drawings

##### 1.4.1.1 Overall System Drawings

Submit installation shop drawing for the overall lightning protection system. Include on the drawings the physical layout of the equipment (plan view and elevations), mounting details, relationship to other parts of the work, and wiring diagrams.

##### 1.4.1.2 Major Components

Submit detail drawings for each major component including manufacturer's descriptive and technical literature, catalog cuts, and installation instructions.

##### 1.4.2 Component UL Listed and Labeled

Submit proof of compliance that components are UL Listed and Labeled for use on lightning protection systems. Listing alone in **UL Electrical Construction**, which is the UL Electrical Construction Directory, is not acceptable evidence. In lieu of Listed and Labeled,

submit written certificate from an approved, nationally-recognized testing organization equipped to perform such services, stating that items have been tested and conform to requirements and testing methods of Underwriters Laboratories (UL).

#### 1.4.3 Lightning Protection and Grounding System Test Plan

Provide lightning protection system and grounding system test plans in compliance with NFPA 780 and DAFMAN 32-1065. Identify (by number) the system test points/locations along with a listing or description of the item to be tested and the type of test to be conducted. DAFMAN 32-1065, Attachment 7, contains a Sample Visual Inspection Form (Figure A7.6) and a Sample 24-month Resistance/Continuity Test/Visual Inspection of LPS and Grounding System (Figure A7.7). Substitute-Forms may be used, but those forms created or substituted by the base must include the same contents and information as the samples provided in Attachment 7, Figures A7.6 and A7.7.

As a minimum, include a sketch of the facility and surrounding lightning protection system as part of the specific test plan for each structure. Include the requirements as "Testing of Catenary Lightning Protection System" in the test plan.

#### 1.4.4 Lightning Protection System Inspection Certificate

Provide an approved third-party inspector who meets training certification requirements for final acceptance of the LPS. Inspection and certification of the LPS must be completed and certified in writing, before occupancy of the building. Compliance requirements are in UFC 3-575-01. Signed Certificate of Qualifications must be placed in the LPS records.

Note that the Contracting Officer may not accept the LPS system without a recommendation from a qualified person identified in the project documents. Provide certification from a commercial third-party inspection company whose sole work is lightning protection, stating that the lightning protection system complies with NFPA 780 and AFI 32-1065. Third party inspection company cannot be the system installer or the system designer. Alternatively, provide a UL Lightning Protection Inspection Master Label Certificate for each facility indicating compliance to NFPA 780 and AFI 32-1065. In either case, AFI 32-1065 takes precedence over NFPA 780, whether or not it is more stringent.

### 1.5 SITE CONDITIONS

Confirm all details of work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before starting any work, if known at that time, or when it becomes known while performing work. Obtain prior approval of the Contracting Officer before design changes are made.

## PART 2 PRODUCTS

### 2.1 MATERIALS

See NFPA 70, NFPA 780, UFC 3-575-0 Do not use a combination of materials that forms an electrolytic couple of such nature that corrosion is accelerated in the presence of moisture unless moisture is permanently excluded from the junction of such metals. Where unusual conditions exist which would cause corrosion of conductors, provide conductors with protective coatings, such as tin or lead, or oversize conductors. Where a mechanical hazard is involved, increase conductor size to compensate for the hazard or protect conductors. When metallic conduit or tubing is provided, electrically bond conductor to conduit or tubing at the upper and

lower ends by clamp type connectors or welds (including exothermic). All lightning protection components, such as bonding plates, air terminals, air terminal supports and braces, chimney bands, clips, connector fittings, and fasteners are to comply with the requirements of [UL 96](#) classes, as applicable.

#### 2.1.1 Main and Bonding Conductors

Main and Bonding Conductors should be [NFPA 780](#) and [UL 96](#) Class I or Class II materials, as applicable. For explosives, Class II materials are in accordance with [NFPA 780](#), Chapter 8.

#### 2.1.2 Conductors

Provide copper or aluminum conductors, as applicable. See [NFPA 780](#), [UFC 3-575-01](#), [DAFMAN 32-1065](#).

### 2.2 COMPONENTS

#### 2.2.1 Air Terminals on Integral Systems

Provide solid air terminals [with a blunt tip](#). Tubular air terminals are not permitted. Support air terminals more than [24 inches](#) in length by suitable brace, supported at not less than one-half the height of the terminal.

#### 2.2.2 Ground Rods

Provide ground rods conforming to [NFPA 780](#). Provide ground rods that are not less than [3/4 inch](#) in diameter and [10 feet](#) in length. Do not mix ground rods of copper-clad steel and solid copper on the job.

#### 2.2.3 Connections and Terminations

Provide connectors for splicing conductors that conform to [UL 96](#), class as applicable. Conductor connections can be made by compression clamps or welds (including exothermic). Provide style and size connectors required for the installation.

#### 2.2.4 Connector Fittings

Provide connector fittings for "end-to-end", "Tee", or "Y" splices that conform to [NFPA 780](#) and [UL 96](#).

## PART 3 EXECUTION

### 3.1 LIGHTNING PROTECTION SYSTEMS

Provide a lightning protection system that meets the requirements of [NFPA 780 \(including Chater 8\)](#), [DAFMAN 32-1065](#) and [UFC 3-575-01](#).

#### 3.1.1 Integral Lightning Protection System

The integral type lightning protection system consists of air terminals, roof conductors, down conductors, ground connections, grounding electrodes and ground ring electrode conductor for the purpose of carrying lightning current from a direct strike to ground in a manner that will protect assets and personnel. Expose all conductors on the structures except where exterior down conductors are required to be in protective sleeves for prevention of mechanical damage ([6 feet](#) above grade level). Integral

systems are the least preferred, especially for explosives and communications facilities. When use of integral systems is determined by the designer or site conditions to be necessary, do not run down conductors inside columns or other methods which will prevent visual access for required inspections. Visual access is required by [NFPA 780](#) so that the annual visual inspection may be performed on all LPS components.

Make interconnections within side-flash distances between down conductors and metallic equipment mounted on the exterior or interior of a facility, at or below the level of the grounded metallic parts. Calculate side-flash distances in accordance with [NFPA 780](#). Be aware of side flashes that may occur through exterior walls.

Note that integral systems should be avoided as LPS for Explosives and Communications facilities and is discouraged for general facilities. Installation and maintenance costs of an integral system are excessive and this method is maintenance intensive. The complexity and number of test points dictates frequent interruption to missions and daily operations for required annual inspections and testing. Integral systems require fall protection and maintenance of that fall protection system. For fall protection, maintain [NFPA 780](#)-compliant separation distance between the integral system and metallic fall protection.

### 3.1.2 Catenary Lightning Protection System

A catenary lightning protection system consists of at least two wooden poles or metallic masts with overhead wires (cross conductors). Install air terminals on wooden masts at least [10 inches](#) higher than the top of the wooden pole, or use steel saddles on top of the wooden pole for conductor placement if saddle is at least [6 inches](#) above the wooden pole. Provide at two paths to ground for an overhead ground wire (cross conductor) and down conductors, to transport the current from a direct strike to grounding electrodes that are [3/4 in. by 10 ft.](#) The class (diameter at the top of the pole and the pole height) of wooden pole must be designed to withstand the horizontal force of the cross conductor and any ice loading it could be subject to. Consider resonant frequency in the design, if applicable, and use appropriate damping designed by the mast manufacturer. A rolling sphere proof of protection is a required submittal that must be approved prior to sizing or ordering the masts. This system shall comply with [NFPA 780, Chapter 8](#). Note that for randomly-shaped facilities (non-rectangular facilities), a catenary system is not required to be symmetrical. To maintain symmetry on the widest part of the building, the overhead ground wire (cross conductor) length sometimes drives the height of the masts by several sizes to compensate for added weight of the cross conductor and the fact that it could be laden with ice during winter, in some areas of a country. This system shall comply with [NFPA 780, Chapter 8](#) and [UFC 3-575-01](#), and [DAFMAN 32-1065](#).

### 3.1.3 Down Conductors

A minimum of two paths to ground shall be provided on any system. This may be by two down conductors or with a down conductor in combination with other metallic connections to ground. Protect exposed down conductors from physical damage from ground level up to [6 feet](#). If this protection is a metal conduit, both ends must be bonded to the down conductor passing through it. For Schedule 80 conduit providing this protection, no bonding at both ends is necessary. Schedule 80 conduit or metallic conduit may be painted to match the surrounding surface. NO CONNECTIONS OR FASTENERS OF ANY LIGHTNING PROTECTION SYSTEM MAY BE PAINTED because this affects the ease with which lightning current can pass to ground.

### 3.1.4 Ground Connections

Attach each down conductor and ground ring electrode to a ground rod below grade by exothermic weld for all buried connections and exothermic weld or compression connectors for connections inside test wells. Terminate all down conductors to a grounding electrode inside a test well. Test connections and record resistances and continuity readings prior to covering.

Accessible connections above ground level and in test wells can be grounded with mechanical clamping, meeting installation requirements in [NFPA 780](#).

### 3.1.5 Installation of Grounding Electrodes (Ground Rods)

Extend driven ground rods vertically into the existing undisturbed earth for a distance of not-less-than [10 feet](#) if exothermic welds are used for bonds. Inside test wells, the [3/4 in. by 10 ft](#) rod may be driven to a point above the base of the test well, that will provide working/testing access to the mechanically-fastened bond. Set ground rods not less than [3 feet](#) nor more than [6 feet](#) (see [NFPA 780](#)) from the structural foundation, and at least [3 feet](#) beyond the drip line for the facility. After the completed installation, measure the total resistance to ground using the fall-of-potential method described in [NFPA 780](#) and [DAFMAN 32-1065](#). Maximum allowed resistance of a single driven ground rod is 25 ohms ([NFPA 780](#)). If resistance-to-ground of a single ground rod for the lightning protection system exceeds 25 ohms and another ground rod is driven in accordance with [NFPA 780](#), 25 ohms does not apply to this test point. See [NFPA 780](#) exception to Article 250.53.

For explosives facilities: For a ground ring electrode, provide continuous No. 1/0 (minimum) bare stranded copper cable ([NFPA 780-2023](#), Chapter 8, *Explosives Facilities*).

## 3.2 INTERFACE WITH OTHER STRUCTURES

### 3.2.1 Fences

Bond metal fence and gate systems to the lightning protection system at the point where the fence, any fence post, or gate is within [6 feet](#) of any part of the lightning protection system (usually a down conductor) in accordance with ANSI C2 and [NFPA 780](#) and [UFC 3-575-01](#).

## 3.3 RESTORATION

Where sod has been removed, replace sod as soon as possible after completing the backfilling. Restore areas disturbed by trenching, storing of dirt, cable laying, and other work, to original condition. Overfill the trench to accommodate for settling. Include necessary topsoil, fertilizing, liming, seeding, sodding, sprigging or mulching in any restoration, to match existing. Maintain disturbed surfaces and replacements until final acceptance. Return to site after six months to fill in compacted surface.

## 3.4 FIELD QUALITY CONTROL

### 3.4.1 Lightning Protection Systems Testing

A 100 percent test point test and inspection is required by all services.

Identify test points for lightning protection system connections and bonds. Provide a sketch (NTS) with identified test points as part of the contract acceptance. Number points in a manner consistent with the installation (Base or Post) nomenclature for existing lightning protection systems; for example, use letters or numbers to track the test results. Test each lightning protection system connection to ensure continuity across each connection or bond is 1 ohm or less, and record the value indicated on a copy of the test form located at the back of [DAFMAN 32-1065](#), Attachment 7.

- a. Comply with [UL 96A](#). A third-party inspector (not the designer and not the installer) must be present for the 100 percent inspection and data documentation by the contractor. This is to take place prior to project acceptance. Note that in many cases Air Force personnel have been trained to inspect and accept projects as the third-party inspector. Verify this before contract award.

#### 3.4.2 [Grounding Systems Testing](#)

A 100 percent test point resistance test and inspection is required. Identify all test points for grounding system connections and bonds. Provide a sketch (NTS) with identified test points as part of the contract acceptance. Number points in a manner consistent with the installation (Base or Post) nomenclature for existing grounding systems; for example, use letters or numbers to track the test results. Test each grounding system connection or bond to ensure resistance-to-ground is 25 ohms or less or as excepted by [NFPA 70](#) or [NFPA 780](#). Record the resistance measurement on a copy of the test form located at the back of [DAFMAN 32-1065](#) for Air Force or a similar form. Test the ground rod for resistance to ground before making connections to the rod. Tie the grounding system together and test for resistance to ground. Make resistance measurements in dry weather, and not earlier than 48 hours after rainfall. Include in the written report: locations of test points, measured values for continuity and ground resistances, and soil conditions at the time that measurements were made. Submit results of each test to the Contracting Officer.

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SECTION 31 00 00

EARTHWORK

08/08, CHG 2: 02/21

PART 1 GENERAL

1.1 MEASUREMENT PROCEDURES

1.1.1 Excavation

The unit of measurement for excavation will be the cubic yard, computed by the average end area method from cross sections taken before and after the excavation operations, including the excavation for ditches, gutters, and channel changes, when the material is acceptably utilized or disposed of as herein specified. The measurements will include authorized excavation of rock (except for piping trenches that is covered below), authorized excavation of unsatisfactory subgrade soil, and the volume of loose, scattered rocks and boulders collected within the limits of the work; allowance will be made on the same basis for selected backfill ordered as replacement. The measurement will not include the volume of subgrade material or other material that is scarified or plowed and reused in-place, and will not include the volume excavated without authorization or the volume of any material used for purposes other than directed. The measurement will not include the volume of any excavation performed prior to the taking of elevations and measurements of the undisturbed grade.

1.1.2 Rock Excavation for Trenches

Measure and pay for rock excavation by the number of cubic yards of acceptably excavated rock material. Measure the material in place, but base volume on a maximum 30 inches width for pipes 12 inches in diameter or less, and a maximum width of 16 inches greater than the outside diameter of the pipe for pipes over 12 inches in diameter. Provide the measurement to include all authorized overdepth rock excavation as determined by the Contracting Officer. For manholes and other appurtenances, compute volumes of rock excavation on the basis of 1 foot outside of the wall lines of the structures.

1.1.3 Overhaul Requirements

Allow the unit of measurement for overhaul to be the station-yard. The overhaul distance will be the distance in stations between the center of volume of the overhaul material in its original position and the center of volume after placing, minus the free-haul distance in stations. The haul distance will be measured along the shortest route determined by the Contracting Officer as feasible and satisfactory.

1.1.4 Select Granular Material

Measure select granular material in place as the actual cubic yards replacing wet or unstable material in trench bottoms within the limits shown. Provide unit prices which include furnishing and placing the granular material, excavation and disposal of unsatisfactory material, and additional requirements for sheeting and bracing, pumping, bailing, cleaning, and other incidentals necessary to complete the work.

## 1.2 PAYMENT PROCEDURES

Payment will constitute full compensation for all labor, equipment, tools, supplies, and incidentals necessary to complete the work.

### 1.2.1 Classified Excavation

Classified excavation will be paid for at the contract unit prices per cubic yard for common or rock excavation.

### 1.2.2 Unclassified Excavation

Unclassified excavation will be paid for at the contract unit price per cubic yard for unclassified excavation.

### 1.2.3 Authorized Overhaul

The number of station-yards of overhaul to be paid for will be the product of number of cubic yards of overhaul material measured in the original position, multiplied by the overhaul distance measured in stations of 100 feet and will be paid for at the contract unit price per station-yard for overhaul in excess of the free-haul limit as designated in paragraph DEFINITIONS.

## 1.3 CRITERIA FOR BIDDING

Base bids on the following criteria:

- a. Surface elevations are as indicated.
- b. Pipes or other artificial obstructions, except those indicated, will not be encountered.
- c. Material character is indicated by the boring logs.
- d. Hard materials and rock will not be encountered .

## 1.4 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS  
(AASHTO)

AASHTO T 180 (2017) Standard Method of Test for  
Moisture-Density Relations of Soils Using  
a 4.54-kg (10-lb) Rammer and a 457-mm  
(18-in.) Drop

ASTM INTERNATIONAL (ASTM)

ASTM C33/C33M (2018) Standard Specification for Concrete  
Aggregates

ASTM C136/C136M (2019) Standard Test Method for Sieve  
Analysis of Fine and Coarse Aggregates

ASTM D698	(2012; E 2014; E 2015) Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/cu. ft. (600 kN-m/cu. m.))
ASTM D1140	(2017) Standard Test Methods for Determining the Amount of Material Finer than 75- $\mu$ m (No. 200) Sieve in Soils by Washing
ASTM D1556/D1556M	(2015; E 2016) Standard Test Method for Density and Unit Weight of Soil in Place by Sand-Cone Method
ASTM D1557	(2012; E 2015) Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft <sup>3</sup> ) (2700 kN-m/m <sup>3</sup> )
ASTM D2487	(2017; E 2020) Standard Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D2937	(2017; E 2017; E 2018) Standard Test Method for Density of Soil in Place by the Drive-Cylinder Method
ASTM D4318	(2017; E 2018) Standard Test Methods for Liquid Limit, Plastic Limit, and Plasticity Index of Soils
ASTM D4718/D4718M	(2015) Standard Practice for Correction of Unit Weight and Water Content for Soils Containing Oversize Particles
ASTM D6938	(2017a) Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA 600/4-79/020	(1983) Methods for Chemical Analysis of Water and Wastes
EPA SW-846.3-3	(1999, Third Edition, Update III-A) Test Methods for Evaluating Solid Waste: Physical/Chemical Methods

1.5 DEFINITIONS

1.5.1 Satisfactory Materials

Satisfactory materials comprise any materials classified by ASTM D2487 as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, GM-GC, SW, SP, SM, SW-SM, SC, SW-SC, SP-SM, SP-SC,. Satisfactory materials for grading comprise stones less than 8 inches, except for fill material for pavements and railroads which comprise stones less than 3 inches in any dimension.

#### 1.5.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include man-made fills; trash; refuse; backfills from previous construction; and material classified as satisfactory which contains root and other organic matter or frozen material. Notify the Contracting Officer when encountering any contaminated materials.

#### 1.5.3 Cohesionless and Cohesive Materials

Cohesionless materials include materials classified in [ASTM D2487](#) as GW, GP, SW, and SP. Cohesive materials include materials classified as GC, SC, ML, CL, MH, and CH. Materials classified as GM and SM will be identified as cohesionless only when the fines are nonplastic. Perform testing, required for classifying materials, in accordance with [ASTM D4318](#), [ASTM C136/C136M](#) and [ASTM D1140](#).

#### 1.5.4 Degree of Compaction

Degree of compaction required, except as noted in the second sentence, is expressed as a percentage of the maximum density obtained by the test procedure presented in [ASTM D1557](#) abbreviated as a percent of laboratory maximum density. Since [ASTM D1557](#) applies only to soils that have 30 percent or less by weight of their particles retained on the [3/4 inch](#) sieve, express the degree of compaction for material having more than 30 percent by weight of their particles retained on the [3/4 inch](#) sieve as a percentage of the maximum density in accordance with [AASHTO T 180](#) and corrected with [ASTM D4718/D4718M](#). To maintain the same percentage of coarse material, use the "remove and replace" procedure as described in NOTE 8 of Paragraph 7.2 in [AASHTO T 180](#).

#### 1.5.5 Hard/Unyielding Materials

Hard/Unyielding materials comprise weathered rock, dense consolidated deposits, or conglomerate materials which are not included in the definition of "rock" with stones greater than [6 inch](#) in any dimension or as defined by the pipe manufacturer, whichever is smaller. These materials usually require the use of heavy excavation equipment, ripper teeth, or jack hammers for removal.

#### 1.5.6 Rock

Solid homogeneous interlocking crystalline material with firmly cemented, laminated, or foliated masses or conglomerate deposits, neither of which can be removed without systematic drilling and blasting, drilling and the use of expansion jacks or feather wedges, or the use of backhoe-mounted pneumatic hole punchers or rock breakers; also large boulders, buried masonry, or concrete other than pavement exceeding [1/2 cubic yard](#) in volume. Removal of hard material will not be considered rock excavation because of intermittent drilling and blasting that is performed merely to increase production.

#### 1.5.7 Unstable Material

Unstable materials are too wet to properly support the utility pipe, conduit, or appurtenant structure.

#### 1.5.8 Select Granular Material

##### 1.5.8.1 General Requirements

Select granular material consist of materials classified as GW, GP, SW, or SP, by ASTM D2487 where indicated. The liquid limit of such material must not exceed 35 percent when tested in accordance with ASTM D4318. The plasticity index must not be greater than 12 percent when tested in accordance with ASTM D4318, and not more than 35 percent by weight may be finer than No. 200 sieve when tested in accordance with ASTM D1140.

##### 1.5.9 Initial Backfill Material

Initial backfill consists of select granular material or satisfactory materials free from rocks 2 inches or larger in any dimension or free from rocks of such size as recommended by the pipe manufacturer, whichever is smaller. When the pipe is coated or wrapped for corrosion protection, free the initial backfill material of stones larger than 3 inches in any dimension or as recommended by the pipe manufacturer, whichever is smaller.

##### 1.5.10 Expansive Soils

Expansive soils are defined as soils that have a plasticity index equal to or greater than 20 when tested in accordance with ASTM D4318.

##### 1.5.11 Nonfrost Susceptible (NFS) Material

Nonfrost susceptible material are a uniformly graded washed sand with a maximum particle size of 1/8 inch and less than 5 percent passing the No. 200 size sieve, and with not more than 3 percent by weight finer than 0.02 mm grain size.

#### 1.6 SYSTEM DESCRIPTION

##### 1.6.1 Classification of Excavation

No consideration will be given to the nature of the materials, and all excavation will be designated as unclassified excavation. Finish the specified excavation on a classified basis, in accordance with the following designations and classifications.

###### 1.6.1.1 Common Excavation

Include common excavation with the satisfactory removal and disposal of all materials not classified as rock excavation.

###### 1.6.1.2 Rock Excavation

Submit notification of encountering rock in the project. Include rock excavation with blasting, excavating, grading, disposing of material classified as rock, and the satisfactory removal and disposal of boulders 1/2 cubic yard or more in volume; solid rock; rock material that is in ledges, bedded deposits, and unstratified masses, which cannot be removed without systematic drilling and blasting; firmly cemented conglomerate deposits possessing the characteristics of solid rock impossible to remove without systematic drilling and blasting; and hard materials (see Definitions). Include the removal of any concrete or masonry structures, except pavements, exceeding 1/2 cubic yard in volume that may be encountered in the work in this classification. If at any time during

excavation, the Contractor encounters material that may be classified as rock excavation, uncover such material and notify the Contracting Officer. Do not proceed with the excavation of this material until the Contracting Officer has classified the materials as common excavation or rock excavation and has taken cross sections as required. Failure on the part of the Contractor to uncover such material, notify the Contracting Officer, and allow ample time for classification and cross sectioning of the undisturbed surface of such material will cause the forfeiture of the Contractor's right of claim to any classification or volume of material to be paid for other than that allowed by the Contracting Officer for the areas of work in which such deposits occur.

#### 1.6.2 Blasting

Blasting will not be permitted.

#### 1.6.3 Dewatering Work Plan

Submit procedures for accomplishing dewatering work.

#### 1.7 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

##### SD-01 Preconstruction Submittals

Shoring; G

Dewatering Work Plan; G

##### SD-03 Product Data

Utilization of Excavated Materials; G

Rock Excavation

##### SD-06 Test Reports

Testing

Borrow Site Testing

Within 24 hours of conclusion of physical tests, submit **three** copies of test results, including calibration curves and results of calibration tests.

##### SD-07 Certificates

Testing

#### PART 2 PRODUCTS

##### 2.1 REQUIREMENTS FOR OFFSITE SOILS

Test offsite soils brought in for use as backfill for Total Petroleum Hydrocarbons (TPH), Benzene, Toluene, Ethyl Benzene, and Xylene (BTEX) and

full Toxicity Characteristic Leaching Procedure (TCLP) including ignitability, corrosivity and reactivity. Backfill shall contain a maximum of 100 parts per million (ppm) of total petroleum hydrocarbons (TPH) and a maximum of 10 ppm of the sum of Benzene, Toluene, Ethyl Benzene, and Xylene (BTEX) and shall pass the TCPL test. Determine TPH concentrations by using EPA 600/4-79/020 Method 418.1. Determine BTEX concentrations by using EPA SW-846.3-3 Method 5030/8020. Perform TCLP in accordance with EPA SW-846.3-3 Method 1311. Provide Borrow Site Testing for TPH, BTEX and TCLP from a composite sample of material from the borrow site, with at least one test from each borrow site. Do not bring material onsite until tests have been approved by the Contracting Officer.

## 2.2 BURIED WARNING AND IDENTIFICATION TAPE

Provide polyethylene plastic and metallic core or metallic-faced, acid- and alkali-resistant, polyethylene plastic warning tape manufactured specifically for warning and identification of buried utility lines. Provide tape on rolls, 3 inches minimum width, color coded as specified below for the intended utility with warning and identification imprinted in bold black letters continuously over the entire tape length. Warning and identification to read, "CAUTION, BURIED (intended service) LINE BELOW" or similar wording. Provide permanent color and printing, unaffected by moisture or soil.

Warning Tape Color Codes	
Red	Electric
Yellow	Gas, Oil; Dangerous Materials
Orange	Telephone and Other Communications
Blue	Water Systems
Green	Sewer Systems
White	Steam Systems
Gray	Compressed Air

### 2.2.1 Warning Tape for Metallic Piping

Provide acid and alkali-resistant polyethylene plastic tape conforming to the width, color, and printing requirements specified above, with a minimum thickness of 0.003 inch and a minimum strength of 1500 psi lengthwise, and 1250 psi crosswise, with a maximum 350 percent elongation.

### 2.2.2 Detectable Warning Tape for Non-Metallic Piping

Provide polyethylene plastic tape conforming to the width, color, and printing requirements specified above, with a minimum thickness of 0.004 inch, and a minimum strength of 1500 psi lengthwise and 1250 psi crosswise. Manufacture tape with integral wires, foil backing, or other means of enabling detection by a metal detector when tape is buried up to 3 feet deep. Encase metallic element of the tape in a protective jacket or provide with other means of corrosion protection.

### 2.3 DETECTION WIRE FOR NON-METALLIC PIPING

Insulate a single strand, solid copper detection wire with a minimum of 12 AWG.

### 2.4 CAPILLARY WATER BARRIER

Provide capillary water barrier of clean, poorly graded crushed rock, crushed gravel, or uncrushed gravel placed beneath a building slab with or without a vapor barrier to cut off the capillary flow of pore water to the area immediately below. Conform to [ASTM C33/C33M](#) for fine aggregate grading with a maximum of 3 percent by weight passing [ASTM D1140](#), No. 200 sieve, or 1-1/2 inch and no more than 2 percent by weight passing the No. 4 size sieve or coarse aggregate Size 57, 67, or 77.

## PART 3 EXECUTION

### 3.1 GENERAL EXCAVATION

Perform excavation of every type of material encountered within the limits of the project to the lines, grades, and elevations indicated and as specified. Perform the grading in accordance with the typical sections shown and the tolerances specified in paragraph FINISHING. Transport satisfactory excavated materials and place in fill or embankment within the limits of the work. Excavate unsatisfactory materials encountered within the limits of the work below grade and replace with satisfactory materials as directed. Include such excavated material and the satisfactory material ordered as replacement in excavation. Dispose surplus satisfactory excavated material not required for fill and unsatisfactory excavated material as specified in paragraph DISPOSITION OF SURPLUS MATERIAL. During construction, perform excavation and fill in a manner and sequence that will provide proper drainage at all times. Excavate material required for fill or embankment in excess of that produced by excavation within the grading limits from the borrow areas indicated or from other approved areas selected by the Contractor as specified.

#### 3.1.1 Drainage Structures

Make excavations to the lines, grades, and elevations shown, or as directed. Provide trenches and foundation pits of sufficient size to permit the placement and removal of forms for the full length and width of structure footings and foundations as shown. Clean rock or other hard foundation material of loose debris and cut to a firm, level, stepped, or serrated surface. Remove loose disintegrated rock and thin strata. Do not disturb the bottom of the excavation when concrete or masonry is to be placed in an excavated area. Do not excavate to the final grade level until just before the concrete or masonry is to be placed. Where pile foundations are to be used, stop the excavation of each pit at an elevation 1 foot above the base of the footing, as specified, before piles are driven. After the pile driving has been completed, remove loose and displaced material and complete excavation, leaving a smooth, solid, undisturbed surface to receive the concrete or masonry.

#### 3.1.2 Drainage

Provide for the collection and disposal of surface and subsurface water encountered during construction. Completely drain construction site during periods of construction to keep soil materials sufficiently dry. Construct storm drainage features (ponds/basins) at the earliest stages of site



development, and throughout construction grade the construction area to provide positive surface water runoff away from the construction activity and/or provide temporary ditches, swales, and other drainage features and equipment as required to maintain dry soils. When unsuitable working platforms for equipment operation and unsuitable soil support for subsequent construction features develop, remove unsuitable material and provide new soil material as specified herein. It is the responsibility of the Contractor to assess the soil and ground water conditions presented by the plans and specifications and to employ necessary measures to permit construction to proceed.

### 3.1.3 Dewatering

Control groundwater flowing toward or into excavations to prevent sloughing of excavation slopes and walls, boils, uplift and heave in the excavation and to eliminate interference with orderly progress of construction. Do not permit French drains, sumps, ditches or trenches within 3 feet of the foundation of any structure, except with specific written approval, and after specific contractual provisions for restoration of the foundation area have been made. Take control measures by the time the excavation reaches the water level in order to maintain the integrity of the in situ material. While the excavation is open, maintain the water level continuously, at least 2 feet below the working level. Operate dewatering system continuously until construction work below existing water levels is complete. Submit performance records weekly. Measure and record performance of dewatering system at same time each day by use of observation wells or piezometers installed in conjunction with the dewatering system. Relieve hydrostatic head in previous zones below subgrade elevation in layered soils to prevent uplift.

### 3.1.4 Structural Excavation

Ensure that footing subgrades have been inspected and approved by the Contracting Officer prior to concrete placement. Excavate to bottom of pile cap prior to placing or driving piles, unless authorized otherwise by the Contracting Officer. Backfill and compact over excavations and changes in grade due to pile driving operations to 95 percent of ASTM D698 maximum density.

## 3.2 SHORING

### 3.2.1 General Requirements

Submit a Shoring and Sheet piling plan for approval 15 days prior to starting work. Submit drawings and calculations, certified by a registered professional engineer, describing the methods for shoring and sheet piling of excavations. Finish shoring, including sheet piling, and install as necessary to protect workmen, banks, adjacent paving, structures, and utilities. Remove shoring, bracing, and sheet piling as excavations are backfilled, in a manner to prevent caving.

### 3.2.2 Geotechnical Engineer

Hire a Professional Geotechnical Engineer to provide inspection of excavations and soil/groundwater conditions throughout construction. The Geotechnical Engineer is responsible for performing pre-construction and periodic site visits throughout construction to assess site conditions. The Geotechnical Engineer is responsible for updating the excavation, sheet piling and dewatering plans as construction progresses to reflect

changing conditions and submit an updated plan if necessary. Submit a monthly written report, informing the Contractor and Contracting Officer of the status of the plan and an accounting of the Contractor's adherence to the plan addressing any present or potential problems. The Contracting Officer is responsible for arranging meetings with the Geotechnical Engineer at any time throughout the contract duration.

### 3.3 GRADING AREAS

Where indicated, divide work into grading areas within which satisfactory excavated material will be placed in embankments, fills, and required backfills. Do not haul satisfactory material excavated in one grading area to another grading area except when so directed in writing. Place and grade stockpiles of satisfactory and unsatisfactory and wasted materials as specified. Keep stockpiles in a neat and well drained condition, giving due consideration to drainage at all times. Clear, grub, and seal by rubber-tired equipment, the ground surface at stockpile locations; separately stockpile excavated satisfactory and unsatisfactory materials. Protect stockpiles of satisfactory materials from contamination which may destroy the quality and fitness of the stockpiled material. If the Contractor fails to protect the stockpiles, and any material becomes unsatisfactory, remove and replace such material with satisfactory material from approved sources.

### 3.4 FINAL GRADE OF SURFACES TO SUPPORT CONCRETE

Do not excavate to final grade until just before concrete is to be placed. For pile foundations, stop the excavation at an elevation of from 6 to 12 inches above the bottom of the footing before driving piles. After pile driving has been completed, complete the remainder of the excavation to the elevations shown. Only use excavation methods that will leave the foundation rock in a solid and unshattered condition. Roughen the level surfaces, and cut the sloped surfaces, as indicated, into rough steps or benches to provide a satisfactory bond. Protect shales from slaking and all surfaces from erosion resulting from ponding or water flow.

### 3.5 GROUND SURFACE PREPARATION

#### 3.5.1 General Requirements

Remove and replace unsatisfactory material with satisfactory materials, as directed by the Contracting Officer, in surfaces to receive fill or in excavated areas. Scarify the surface to a depth of 6 inches before the fill is started. Plow, step, bench, or break up sloped surfaces steeper than 1 vertical to 4 horizontal so that the fill material will bond with the existing material. When subgrades are less than the specified density, break up the ground surface to a minimum depth of 6 inches, pulverizing, and compacting to the specified density. When the subgrade is part fill and part excavation or natural ground, scarify the excavated or natural ground portion to a depth of 12 inches and compact it as specified for the adjacent fill.

#### 3.5.2 Frozen Material

Do not place material on surfaces that are muddy, frozen, or contain frost. Finish compaction by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, or other approved equipment well suited to the soil being compacted. Moisten material as necessary to plus or minus 3 percent of optimum moisture to provide the moisture content that will readily

facilitate obtaining the specified compaction with the equipment used.

### 3.6 UTILIZATION OF EXCAVATED MATERIALS

Use satisfactory material removed from excavations, insofar as practicable, in the construction of fills, embankments, subgrades, shoulders, bedding (as backfill), and for similar purposes. Submit procedure and location for disposal of unused satisfactory material. Dispose surplus satisfactory excavated material not required for fill and unsatisfactory excavated material as specified in paragraph DISPOSITION OF SURPLUS MATERIAL. Stockpile and use coarse rock from excavations for constructing slopes or embankments adjacent to streams, or sides and bottoms of channels and for protecting against erosion. Do not dispose excavated material to obstruct the flow of any stream, endanger a partly finished structure, impair the efficiency or appearance of any structure, or be detrimental to the completed work in any way.

### 3.7 FILLING, BACKFILLING AND COMPACTION

Place fill and backfill beneath and adjacent to any and all type of structures, in successive horizontal layers of loose material not more than 8 inches in depth, or in loose layers not more than 5 inches in depth when using hand-operated compaction equipment. Compact to at least 90 percent of laboratory maximum density for cohesive materials or 95 percent of laboratory maximum density for cohesionless materials, except as otherwise specified. Perform compaction in such a manner as to prevent wedging action or eccentric loading upon or against the structure. Moisture condition fill and backfill material to a moisture content that will readily facilitate obtaining the specified compaction within range of +2 or -2 percent of optimum moisture content at the time of compaction.

Prepare ground surface on which backfill is to be placed and provide compaction requirements for backfill materials in conformance with the applicable portions of paragraphs GROUND SURFACE PREPARATION. Finish compaction by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment.

### 3.8 SUBGRADE PREPARATION

#### 3.8.1 Construction

Shape subgrade to line, grade, and cross section, and compact as specified. Include plowing, disking, and any moistening or aerating required to obtain specified compaction for this operation. Remove soft or otherwise unsatisfactory material and replace with satisfactory excavated material or other approved material as directed. Excavate rock encountered in the cut section to a depth of 6 inches below finished grade for the subgrade. Bring up low areas resulting from removal of unsatisfactory material or excavation of rock to required grade with satisfactory materials, and shape the entire subgrade to line, grade, and cross section and compact as specified.

#### 3.8.2 Compaction

Finish compaction by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment. Except for paved areas and railroads, compact each layer of the embankment to at least 98 percent of laboratory maximum density.

### 3.8.2.1 Subgrade for Railroads

Compact subgrade for railroads to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials.

### 3.8.2.2 Subgrade for Pavements

Compact subgrade for pavements to at least 98 percentage laboratory maximum density for the depth below the surface of the pavement shown. When more than one soil classification is present in the subgrade, thoroughly blend, reshape, and compact the top 12 inch of subgrade.

### 3.8.2.3 Subgrade for Airfield Pavements

Compact top 24 inches below finished pavement or top 12 inches of subgrades, whichever is greater, to 100 percent of ASTM D1557; compact fill and backfill material to 100 percent of ASTM D1557.

## 3.9 FINISHING

Finish the surface of excavations, embankments, and subgrades to a smooth and compact surface in accordance with the lines, grades, and cross sections or elevations shown. Provide the degree of finish for graded areas within 0.1 foot of the grades and elevations indicated except that the degree of finish for subgrades specified in paragraph SUBGRADE PREPARATION. Finish gutters and ditches in a manner that will result in effective drainage. Finish the surface of areas to be turfed from settlement or washing to a smoothness suitable for the application of turfing materials. Repair graded, topsoiled, or backfilled areas prior to acceptance of the work, and re-established grades to the required elevations and slopes.

### 3.9.1 Subgrade and Embankments

During construction, keep embankments and excavations shaped and drained. Maintain ditches and drains along subgrade to drain effectively at all times. Do not disturb the finished subgrade by traffic or other operation. Protect and maintain the finished subgrade in a satisfactory condition until ballast, subbase, base, or pavement is placed. Do not permit the storage or stockpiling of materials on the finished subgrade. Do not lay subbase, base course, ballast, or pavement until the subgrade has been checked and approved, and in no case place subbase, base, surfacing, pavement, or ballast on a muddy, spongy, or frozen subgrade.

### 3.9.2 Capillary Water Barrier

Place a capillary water barrier under concrete floor and area-way slabs grade directly on the subgrade and compact with a minimum of two passes of a hand-operated plate-type vibratory compactor.

### 3.9.3 Grading Around Structures

Construct areas within 5 feet outside of each building and structure line true-to-grade, shape to drain, and maintain free of trash and debris until final inspection has been completed and the work has been accepted.

### 3.10 TESTING

Perform testing by a Corps validated commercial testing laboratory or the Contractor's validated testing facility. Submit qualifications of the Corps validated commercial testing laboratory or the Contractor's validated testing facilities. If the Contractor elects to establish testing facilities, do not permit work requiring testing until the Contractor's facilities have been inspected, Corps validated and approved by the Contracting Officer.

- a. Determine field in-place density in accordance with ASTM D1556/D1556M . When ASTM D6938 is used, check the calibration curves and adjust using only the sand cone method as described in ASTM D1556/D1556M. ASTM D6938 results in a wet unit weight of soil in determining the moisture content of the soil when using this method.
- b. Check the calibration curves furnished with the moisture gauges along with density calibration checks as described in ASTM D6938; check the calibration of both the density and moisture gauges at the beginning of a job on each different type of material encountered and at intervals as directed by the Contracting Officer. ASTM D2937, use the Drive Cylinder Method only for soft, fine-grained, cohesive soils. When test results indicate, as determined by the Contracting Officer, that compaction is not as specified, remove the material, replace and recompact to meet specification requirements.
- c. Perform tests on recompacted areas to determine conformance with specification requirements. Appoint a registered professional civil engineer to certify inspections and test results. These certifications shall state that the tests and observations were performed by or under the direct supervision of the engineer and that the results are representative of the materials or conditions being certified by the tests. The following number of tests, if performed at the appropriate time, will be the minimum acceptable for each type operation.

#### 3.10.1 Fill and Backfill Material Gradation

One test per 25 cubic yards stockpiled or in-place source material. Determine gradation of fill and backfill material in accordance with ASTM D1140.

#### 3.10.2 In-Place Densities

- a. One test per 500 square feet, or fraction thereof, of each lift of fill or backfill areas compacted by other than hand-operated machines.

#### 3.10.3 Optimum Moisture and Laboratory Maximum Density

Perform tests for each type material or source of material to determine the optimum moisture and laboratory maximum density values. One representative test per 25 cubic yards of fill and backfill, or when any change in material occurs which may affect the optimum moisture content or laboratory maximum density.

#### 3.10.4 Tolerance Tests for Subgrades

Perform continuous checks on the degree of finish specified in paragraph SUBGRADE PREPARATION during construction of the subgrades.

#### 3.11 DISPOSITION OF SURPLUS MATERIAL

Surplus material and excavated unsatisfactory material not required or suitable for filling or backfilling, and brush, refuse, stumps, roots, and timber shall be removed from Government property and properly disposed of in accordance with all applicable laws and regulations.

-- End of Section --

SECTION 31 23 00.00 20

EXCAVATION AND FILL

02/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C136/C136M	(2014) Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates
ASTM D1140	(2014) Amount of Material in Soils Finer than the No. 200 (75-micrometer) Sieve
ASTM D1556/D1556M	(2015; E 2016) Standard Test Method for Density and Unit Weight of Soil in Place by Sand-Cone Method
ASTM D1557	(2012; E 2015) Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft <sup>3</sup> ) (2700 kN-m/m <sup>3</sup> )
ASTM D2487	(2011) Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D4318	(2010; E 2014) Liquid Limit, Plastic Limit, and Plasticity Index of Soils
ASTM D6938	(2015) Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)
ASTM D698	(2012; E 2014; E 2015) Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/cu. ft. (600 kN-m/cu. m.))

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA SW-846.3-3	(1999, Third Edition, Update III-A) Test Methods for Evaluating Solid Waste: Physical/Chemical Methods
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1.2 DEFINITIONS

1.2.1 Capillary Water Barrier

A layer of clean, poorly graded crushed rock, stone, or natural sand or gravel having a high porosity which is placed beneath a building slab with

or without a vapor barrier to cut off the capillary flow of pore water to the area immediately below a slab.

#### 1.2.2 Degree of Compaction

Degree of compaction is expressed as a percentage of the maximum density obtained by the test procedure presented in [ASTM D698](#), for general soil types, abbreviated as percent laboratory maximum density.

#### 1.2.3 Hard Materials

Weathered rock, dense consolidated deposits, or conglomerate materials which are not included in the definition of "rock" but which usually require the use of heavy excavation equipment, ripper teeth, or jack hammers for removal.

#### 1.2.4 Rock

Solid homogeneous interlocking crystalline material with firmly cemented, laminated, or foliated masses or conglomerate deposits, neither of which can be removed without systematic drilling and blasting, drilling and the use of expansion jacks or feather wedges, or the use of backhoe-mounted pneumatic hole punchers or rock breakers; also large boulders, buried masonry, or concrete other than pavement exceeding [1 cubic yard](#) in volume. Removal of hard material will not be considered rock excavation because of intermittent drilling and blasting that is performed merely to increase production.

### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section [01 33 00](#)  
SUBMITTAL PROCEDURES:

#### [SD-01 Preconstruction Submittals](#)

[Dewatering work plan](#) Submit 15 days prior to starting work.

#### [SD-06 Test Reports](#)

[Borrow Site Testing; G](#)

[Fill and backfill test](#)

[Density tests](#)

Copies of all laboratory and field test reports within 24 hours of the completion of the test.

### 1.4 DELIVERY, STORAGE, AND HANDLING

Perform in a manner to prevent contamination or segregation of materials.

### 1.5 CRITERIA FOR BIDDING

Base bids on the following criteria:

- a. Surface elevations are as indicated.



- b. Pipes or other artificial obstructions, except those indicated, will not be encountered.
- c. Ground water elevation is 20 feet below existing surface elevation.
- d. Hard materials will not be encountered .
- e. Borrow material in the quantities required is not available at the project site
- f. Blasting will not be permitted. Remove material in an approved manner.

#### 1.6 REQUIREMENTS FOR OFF SITE SOIL

Soils brought in from off site for use as backfill shall be tested for petroleum hydrocarbons, BTEX, PCBs and HW characteristics (including toxicity, ignitability, corrosivity, and reactivity). Backfill shall not contain concentrations of these analytes above the appropriate State and/or EPA criteria, and shall pass the tests for HW characteristics. Determine petroleum hydrocarbon concentrations by using appropriate State protocols. Determine BTEX concentrations by using EPA SW-846.3-3 Method 5035/8260B. Perform complete TCLP in accordance with EPA SW-846.3-3 Method 1311. Perform HW characteristic tests for ignitability, corrosivity, and reactivity in accordance with accepted standard methods. Perform PCB testing in accordance with accepted standard methods for sampling and analysis of bulk solid samples. Provide borrow site testing for petroleum hydrocarbons and BTEX from a grab sample of material from the area most likely to be contaminated at the borrow site (as indicated by visual or olfactory evidence), with at least one test from each borrow site. For each borrow site, provide borrow site testing for HW characteristics from a composite sample of material, collected in accordance with standard soil sampling techniques. Do not bring material onsite until tests results have been received and approved by the Contracting Officer.

#### 1.7 QUALITY ASSURANCE

##### 1.7.1 Dewatering Work Plan

Submit procedures for accomplishing dewatering work.1.7.2 Utilities

Movement of construction machinery and equipment over pipes and utilities during construction shall be at the Contractor's risk. Excavation made with power-driven equipment is not permitted within two feet of known Government-owned utility or subsurface construction. For work immediately adjacent to or for excavations exposing a utility or other buried obstruction, excavate by hand. Start hand excavation on each side of the indicated obstruction and continue until the obstruction is uncovered or until clearance for the new grade is assured. Support uncovered lines or other existing work affected by the contract excavation until approval for backfill is granted by the Contracting Officer. Report damage to utility lines or subsurface construction immediately to the Contracting Officer.

#### PART 2 PRODUCTS

##### 2.1 SOIL MATERIALS

###### 2.1.1 Backfill and Fill Material

ASTM D2487, classification GW, GP, GM, GC, SW, SP, SM, SC with a maximum ASTM D4318 liquid limit of 50, maximum ASTM D4318 plasticity index of 30, and a maximum of 25 percent by weight passing ASTM D1140, No. 200 sieve.

#### 2.1.2 Topsoil

Natural, friable soil representative of productive, well-drained soils in the area, free of subsoil, stumps, rocks larger than one inch diameter, brush, weeds, toxic substances, and other material detrimental to plant growth. Amend topsoil pH range to obtain a pH of 5.5 to 7.

### PART 3 EXECUTION

#### 3.1 PROTECTION

##### 3.1.1 Underground Utilities

Location of the existing utilities indicated is approximate. The Contractor shall physically verify the location and elevation of the existing utilities indicated prior to starting construction.

##### 3.1.2 Machinery and Equipment

Movement of construction machinery and equipment over pipes during construction shall be at the Contractor's risk. Repair, or remove and provide new pipe for existing or newly installed pipe that has been displaced or damaged.

#### 3.2 EXCAVATION

Excavate to contours, elevation, and dimensions indicated. Reuse excavated materials that meet the specified requirements for the material type required at the intended location. Keep excavations free from water. Excavate soil disturbed or weakened by Contractor's operations, soils softened or made unsuitable for subsequent construction due to exposure to weather. Excavations below indicated depths will not be permitted except to remove unsatisfactory material. Unsatisfactory material encountered below the grades shown shall be removed as directed. Refill with satisfactory material and compact to 95 percent of ASTM D698 maximum density. Unless specified otherwise, refill excavations cut below indicated depth with satisfactory material and compact to 95 percent of ASTM D698 maximum density. Satisfactory material removed below the depths indicated, without specific direction of the Contracting Officer, shall be replaced with satisfactory materials to the indicated excavation grade; except as specified for spread footings. Determination of elevations and measurements of approved overdepth excavation of unsatisfactory material below grades indicated shall be done under the direction of the Contracting Officer.

##### 3.2.1 Excavated Materials

Satisfactory excavated material required for fill or backfill shall be placed in the proper section of the permanent work required or shall be separately stockpiled if it cannot be readily placed. Satisfactory material in excess of that required for the permanent work and all unsatisfactory material shall be disposed of as specified in Paragraph "DISPOSITION OF SURPLUS MATERIAL."

#### 3.3 FILLING AND BACKFILLING

Fill and backfill to contours, elevations, and dimensions indicated.  
Compact each lift before placing overlaying lift.

### 3.3.1 Trench Backfilling

Backfill as rapidly as construction, testing, and acceptance of work permits. Place and compact backfill under structures and paved areas in 6 inch lifts to top of trench and in 6 inch lifts to one foot over pipe outside structures and paved areas.

### 3.4 BORROW

Where satisfactory materials are not available in sufficient quantity from required excavations, approved borrow materials shall be obtained as specified herein.

### 3.5 COMPACTION

Determine in-place density of existing subgrade; if required density exists, no compaction of existing subgrade will be required.

#### 3.5.1 General Site

Compact underneath areas designated for vegetation and areas outside the 5 foot line of the paved area or structure to 90 percent of ASTM D698 .

#### 3.5.2 Adjacent Area

Compact areas within 5 feet of structures to 95 percent of ASTM D698 .

#### 3.5.3 Paved Areas

Compact top 12 inches of subgrades to 95 percent of ASTM D698 . Compact fill and backfill materials to 95 percent of ASTM D698 .

### 3.6 FINISH OPERATIONS

#### 3.6.1 Protection of Surfaces

Protect newly backfilled, graded, and topsoiled areas from traffic, erosion, and settlements that may occur. Repair or reestablish damaged grades, elevations, or slopes.

### 3.7 DISPOSITION OF SURPLUS MATERIAL

Remove from Government property surplus or other soil material not required or suitable for filling or backfilling, and brush, refuse, stumps, roots, and timber.

### 3.8 FIELD QUALITY CONTROL

#### 3.8.1 Sampling

Take the number and size of samples required to perform the following tests.

#### 3.8.2 Testing

Perform one of each of the following tests for each material used. Provide

additional tests for each source change.

#### 3.8.2.1 Fill and Backfill Material Testing

Test fill and backfill material in accordance with ASTM C136/C136M for conformance to ASTM D2487 gradation limits; ASTM D1140 for material finer than the No. 200 sieve; ASTM D4318 for liquid limit and for plastic limit; ASTM D698 or ASTM D1557 for moisture density relations, as applicable.

#### 3.8.2.2 Density Tests

Test density in accordance with ASTM D1556/D1556M, or ASTM D6938. When ASTM D6938 density tests are used, verify density test results by performing an ASTM D1556/D1556M density test at a location already ASTM D6938 tested as specified herein. Perform an ASTM D1556/D1556M density test at the start of the job, and for every 10 ASTM D6938 density tests thereafter. Include density test results in daily report.

Bedding and backfill in trenches: One test per 100 linear feet in each lift.

-- End of Section --

SECTION 33 71 01

OVERHEAD TRANSMISSION AND DISTRIBUTION  
05/19, CHG 1: 11/19

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ALLIANCE FOR TELECOMMUNICATIONS INDUSTRY SOLUTIONS (ATIS)

ATIS ANSI O5.1 (2017) Wood Poles -- Specifications & Dimensions

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B16.11 (2022) Forged Fittings, Socket-Welding and Threaded

ASTM INTERNATIONAL (ASTM)

ASTM A53/A53M (2022) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless

ASTM A123/A123M (2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products

ASTM A153/A153M (2023) Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware

ASTM A475 (2022) Standard Specification for Metallic-Coated Steel Wire Strand

ASTM A575 (2020) Standard Specification for Steel Bars, Carbon, Merchant Quality, M-Grades

ASTM A576 (2017) Standard Specification for Steel Bars, Carbon, Hot-Wrought, Special Quality

ASTM B117 (2019) Standard Practice for Operating Salt Spray (Fog) Apparatus

ASTM B228 (2021) Standard Specification for Concentric-Lay-Stranded Copper Clad Steel Conductors

ASTM D1654 (2008; R 2016; E 2017) Standard Test Method for Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 100	(2000; Archived) The Authoritative Dictionary of IEEE Standards Terms
IEEE C2	(2023) National Electrical Safety Code
IEEE C135.1	(1999) Standard for Zinc-Coated Steel Bolts and Nuts for Overhead Line Construction
IEEE C135.2	(1999) Threaded Zinc-Coated Ferrous Strand-Eye Anchor Rods and Nuts for Overhead Line Construction
IEEE C135.22	(1988) Standard for Zinc-Coated Ferrous Pole-Top Insulator Pins with Lead Threads for Overhead Line Construction

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 4 2023) National Electrical Code
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U.S. DEPARTMENT OF AGRICULTURE (USDA)

RUS 202-1	(2004) List of Materials Acceptable for Use on Systems of RUS Electrification Borrowers
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1.2 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, must be as defined in IEEE 100.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Conductors; G

Wood Poles; G

Guy Strand; G

Anchors; G

SD-07 Certificates

Wood Poles; G

1.4 QUALITY ASSURANCE

#### 1.4.1 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory except of NFPA 70 when more stringent requirements are specified or indicated, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Equipment, materials, installation, and workmanship shall be in accordance with the mandatory and advisory provisions of NFPA 70 and IEEE C2 unless more stringent requirements are specified or indicated.

#### 1.4.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Products must have been in satisfactory commercial or industrial use for 2-years prior to bid opening. The 2-year period must include applications of equipment and materials under similar circumstances and of similar size. The product must have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items shall be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

##### 1.4.2.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

##### 1.4.2.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site must not be used, unless specified otherwise.

#### 1.5 DELIVERY, STORAGE, AND HANDLING

Devices and equipment must be visually inspected by the Contractor when received and prior to acceptance from conveyance. Protect stored items from the environment in accordance with the manufacturer's published instructions. Replace damaged items. Store oil filled transformers and switches in accordance with the manufacturer's requirements. Store wood poles held in storage for more than 2 weeks in accordance with ATIS ANSI O5.1. Handle wood poles in accordance with ATIS ANSI O5.1, except that pointed tools capable of producing indentations more than inch in depth must not be used. Nails and holes are not permitted in top of poles.

#### 1.6 WARRANTY

The equipment items must be supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

## PART 2 PRODUCTS

### 2.1 MATERIALS AND EQUIPMENT

Consider materials specified herein or shown on contract drawings which are identical to materials listed in [RUS 202-1](#) as conforming to requirements. Provide equipment and component items, not hot-dip galvanized, with corrosion-resistant finishes which must withstand 120 hours of exposure to the salt spray test specified in [ASTM B117](#) without loss of paint or release of adhesion of the paint primer coat to the metal surface in excess of [1/16 inch](#) from the test mark. Provide the described test mark and test evaluation in accordance with [ASTM D1654](#) with a rating of not less than 7 in accordance with TABLE 1, (procedure A). Coat cut edges or otherwise damaged surfaces of hot-dip galvanized sheet steel or mill galvanized sheet steel with a zinc rich paint conforming to the manufacturer's standard.

### 2.2 POLES

Pole heights as noted on the drawings represent the above-grade installed height. Provide poles of overall length as required to achieve the minimum setting depth per table 3.1.1.1.

#### 2.2.1 Wood Poles

Wood poles machine trimmed by turning, Southern Yellow Pine conforming to [ATIS ANSI O5.1](#). Gain, bore and roof poles before treatment. Should additional gains be required subsequent to treatment, metal gain plates must be provided. Pressure treat poles with chromated copper arsenate (CCA), . Ensure the quality of each pole with "WQC" (wood quality control) brand on each piece.

### 2.3 HARDWARE

Hardware must be hot-dip galvanized in accordance with [ASTM A153/A153M](#) and [ASTM A123/A123M](#).

Zinc-coated hardware must comply with [IEEE C135.1](#), [IEEE C135.2](#), [IEEE C135.22](#). Steel hardware must comply with [ASTM A575](#) and [ASTM A576](#). Pole-line hardware must be hot-dip galvanized steel. Intall washers under boltheads and nuts on wood surfaces and elsewhere as required. Washers used on through-bolts must be approximately [2-1/4 inches square](#) and [3/16 inch](#) thick. The diameter of holes in washers must be the correct standard size for the bolt on which a washer is used. Washers for use under heads of carriage-bolts must be of the proper size to fit over square shanks of bolts. Use eye bolts, bolt eyes, eyenuts, strain-load plates, lag screws, guy clamps, fasteners, hooks, shims, and clevises wherever required to support and to protect poles and guy wires.

### 2.4 OVERHEAD CONDUCTORS, CONNECTORS AND SPLICES

Conductors of bare [copper-clad steel](#) of sizes and types indicated.

#### 2.4.1 Copper-Clad Steel

[ASTM B228](#), [concentric-lay-stranded copper-clad steel conductor](#).

### 2.5 GUY STRAND

[ASTM A475](#), high-strength , Class A or B, galvanized strand steel cable. G



Provide guy terminations designed for use with the particular strand and developing at least the ultimate breaking strength of the strand.

## 2.6 ROUND GUY MARKERS

Vinyl or PVC material, yellow colored, 8 feet long and shatter resistant at sub-zero temperatures.

### 2.6.1 Guy Attachment

Thimble eye guy attachment.

## 2.7 ANCHORS AND ANCHOR RODS

Anchors must present holding area indicated on drawings as a minimum. Anchor rods must be triple thimble-eye, 3/4 inch diameter by 8 feet long. Anchors and anchor rods must be hot dip galvanized.

### 2.7.1 Screw Anchors

Screw type anchors having a manufacturer's rating of not less than 3,000 pounds in loose to medium sand/clay soil, Class 6 and extra heavy pipe rods conforming to ASTM A53/A53M, Schedule 80, and couplings conforming to ASME B16.11,

## PART 3 EXECUTION

### 3.1 INSTALLATION

Perform excavating, backfilling, and other incidental labor. Pole configuration must be as indicated.

#### 3.1.1 Wood Pole Installation

Provide pole holes at least as large at the top as at the bottom and large enough to provide 4 inch clearance between the pole and side of the hole.

##### 3.1.1.1 Setting Depth of Pole

Pole setting depths must be as follows:

Length of Pole (feet)	Setting in Soil (feet)	Setting in Solid Rock (feet)
20	5.0	3.0
25	5.5	3.5
30	5.5	3.5
35	6.0	4.0
40	6.0	4.0
45	6.5	4.5

Length of Pole (feet)	Setting in Soil (feet)	Setting in Solid Rock (feet)
50	7.0	4.5
55	7.5	5.0
60	8.0	5.0
65	8.5	5.5
70	9.0	5.5
75	9.5	6.0
80	10.0	6.0
85	10.5	6.5
90	11.0	6.5
95	11.5	7.0
100	12.5	7.5

#### 3.1.1.2 Setting in Soil, Sand, and Gravel

"Setting in Soil" depths, as specified in paragraph entitled "Setting Depth of Pole," apply where the following occurs:

- a. Where pole holes are in soil, sand, or gravel or any combination of these;
- b. Where soil layer over solid rock is more than 2 feet deep;
- c. Where hole in solid rock is not substantially vertical; or
- d. Where diameter of hole at surface of rock exceeds approximately twice the diameter of pole at same level. At corners, dead ends and other points of extra strain, poles 40 feet or more long must be set 6 inches deeper.

#### 3.1.1.3 Setting in Solid Rock

"Setting in Solid Rock," as specified in paragraph SETTING DEPTH OF POLE, applies where poles are to be set in solid rock and where hole is substantially vertical, approximately uniform in diameter and large enough to permit use of tamping bars the full depth of hole.

#### 3.1.1.4 Setting With Soil Over Solid Rock

Where a layer of soil 2 feet or less in depth over solid rock exists, depth of hole must be depth of soil in addition to depth specified under "Setting in Solid Rock" in paragraph SETTING DEPTH OF POLE, provided, however, that such depth must not exceed depth specified under "Setting in Soil."

#### 3.1.1.5 Setting on Sloping Ground

On sloping ground, always measure hole depth from low side of hole.

#### 3.1.1.6 Backfill

Thoroughly tamp pole backfill for full depth of the hole and mound excess fill around the pole.

#### 3.1.1.7 Pole Caps

Provide plastic pole caps with 1/4 inch sealing rings and four nailing tabs. Fill sealing area with either a bituminous, elastigum roof cement or an acceptable preservative paste to level of sealing ring to eliminate possibility of condensation. Place on pole top and nail each tab down with a 1 1/4 inch nail.

#### 3.1.2 Anchors and Guys

Place anchors in line with strain. Indicate the length of the guy lead (distance from base of pole to the top of the anchor rod).

##### 3.1.2.1 Setting Anchors

Set anchors in place with anchor rod aligned with, and pointing directly at, guy attachment on the pole with the anchor rod projecting 6 to 9 inches out of ground to prevent burial of rod eye.

##### 3.1.2.2 Screw Anchors

Install screw anchors by torquing with boring machine.

##### 3.1.2.3 Guy Installation

Provide guys where indicated, with loads and strengths as indicated, and wherever conductor tensions are not balanced, such as at angles, corners and dead-ends. Where single guy will not provide the required strength, provide two or more guys. Where guys are wrapped around poles, at least two guy hooks must be provided. Provide pole shims where guy tension exceeds 6000 pounds. Provide guy clamps 6 inches in length with three 5/8 inch bolts, or offset-type guy clamps, or approved guy grips at each guy terminal. Securely clamp plastic guy marker to the guy or anchor at the bottom and top of marker. Complete anchor and guy installation, dead end to dead end, and tighten guy before wire stringing and sagging is begun on that line section. Effectively ground and bond guys to the lightning protection counterpoise.

#### 3.1.3 Hardware

Provide hardware with washer against wood and with nuts and lock nuts applied wrench tight. Provide locknuts on threaded hardware connections. Locknuts must be M-F style and not palnut style.

-- End of Section --

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