

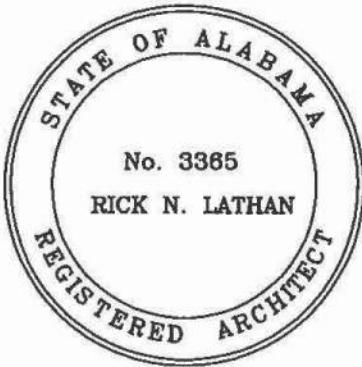
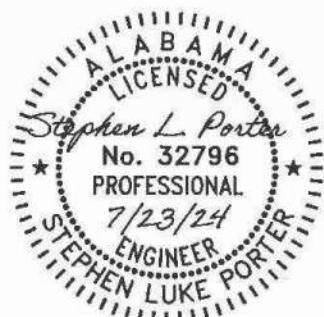
### 3.0 - EXECUTION

#### 3.1 Application

##### A. Slab-On Ground Construction (Minimum application)

1. Apply an over-all treatment under entire surface of floor slab including terraces and entrance platforms. Apply at rate of 1 gallon per 10 square feet, except that if fill under slab is gravel or other absorbent material, apply at rate of 1-1/2 gallons per 10 square feet.
2. Apply to critical areas along both sides of foundation wall expansion joints, around plumbing, utility services and other features that penetrate the slab at rate of 1 gallon per 2-1/2 lineal feet per foot of depth.
3. Voids of unit masonry foundation walls. Apply to voids at rate of 1 gallon per 5 lineal feet.

END OF SECTION



ARCHITECT'S JOB NO. 23-128B

DATED: July 30, 2024

NEW MULTI-PURPOSE FACILITY  
FOR THE CITY OF WINFIELD, AL  
PACKAGE B

OWNER  
CITY OF WINFIELD, ALABAMA  
111 BANKHEAD HIGHWAY  
WINFIELD, AL 35594

COUNCIL MEMBERS

LARRY REESE  
TIM GARRISON  
KEITH POLLARD  
JEANA ESTES  
JAMES ODUM

Council Member  
Council Member  
Council Member  
Council Member  
Council Member

MR. RANDY PRICE

Mayor

 **LATHAN**  
ARCHITECTS

**SPECIFICATION INDEX**  
**NEW MULTI-PURPOSE FACILITY OF THE CITY OF WINFIELD, ALABAMA**  
**Architect's Job No. 23-128**

Title Sheet  
Index  
Project Team List  
List of Drawings  
Qualifying Conditions for General Contractors

**BIDDING REQUIREMENTS**

Pre-Bid Procedures  
Pre-Bid RFI Form  
Digital Plan Room Sign-on Instructions  
Instructions to Bidders  
Supplementary Instructions to Bidders  
Proposal Form  
Attachment - Accounting of Sales Tax  
Attachment B - Immigration Compliance  
Bid Bond

**CONTRACT FORMS**

Standard Form of Agreement between Owner and Contractor  
Performance Bond  
Payment Bond  
State of Alabama Disclosure Statement  
Application and Certificate for Payment  
Inventory of Stored Material  
Schedule of Values  
Progress Schedule and Report  
Change Order  
General Contractor's Five Year Roofing Guarantee  
General Contractor's Five Year Building Envelope Guarantee  
Certificate of Substantial Completion  
Sample Form of Advertisement of Completion  
Consent of Surety to Final Payment  
Contractor's Affidavit of Payment of Debts and Claims  
Contractor's Affidavit of Release of Liens

**CONDITIONS OF THE CONTRACT**

General Conditions of the Contract for Construction

**DIVISION 1 - GENERAL REQUIREMENTS**

01010 Alternates  
01020 Allowances  
01025 Summary of Work  
01030 Special Project Requirements  
    • Geotechnical Report  
01035 Special Project Procedures  
01040 Project Coordination  
01045 Cutting and Patching  
01200 Temporary Facilities and Controls  
01300 Quality Control Services  
01350 Shop Drawing Submittals  
    • Electronic Submittal Agreement

01360	Product Substitution Procedures
	• Prior Approval / Substitution Request Form
01400	Materials and Equipment
01410	QA/QC, Structural Tests, and Special Inspections
	• Statement of Special Inspections
	• Schedule of Inspection and Testing Agencies
	• Final Report of Special Inspections
	• Agent's Final Report
	• Contractor's Statement of Responsibility
	• Fabricator's Certification of Compliance
	• Special Inspections Schedule
01500	Selective Demolition
01700	Project Clean-Up
01800	Change Order Procedures
01900	Warranties
01910	Contract Close Out

#### **DIVISION 2 - SITE WORK**

02056	Embankment, Borrow and Backfill
02072	Selective Demolition
02100	Site Preparation & Unclassified Excavation
02276	Temporary Erosion Control
02280	Soil Poisoning
02410	Lawns and Planting
02505	Aggregate Surface Course
02510	Trenching, Backfill and Compaction
02810	Fences and Gates
02923	Sodding
02936	Seeding and Mulching

#### **DIVISION 3 – CONCRETE**

03100	Concrete Formwork
03110	Concrete Reinforcement
03200	Fire Hydrants
03210	Watermain Taps
03220	Gate Valves
03230	Watermain Installation
03300	Cast-In-Place Concrete
03301	Portland Cement Concrete
03340	Ductile Iron Pipe and Fitting for Watermains
03345	Concrete Finishing
03350	Polyvinyl Chloride Pipe for Watermain
03375	Curb and Gutter
03500	Hot-Mixed Asphalt
03530	Traffic Stripe, Marking and Legends
03900	Concrete Sawing and Coring
33300	Polyvinyl Chloride Pipe for Gravity Sanitary Sewer
33330	Gravity Sanitary Sewer Installation

#### **DIVISION 4 - MASONRY**

04200	Unit Masonry
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#### **DIVISION 5 - METALS**

05120	Structural Steel
05500	Miscellaneous Metals

#### **DIVISION 6 - CARPENTRY**

06100	Rough Carpentry
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06210 Finish Carpentry

**DIVISION 7 - MOISTURE PROTECTION**

07180 Solvent Type Dampproofing Coating  
07210 Building Insulation  
07213 Pre-Engineered Building Insulation  
07910 Caulking and Sealants

**DIVISION 8 - WINDOWS AND DOORS**

08110 Hollow Metal Doors & Frames  
08215 Flush Wood Doors  
08332 Coiling Counter Doors  
08420 Aluminum-Framed Entrances and Storefronts  
08710 Finish Hardware  
08810 Glass and Glazing

**DIVISION 9 - FINISHES**

09510 Acoustical Panel Ceilings  
09520 Fiberglass Reinforced Plastic Panels  
09625 Modular Athletic Flooring  
09648 Gymnasium Wood Flooring System  
09653 Resilient Rubber Base and Accessories  
09658 Luxury Vinyl Tile Flooring  
09672 Epoxy Resinous Flake Flooring  
09800 Acoustical Panel Treatment  
09910 Paint

**DIVISION 10 - SPECIALTIES**

10426 Identifying Devices  
10531 Rod-Supported Extruded Aluminum Canopy  
10800 Toilet Accessories

**DIVISION 11 - SPECIAL CONSTRUCTION**

11480 Gymnasium Equipment  
11481 Gymnasium Divider Curtain

**DIVISION 12 - FURNITURE AND FURNISHINGS**

12150 Miscellaneous Furnishing and Fixtures  
12300 Laminate Clad Casework  
12760 Telescoping Gym Seats Specifications

**DIVISION 13 – SPECIAL CONSTRUCTION**

13120 Steel Building Systems

**DIVISION 15 - MECHANICAL – HVAC**

15010 General Provisions - HVAC  
15020 Testing, Balancing and Adjusting (TBA)  
15050 Materials and Methods  
15080 Piping Specialties  
15180 Insulation  
15205 Air Purification System  
15760 Heat Pump Units  
15770 Roof Top Units  
15775 Electric Heaters  
15820 Fans  
15840 Ductwork  
15860 Duct Accessories

15870	Outlets
15880	Filters
15900	Controls

#### **DIVISION 15 - PLUMBING AND FIRE PROTECTION**

15405	Plumbing Identifications
15410	General Provisions
15420	Testing, Cleaning and Adjusting (TCA)
15450	Material and Methods
15451	General Fire Protection Requirements
15453	Basic Fire Protection Materials and Methods
15455	Fire Protection System
15480	Insulation
15490	Fixtures and Equipment

#### **DIVISION 16 - ELECTRICAL**

16010	Common Work Results for Electrical
16012	Electrical Submittals
16014	Electrical Identification
16110	Raceways
16120	Conductors
16121	Grounding
16130	Outlet Boxes, Junction Boxes and Gutters
16136	Hangers and Supports for Electrical Systems
16140	Wiring Devices
16145	Lighting Control Devices
16155	Wiring Connections
16170	Disconnects
16171	Enclosed Switches and Circuit Breakers
16180	Fuses
16231	Engine Generators
16271	Low-Voltage Electrical Service Entrance
16289	Surge Protective Devices
16423	Generator Docking Stations
16442	Panelboards
16460	Dry Type Transformers
16510	Lighting
16703	Fire Detection and Alarm

#### **PRE-CONSTRUCTION CONFERENCE AGENDA (Sample)**

NOTE: This Index is for convenience only. Its accuracy and completeness are not guaranteed, and it is not to be considered part of the Specifications. In case of discrepancy, the Specifications shall govern. Certain items may be included by means of notes on the Drawings; such items are not necessarily covered in the Specifications. Contractor shall verify all existing conditions and all dimensions at the project site.

**TEAM LIST**  
**NEW MULTI-PURPOSE FACILITY OF THE CITY OF WINFIELD, ALABAMA**  
**Architect's Job No. 23-128**

**OWNER:** CITY OF WINFIELD, ALABAMA  
111 Bankhead Highway  
Winfield, AL 35594

**ARCHITECT:** LATHAN ASSOCIATES ARCHITECTS, P. C.  
300 Chase Park South, Suite 200  
Hoover, AL 35244  
Contact: [rfi@lathanassociates.com](mailto:rfi@lathanassociates.com)

**CIVIL:** PORTER-HIGGENBOTHAM ENGINEERING, INC.  
204 Temple Ave South, Suite 1H  
Fayette, AL 35555

**STRUCTURAL:** STRUCTURAL DESIGN GROUP  
300 Chase Park South, Suite 125  
Hoover, AL 35244

**MECHANICAL/  
PLUMBING/  
ELECTRICAL:** DEWBERRY ENGINEERS, INC.  
Riverchase Office Plaza #2, Suite 205  
Hoover, AL 35244

**LIST OF DRAWINGS**  
**NEW MULTI-PURPOSE FACILITY OF THE CITY OF WINFIELD, ALABAMA**  
**Architect's Job No. 23-128**

**DRAWINGS INDEX** **(SET 70 TOTAL SHEETS)**

**GENERAL (2 SHEETS)**

- T1 TITLE AND INDEX
- LS1.0 LIFE SAFETY PLAN

**CIVIL DRAWINGS (11 SHEETS)**

- C1.0 CIVIL NOTES AND LEGEND
- C2.0 EXISTING SURVEY AND DEMOLITION PLAN
- C3.0 SITE LAYOUT PLAN
- C3.1 SITE LAYOUT PLAN
- C4.0 SITE GRADING PLAN
- C5.0 PROPOSED DRAINAGE PLAN
- C6.0 PROPOSED UTILITY PLAN
- C7.0 EROSION AND SEDIMENT CONTROL PLAN SHEET - FINAL
- C8.0 CIVIL DETAILS
- C8.1 CIVIL DETAILS
- C8.2 CIVIL DETAILS

**ARCHITECTURAL DRAWINGS (33 SHEETS)**

- A1.0 ARCHITECTURAL SITE PLAN
- A2.1 FLOOR PLAN
- A2.1B FLOOR PLAN (BASE BID)
- A2.2 ROOF PLAN
- A2.3 DOOR AND WINDOW SCHEDULE
- A2.4 DETAILS
- A2.5B DETAILS AND PARTITION SCHEDULE (BASE BID)
- A3.1 BUILDING ELEVATIONS
- A3.1B BUILDING ELEVATIONS (BASE BID)
- A3.2.1 BUILDING ELEVATION AND SECTIONS
- A3.2.1B BUILDING ELEVATION AND SECTIONS (BASE BID)
- A3.2.2 BUILDING SECTION AND DETAILS
- A3.3.1 WALL SECTIONS
- A3.3.2 WALL SECTIONS
- A3.3.3B WALL SECTIONS (BASE BID)
- A4 STAIR PLAN, SECTION, AND DETAILS
- A5.1 ENLARGED TOILER PLAN, INTERIOR ELEVATIONS, AND LEGEND
- A5.1B ENLARGED TOILET PLAN, INTERIOR ELEVATIONS, AND LEGEND (BASE BID)
- A5.2 DETAILS
- A5.2B DETAILS (BASE BID)
- A6.1 INTERIOR ELEVATIONS AND DETAILS
- A6.2 DETAILS
- A6.3 FULL WALL GYM ELEVATIONS

## QUALIFYING CONDITIONS FOR GENERAL CONTRACTORS:

The following conditions and terms may be required upon Owner's request and it shall be each Contractor's responsibility to ensure that they meet the minimum requirements set forth.

General Contractors wishing to bid on this project shall meet the following minimum provisions regarding responsibility, in addition to all other requirements listed herein: Contractor shall have constructed not less than one project of similar size and complexity within the last five (5) years, with similar costs prorated for construction cost increases and Contractor shall be capable of 100% bonding of materials and 100% bonding of labor. All General Contractors wishing to bid shall have a minimum of five (5) years of experience doing business under the same firm name in which the bids are submitted. Joint venture contracts will not be approved.

Each General Contractor shall submit a list of all educational projects within the last five years and a statement from the Owners certifying faithful performance that construction completion was, or will be, obtained without protracted delay and/or defective work for the project. Full explanation should be submitted for any delayed completion. Inexperienced or non-responsible contractors are precluded from bidding and award.

Each General Contractor shall submit names and qualifications of main construction personnel to be placed on this project. The proposed project superintendent and the project manager shall have a minimum of five (5) years of work experience in their respective positions in managing and constructing projects similar in size, complexity and cost. Resumes of project superintendent and project manager shall be submitted. The Owner reserves the right of approval of the project superintendent.

Equivalent experience and qualifications will be considered where the bidder can demonstrate special management and construction abilities, expert workmen and past experience in constructing similar complex structures of similar size and cost such as hospitals, college buildings, multi-story office buildings, court houses, jails, hotels, etc. No consideration will be given to wood frame, residential projects, parking structures, small one-story strip shopping centers, warehouses and industrial buildings, etc. Under this provision of equivalency, no consideration or award will be given to any contractor whose comparable project value is less than 50% of the value of the project under bid.

Each General Contractor bidding on this project will be required to demonstrate that his major Subcontractors are capable of pre-qualifying under the same conditions stated above.

All personnel required on the job site must at all times be in possession of **state issued** photo identification subject to examination by Owner or their representative. Other security requirements may also be in place and is the responsibility of the General Contractor to abide by all school rules.

The Owner and its representatives shall be the sole judge of the Contractor meeting the requirements set forth. The Owner's decision in determining qualified General Contractors will be final. The Owner reserves the right to act in its best interests in this determination process to waive all technicalities and informalities and to select the best qualified responsible General Contractors who comply with the above stated provisions.

All of the above information shall be required upon the Owner's request and may be considered a condition for award of contract.

## PRE-BID PROCEDURES

### OBTAINING PLANS AND SPECIFICATIONS

#### A. General Contractors

General Contractors must contact the office of the Architect to receive an Application for Bid and give the following information about their company:

1. Name, address, phone, email address, Alabama General Contractor's License Number, Bid Limit, and Bid Classification as it appears on current license. This is required in order for Architect to verify that Contractor is currently licensed in a classification that qualifies the General Contractor to bid on the subject project.
2. Upon receipt of the completed Application for Bid Form, General Contractor Bidders may obtain digital copies of drawings and specifications from the Architect. General Contractors will then be placed on Official Bidders List. Hard copy sets of drawings/ specifications will be available to General Contractors for purchase directly from the document printer: Alabama Graphics. Addenda and other proposal information will be issued only to holders of drawings and specifications distributed by the Architect and on the Official Bidders List. Release of contract documents to the bidder does not imply acceptance of the bidder's qualifications by the Owner or Architect.
3. Bids received from General Contractors who are not on the Official Bidders List may not be accepted or opened. Lathan Associates Architects, P.C. makes no guarantee for plans and specifications obtained by Contractors and Vendors from sources other than the Architect or the designated contract printer.
4. The following Plan Room is used:
  - a. Alabama Graphics Digital Plan Room is also used. See attachment for contact information. Project Password is Lathan.

5. Addenda are only sent to the Plan Rooms, the Awarding Authority and the General Contractors who are on the Official Bidders List. Addenda are not sent to Subcontractors and/or Vendors.
6. CAD files will not be sent by the Architect, Engineers or Consultants to Contractors for bid purposes.

#### B. Subcontractors and Vendors

1. Subcontractors and Vendors may view and/or obtain plans and/or specifications from the following sources:
  - a. Alabama Graphics Digital Plan Room.
  - b. General Contractors
2. Architect's office will not release plans and specifications to Subcontractors or Vendors.
3. Official Bidders List is available on Alabama Graphics Digital Plan Room.
  - a. So that we may maintain an updated Official Bidders List, as a courtesy, we ask that Contractor submit an email to the office of the Architect if they would like to withdraw.

### REQUEST FOR INFORMATION (RFI's)

- A. All RFI's must be numbered and made in writing to the Architect's email [rfi@lathanassociates.com](mailto:rfi@lathanassociates.com). Please include your name, company name and telephone number, so that we may respond appropriately. **VERBAL RFI'S WILL NOT BE ANSWERED. ALL RFI'S MUST BE IN WRITING.**
- B. The Team List provided within the Specification Manual is for informational purposes only and should

not be used to contact Engineers and/or Consultants directly with questions regarding the project.

- C. All questions that need to be directed to an Engineer / Consultant must be routed through the Architect's office. If applicable, the Architect will contact the appropriate Engineer / Consultant for information.
- D. Bids shall be based upon the official Contract Documents consisting of Plans, Specifications and Addenda. Architect assumes no responsibility for information used by Contractors outside the official Contract Documents.
- E. We will not respond to any correspondence received via any e-mail other than the one listed.

#### **REQUESTS FOR PRODUCT APPROVAL**

- A. All Requests for Product Approval must be made in writing to the office of the Architect. Requests must be accompanied by Product Substitution Form completed and signed found in Specification Section - 01360 and should be emailed to Lathan Associates Architects, [rfi@lathanassociates.com](mailto:rfi@lathanassociates.com). Please include your name, company name, telephone number, email address so that we may respond appropriately.
- B. Vendor/Contractor submitting Request for Product Approval must submit data sheets and other such project specific fact-based documentation for substitution with items clearly marked to show compliance with product originally specified. Request must identify model number of substitution that complies with product originally specified. **Architect and Interior Design staff will not review Requests for Product Approval that are catalogs and/or binders of manufactured products without separate details showing comparison between specified product and requested substitution.**
- C. Products approved by Architect, Interior Designer, Engineer and/or Consultant shall be contingent upon meeting or exceeding the specification and drawing requirements. All approved requests for product approval shall be acknowledged in writing via Addendum.
- D. The Team List provided within the Specification Manual is for informational purposes only and should not be used to contact Engineers and/or Consultants directly with requests for product approval. No product approval shall be considered unless submitted through the Architect.

## PRE-BID REQUEST FOR INFORMATION FORM

Date: \_\_\_\_\_

Company Submitting Request: \_\_\_\_\_

Contact Name: \_\_\_\_\_ Phone: \_\_\_\_\_

E-Mail: \_\_\_\_\_

Project Name: \_\_\_\_\_

Architect Job No.: \_\_\_\_\_

Send to [rfi@lathanassociates.com](mailto:rfi@lathanassociates.com)

RFI NO. \_\_\_\_\_

### RESPONSE:

For Architect's Use:  
Reviewed By / Date: \_\_\_\_\_  
Responded By / Date: \_\_\_\_\_  
Processed by Addendum No. \_\_\_\_\_  
Comments: \_\_\_\_\_

## Digital Plan Room Sign-On Instructions

To access the Digital Plan Room, please click on the following link. You will want to add this as a trusted site for future emails.

<https://www.algraphicsplanroom.com>

You will need to register to the plan room as a user. Click “**Log In**” on lower left side. You will need to do a search to see if your company already exists on the plans room. Once you register your company and contact information click on “**Private Jobs with Passwords**” and enter the password provided.

Password for this project is lathan.

For technical assistance please call, Customer Service 205.252.8505 or  
[customerservice@algraphics.com](mailto:customerservice@algraphics.com).



## Instructions to Bidders

for the following Project:  
(Name, location, and detailed description)

**THE OWNER:**  
(Name, legal status, address, and other information)

**THE ARCHITECT:**  
(Name, legal status, address, and other information)

**ADDITIONS AND DELETIONS:**  
The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

FEDERAL, STATE, AND LOCAL LAWS MAY IMPOSE REQUIREMENTS ON PUBLIC PROCUREMENT CONTRACTS. CONSULT LOCAL AUTHORITIES OR AN ATTORNEY TO VERIFY REQUIREMENTS APPLICABLE TO THIS PROCUREMENT BEFORE COMPLETING THIS FORM.

It is intended that AIA Document G612™-2017, Owner's Instructions to the Architect, Parts A and B will be completed prior to using this document.

### TABLE OF ARTICLES

- 1 DEFINITIONS
- 2 BIDDER'S REPRESENTATIONS
- 3 BIDDING DOCUMENTS
- 4 BIDDING PROCEDURES
- 5 CONSIDERATION OF BIDS
- 6 POST-BID INFORMATION
- 7 PERFORMANCE BOND AND PAYMENT BOND
- 8 ENUMERATION OF THE PROPOSED CONTRACT DOCUMENTS

## ARTICLE 1 DEFINITIONS

§ 1.1 Bidding Documents include the Bidding Requirements and the Proposed Contract Documents. The Bidding Requirements consist of the advertisement or invitation to bid, Instructions to Bidders, supplementary instructions to bidders, the bid form, and any other bidding forms. The Proposed Contract Documents consist of the unexecuted form of Agreement between the Owner and Contractor and that Agreement's Exhibits, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, all Addenda, and all other documents enumerated in Article 8 of these Instructions.

§ 1.2 Definitions set forth in the General Conditions of the Contract for Construction, or in other Proposed Contract Documents apply to the Bidding Documents.

§ 1.3 Addenda are written or graphic instruments issued by the Architect, which, by additions, deletions, clarifications, or corrections, modify or interpret the Bidding Documents.

§ 1.4 A Bid is a complete and properly executed proposal to do the Work for the sums stipulated therein, submitted in accordance with the Bidding Documents.

§ 1.5 The Base Bid is the sum stated in the Bid for which the Bidder offers to perform the Work described in the Bidding Documents, to which Work may be added or deleted by sums stated in Alternate Bids.

§ 1.6 An Alternate Bid (or Alternate) is an amount stated in the Bid to be added to or deducted from, or that does not change, the Base Bid if the corresponding change in the Work, as described in the Bidding Documents, is accepted.

§ 1.7 A Unit Price is an amount stated in the Bid as a price per unit of measurement for materials, equipment, or services, or a portion of the Work, as described in the Bidding Documents.

§ 1.8 A Bidder is a person or entity who submits a Bid and who meets the requirements set forth in the Bidding Documents.

§ 1.9 A Sub-bidder is a person or entity who submits a bid to a Bidder for materials, equipment, or labor for a portion of the Work.

## ARTICLE 2 BIDDER'S REPRESENTATIONS

§ 2.1 By submitting a Bid, the Bidder represents that:

- .1 the Bidder has read and understands the Bidding Documents;
- .2 the Bidder understands how the Bidding Documents relate to other portions of the Project, if any, being bid concurrently or presently under construction;
- .3 the Bid complies with the Bidding Documents;
- .4 the Bidder has visited the site, become familiar with local conditions under which the Work is to be performed, and has correlated the Bidder's observations with the requirements of the Proposed Contract Documents;
- .5 the Bid is based upon the materials, equipment, and systems required by the Bidding Documents without exception; and
- .6 the Bidder has read and understands the provisions for liquidated damages, if any, set forth in the form of Agreement between the Owner and Contractor.

## ARTICLE 3 BIDDING DOCUMENTS

### § 3.1 Distribution

§ 3.1.1 Bidders shall obtain complete Bidding Documents, as indicated below, from the issuing office designated in the advertisement or invitation to bid, for the deposit sum, if any, stated therein.

*(Indicate how, such as by email, website, host site/platform, paper copy, or other method Bidders shall obtain Bidding Documents.)*

**§ 3.1.2** Any required deposit shall be refunded to Bidders who submit a bona fide Bid and return the paper Bidding Documents in good condition within ten days after receipt of Bids. The cost to replace missing or damaged paper documents will be deducted from the deposit. A Bidder receiving a Contract award may retain the paper Bidding Documents, and the Bidder's deposit will be refunded.

**§ 3.1.3** Bidding Documents will not be issued directly to Sub-bidders unless specifically offered in the advertisement or invitation to bid, or in supplementary instructions to bidders.

**§ 3.1.4** Bidders shall use complete Bidding Documents in preparing Bids. Neither the Owner nor Architect assumes responsibility for errors or misinterpretations resulting from the use of incomplete Bidding Documents.

**§ 3.1.5** The Bidding Documents will be available for the sole purpose of obtaining Bids on the Work. No license or grant of use is conferred by distribution of the Bidding Documents.

**§ 3.2 Modification or Interpretation of Bidding Documents**

**§ 3.2.1** The Bidder shall carefully study the Bidding Documents, shall examine the site and local conditions, and shall notify the Architect of errors, inconsistencies, or ambiguities discovered and request clarification or interpretation pursuant to Section 3.2.2.

**§ 3.2.2** Requests for clarification or interpretation of the Bidding Documents shall be submitted by the Bidder in writing and shall be received by the Architect at least seven days prior to the date for receipt of Bids.

*(Indicate how, such as by email, website, host site/platform, paper copy, or other method Bidders shall submit requests for clarification and interpretation.)*

**§ 3.2.3** Modifications and interpretations of the Bidding Documents shall be made by Addendum. Modifications and interpretations of the Bidding Documents made in any other manner shall not be binding, and Bidders shall not rely upon them.

**§ 3.3 Substitutions**

**§ 3.3.1** The materials, products, and equipment described in the Bidding Documents establish a standard of required function, dimension, appearance, and quality to be met by any proposed substitution.

**§ 3.3.2 Substitution Process**

**§ 3.3.2.1** Written requests for substitutions shall be received by the Architect at least ten days prior to the date for receipt of Bids. Requests shall be submitted in the same manner as that established for submitting clarifications and interpretations in Section 3.2.2.

**§ 3.3.2.2** Bidders shall submit substitution requests on a Substitution Request Form if one is provided in the Bidding Documents.

**§ 3.3.2.3** If a Substitution Request Form is not provided, requests shall include (1) the name of the material or equipment specified in the Bidding Documents; (2) the reason for the requested substitution; (3) a complete description of the proposed substitution including the name of the material or equipment proposed as the substitute, performance and test data, and relevant drawings; and (4) any other information necessary for an evaluation. The request shall include a statement setting forth changes in other materials, equipment, or other portions of the Work, including changes in the work of other contracts or the impact on any Project Certifications (such as LEED), that will result from incorporation of the proposed substitution.

**§ 3.3.3** The burden of proof of the merit of the proposed substitution is upon the proposer. The Architect's decision of approval or disapproval of a proposed substitution shall be final.

**§ 3.3.4** If the Architect approves a proposed substitution prior to receipt of Bids, such approval shall be set forth in an Addendum. Approvals made in any other manner shall not be binding, and Bidders shall not rely upon them.

§ 3.3.5 No substitutions will be considered after the Contract award unless specifically provided for in the Contract Documents.

**§ 3.4 Addenda**

§ 3.4.1 Addenda will be transmitted to Bidders known by the issuing office to have received complete Bidding Documents.

*(Indicate how, such as by email, website, host site/platform, paper copy, or other method Addenda will be transmitted.)*

§ 3.4.2 Addenda will be available where Bidding Documents are on file.

§ 3.4.3 Addenda will be issued no later than four days prior to the date for receipt of Bids, except an Addendum withdrawing the request for Bids or one which includes postponement of the date for receipt of Bids.

§ 3.4.4 Prior to submitting a Bid, each Bidder shall ascertain that the Bidder has received all Addenda issued, and the Bidder shall acknowledge their receipt in the Bid.

**ARTICLE 4 BIDDING PROCEDURES**

**§ 4.1 Preparation of Bids**

§ 4.1.1 Bids shall be submitted on the forms included with or identified in the Bidding Documents.

§ 4.1.2 All blanks on the bid form shall be legibly executed. Paper bid forms shall be executed in a non-erasable medium.

§ 4.1.3 Sums shall be expressed in both words and numbers, unless noted otherwise on the bid form. In case of discrepancy, the amount entered in words shall govern.

§ 4.1.4 Edits to entries made on paper bid forms must be initialed by the signer of the Bid.

§ 4.1.5 All requested Alternates shall be bid. If no change in the Base Bid is required, enter "No Change" or as required by the bid form.

§ 4.1.6 Where two or more Bids for designated portions of the Work have been requested, the Bidder may, without forfeiture of the bid security, state the Bidder's refusal to accept award of less than the combination of Bids stipulated by the Bidder. The Bidder shall neither make additional stipulations on the bid form nor qualify the Bid in any other manner.

§ 4.1.7 Each copy of the Bid shall state the legal name and legal status of the Bidder. As part of the documentation submitted with the Bid, the Bidder shall provide evidence of its legal authority to perform the Work in the jurisdiction where the Project is located. Each copy of the Bid shall be signed by the person or persons legally authorized to bind the Bidder to a contract. A Bid by a corporation shall further name the state of incorporation and have the corporate seal affixed. A Bid submitted by an agent shall have a current power of attorney attached, certifying the agent's authority to bind the Bidder.

§ 4.1.8 A Bidder shall incur all costs associated with the preparation of its Bid.

**§ 4.2 Bid Security**

§ 4.2.1 Each Bid shall be accompanied by the following bid security:

*(Insert the form and amount of bid security.)*

§ 4.2.2 The Bidder pledges to enter into a Contract with the Owner on the terms stated in the Bid and shall, if required, furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder. Should the Bidder refuse to enter into such Contract or fail to furnish such bonds if required, the amount of the bid security shall be forfeited to the Owner as liquidated damages, not as a penalty. In the event the Owner fails to comply with Section 6.2, the amount of the bid security shall not be forfeited to the Owner.

**§ 4.2.3** If a surety bond is required as bid security, it shall be written on AIA Document A310™, Bid Bond, unless otherwise provided in the Bidding Documents. The attorney-in-fact who executes the bond on behalf of the surety shall affix to the bond a certified and current copy of an acceptable power of attorney. The Bidder shall provide surety bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

**§ 4.2.4** The Owner will have the right to retain the bid security of Bidders to whom an award is being considered until (a) the Contract has been executed and bonds, if required, have been furnished; (b) the specified time has elapsed so that Bids may be withdrawn; or (c) all Bids have been rejected. However, if no Contract has been awarded or a Bidder has not been notified of the acceptance of its Bid, a Bidder may, beginning days after the opening of Bids, withdraw its Bid and request the return of its bid security.

**§ 4.3 Submission of Bids**

**§ 4.3.1** A Bidder shall submit its Bid as indicated below:

*(Indicate how, such as by website, host site/platform, paper copy, or other method Bidders shall submit their Bid.)*

**§ 4.3.2** Paper copies of the Bid, the bid security, and any other documents required to be submitted with the Bid shall be enclosed in a sealed opaque envelope. The envelope shall be addressed to the party receiving the Bids and shall be identified with the Project name, the Bidder's name and address, and, if applicable, the designated portion of the Work for which the Bid is submitted. If the Bid is sent by mail, the sealed envelope shall be enclosed in a separate mailing envelope with the notation "SEALED BID ENCLOSED" on the face thereof.

**§ 4.3.3** Bids shall be submitted by the date and time and at the place indicated in the invitation to bid. Bids submitted after the date and time for receipt of Bids, or at an incorrect place, will not be accepted.

**§ 4.3.4** The Bidder shall assume full responsibility for timely delivery at the location designated for receipt of Bids.

**§ 4.3.5** A Bid submitted by any method other than as provided in this Section 4.3 will not be accepted.

**§ 4.4 Modification or Withdrawal of Bid**

**§ 4.4.1** Prior to the date and time designated for receipt of Bids, a Bidder may submit a new Bid to replace a Bid previously submitted, or withdraw its Bid entirely, by notice to the party designated to receive the Bids. Such notice shall be received and duly recorded by the receiving party on or before the date and time set for receipt of Bids. The receiving party shall verify that replaced or withdrawn Bids are removed from the other submitted Bids and not considered. Notice of submission of a replacement Bid or withdrawal of a Bid shall be worded so as not to reveal the amount of the original Bid.

**§ 4.4.2** Withdrawn Bids may be resubmitted up to the date and time designated for the receipt of Bids in the same format as that established in Section 4.3, provided they fully conform with these Instructions to Bidders. Bid security shall be in an amount sufficient for the Bid as resubmitted.

**§ 4.4.3** After the date and time designated for receipt of Bids, a Bidder who discovers that it made a clerical error in its Bid shall notify the Architect of such error within two days, or pursuant to a timeframe specified by the law of the jurisdiction where the Project is located, requesting withdrawal of its Bid. Upon providing evidence of such error to the reasonable satisfaction of the Architect, the Bid shall be withdrawn and not resubmitted. If a Bid is withdrawn pursuant to this Section 4.4.3, the bid security will be attended to as follows:

*(State the terms and conditions, such as Bid rank, for returning or retaining the bid security.)*

## ARTICLE 5 CONSIDERATION OF BIDS

**§ 5.1 Opening of Bids**

If stipulated in an advertisement or invitation to bid, or when otherwise required by law, Bids properly identified and received within the specified time limits will be publicly opened and read aloud. A summary of the Bids may be made available to Bidders.

### § 5.2 Rejection of Bids

Unless otherwise prohibited by law, the Owner shall have the right to reject any or all Bids.

### § 5.3 Acceptance of Bid (Award)

§ 5.3.1 It is the intent of the Owner to award a Contract to the lowest responsive and responsible Bidder, provided the Bid has been submitted in accordance with the requirements of the Bidding Documents. Unless otherwise prohibited by law, the Owner shall have the right to waive informalities and irregularities in a Bid received and to accept the Bid which, in the Owner's judgment, is in the Owner's best interests.

§ 5.3.2 Unless otherwise prohibited by law, the Owner shall have the right to accept Alternates in any order or combination, unless otherwise specifically provided in the Bidding Documents, and to determine the lowest responsive and responsible Bidder on the basis of the sum of the Base Bid and Alternates accepted.

## ARTICLE 6 POST-BID INFORMATION

### § 6.1 Contractor's Qualification Statement

Bidders to whom award of a Contract is under consideration shall submit to the Architect, upon request and within the timeframe specified by the Architect, a properly executed AIA Document A305™, Contractor's Qualification Statement, unless such a Statement has been previously required and submitted for this Bid.

### § 6.2 Owner's Financial Capability

A Bidder to whom award of a Contract is under consideration may request in writing, fourteen days prior to the expiration of the time for withdrawal of Bids, that the Owner furnish to the Bidder reasonable evidence that financial arrangements have been made to fulfill the Owner's obligations under the Contract. The Owner shall then furnish such reasonable evidence to the Bidder no later than seven days prior to the expiration of the time for withdrawal of Bids. Unless such reasonable evidence is furnished within the allotted time, the Bidder will not be required to execute the Agreement between the Owner and Contractor.

### § 6.3 Submittals

§ 6.3.1 After notification of selection for the award of the Contract, the Bidder shall, as soon as practicable or as stipulated in the Bidding Documents, submit in writing to the Owner through the Architect:

- .1 a designation of the Work to be performed with the Bidder's own forces;
- .2 names of the principal products and systems proposed for the Work and the manufacturers and suppliers of each; and
- .3 names of persons or entities (including those who are to furnish materials or equipment fabricated to a special design) proposed for the principal portions of the Work.

§ 6.3.2 The Bidder will be required to establish to the satisfaction of the Architect and Owner the reliability and responsibility of the persons or entities proposed to furnish and perform the Work described in the Bidding Documents.

§ 6.3.3 Prior to the execution of the Contract, the Architect will notify the Bidder if either the Owner or Architect, after due investigation, has reasonable objection to a person or entity proposed by the Bidder. If the Owner or Architect has reasonable objection to a proposed person or entity, the Bidder may, at the Bidder's option, withdraw the Bid or submit an acceptable substitute person or entity. The Bidder may also submit any required adjustment in the Base Bid or Alternate Bid to account for the difference in cost occasioned by such substitution. The Owner may accept the adjusted bid price or disqualify the Bidder. In the event of either withdrawal or disqualification, bid security will not be forfeited.

§ 6.3.4 Persons and entities proposed by the Bidder and to whom the Owner and Architect have made no reasonable objection must be used on the Work for which they were proposed and shall not be changed except with the written consent of the Owner and Architect.

## ARTICLE 7 PERFORMANCE BOND AND PAYMENT BOND

### § 7.1 Bond Requirements

§ 7.1.1 If stipulated in the Bidding Documents, the Bidder shall furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder.

§ 7.1.2 If the furnishing of such bonds is stipulated in the Bidding Documents, the cost shall be included in the Bid. If the furnishing of such bonds is required after receipt of bids and before execution of the Contract, the cost of such bonds shall be added to the Bid in determining the Contract Sum.

§ 7.1.3 The Bidder shall provide surety bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

§ 7.1.4 Unless otherwise indicated below, the Penal Sum of the Payment and Performance Bonds shall be the amount of the Contract Sum.

*(If Payment or Performance Bonds are to be in an amount other than 100% of the Contract Sum, indicate the dollar amount or percentage of the Contract Sum.)*

**§ 7.2 Time of Delivery and Form of Bonds**

§ 7.2.1 The Bidder shall deliver the required bonds to the Owner not later than three days following the date of execution of the Contract. If the Work is to commence sooner in response to a letter of intent, the Bidder shall, prior to commencement of the Work, submit evidence satisfactory to the Owner that such bonds will be furnished and delivered in accordance with this Section 7.2.1.

§ 7.2.2 Unless otherwise provided, the bonds shall be written on AIA Document A312, Performance Bond and Payment Bond.

§ 7.2.3 The bonds shall be dated on or after the date of the Contract.

§ 7.2.4 The Bidder shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix to the bond a certified and current copy of the power of attorney.

**ARTICLE 8 ENUMERATION OF THE PROPOSED CONTRACT DOCUMENTS**

§ 8.1 Copies of the proposed Contract Documents have been made available to the Bidder and consist of the following documents:

- .1 AIA Document A101™–2017, Standard Form of Agreement Between Owner and Contractor, unless otherwise stated below.

*(Insert the complete AIA Document number, including year, and Document title.)*

- .2 AIA Document A101™–2017, Exhibit A, Insurance and Bonds, unless otherwise stated below.

*(Insert the complete AIA Document number, including year, and Document title.)*

- .3 AIA Document A201™–2017, General Conditions of the Contract for Construction, unless otherwise stated below.

*(Insert the complete AIA Document number, including year, and Document title.)*

- .4 AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, dated as indicated below:

*(Insert the date of the E203–2013.)*

- .5 Drawings

	Number	Title	Date
.6	Specifications		
	Section	Title	Date
.7	Addenda:		Pages
	Number	Date	Pages
.8	Other Exhibits: <i>(Check all boxes that apply and include appropriate information identifying the exhibit where required.)</i>		
<input type="checkbox"/>	AIA Document E204™-2017, Sustainable Projects Exhibit, dated as indicated below: <i>(Insert the date of the E204-2017.)</i>		
<input type="checkbox"/>	The Sustainability Plan:		
	Title	Date	Pages
<input type="checkbox"/>	Supplementary and other Conditions of the Contract:		
	Document	Title	Date
.9	Other documents listed below: <i>(List here any additional documents that are intended to form part of the Proposed Contract Documents.)</i>		Pages

## **Additions and Deletions Report for AIA® Document A701™ – 2018**

This Additions and Deletions Report, as defined on page 1 of the associated document, reproduces below all text the author has added to the standard form AIA document in order to complete it, as well as any text the author may have added to or deleted from the original AIA text. Added text is shown underlined. Deleted text is indicated with a horizontal line through the original AIA text.

Note: This Additions and Deletions Report is provided for information purposes only and is not incorporated into or constitute any part of the associated AIA document. This Additions and Deletions Report and its associated document were generated simultaneously by AIA software at 11:27:19 CT on 04/12/2022.

*There are no differences.*

## ***Certification of Document's Authenticity***

**AIA® Document D401™ – 2003**

I, Nadine Sullivan, hereby certify, to the best of my knowledge, information and belief, that I created the attached final document simultaneously with its associated Additions and Deletions Report and this certification at 11:27:19 CT on 04/12/2022 under Order No. 2114279075 from AIA Contract Documents software and that in preparing the attached final document I made no changes to the original text of AIA® Document A701™ – 2018, Instructions to Bidders, as published by the AIA in its software, other than those additions and deletions shown in the associated Additions and Deletions Report.

*(Signed)*

*(Title)*

*(Dated)*

## SUPPLEMENTARY INSTRUCTIONS TO BIDDERS

### I. GENERAL INFORMATION

- A. Bidders must use Proposal Form (included in Project Manual) for submitting bid.
- B. All bids must be sealed and marked in the lower left hand corner: New Multi-Purpose Facility for the City of Winfield, AL, with opening time and date. General Contractor's License Number must be displayed on front of envelope. Late bids will not be opened.
- C. Bidders must use AIA Bid Bond Form (included in Project Manual) and submit along with bid.
- D. NOTICE OF SALES AND USE TAX  
The Contractor shall include sales and use taxes in bid. Contractor shall be responsible for purchasing all construction materials without sales tax exemption.
- E. Records showing successful bidder(s) and prices quoted will be placed on file with the Awarding Authority and may be examined upon request. If contract is awarded to someone other than lowest bidder a note of explanation will appear in the bid file.
- F. Use of trade names and numbers shall be interpreted as establishing a standard of quality and shall not be construed as limiting competition.

### II. DISQUALIFICATION OF BIDS

Bids may be disqualified before the awarding of the contract for any of the following:

- A. Failure to mark envelope and provide General Contractor's License Number as required.
- B. Failure to sign or notarize the bid document.
- C. Failure to include requested information or other details of the bid.
- D. Excessive errors.
- E. Failure to include bid bond.
- F. Failure to have an original signature on the bid form. Faxed and/or e-mailed copies of Proposal and Bid Bond are not acceptable.

### III. STANDARD FORM

The General Conditions of the Contract for Construction, Standard Forms of the American Institute of Architects, latest edition, are hereby made a part of this Specification.

Any controversy or claim rising out of, or relating to, the Contract between the Owner and the Contractor, or any breach thereof, shall be settled by arbitration.

### IV. METHOD OF AWARD

- A. The award will be made to the lowest responsible bidder meeting specifications. It is not the policy of the Owner to purchase on the basis of low bid only. Quality, conformity with specifications, purpose for which require terms of delivery, past service and experience are among the factors that may be considered in determining the responsible bidder.

- B. In the event the low bidder refuses to accept the entire requirements without deviation, the bid may be awarded to the next lowest bidder.
- C. This bid will be awarded to General Contractor, based on lowest total price meeting specifications.

V. PRICING

- A. Base Bid pricing shall be good for Thirty (30) days after date of Proposal. Alternate Proposals, if applicable, shall be guaranteed for Ninety (90) days after date of executed contract. Unit prices, if applicable, shall be guaranteed until the date of final acceptance of the project by the Owner.
- B. Prices shall include labor, materials, equipment, etc. necessary for complete work.

VI. WARRANTIES / SERVICE

Refer to Section 01900 for Warranty requirements in addition to the specific sections of Specifications. Close-Out Documentation and requirements are included in Section 01910.

VIII. BID BOND

A Bid Bond in the amount of five percent (5%) of total bid shall be provided with Proposal. Federal requirements do not allow for a limit on bid bond. Bonds with a "not to exceed" amount will be subject to rejection and, therefore, invalidate Proposal.

IX. BONDS

Performance and Payments Bonds which meets the requirements of The General Conditions of the Contract will be required with executed contracts.

X. INSURANCE

Insurance Certificates shall be included with Construction Contracts. In addition to Article 11 of The General Conditions of the Contract, the following insurance requirements must be satisfied. In the event of conflict between the insurance requirements within The General Conditions of the Contract and this Supplementary Instruction to Bidders, the requirements listed below shall govern.

A. General

(1) **Responsibility.** The Contractor shall be responsible to the Owner from the time of the signing of the Construction Contract or from the beginning of the first work, whichever shall be earlier, for all injury or damage of any kind resulting from any negligent act or omission of breach, failure or other default regarding the work by the Contractor, Subcontractors, anyone directly or indirectly employed by them or anyone for whose acts they may be liable, regardless of who may be the owner of the property.

(2) **Insurance Providers.** Each of the insurance coverages required below shall be issued by an insurer licensed by the Insurance Commissioner to transact the business of insurance in the State of Alabama for the applicable line of insurance, and such insurer (or, for qualified self-insureds or group self-insureds, a specific excess insurer providing statutory limits) must have a Best Policyholders Rating of "A-" or better and a financial size rating of Class "V" or larger.

(3) **Insurance Certificates.** The Contractor shall procure the insurance coverages identified below at the Contractor's own expense, and to evidence that such insurance coverages are in effect, the Contractor shall furnish the Owner an insurance certificate(s) acceptable to the Owner and listing the Owner as the Certificate Holder. The insurance certificate(s) must be delivered with the Construction Contract and Bonds for final approval and execution of the Construction Contract. The insurance certificate must provide the following:

- a. Name and address of authorized agent of the insurance company
- b. Name and address of insured
- c. Name of insurance company or companies
- d. Best Policyholders Rating and Financial Size Rating
- e. Description of policies
- f. Policy Number(s)
- g. Policy Period(s)
- h. Limits of liability
- i. Name and address of Owner as Certificate Holder
- j. Project Name and Number
- k. Signature of authorized agent of insurance company
- l. Telephone number of authorized agent of insurance company
- m. Mandatory thirty day notice of cancellation / non-renewal / change

(4) **Maximum Deductible.** Self-insured retention, except for qualified self-insurers or group self-insurers, in any policy shall not exceed \$25,000.00.

B. **Insurance Coverages**

The Contractor shall purchase the types of insurance coverages with liability limits not less than as follows:

(1) **Workers' Compensation and Employer's Liability Insurance**

(a) Workers' Compensation coverage shall be provided in accordance with statutory coverage required in Alabama. A group insurer must submit a certificate of authority from the Alabama Department of Industrial Relations approving the group insurance plan. A self-insurer must submit a certificate from the Alabama Department of Industrial Relations stating the Contractor qualifies to pay its own workers' compensation claims.

(b) Employer's Liability Insurance limits shall be at least:

- .1 Bodily Injury by Accident - \$1,000,000 each accident
- .2 Bodily Injury by Disease - \$1,000,000 each employee

(2) **Commercial General Liability Insurance**

(a) Commercial General Liability Insurance, written on an ISO Occurrence Form (current edition as of the date of Advertisement for Bids) or equivalent, shall include, but need not be limited to, coverage for bodily injury and property damage arising from premises and operations liability, products and completed operations liability, blasting and explosion, collapse of structures, underground damage, personal injury liability and contractual liability. **The Commercial General Liability Insurance shall provide at minimum the following limits:**

<u>Coverage</u>	<u>Limit</u>
General Aggregate	\$2,000,000 per Project
Products, Completed Operations Aggregate	\$2,000,000 per Project
Personal and Advertising Injury	\$1,000,000 per Occurrence
Each Occurrence	\$1,000,000

(b) Additional Requirements for Commercial General Liability Insurance:

- (1) The policy shall name the Owner, Architect, and their agents,

consultants and employees as additional insureds; state that this coverage shall be primary insurance for the additional insured; and contain no exclusions of the additional insureds relative to job accidents.

(2) The policy must include separate per project aggregate limits.

(3) **Commercial Business Automobile Liability Insurance**

(a) Commercial Business Automobile Liability Insurance which shall include coverage for bodily injury and property damage arising from the operation of any owner, non-owned or hired automobile. The Commercial Business Automobile Liability Insurance Policy shall provide not less than \$1,000,000 Combined Single Limits for each occurrence.

(b) The policy shall name the Owner, Architect, and their agents, consultants, and employees as additional insureds.

(4) **Builder's Risk Insurance**

(a) The Builder's Risk Policy shall be payable to the Owner and Contractor, as their interest may appear. The policy amount shall be equal to 100% of the Contract Sum, written on a Causes of Loss - Special Form (current edition as of the date of Advertisement for Bids), or its equivalent. All deductibles shall be the sole responsibility of the Contractor.

(b) The policy shall be endorsed as follows:

"The following may occur without diminishing, changing, altering or otherwise affecting the coverage and protection afforded the insured under this policy:

- (i) Furniture and equipment may be delivered to the insured premises and installed in place ready for use; or
- (ii) Partial or complete occupancy by Owner; or
- (iii) Performance of work in connection with construction operations insured by the Owner, by agents or lessees or other contractors of the Owner, or by contractors of the lessee of the Owner."

C. **Subcontractors' Insurance**

(1) **Workers' Compensation and Employer's Liability Insurance.**

The Contractor shall require each Subcontractor to obtain and maintain Workers' Compensation and Employer's Liability Insurance coverages as described in preceding Paragraph B, or to be covered by the Contractor's Workers' Compensation and Employer's Liability Insurance while performing Work under the Contract.

(2) **Liability Insurance**

The Contractor shall require each Subcontractor to obtain and maintain adequate General Liability and Automobile Liability Insurance coverage similar to those described in preceding Paragraph B. Such coverage shall be in effect at all times that a Subcontractor is performing Work under the Contract.

(3) **Enforcement Responsibility**

The Contractor shall have responsibility to enforce its Subcontractors' compliance with these or similar insurance requirements; however, the Contractor shall, upon request, provide the Architect or Owner acceptable evidence of insurance for any Subcontractor.

XI. LIQUIDATED DAMAGES

- A. Time is the essence of the Contract. Any delay in the completion of the Work required by the Contract Documents may cause inconvenience to the public and loss and damage to the Owner including but not limited to interest and additional administrative, architectural, inspection and supervision charges. By executing the Construction Contract, the Contractor agrees that the Contract Time is sufficient for the achievement of Substantial Completion.
- B. The Contract Documents may provide in the Construction Contract or elsewhere for a certain dollar amount for which the Contractor and its Surety (if any) will be liable to the Owner as liquidated damages for each calendar day after expiration of the Contract Time that the Contractor fails to achieve Substantial Completion of the Work. If such daily liquidated damages are provided for, Owner and Contractor, and its Surety, agree that such amount is reasonable and agree to be bound thereby.
- C. If a daily liquidated damage amount is not otherwise provided for in the Contract Documents, a time charge equal to six percent interest per annum on the total Contract Sum may be made against the Contractor for the entire period after expiration of the Contract Time that the Contractor fails to achieve Substantial Completion of the Work.
- D. The amount of liquidated damages due under either paragraph B or C, above, may be deducted by the Owner from the moneys otherwise due the Contractor in the Final Payment, not as a penalty, but as liquidated damages sustained, or the amount may be recovered from Contractor or its Surety. If part of the Work is substantially completed within the Contract Time and part is not, the stated charge for liquidated damages shall be equitably prorated to that portion of the Work that the Contractor fails to substantially complete within the Contract Time. It is mutually understood and agreed between the parties hereto that such amount is reasonable as liquidated damages.

## PROPOSAL FORM

To: City of Winfield, AL Date: \_\_\_\_\_

In compliance with your Advertisement for Bids and subject to all the conditions thereof, the undersigned,

\_\_\_\_\_  
(Legal name of Bidder)

hereby proposes to furnish all labor and materials and perform all work required for the construction of

**WORK:** New Multi-Purpose Facility for the City of Winfield, AL, Architect's Job No. 23-128 in accordance with Drawings and Specifications, dated, July 30, 2024, prepared by Lathan Associates Architects, P.C., 300 Chase Park South, Suite 200, Hoover, AL 35244, Architect. The Bidder, which is organized and existing

under the laws of the State of \_\_\_\_\_,

having its principal offices in the City of \_\_\_\_\_,

is:  a Corporation  a Partnership  an individual  (other) \_\_\_\_\_,

**LISTING OF PARTNERS OR OFFICERS:** If Bidder is a Partnership, list all partners and their addresses; if Bidder is a corporation, list the names, titles and business addresses of its Officers:

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**BIDDER'S REPRESENTATION:** The Bidder declares that it has examined the site of the Work, having become fully informed regarding all pertinent conditions, and that it has examined the Drawings and Specifications (including all Addenda received) for the Work and the other Bid and Contract Documents relative thereto; and that it has satisfied itself relative to the Work to be performed.

**ADDENDA:** The Bidder acknowledges receipt of Addenda Nos. \_\_\_\_\_ through \_\_\_\_\_ inclusively.

**ALLOWANCES:** The Bidder acknowledges by initials \_\_\_\_\_ that he/she has read Specification Section 01020 - Allowances and has included cost of same in bid.

**ALABAMA IMMIGRATION LAW COMPLIANCE:** The Bidder acknowledges by initials \_\_\_\_\_ that he/she will comply with H.B. 56 - Alabama Immigration Law Compliance.

**BASE BID:** For construction complete as shown and specified, the sum of \_\_\_\_\_  
Dollars (\$ \_\_\_\_\_)

**ALTERNATES:** If alternates as set forth in the Bid Documents are accepted, the following adjustments are to be made to the Base Bid: N/A

Alternate No. 1: Gymnasium Wood Flooring	(add) \$ _____
Alternate No. 2: Gymnasium Sound System	(add) \$ _____
Alternate No. 3: Gymnasium Divider Curtain	(add) \$ _____
Alternate No. 4: Gymnasium Wall Padding	(add) \$ _____
Alternate No. 5: Gymnasium Acoustical Panels	(add) \$ _____
Alternate No. 6: Additional Parking	(add) \$ _____
Alternate No. 7: Additional Parking	(add) \$ _____
Alternate No. 8: Interior and Exterior Masonry Wall Construction	(add) \$ _____
Alternate No. 9: Additional Exterior Concrete Walks and Site Stairs	(add) \$ _____

Job No. 23-128

**UNIT PRICES:** N/A

**BID SECURITY:** The undersigned agrees to enter into a Construction Contract and furnish the prescribed Performance and Payment Bonds and evidence of insurance within fifteen calendar days, or such other period stated in the Bid Documents, after the contract forms have been presented for signature, provided such presentation is made within 30 calendar days after the opening of bids, or such other period stated in the Bid Documents. As security for this condition, the undersigned further agrees that the funds represented by the Bid Bond (or cashier's check) attached hereto may be called and paid into the account of the Awarding Authority as liquidated damages for failure to comply.

Attached hereto is a: (*Mark the appropriate space and provide the applicable information.*)

Bid Bond, executed by \_\_\_\_\_ as Surety,  
 cashier's check on the \_\_\_\_\_ Bank of \_\_\_\_\_,  
for the sum of \_\_\_\_\_ Dollars  
(\$ \_\_\_\_\_) made payable to the Awarding Authority.

**BIDDER'S ALABAMA LICENSE:**

State License for General Contracting: \_\_\_\_\_  
License Number \_\_\_\_\_ Bid Limit \_\_\_\_\_ Type(s) of Work \_\_\_\_\_

**CERTIFICATIONS:** The undersigned certifies that he or she is authorized to execute contracts on behalf of the Bidder as legally named, that this proposal is submitted in good faith without fraud or collusion with any other bidder, that the information indicated in this document is true and complete, and that the bid is made in full accord with State law. Notice of acceptance may be sent to the undersigned at the address set forth below.

The Bidder also declares that a list of all proposed major subcontractors and suppliers will be submitted at a time subsequent to the receipt of bids as established by the Architect in the Bid Documents but in no event shall this time exceed twenty-four (24) hours after receipt of bids.

**Legal Name of Bidder** \_\_\_\_\_

Mailing Address \_\_\_\_\_

\* **By (Legal Signature)** \_\_\_\_\_ **(Seal)** \_\_\_\_\_

\* Name & Title ( print) \_\_\_\_\_

Telephone Number \_\_\_\_\_

Email Address \_\_\_\_\_

\* If other than an individual proprietor, or an above-named member of the Partnership, or the above named president, vice-president, or secretary of the Corporation, attach written authority to bind the Bidder. Any modification to a bid shall be over the initials of the person signing the bid, or of an authorized representative.

**Note:** A completed Accounting of Sales Tax must be submitted with Proposal Form. Submission of is required, it is not optional. A proposal shall be rendered non-responsive if an Accounting of Sales Tax is not provided.

## **ACCOUNTING OF SALES TAX**

**To:** City of Winfield, Alabama **Date:** \_\_\_\_\_  
(Awarding Authority)

**NAME OF PROJECT:** New Multi-Purpose Facility for the City of Winfield, Alabama

## **SALES TAX ACCOUNTING**

Contractor accounts for the sales tax NOT included in the bid proposal form as follows:

				<u>ESTIMATED SALES TAX AMOUNT</u>
BASE BID:				\$
		Description		
Alternate No. 1	(Gymnasium Wood Flooring)	(add)	(deduct)	\$ _____
Alternate No. 2	(Gymnasium Sound System)	(add)	(deduct)	\$ _____
Alternate No. 3	(Gymnasium Divider Curtain)	(add)	(deduct)	\$ _____
Alternate No. 4	(Gymnasium Wall Padding)	(add)	(deduct)	\$ _____
Alternate No. 5	(Gymnasium Acoustical Panels)	(add)	(deduct)	\$ _____
Alternate No. 6	(Additional Parking)	(add)	(deduct)	\$ _____
Alternate No. 7	(Additional Parking)	(add)	(deduct)	\$ _____
Alternate No. 8	(Interior and Exterior Masonry Wall Construction)	(add)	(deduct)	\$ _____
Alternate No. 9	Additional Exterior Concrete Walks and Site Stairs	(add)	(deduct)	\$ _____

**Failure to provide an accounting of sales tax shall render the bid non-responsive. Other than determining responsiveness, sales tax accounting shall not affect the bid pricing nor be considered in the determination of the lowest responsible and responsive bidder.**

Legal Name of Bidder	<input type="text"/>
Mailing Address	<input type="text"/>
* By (Legal Signature)	<input type="text"/>
* Name (type or print)	<input type="text"/>
* Title	<input type="text"/>
Telephone Number	<input type="text"/>
Email Address	<input type="text"/>

#### **Attachment B – Immigration Compliance**

Alabama laws require that, as a condition for the award of a contract by a school board or municipality to a business entity or employer with one or more employees working in Alabama, the business entity or employer must provide documentation of enrollment in the E-Verify program. During the performance of the contract, the business entity or employer shall participate in the E-Verify program and shall verify every employee that is required to be verified according to the applicable federal rules and regulations. The contractor's E-Verify Memorandum of Understanding must be included with the bid. If you do not believe these requirements are applicable to your entity, include an explanation justifying such exemption. An entity can obtain the E-Verify Memorandum of Understanding upon completion in the E-Verify enrollment process located at the federal web site [www.uscis.gov/everify](http://www.uscis.gov/everify). The Alabama Department of Homeland Security (<http://immigration.alabama.gov>) has also established an E-Verify employer agent account for any business entity or employer with 25 or fewer employees that will provide a participating business entity or employer with the required documentation of enrollment in the E-Verify program. An Employer Identification Number (EIN), also known as a Federal Tax Identification Number, is required to enroll in E-Verify or to establish an E-Verify employer agent account.

As required by Act No. 2012-491 the following is made a part of the Contract:

**By signing this contract, the contracting parties affirm, for the duration of the agreement, that they will not violate federal immigration law or knowingly employ, hire for employment, or continue to employ an unauthorized alien within the state of Alabama. Furthermore, a contracting party found to be in violation of this provision shall be deemed in breach of the agreement and shall be responsible for all damages resulting therefrom.**

 **AIA® Document A310™ – 2010****Bid Bond**

**CONTRACTOR:**  
(Name, legal status and address)

**SURETY:**  
(Name, legal status and principal place  
of business)

**OWNER:**  
(Name, legal status and address)

**BOND AMOUNT:** \$

**PROJECT:**  
(Name, location or address, and Project number, if any)

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

**ADDITIONS AND DELETIONS:**  
The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

Signed and sealed this    day of    ,

*(Contractor as Principal)*

*(Seal)*

*(Witness)*

*(Title)*

*(Witness)*

*(Title)*

## Additions and Deletions Report for AIA® Document A310™ – 2010

This Additions and Deletions Report, as defined on page 1 of the associated document, reproduces below all text the author has added to the standard form AIA document in order to complete it, as well as any text the author may have added to or deleted from the original AIA text. Added text is shown underlined. Deleted text is indicated with a horizontal line through the original AIA text.

Note: This Additions and Deletions Report is provided for information purposes only and is not incorporated into or constitute any part of the associated AIA document. This Additions and Deletions Report and its associated document were generated simultaneously by AIA software at 11:28:17 CT on 04/12/2022.

*There are no differences.*

## ***Certification of Document's Authenticity***

**AIA® Document D401™ – 2003**

I, Nadine Sullivan, hereby certify, to the best of my knowledge, information and belief, that I created the attached final document simultaneously with its associated Additions and Deletions Report and this certification at 11:28:17 CT on 04/12/2022 under Order No. 2114279075 from AIA Contract Documents software and that in preparing the attached final document I made no changes to the original text of AIA® Document A310™—2010, Bid Bond, as published by the AIA in its software, other than those additions and deletions shown in the associated Additions and Deletions Report.

*(Signed)*

*(Title)*

*(Dated)*



# AIA® Document A101® – 2017

## Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum

AGREEMENT made as of the   day of   in the year  
(In words, indicate day, month and year.)

BETWEEN the Owner:  
(Name, legal status, address and other information)

and the Contractor:  
(Name, legal status, address and other information)

for the following Project:  
(Name, location and detailed description)

The Architect:  
(Name, legal status, address and other information)

**ADDITIONS AND DELETIONS:**  
The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

The parties should complete A101®-2017, Exhibit A, Insurance and Bonds, contemporaneously with this Agreement. AIA Document A201®-2017, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.

The Owner and Contractor agree as follows.

Init.

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User Notes:

(792866676)

## TABLE OF ARTICLES

- 1 THE CONTRACT DOCUMENTS
- 2 THE WORK OF THIS CONTRACT
- 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION
- 4 CONTRACT SUM
- 5 PAYMENTS
- 6 DISPUTE RESOLUTION
- 7 TERMINATION OR SUSPENSION
- 8 MISCELLANEOUS PROVISIONS
- 9 ENUMERATION OF CONTRACT DOCUMENTS

## EXHIBIT A INSURANCE AND BONDS

### ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary, and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement, and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. An enumeration of the Contract Documents, other than a Modification, appears in Article 9.

### ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others.

### ARTICLE 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1 The date of commencement of the Work shall be:

*(Check one of the following boxes.)*

The date of this Agreement.

A date set forth in a notice to proceed issued by the Owner.

Established as follows:  
*(Insert a date or a means to determine the date of commencement of the Work.)*

If a date of commencement of the Work is not selected, then the date of commencement shall be the date of this Agreement.

§ 3.2 The Contract Time shall be measured from the date of commencement of the Work.

#### § 3.3 Substantial Completion

§ 3.3.1 Subject to adjustments of the Contract Time as provided in the Contract Documents, the Contractor shall achieve Substantial Completion of the entire Work:

*(Check one of the following boxes and complete the necessary information.)*

Not later than ( ) calendar days from the date of commencement of the Work.

By the following date:

**§ 3.3.2** Subject to adjustments of the Contract Time as provided in the Contract Documents, if portions of the Work are to be completed prior to Substantial Completion of the entire Work, the Contractor shall achieve Substantial Completion of such portions by the following dates:

Portion of Work	Substantial Completion Date
-----------------	-----------------------------

**§ 3.3.3** If the Contractor fails to achieve Substantial Completion as provided in this Section 3.3, liquidated damages, if any, shall be assessed as set forth in Section 4.5.

#### **ARTICLE 4 CONTRACT SUM**

**§ 4.1** The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor's performance of the Contract. The Contract Sum shall be (\$ ), subject to additions and deductions as provided in the Contract Documents.

#### **§ 4.2 Alternates**

**§ 4.2.1** Alternates, if any, included in the Contract Sum:

Item	Price
------	-------

**§ 4.2.2** Subject to the conditions noted below, the following alternates may be accepted by the Owner following execution of this Agreement. Upon acceptance, the Owner shall issue a Modification to this Agreement.  
*(Insert below each alternate and the conditions that must be met for the Owner to accept the alternate.)*

Item	Price	Conditions for Acceptance
------	-------	---------------------------

**§ 4.3** Allowances, if any, included in the Contract Sum:  
*(Identify each allowance.)*

Item	Price
------	-------

#### **§ 4.4** Unit prices, if any:

*(Identify the item and state the unit price and quantity limitations, if any, to which the unit price will be applicable.)*

Item	Units and Limitations	Price per Unit (\$0.00)
------	-----------------------	-------------------------

#### **§ 4.5** Liquidated damages, if any:

*(Insert terms and conditions for liquidated damages, if any.)*

#### **§ 4.6** Other:

*(Insert provisions for bonus or other incentives, if any, that might result in a change to the Contract Sum.)*

## ARTICLE 5 PAYMENTS

### § 5.1 Progress Payments

§ 5.1.1 Based upon Applications for Payment submitted to the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.

§ 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

§ 5.1.3 Provided that an Application for Payment is received by the Architect not later than the day of a month, the Owner shall make payment of the amount certified to the Contractor not later than the day of the month. If an Application for Payment is received by the Architect after the application date fixed above, payment of the amount certified shall be made by the Owner not later than ( ) days after the Architect receives the Application for Payment. *(Federal, state or local laws may require payment within a certain period of time.)*

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form, and supported by such data to substantiate its accuracy, as the Architect may require. This schedule of values shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 5.1.5 Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ 5.1.6 In accordance with AIA Document A201™-2017, General Conditions of the Contract for Construction, and subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

§ 5.1.6.1 The amount of each progress payment shall first include:

- .1 That portion of the Contract Sum properly allocable to completed Work;
- .2 That portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction, or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing; and
- .3 That portion of Construction Change Directives that the Architect determines, in the Architect's professional judgment, to be reasonably justified.

§ 5.1.6.2 The amount of each progress payment shall then be reduced by:

- .1 The aggregate of any amounts previously paid by the Owner;
- .2 The amount, if any, for Work that remains uncorrected and for which the Architect has previously withheld a Certificate for Payment as provided in Article 9 of AIA Document A201-2017;
- .3 Any amount for which the Contractor does not intend to pay a Subcontractor or material supplier, unless the Work has been performed by others the Contractor intends to pay;
- .4 For Work performed or defects discovered since the last payment application, any amount for which the Architect may withhold payment, or nullify a Certificate of Payment in whole or in part, as provided in Article 9 of AIA Document A201-2017; and
- .5 Retainage withheld pursuant to Section 5.1.7.

### § 5.1.7 Retainage

§ 5.1.7.1 For each progress payment made prior to Substantial Completion of the Work, the Owner may withhold the following amount, as retainage, from the payment otherwise due:

*(Insert a percentage or amount to be withheld as retainage from each Application for Payment. The amount of retainage may be limited by governing law.)*

**§ 5.1.7.1.1** The following items are not subject to retainage:

*(Insert any items not subject to the withholding of retainage, such as general conditions, insurance, etc.)*

**§ 5.1.7.2** Reduction or limitation of retainage, if any, shall be as follows:

*(If the retainage established in Section 5.1.7.1 is to be modified prior to Substantial Completion of the entire Work, including modifications for Substantial Completion of portions of the Work as provided in Section 3.3.2, insert provisions for such modifications.)*

**§ 5.1.7.3** Except as set forth in this Section 5.1.7.3, upon Substantial Completion of the Work, the Contractor may submit an Application for Payment that includes the retainage withheld from prior Applications for Payment pursuant to this Section 5.1.7. The Application for Payment submitted at Substantial Completion shall not include retainage as follows:

*(Insert any other conditions for release of retainage upon Substantial Completion.)*

**§ 5.1.8** If final completion of the Work is materially delayed through no fault of the Contractor, the Owner shall pay the Contractor any additional amounts in accordance with Article 9 of AIA Document A201–2017.

**§ 5.1.9** Except with the Owner's prior approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site.

**§ 5.2 Final Payment**

**§ 5.2.1** Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when

- .1 the Contractor has fully performed the Contract except for the Contractor's responsibility to correct Work as provided in Article 12 of AIA Document A201–2017, and to satisfy other requirements, if any, which extend beyond final payment; and
- .2 a final Certificate for Payment has been issued by the Architect.

**§ 5.2.2** The Owner's final payment to the Contractor shall be made no later than 30 days after the issuance of the Architect's final Certificate for Payment, or as follows:

**§ 5.3 Interest**

Payments due and unpaid under the Contract shall bear interest from the date payment is due at the rate stated below, or in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.

*(Insert rate of interest agreed upon, if any.)*

%

**ARTICLE 6 DISPUTE RESOLUTION**

**§ 6.1 Initial Decision Maker**

The Architect will serve as the Initial Decision Maker pursuant to Article 15 of AIA Document A201–2017, unless the parties appoint below another individual, not a party to this Agreement, to serve as the Initial Decision Maker.

*(If the parties mutually agree, insert the name, address and other contact information of the Initial Decision Maker, if other than the Architect.)*

#### § 6.2 Binding Dispute Resolution

For any Claim subject to, but not resolved by, mediation pursuant to Article 15 of AIA Document A201-2017, the method of binding dispute resolution shall be as follows:

*(Check the appropriate box.)*

- Arbitration pursuant to Section 15.4 of AIA Document A201-2017
- Litigation in a court of competent jurisdiction
- Other *(Specify)*

If the Owner and Contractor do not select a method of binding dispute resolution, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, Claims will be resolved by litigation in a court of competent jurisdiction.

### ARTICLE 7 TERMINATION OR SUSPENSION

§ 7.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201-2017.

§ 7.1.1 If the Contract is terminated for the Owner's convenience in accordance with Article 14 of AIA Document A201-2017, then the Owner shall pay the Contractor a termination fee as follows:

*(Insert the amount of, or method for determining, the fee, if any, payable to the Contractor following a termination for the Owner's convenience.)*

§ 7.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201-2017.

### ARTICLE 8 MISCELLANEOUS PROVISIONS

§ 8.1 Where reference is made in this Agreement to a provision of AIA Document A201-2017 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

§ 8.2 The Owner's representative:

*(Name, address, email address, and other information)*

§ 8.3 The Contractor's representative:

*(Name, address, email address, and other information)*

**§ 8.4** Neither the Owner's nor the Contractor's representative shall be changed without ten days' prior notice to the other party.

**§ 8.5 Insurance and Bonds**

**§ 8.5.1** The Owner and the Contractor shall purchase and maintain insurance as set forth in AIA Document A101™-2017, Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum, Exhibit A, Insurance and Bonds, and elsewhere in the Contract Documents.

**§ 8.5.2** The Contractor shall provide bonds as set forth in AIA Document A101™-2017 Exhibit A, and elsewhere in the Contract Documents.

**§ 8.6** Notice in electronic format, pursuant to Article 1 of AIA Document A201-2017, may be given in accordance with AIA Document E203™-2013, Building Information Modeling and Digital Data Exhibit, if completed, or as otherwise set forth below:

*(If other than in accordance with AIA Document E203-2013, insert requirements for delivering notice in electronic format such as name, title, and email address of the recipient and whether and how the system will be required to generate a read receipt for the transmission.)*

**§ 8.7 Other provisions:**

**ARTICLE 9 ENUMERATION OF CONTRACT DOCUMENTS**

**§ 9.1** This Agreement is comprised of the following documents:

- .1 AIA Document A101™-2017, Standard Form of Agreement Between Owner and Contractor
- .2 AIA Document A101™-2017, Exhibit A, Insurance and Bonds
- .3 AIA Document A201™-2017, General Conditions of the Contract for Construction
- .4 AIA Document E203™-2013, Building Information Modeling and Digital Data Exhibit, dated as indicated below:

*(Insert the date of the E203-2013 incorporated into this Agreement.)*

**.5 Drawings**

Number	Title	Date
--------	-------	------

**.6 Specifications**

Section	Title	Date	Pages
---------	-------	------	-------

**.7 Addenda, if any:**

Number	Date	Pages
--------	------	-------

Portions of Addenda relating to bidding or proposal requirements are not part of the Contract Documents unless the bidding or proposal requirements are also enumerated in this Article 9.

**.8 Other Exhibits:**

*(Check all boxes that apply and include appropriate information identifying the exhibit where required.)*

AIA Document E204™-2017, Sustainable Projects Exhibit, dated as indicated below:  
(Insert the date of the E204-2017 incorporated into this Agreement.)

The Sustainability Plan:

Title	Date	Pages
-------	------	-------

Supplementary and other Conditions of the Contract:

Document	Title	Date	Pages
----------	-------	------	-------

**.9** Other documents, if any, listed below:

*(List here any additional documents that are intended to form part of the Contract Documents. AIA Document A201™-2017 provides that the advertisement or invitation to bid, Instructions to Bidders, sample forms, the Contractor's bid or proposal, portions of Addenda relating to bidding or proposal requirements, and other information furnished by the Owner in anticipation of receiving bids or proposals, are not part of the Contract Documents unless enumerated in this Agreement. Any such documents should be listed here only if intended to be part of the Contract Documents.)*

This Agreement entered into as of the day and year first written above.

---

OWNER (Signature)

(Printed name and title)

---

CONTRACTOR (Signature)

(Printed name and title)

Init.  
/

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User Notes:

(792866676)



# AIA® Document A312™ – 2010

## Performance Bond

**CONTRACTOR:**

(Name, legal status and address)

**SURETY:**

(Name, legal status and principal place of business)

**OWNER:**

(Name, legal status and address)

**CONSTRUCTION CONTRACT**

Date:

Amount: \$

Description:

(Name and location)

**BOND**

Date:

(Not earlier than Construction Contract Date)

Amount: \$

Modifications to this Bond:  None  See Section 16

**CONTRACTOR AS PRINCIPAL**

Company: *(Corporate Seal)*

**SURETY**

Company: *(Corporate Seal)*

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

Name and

Name and

Title: \_\_\_\_\_

Title: \_\_\_\_\_

(Any additional signatures appear on the last page of this Performance Bond.)

(FOR INFORMATION ONLY — Name, address and telephone)

AGENT or BROKER:

OWNER'S REPRESENTATIVE:

(Architect, Engineer or other party:)

**ADDITIONS AND DELETIONS:**

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

**§ 1** The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to the Owner for the performance of the Construction Contract, which is incorporated herein by reference.

**§ 2** If the Contractor performs the Construction Contract, the Surety and the Contractor shall have no obligation under this Bond, except when applicable to participate in a conference as provided in Section 3.

**§ 3** If there is no Owner Default under the Construction Contract, the Surety's obligation under this Bond shall arise after

- .1 the Owner first provides notice to the Contractor and the Surety that the Owner is considering declaring a Contractor Default. Such notice shall indicate whether the Owner is requesting a conference among the Owner, Contractor and Surety to discuss the Contractor's performance. If the Owner does not request a conference, the Surety may, within five (5) business days after receipt of the Owner's notice, request such a conference. If the Surety timely requests a conference, the Owner shall attend. Unless the Owner agrees otherwise, any conference requested under this Section 3.1 shall be held within ten (10) business days of the Surety's receipt of the Owner's notice. If the Owner, the Contractor and the Surety agree, the Contractor shall be allowed a reasonable time to perform the Construction Contract, but such an agreement shall not waive the Owner's right, if any, subsequently to declare a Contractor Default;
- .2 the Owner declares a Contractor Default, terminates the Construction Contract and notifies the Surety; and
- .3 the Owner has agreed to pay the Balance of the Contract Price in accordance with the terms of the Construction Contract to the Surety or to a contractor selected to perform the Construction Contract.

**§ 4** Failure on the part of the Owner to comply with the notice requirement in Section 3.1 shall not constitute a failure to comply with a condition precedent to the Surety's obligations, or release the Surety from its obligations, except to the extent the Surety demonstrates actual prejudice.

**§ 5** When the Owner has satisfied the conditions of Section 3, the Surety shall promptly and at the Surety's expense take one of the following actions:

**§ 5.1** Arrange for the Contractor, with the consent of the Owner, to perform and complete the Construction Contract;

**§ 5.2** Undertake to perform and complete the Construction Contract itself, through its agents or independent contractors;

**§ 5.3** Obtain bids or negotiated proposals from qualified contractors acceptable to the Owner for a contract for performance and completion of the Construction Contract, arrange for a contract to be prepared for execution by the Owner and a contractor selected with the Owner's concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Construction Contract, and pay to the Owner the amount of damages as described in Section 7 in excess of the Balance of the Contract Price incurred by the Owner as a result of the Contractor Default; or

**§ 5.4** Waive its right to perform and complete, arrange for completion, or obtain a new contractor and with reasonable promptness under the circumstances:

- .1 After investigation, determine the amount for which it may be liable to the Owner and, as soon as practicable after the amount is determined, make payment to the Owner; or
- .2 Deny liability in whole or in part and notify the Owner, citing the reasons for denial.

**§ 6** If the Surety does not proceed as provided in Section 5 with reasonable promptness, the Surety shall be deemed to be in default on this Bond seven days after receipt of an additional written notice from the Owner to the Surety demanding that the Surety perform its obligations under this Bond, and the Owner shall be entitled to enforce any remedy available to the Owner. If the Surety proceeds as provided in Section 5.4, and the Owner refuses the payment or the Surety has denied liability, in whole or in part, without further notice the Owner shall be entitled to enforce any remedy available to the Owner.

**§ 7** If the Surety elects to act under Section 5.1, 5.2 or 5.3, then the responsibilities of the Surety to the Owner shall not be greater than those of the Contractor under the Construction Contract, and the responsibilities of the Owner to the Surety shall not be greater than those of the Owner under the Construction Contract. Subject to the commitment by the Owner to pay the Balance of the Contract Price, the Surety is obligated, without duplication, for

- .1 the responsibilities of the Contractor for correction of defective work and completion of the Construction Contract;
- .2 additional legal, design professional and delay costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Section 5; and
- .3 liquidated damages, or if no liquidated damages are specified in the Construction Contract, actual damages caused by delayed performance or non-performance of the Contractor.

**§ 8** If the Surety elects to act under Section 5.1, 5.3 or 5.4, the Surety's liability is limited to the amount of this Bond.

**§ 9** The Surety shall not be liable to the Owner or others for obligations of the Contractor that are unrelated to the Construction Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than the Owner or its heirs, executors, administrators, successors and assigns.

**§ 10** The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders and other obligations.

**§ 11** Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the work or part of the work is located and shall be instituted within two years after a declaration of Contractor Default or within two years after the Contractor ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this Paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

**§ 12** Notice to the Surety, the Owner or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears.

**§ 13** When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

**§ 14 Definitions**

**§ 14.1 Balance of the Contract Price.** The total amount payable by the Owner to the Contractor under the Construction Contract after all proper adjustments have been made, including allowance to the Contractor of any amounts received or to be received by the Owner in settlement of insurance or other claims for damages to which the Contractor is entitled, reduced by all valid and proper payments made to or on behalf of the Contractor under the Construction Contract.

**§ 14.2 Construction Contract.** The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and changes made to the agreement and the Contract Documents.

**§ 14.3 Contractor Default.** Failure of the Contractor, which has not been remedied or waived, to perform or otherwise to comply with a material term of the Construction Contract.

**§ 14.4 Owner Default.** Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

**§ 14.5 Contract Documents.** All the documents that comprise the agreement between the Owner and Contractor.

**§ 15** If this Bond is issued for an agreement between a Contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

**§ 16** Modifications to this bond are as follows:

*(Space is provided below for additional signatures of added parties, other than those appearing on the cover page.)*

**CONTRACTOR AS PRINCIPAL**

Company:

*(Corporate Seal)*

**SURETY**

Company:

*(Corporate Seal)*

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

Name and Title: \_\_\_\_\_

Name and Title: \_\_\_\_\_

Address: \_\_\_\_\_

Address: \_\_\_\_\_

## **Additions and Deletions Report for AIA® Document A312™ – 2010**

This Additions and Deletions Report, as defined on page 1 of the associated document, reproduces below all text the author has added to the standard form AIA document in order to complete it, as well as any text the author may have added to or deleted from the original AIA text. Added text is shown underlined. Deleted text is indicated with a horizontal line through the original AIA text.

Note: This Additions and Deletions Report is provided for information purposes only and is not incorporated into or constitute any part of the associated AIA document. This Additions and Deletions Report and its associated document were generated simultaneously by AIA software at 11:37:50 CT on 04/12/2022.

*There are no differences.*

## **Certification of Document's Authenticity**

**AIA® Document D401™ – 2003**

I, Nadine Sullivan, hereby certify, to the best of my knowledge, information and belief, that I created the attached final document simultaneously with its associated Additions and Deletions Report and this certification at 11:37:50 CT on 04/12/2022 under Order No. 2114279075 from AIA Contract Documents software and that in preparing the attached final document I made no changes to the original text of AIA® Document A312™ – 2010, Performance Bond, as published by the AIA in its software, other than those additions and deletions shown in the associated Additions and Deletions Report.

*(Signed)*

*(Title)*

*(Dated)*



# AIA® Document A312™ – 2010

## Payment Bond

**CONTRACTOR:**

(Name, legal status and address)

**SURETY:**

(Name, legal status and principal place of business)

**ADDITIONS AND DELETIONS:**

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

**OWNER:**

(Name, legal status and address)

**CONSTRUCTION CONTRACT**

Date:

Amount: \$

Description:

(Name and location)

**BOND**

Date:

(Not earlier than Construction Contract Date)

Amount: \$

Modifications to this Bond:  None  See Section 18

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

**CONTRACTOR AS PRINCIPAL**

Company: *(Corporate Seal)*

**SURETY**

Company: *(Corporate Seal)*

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

Name and \_\_\_\_\_

Name and \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

(Any additional signatures appear on the last page of this Payment Bond.)

(FOR INFORMATION ONLY—Name, address and telephone)

AGENT or BROKER:

OWNER'S REPRESENTATIVE:

(Architect, Engineer or other party:)

**§ 1** The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to the Owner to pay for labor, materials and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference, subject to the following terms.

**§ 2** If the Contractor promptly makes payment of all sums due to Claimants, and defends, indemnifies and holds harmless the Owner from claims, demands, liens or suits by any person or entity seeking payment for labor, materials or equipment furnished for use in the performance of the Construction Contract, then the Surety and the Contractor shall have no obligation under this Bond.

**§ 3** If there is no Owner Default under the Construction Contract, the Surety's obligation to the Owner under this Bond shall arise after the Owner has promptly notified the Contractor and the Surety (at the address described in Section 13) of claims, demands, liens or suits against the Owner or the Owner's property by any person or entity seeking payment for labor, materials or equipment furnished for use in the performance of the Construction Contract and tendered defense of such claims, demands, liens or suits to the Contractor and the Surety.

**§ 4** When the Owner has satisfied the conditions in Section 3, the Surety shall promptly and at the Surety's expense defend, indemnify and hold harmless the Owner against a duly tendered claim, demand, lien or suit.

**§ 5** The Surety's obligations to a Claimant under this Bond shall arise after the following:

**§ 5.1** Claimants, who do not have a direct contract with the Contractor,

- .1 have furnished a written notice of non-payment to the Contractor, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were, or equipment was, furnished or supplied or for whom the labor was done or performed, within ninety (90) days after having last performed labor or last furnished materials or equipment included in the Claim; and
- .2 have sent a Claim to the Surety (at the address described in Section 13).

**§ 5.2** Claimants, who are employed by or have a direct contract with the Contractor, have sent a Claim to the Surety (at the address described in Section 13).

**§ 6** If a notice of non-payment required by Section 5.1.1 is given by the Owner to the Contractor, that is sufficient to satisfy a Claimant's obligation to furnish a written notice of non-payment under Section 5.1.1.

**§ 7** When a Claimant has satisfied the conditions of Sections 5.1 or 5.2, whichever is applicable, the Surety shall promptly and at the Surety's expense take the following actions:

**§ 7.1** Send an answer to the Claimant, with a copy to the Owner, within sixty (60) days after receipt of the Claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed; and

**§ 7.2** Pay or arrange for payment of any undisputed amounts.

**§ 7.3** The Surety's failure to discharge its obligations under Section 7.1 or Section 7.2 shall not be deemed to constitute a waiver of defenses the Surety or Contractor may have or acquire as to a Claim, except as to undisputed amounts for which the Surety and Claimant have reached agreement. If, however, the Surety fails to discharge its obligations under Section 7.1 or Section 7.2, the Surety shall indemnify the Claimant for the reasonable attorney's fees the Claimant incurs thereafter to recover any sums found to be due and owing to the Claimant.

**§ 8** The Surety's total obligation shall not exceed the amount of this Bond, plus the amount of reasonable attorney's fees provided under Section 7.3, and the amount of this Bond shall be credited for any payments made in good faith by the Surety.

**§ 9** Amounts owed by the Owner to the Contractor under the Construction Contract shall be used for the performance of the Construction Contract and to satisfy claims, if any, under any construction performance bond. By the Contractor furnishing and the Owner accepting this Bond, they agree that all funds earned by the Contractor in the performance of the Construction Contract are dedicated to satisfy obligations of the Contractor and Surety under this Bond, subject to the Owner's priority to use the funds for the completion of the work.

**§ 10** The Surety shall not be liable to the Owner, Claimants or others for obligations of the Contractor that are unrelated to the Construction Contract. The Owner shall not be liable for the payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligation to make payments to, or give notice on behalf of, Claimants or otherwise have any obligations to Claimants under this Bond.

**§ 11** The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders and other obligations.

**§ 12** No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the state in which the project that is the subject of the Construction Contract is located or after the expiration of one year from the date (1) on which the Claimant sent a Claim to the Surety pursuant to Section 5.1.2 or 5.2, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this Paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

**§ 13** Notice and Claims to the Surety, the Owner or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears. Actual receipt of notice or Claims, however accomplished, shall be sufficient compliance as of the date received.

**§ 14** When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

**§ 15** Upon request by any person or entity appearing to be a potential beneficiary of this Bond, the Contractor and Owner shall promptly furnish a copy of this Bond or shall permit a copy to be made.

**§ 16 Definitions**

**§ 16.1 Claim.** A written statement by the Claimant including at a minimum:

- .1 the name of the Claimant;
- .2 the name of the person for whom the labor was done, or materials or equipment furnished;
- .3 a copy of the agreement or purchase order pursuant to which labor, materials or equipment was furnished for use in the performance of the Construction Contract;
- .4 a brief description of the labor, materials or equipment furnished;
- .5 the date on which the Claimant last performed labor or last furnished materials or equipment for use in the performance of the Construction Contract;
- .6 the total amount earned by the Claimant for labor, materials or equipment furnished as of the date of the Claim;
- .7 the total amount of previous payments received by the Claimant; and
- .8 the total amount due and unpaid to the Claimant for labor, materials or equipment furnished as of the date of the Claim.

**§ 16.2 Claimant.** An individual or entity having a direct contract with the Contractor or with a subcontractor of the Contractor to furnish labor, materials or equipment for use in the performance of the Construction Contract. The term Claimant also includes any individual or entity that has rightfully asserted a claim under an applicable mechanic's lien or similar statute against the real property upon which the Project is located. The intent of this Bond shall be to include without limitation in the terms "labor, materials or equipment" that part of water, gas, power, light, heat, oil, gasoline, telephone service or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the work of the Contractor and the Contractor's subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials or equipment were furnished.

**§ 16.3 Construction Contract.** The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and all changes made to the agreement and the Contract Documents.

**§ 16.4 Owner Default.** Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

**§ 16.5 Contract Documents.** All the documents that comprise the agreement between the Owner and Contractor.

**§ 17** If this Bond is issued for an agreement between a Contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

**§ 18** Modifications to this bond are as follows:

*(Space is provided below for additional signatures of added parties, other than those appearing on the cover page.)*

**CONTRACTOR AS PRINCIPAL**

Company:

(Corporate Seal)

**SURETY**

Company:

(Corporate Seal)

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

Name and Title: \_\_\_\_\_

Name and Title: \_\_\_\_\_

Address: \_\_\_\_\_

Address: \_\_\_\_\_

## **Additions and Deletions Report for AIA® Document A312™ – 2010**

This Additions and Deletions Report, as defined on page 1 of the associated document, reproduces below all text the author has added to the standard form AIA document in order to complete it, as well as any text the author may have added to or deleted from the original AIA text. Added text is shown underlined. Deleted text is indicated with a horizontal line through the original AIA text.

Note: This Additions and Deletions Report is provided for information purposes only and is not incorporated into or constitute any part of the associated AIA document. This Additions and Deletions Report and its associated document were generated simultaneously by AIA software at 11:28:48 CT on 04/12/2022.

*There are no differences.*

## ***Certification of Document's Authenticity***

**AIA® Document D401™ – 2003**

I, Nadine Sullivan, hereby certify, to the best of my knowledge, information and belief, that I created the attached final document simultaneously with its associated Additions and Deletions Report and this certification at 11:28:48 CT on 04/12/2022 under Order No. 2114279075 from AIA Contract Documents software and that in preparing the attached final document I made no changes to the original text of AIA® Document A312™ – 2010, Payment Bond, as published by the AIA in its software, other than those additions and deletions shown in the associated Additions and Deletions Report.

*(Signed)*

*(Title)*

*(Dated)*



# State of Alabama

## Disclosure Statement

Required by Article 3B of Title 41, Code of Alabama 1975

ENTITY COMPLETING FORM

ADDRESS

CITY, STATE, ZIP

TELEPHONE NUMBER

STATE AGENCY/DEPARTMENT THAT WILL RECEIVE GOODS, SERVICES, OR IS RESPONSIBLE FOR GRANT AWARD

ADDRESS

CITY, STATE, ZIP

TELEPHONE NUMBER

This form is provided with:

Contract    Proposal    Request for Proposal    Invitation to Bid    Grant Proposal

Have you or any of your partners, divisions, or any related business units previously performed work or provided goods to any State Agency/Department in the current or last fiscal year?

Yes    No

If yes, identify below the State Agency/Department that received the goods or services, the type(s) of goods or services previously provided, and the amount received for the provision of such goods or services.

STATE AGENCY/DEPARTMENT	TYPE OF GOODS/SERVICES	AMOUNT RECEIVED

Have you or any of your partners, divisions, or any related business units previously applied and received any grants from any State Agency/Department in the current or last fiscal year?

Yes    No

If yes, identify the State Agency/Department that awarded the grant, the date such grant was awarded, and the amount of the grant.

STATE AGENCY/DEPARTMENT	DATE GRANT AWARDED	AMOUNT OF GRANT

1. List below the name(s) and address(es) of all public officials/public employees with whom you, members of your immediate family, or any of your employees have a family relationship and who may directly personally benefit financially from the proposed transaction. Identify the State Department/Agency for which the public officials/public employees work. (Attach additional sheets if necessary.)

NAME OF PUBLIC OFFICIAL/EMPLOYEE	ADDRESS	STATE DEPARTMENT/AGENCY

2. List below the name(s) and address(es) of all family members of public officials/public employees with whom you, members of your immediate family, or any of your employees have a family relationship and who may directly personally benefit financially from the proposed transaction. Identify the public officials/public employees and State Department/Agency for which the public officials/public employees work. (Attach additional sheets if necessary.)

NAME OF FAMILY MEMBER	ADDRESS	NAME OF PUBLIC OFFICIAL/ PUBLIC EMPLOYEE	STATE DEPARTMENT/ AGENCY WHERE EMPLOYED

If you identified individuals in items one and/or two above, describe in detail below the direct financial benefit to be gained by the public officials, public employees, and/or their family members as the result of the contract, proposal, request for proposal, invitation to bid, or grant proposal. (Attach additional sheets if necessary.)

Describe in detail below any indirect financial benefits to be gained by any public official, public employee, and/or family members of the public official or public employee as the result of the contract, proposal, request for proposal, invitation to bid, or grant proposal. (Attach additional sheets if necessary.)

List below the name(s) and address(es) of all paid consultants and/or lobbyists utilized to obtain the contract, proposal, request for proposal, invitation to bid, or grant proposal:

NAME OF PAID CONSULTANT/LOBBYIST	ADDRESS

***By signing below, I certify under oath and penalty of perjury that all statements on or attached to this form are true and correct to the best of my knowledge. I further understand that a civil penalty of ten percent (10%) of the amount of the transaction, not to exceed \$10,000.00, is applied for knowingly providing incorrect or misleading information.***

Signature

Date

Notary's Signature

Date

Date Notary Expires

*Article 3B of Title 41, Code of Alabama 1975 requires the disclosure statement to be completed and filed with all proposals, bids, contracts, or grant proposals to the State of Alabama in excess of \$5,000.*


**AIA® Document G702° – 1992**
**Application and Certificate for Payment**

TO OWNER:	PROJECT:	APPLICATION NO: 001	Distribution to:
FROM CONTRACTOR:	VIA ARCHITECT:	PERIOD TO:	OWNER: <input type="checkbox"/>
		CONTRACT FOR:	ARCHITECT: <input type="checkbox"/>
		CONTRACT DATE:	CONTRACTOR: <input type="checkbox"/>
		PROJECT NOS: / /	FIELD: <input type="checkbox"/>
			OTHER: <input type="checkbox"/>

**CONTRACTOR'S APPLICATION FOR PAYMENT**

Application is made for payment, as shown below, in connection with the Contract. AIA Document G703®, Continuation Sheet, is attached.

1. ORIGINAL CONTRACT SUM .....	0.00
2. NET CHANGE BY CHANGE ORDERS .....	0.00
3. CONTRACT SUM TO DATE (Line 1 ± 2) .....	0.00
4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) .....	0.00
5. RETAINAGE:	
a. 0 % of Completed Work (Column D + E on G703)	0.00
b. 0 % of Stored Material (Column F on G703)	0.00
Total Retainage (Lines 5a + 5b or Total in Column I of G703) .....	0.00
6. TOTAL EARNED LESS RETAINAGE .....	0.00
(Line 4 Less Line 5 Total)	
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT .....	0.00
(Line 6 from prior Certificate)	
8. CURRENT PAYMENT DUE .....	0.00
9. BALANCE TO FINISH, INCLUDING RETAINAGE (Line 3 less Line 6)	0.00

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	0.00	0.00
Total approved this Month	0.00	0.00
<b>TOTALS</b>	<b>0.00</b>	<b>0.00</b>
<b>NET CHANGES by Change Order</b>		<b>0.00</b>

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

**CONTRACTOR:**

By: \_\_\_\_\_ Date: \_\_\_\_\_

State of:

County of:

Subscribed and sworn to before  
me this \_\_\_\_\_ day of \_\_\_\_\_

Notary Public:

My Commission expires:

**ARCHITECT'S CERTIFICATE FOR PAYMENT**

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

**AMOUNT CERTIFIED** ..... 0.00

*(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)*

**ARCHITECT:**

By: \_\_\_\_\_ Date: \_\_\_\_\_

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

# SCHEDULE OF VALUES (SOV)

<p>Project:</p> <p>Contractor Company:</p>						<p>Application Number:</p> <p>Application Date:</p> <p>Period From:</p> <p>Period To:</p>			
A	B	C	D	E	F	G	H	I	J
Item No.	Description of Work	Scheduled Value (including fully executed [signed by all parties] change order amounts)	Work Completed		Total Work Completed to Date (This application SOV's D + E)	Materials Presently Stored (G total greater than \$0 must match C-10SM's column E total. This SOV's G amounts are not in this SOV's D nor E amounts.)	Total Work Completed to Date & Materials Presently Stored (This SOV's F + G)	Percent of Contract Completed to Date (This SOV's H / C)	Retainage (This column's Total's cell formula calculates the applicable variable rate)
			Work Previously Completed (Previous pay app SOV's column F. D is \$0 if this SOV is for first pay app.)	Work Completed This Period (Period as noted above)					
1.					\$ -	\$ -			Retainage Variable Rate:
2.					\$ -	\$ -			
3.					\$ -	\$ -			
4.					\$ -	\$ -			
5.					\$ -	\$ -			
6.					\$ -	\$ -			
7.					\$ -	\$ -			
8.					\$ -	\$ -			
9.					\$ -	\$ -			
10.					\$ -	\$ -			
11.					\$ -	\$ -			
12.					\$ -	\$ -			
13.					\$ -	\$ -			
14.					\$ -	\$ -			
15.					\$ -	\$ -			
16.					\$ -	\$ -			
17.					\$ -	\$ -			
18.					\$ -	\$ -			
19.					\$ -	\$ -			
20.					\$ -	\$ -			
21.					\$ -	\$ -			
22.					\$ -	\$ -			
23.					\$ -	\$ -			
24.					\$ -	\$ -			
25.					\$ -	\$ -			
	<b>TOTALS:</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
This pay app SOV's column totals must match amounts in this pay app Form G702 per the following indicated Form G702 line #'s:			C.	None	None	1.	2.	3.	4.

### INVENTORY OF STORED MATERIALS

Project:

For Estimate No.

Contractor:

For Period Ending

B. C. No.

A DESCRIPTION	B MATERIALS STORED LAST PERIOD	C PURCHASED THIS PERIOD	D TOTAL COLUMNS B + C	E MATERIALS USED THIS PERIOD	F MATERIALS PRESENTLY STORED
Totals:					

To be used as documentation to support value of Stored Materials reported on APPLICATION AND CERTIFICATE FOR PAYMENT.  
Page \_\_\_\_ of \_\_\_\_

SAMPLE PROGRESS SCHEDULE & REPORT											DATE OF REPORT:		
											PROCEED DATE:		
PROJECT:			ARCHITECT/ENGINEER:									PROJECTED COMPLETION DATE:	
			WORK DIVISION	%	AMOUNT								
1. GENERAL REQUIREMENTS													
2. SITWORK													
3. CONCRETE													
4. MASONRY													
5. METALS													
6. WOOD AND PLASTIC											100%		
7. THERMAL AND MOISTURE PROTECTION											90%		
8. DOORS AND WINDOWS											80%		
9. FINISHES											70%		
10. SPECIALTIES											60%		
11. EQUIPMENT											50%		
12. FURNISHINGS											40%		
13. SPECIAL CONSTRUCTION											30%		
14. CONVEYING SYSTEMS											20%		
15. MECHANICAL											10%		
16. ELECTRICAL											0%		
TOTAL ORIG. CONTRACT	100%												
ANTICIPATED DRAW IN \$1,000													
ACTUAL DRAW IN \$1,000													
LEGEND: <u>—</u> ANTICIPATED ACTIVITY <u>—</u> ACTUAL ACTIVITY <u>—</u> ANTICIPATED CASH FLOW <u>—</u> ACTUAL CASH FLOW													
USE ADDITIONAL SHEETS IF JOB IS SCHEDULED OVER 12 MONTHS.													



# AIA® Document G701™ – 2017

## Change Order

PROJECT: <i>(Name and address)</i>	CONTRACT INFORMATION: Contract For: Date:	CHANGE ORDER INFORMATION: Change Order Number: Date:
OWNER: <i>(Name and address)</i>	ARCHITECT: <i>(Name and address)</i>	CONTRACTOR: <i>(Name and address)</i>

### THE CONTRACT IS CHANGED AS FOLLOWS:

*(Insert a detailed description of the change and, if applicable, attach or reference specific exhibits. Also include agreed upon adjustments attributable to executed Construction Change Directives.)*

The original Contract Sum was	\$	0.00
The net change by previously authorized Change Orders	\$	0.00
The Contract Sum prior to this Change Order was	\$	0.00
The Contract Sum will be increased by this Change Order in the amount of	\$	0.00
The new Contract Sum including this Change Order will be	\$	0.00

The Contract Time will be increased by Zero (0) days.  
The new date of Substantial Completion will be

**NOTE:** This Change Order does not include adjustments to the Contract Sum or Guaranteed Maximum Price, or the Contract Time, that have been authorized by Construction Change Directive until the cost and time have been agreed upon by both the Owner and Contractor, in which case a Change Order is executed to supersede the Construction Change Directive.

**NOT VALID UNTIL SIGNED BY THE ARCHITECT, CONTRACTOR AND OWNER.**

ARCHITECT <i>(Firm name)</i>	CONTRACTOR <i>(Firm name)</i>	OWNER <i>(Firm name)</i>
SIGNATURE	SIGNATURE	SIGNATURE
PRINTED NAME AND TITLE	PRINTED NAME AND TITLE	PRINTED NAME AND TITLE
DATE	DATE	DATE

## GENERAL CONTRACTOR'S ROOFING GUARANTEE

Project Name & Address	Project Owner Entity(ies) Name(s) & Address(es)
------------------------	---

General Contractor's Company Name, Address, & Telephone Number	<b>EFFECTIVE DATES OF GUARANTEE</b>
	Date of Acceptance:
	Date of Expiration:

1. The General Contractor does hereby certify that the roofing work included in this contract was installed in strict accordance with all requirements of the plans and specifications and in accordance with approved roofing manufacturers recommendations.
2. The General Contractor does hereby guarantee the roofing and associated work including but not limited to all flashing and counter flashing both composition and metal, roof decking and/or sheathing; all materials used as a roof substrate or insulation over which roof is applied; promenade decks or any other work on the surface of the roof; metal work; gravel stops and roof expansion joints to be absolutely watertight and free from all leaks, due to faulty or defective materials and workmanship for a period of five (5) years, starting on the date of substantial completion of the project. This guarantee does not include liability for damage to interior contents of building due to roof leaks, nor does it extend to any deficiency which was caused by the failure of work which the general contractor did not damage or did not accomplish or was not charged to accomplish.
3. Subject to the terms and conditions listed below, the General Contractor also guarantees that during the Guarantee Period he will, at his own cost and expense, make or cause to be made such repairs to, or replacements of said work, in accordance with the roofing manufacturers standards as are necessary to correct faulty and defective work and/or materials which may develop in the work including, but not limited to: blisters, delamination, exposed felts, ridges, wrinkles, splits, warped insulation and/or loose flashings, etc. in a manner pursuant to the total anticipated life of the roofing system and the best standards applicable to the particular roof type in value and in accordance with construction documents as are necessary to maintain said work in satisfactory condition, and further, to respond on or within three (3) calendar days upon proper notification or leaks or defects by the Owner or Architect.

# GENERAL CONTRACTOR'S FIVE YEAR BUILDING ENVELOPE GUARANTEE

Project Name and Address:	Owner's Name and Address:
Architect's Name and Address:  LATHAN ASSOCIATES ARCHITECTS, P.C. 300 Chase Park South, Suite 200 Hoover, AL 35244  Architect's Job No.: _____	General Contractor's Name, Address, and Phone No.:
EFFECTIVE DATES OF GUARANTEE: Start: _____ Date of Substantial Completion _____ Period: Five (5) Years	

General Contractor warrants to the Owner (named above) for a period of Five Years the entire Building Envelope will be weathertight, moisture and wind impermeable and uncompromised as a result of materials and/or workmanship provided. Should any portion of the Building Envelope develop moisture and/or wind infiltration during the warranty period, the General Contractor shall promptly address, employ clean-up and temporary measures to prevent further resultant damage and provide corrections to the Building Envelope and/or consequently damaged work of such quality consistent with the original scope of work as deemed by the Architect. Corrective work shall be subject to special scheduling as required to prevent disruption of the Owner's ongoing operations and shall be subject to the same General Conditions and work ethics as required for the original work.

Future building additions will not void this guarantee, except for that portion of the future addition that might affect the work under this contract at the point of connection and any damage caused by such addition. If this contract is for an addition to an existing building, then this guarantee covers the work involved at the point of connection.

Upon discovery, the Owner shall promptly notify the General Contractor of observed or suspected compromises and shall afford reasonable opportunity for the General Contractor to inspect the work, and to examine the evidence of such.

The General Contractor shall be afforded reasonable and scheduled opportunity to make periodic preventative observations of the work associated with this warranty.

This Building Envelope Warranty shall be effective concurrently with the required DCM Form C-9 General Contractor's Roofing Guarantee and both shall be submitted fully executed as independent documents to the Architect at the time of the Final Inspection.

This instrument has been duly executed this      day of \_\_\_\_\_, 20\_\_\_\_\_. .

\_\_\_\_\_  
General Contractor's Authorized Signature

\_\_\_\_\_  
Typed Name and Title

- A. Specifically excluded from this Guarantee are damages to the work, other parts of the building and building contents caused by: (1) lightning, windstorm, hailstorm and other unusual phenomena of the elements; and (2) fire. When the work has been damaged by any of the foregoing causes, the Guarantee shall be null and void until such damage has been repaired by the General Contractor, and until the cost and expense thereof has been paid by the Owner or by the responsible party so designated.
- B. During the Guarantee Period, if the Owner allows alteration of the work by anyone other than the General Contractor, including cutting, patching and maintenance in connection with penetrations, and positioning of anything on the roof, this Guarantee shall become null and void upon the date of said alterations. If the owner engages the General Contractor to perform said alterations, the Guarantee shall not become null and void, unless the General Contractor, prior to proceeding with the said work, shall have notified the Owner in writing, showing reasonable cause for claim that said alterations would likely damage or deteriorate the work, thereby reasonably justifying a termination of this Guarantee.
- C. Future building additions will not void this guarantee, except for that portion of the future addition that might affect the work under this contract at the point of connection of the roof areas, and any damage caused by such addition. If this contract is for roofing of an addition to an existing building, then this guarantee covers the work involved at the point of connection with the existing roof.
- D. During the Guarantee period, if the original use of the roof is changed and it becomes used for, but was not originally specified for, a promenade, work deck, spray cooled surface, flooded basin, or other use of service more severe than originally specified, this Guarantee shall become null and void upon the date of said change.
- E. The Owner shall promptly notify the General Contractor of observed, known or suspected leaks, defects or deterioration, and shall afford reasonable opportunity for the General Contractor to inspect the work, and to examine the evidence of such leaks, defects or deterioration.

IN WITNESS THEREOF, this instrument has been duly executed this \_\_\_\_\_ day  
of \_\_\_\_\_

\_\_\_\_\_  
General Contractor's Authorized Signature

\_\_\_\_\_  
Typed Name and Title



# AIA® Document G704® – 2017

## Certificate of Substantial Completion

PROJECT: *(name and address)*

CONTRACT INFORMATION:

Contract For:

Date:

CERTIFICATE INFORMATION:

Certificate Number:

Date:

OWNER: *(name and address)*

ARCHITECT: *(name and address)*

CONTRACTOR: *(name and address)*

The Work identified below has been reviewed and found, to the Architect's best knowledge, information, and belief, to be substantially complete. Substantial Completion is the stage in the progress of the Work when the Work or designated portion is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use. The date of Substantial Completion of the Project or portion designated below is the date established by this Certificate.

*(Identify the Work, or portion thereof, that is substantially complete.)*

ARCHITECT (Firm Name)	SIGNATURE	PRINTED NAME AND TITLE	DATE OF SUBSTANTIAL COMPLETION
-----------------------	-----------	------------------------	--------------------------------

### WARRANTIES

The date of Substantial Completion of the Project or portion designated above is also the date of commencement of applicable warranties required by the Contract Documents, except as stated below:

*(Identify warranties that do not commence on the date of Substantial Completion, if any, and indicate their date of commencement.)*

### WORK TO BE COMPLETED OR CORRECTED

A list of items to be completed or corrected is attached hereto, or transmitted as agreed upon by the parties, and identified as follows:

*(Identify the list of Work to be completed or corrected.)*

The failure to include any items on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents. Unless otherwise agreed to in writing, the date of commencement of warranties for items on the attached list will be the date of issuance of the final Certificate of Payment or the date of final payment, whichever occurs first. The Contractor will complete or correct the Work on the list of items attached hereto within  ( ) days from the above date of Substantial Completion.

Cost estimate of Work to be completed or corrected: \$

The responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work, insurance, and other items identified below shall be as follows:

*(Note: Owner's and Contractor's legal and insurance counsel should review insurance requirements and coverage.)*

The Owner and Contractor hereby accept the responsibilities assigned to them in this Certificate of Substantial Completion:

CONTRACTOR (Firm Name)	SIGNATURE	PRINTED NAME AND TITLE	DATE
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OWNER (Firm Name)	SIGNATURE	PRINTED NAME AND TITLE	DATE
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## SAMPLE FORM OF ADVERTISEMENT FOR COMPLETION

### LEGAL NOTICE

In accordance with Chapter 1, Title 39, Code of Alabama, 1975, as amended, notice is hereby given

that \_\_\_\_\_,

*(Contractor Company Name)*

Contractor, has completed the Contract for  (Construction)  (Renovation)  (Alteration)  
 (Equipment)  (Improvement) of *(Name of Project):*

at \_\_\_\_\_

*(Insert location data in County or City)*

for the State of Alabama and the (County) (City) of \_\_\_\_\_,  
Owner(s), and have made request for final settlement of said Contract. All persons having  
any claim for labor, materials, or otherwise in connection with this project should immediately  
notify

\_\_\_\_\_

*(Architect / Engineer)*

\_\_\_\_\_

*(Contractor)*

\_\_\_\_\_

*(Business Address)*

NOTE: This notice must be run for a minimum of three weeks for projects of \$100,000.00 or more. For acceptable methods of advertisement, see General Conditions of the Contract, Article 34. Proof of publication of the notice shall be made by the contractor to the authority by whom the contract was made by affidavit of the publisher or website owner and a printed copy of the notice published. A final settlement shall not be made upon the contract until the expiration of 30 days after the completion of the notice.



# AIA® Document G707™ – 1994

## Consent Of Surety to Final Payment

PROJECT: <i>(Name and address)</i>	ARCHITECT'S PROJECT NUMBER:	OWNER: <input type="checkbox"/>
	CONTRACT FOR:	ARCHITECT: <input type="checkbox"/>
TO OWNER: <i>(Name and address)</i>	CONTRACT DATED:	CONTRACTOR: <input type="checkbox"/>
		SURETY: <input type="checkbox"/>
		OTHER: <input type="checkbox"/>

In accordance with the provisions of the Contract between the Owner and the Contractor as indicated above, the  
*(Insert name and address of Surety)*

, SURETY,  
on bond of  
*(Insert name and address of Contractor)*

, CONTRACTOR,  
hereby approves of the final payment to the Contractor, and agrees that final payment to the Contractor shall  
not relieve the Surety of any of its obligations to  
*(Insert name and address of Owner)*

, OWNER,  
as set forth in said Surety's bond.

IN WITNESS WHEREOF, the Surety has hereunto set its hand on this date:  
*(Insert in writing the month followed by the numeric date and year.)*

*(Surety)*

*(Signature of authorized representative)*

Attest:  
*(Seal):*

*(Printed name and title)*



# AIA® Document G706™ – 1994

## *Contractor's Affidavit of Payment of Debts and Claims*

PROJECT: *(Name and address)*

ARCHITECT'S PROJECT NUMBER:

OWNER:

TO OWNER: *(Name and address)*

CONTRACT FOR:

ARCHITECT:

CONTRACT DATED:

CONTRACTOR:

SURETY:

OTHER:

STATE OF:

COUNTY OF:

The undersigned hereby certifies that, except as listed below, payment has been made in full and all obligations have otherwise been satisfied for all materials and equipment furnished, for all work, labor, and services performed, and for all known indebtedness and claims against the Contractor for damages arising in any manner in connection with the performance of the Contract referenced above for which the Owner or Owner's property might in any way be held responsible or encumbered.

### EXCEPTIONS:

#### SUPPORTING DOCUMENTS ATTACHED HERETO:

1. Consent of Surety to Final Payment. Whenever Surety is involved, Consent of Surety is required. AIA Document G707, Consent of Surety, may be used for this purpose.

Indicate Attachment  Yes  No

*The following supporting documents should be attached hereto if required by the Owner:*

1. Contractor's Release or Waiver of Liens, conditional upon receipt of final payment.
2. Separate Releases or Waivers of Liens from Subcontractors and material and equipment suppliers, to the extent required by the Owner, accompanied by a list thereof.
3. Contractor's Affidavit of Release of Liens (AIA Document G706A).

#### CONTRACTOR: *(Name and address)*

BY:

*(Signature of authorized representative)*

*(Printed name and title)*

Subscribed and sworn to before me on this date:

Notary Public:

My Commission Expires:



# AIA® Document G706A™ – 1994

## *Contractor's Affidavit of Release of Liens*

PROJECT: <i>(Name and address)</i>	ARCHITECT'S PROJECT NUMBER:	OWNER: <input type="checkbox"/>
TO OWNER: <i>(Name and address)</i>	CONTRACT FOR: CONTRACT DATED:	ARCHITECT: <input type="checkbox"/>
		CONTRACTOR: <input type="checkbox"/>
		SURETY: <input type="checkbox"/>
		OTHER: <input type="checkbox"/>

STATE OF:  
COUNTY OF:

The undersigned hereby certifies that to the best of the undersigned's knowledge, information and belief, except as listed below, the Releases or Waivers of Lien attached hereto include the Contractor, all Subcontractors, all suppliers of materials and equipment, and all performers of Work, labor or services who have or may have liens or encumbrances or the right to assert liens or encumbrances against any property of the Owner arising in any manner out of the performance of the Contract referenced above.

**EXCEPTIONS:**

**SUPPORTING DOCUMENTS ATTACHED HERETO:**

1. Contractor's Release or Waiver of Liens, conditional upon receipt of final payment.
2. Separate Releases or Waivers of Liens from Subcontractors and material and equipment suppliers, to the extent required by the Owner, accompanied by a list thereof.

**CONTRACTOR:** *(Name and address)*

BY:

*(Signature of authorized representative)*

*(Printed name and title)*

Subscribed and sworn to before me on this date:

Notary Public:

My Commission Expires:



## *General Conditions of the Contract for Construction*

for the following PROJECT:

*(Name and location or address)*

THE OWNER:

*(Name, legal status and address)*

THE ARCHITECT:

*(Name, legal status and address)*

### TABLE OF ARTICLES

- 1 GENERAL PROVISIONS
- 2 OWNER
- 3 CONTRACTOR
- 4 ARCHITECT
- 5 SUBCONTRACTORS
- 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS
- 7 CHANGES IN THE WORK
- 8 TIME
- 9 PAYMENTS AND COMPLETION
- 10 PROTECTION OF PERSONS AND PROPERTY
- 11 INSURANCE AND BONDS
- 12 UNCOVERING AND CORRECTION OF WORK
- 13 MISCELLANEOUS PROVISIONS
- 14 TERMINATION OR SUSPENSION OF THE CONTRACT
- 15 CLAIMS AND DISPUTES

### ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

For guidance in modifying this document to include supplementary conditions, see AIA Document A503™, Guide for Supplementary Conditions.

## INDEX

(Topics and numbers in bold are Section headings.)

### **Acceptance of Nonconforming Work**

9.6.6, 9.9.3, 12.3  
Acceptance of Work  
9.6.6, 9.8.2, 9.9.3, 9.10.1, 9.10.3, 12.3

### **Access to Work**

3.16, 6.2.1, 12.1

### **Accident Prevention**

10

### **Acts and Omissions**

3.2, 3.3.2, 3.12.8, 3.18, 4.2.3, 8.3.1, 9.5.1, 10.2.5, 10.2.8, 13.3.2, 14.1, 15.1.2, 15.2

### **Addenda**

1.1.1

Additional Costs, Claims for  
3.7.4, 3.7.5, 10.3.2, 15.1.5

### **Additional Inspections and Testing**

9.4.2, 9.8.3, 12.2.1, 13.4

### **Additional Time, Claims for**

3.2.4, 3.7.4, 3.7.5, 3.10.2, 8.3.2, 15.1.6

### **Administration of the Contract**

3.1.3, 4.2, 9.4, 9.5

### **Advertisement or Invitation to Bid**

1.1.1

### **Aesthetic Effect**

4.2.13

### **Allowances**

3.8

### **Applications for Payment**

4.2.5, 7.3.9, 9.2, 9.3, 9.4, 9.5.1, 9.5.4, 9.6.3, 9.7, 9.10

### **Approvals**

2.1.1, 2.3.1, 2.5, 3.1.3, 3.10.2, 3.12.8, 3.12.9, 3.12.10.1, 4.2.7, 9.3.2, 13.4.1

### **Arbitration**

8.3.1, 15.3.2, 15.4

### **ARCHITECT**

4

### **Architect, Definition of**

4.1.1

### **Architect, Extent of Authority**

2.5, 3.12.7, 4.1.2, 4.2, 5.2, 6.3, 7.1.2, 7.3.4, 7.4, 9.2, 9.3.1, 9.4, 9.5, 9.6.3, 9.8, 9.10.1, 9.10.3, 12.1, 12.2.1, 13.4.1, 13.4.2, 14.2.2, 14.2.4, 15.1.4, 15.2.1

### **Architect, Limitations of Authority and Responsibility**

2.1.1, 3.12.4, 3.12.8, 3.12.10, 4.1.2, 4.2.1, 4.2.2, 4.2.3, 4.2.6, 4.2.7, 4.2.10, 4.2.12, 4.2.13, 5.2.1, 7.4, 9.4.2, 9.5.4, 9.6.4, 15.1.4, 15.2

### **Architect's Additional Services and Expenses**

2.5, 12.2.1, 13.4.2, 13.4.3, 14.2.4

### **Architect's Administration of the Contract**

3.1.3, 3.7.4, 15.2, 9.4.1, 9.5

### **Architect's Approvals**

2.5, 3.1.3, 3.5, 3.10.2, 4.2.7

### **Architect's Authority to Reject Work**

3.5, 4.2.6, 12.1.2, 12.2.1

### **Architect's Copyright**

1.1.7, 1.5

### **Architect's Decisions**

3.7.4, 4.2.6, 4.2.7, 4.2.11, 4.2.12, 4.2.13, 4.2.14, 6.3, 7.3.4, 7.3.9, 8.1.3, 8.3.1, 9.2, 9.4.1, 9.5, 9.8.4, 9.9.1, 13.4.2, 15.2

### **Architect's Inspections**

3.7.4, 4.2.2, 4.2.9, 9.4.2, 9.8.3, 9.9.2, 9.10.1, 13.4

### **Architect's Instructions**

3.2.4, 3.3.1, 4.2.6, 4.2.7, 13.4.2

### **Architect's Interpretations**

4.2.11, 4.2.12

### **Architect's Project Representative**

4.2.10

### **Architect's Relationship with Contractor**

1.1.2, 1.5, 2.3.3, 3.1.3, 3.2.2, 3.2.3, 3.2.4, 3.3.1, 3.4.2, 3.5, 3.7.4, 3.7.5, 3.9.2, 3.9.3, 3.10, 3.11, 3.12, 3.16, 3.18, 4.1.2, 4.2, 5.2, 6.2.2, 7, 8.3.1, 9.2, 9.3, 9.4, 9.5, 9.7, 9.8, 9.9, 10.2.6, 10.3, 11.3, 12, 13.3.2, 13.4, 15.2

### **Architect's Relationship with Subcontractors**

1.1.2, 4.2.3, 4.2.4, 4.2.6, 9.6.3, 9.6.4, 11.3

### **Architect's Representations**

9.4.2, 9.5.1, 9.10.1

### **Architect's Site Visits**

3.7.4, 4.2.2, 4.2.9, 9.4.2, 9.5.1, 9.9.2, 9.10.1, 13.4

### **Asbestos**

10.3.1

### **Attorneys' Fees**

3.18.1, 9.6.8, 9.10.2, 10.3.3

### **Award of Separate Contracts**

6.1.1, 6.1.2

### **Award of Subcontracts and Other Contracts for Portions of the Work**

5.2

### **Basic Definitions**

1.1

### **Bidding Requirements**

1.1.1

### **Binding Dispute Resolution**

8.3.1, 9.7, 11.5, 13.1, 15.1.2, 15.1.3, 15.2.1, 15.2.5, 15.2.6.1, 15.3.1, 15.3.2, 15.3.3, 15.4.1

### **Bonds, Lien**

7.3.4.4, 9.6.8, 9.10.2, 9.10.3

### **Bonds, Performance, and Payment**

7.3.4.4, 9.6.7, 9.10.3, 11.1.2, 11.1.3, 11.5

### **Building Information Models Use and Reliance**

1.8

### **Building Permit**

3.7.1

### **Capitalization**

1.3

### **Certificate of Substantial Completion**

9.8.3, 9.8.4, 9.8.5

<b>Certificates for Payment</b>	Concealed or Unknown Conditions
4.2.1, 4.2.5, 4.2.9, 9.3.3, 9.4, 9.5, 9.6.1, 9.6.6, 9.7, 9.10.1, 9.10.3, 14.1.1.3, 14.2.4, 15.1.4	3.7.4, 4.2.8, 8.3.1, 10.3
<b>Certificates of Inspection, Testing or Approval</b>	Conditions of the Contract
13.4.4	1.1.1, 6.1.1, 6.1.4
<b>Certificates of Insurance</b>	Consent, Written
9.10.2	3.4.2, 3.14.2, 4.1.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3, 13.2, 15.4.4.2
<b>Change Orders</b>	<b>Consolidation or Joinder</b>
1.1.1, 3.4.2, 3.7.4, 3.8.2.3, 3.11, 3.12.8, 4.2.8, 5.2.3, 7.1.2, 7.1.3, 7.2, 7.3.2, 7.3.7, 7.3.9, 7.3.10, 8.3.1, 9.3.1.1, 9.10.3, 10.3.2, 11.2, 11.5, 12.1.2	15.4.4
<b>Change Orders, Definition of</b>	<b>CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS</b>
<b>7.2.1</b>	1.1.4, 6
<b>CHANGES IN THE WORK</b>	<b>Construction Change Directive, Definition of</b>
2.2.2, 3.11, 4.2.8, 7, 7.2.1, 7.3.1, 7.4, 8.3.1, 9.3.1.1, 11.5	7.3.1
<b>Claims, Definition of</b>	<b>Construction Change Directives</b>
<b>15.1.1</b>	1.1.1, 3.4.2, 3.11, 3.12.8, 4.2.8, 7.1.1, 7.1.2, 7.1.3, 7.3, 9.3.1.1
<b>Claims, Notice of</b>	<b>Construction Schedules, Contractor's</b>
1.6.2, 15.1.3	3.10, 3.11, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2
<b>CLAIMS AND DISPUTES</b>	<b>Contingent Assignment of Subcontracts</b>
3.2.4, 6.1.1, 6.3, 7.3.9, 9.3.3, 9.10.4, 10.3.3, 15, 15.4	5.4, 14.2.2.2
<b>Claims and Timely Assertion of Claims</b>	<b>Continuing Contract Performance</b>
<b>15.4.1</b>	15.1.4
<b>Claims for Additional Cost</b>	<b>Contract, Definition of</b>
3.2.4, 3.3.1, 3.7.4, 7.3.9, 9.5.2, 10.2.5, 10.3.2, 15.1.5	1.1.2
<b>Claims for Additional Time</b>	<b>CONTRACT, TERMINATION OR SUSPENSION OF THE</b>
3.2.4, 3.3.1, 3.7.4, 6.1.1, 8.3.2, 9.5.2, 10.3.2, 15.1.6	5.4.1.1, 5.4.2, 11.5, 14
<b>Concealed or Unknown Conditions, Claims for</b>	<b>Contract Administration</b>
<b>3.7.4</b>	3.1.3, 4, 9.4, 9.5
<b>Claims for Damages</b>	<b>Contract Award and Execution, Conditions Relating to</b>
3.2.4, 3.18, 8.3.3, 9.5.1, 9.6.7, 10.2.5, 10.3.3, 11.3, 11.3.2, 14.2.4, 15.1.7	3.7.1, 3.10, 5.2, 6.1
<b>Claims Subject to Arbitration</b>	<b>Contract Documents, Copies Furnished and Use of</b>
<b>15.4.1</b>	1.5.2, 2.3.6, 5.3
<b>Cleaning Up</b>	<b>Contract Documents, Definition of</b>
<b>3.15, 6.3</b>	1.1.1
<b>Commencement of the Work, Conditions Relating to</b>	<b>Contract Sum</b>
2.2.1, 3.2.2, 3.4.1, 3.7.1, 3.10.1, 3.12.6, 5.2.1, 5.2.3, 6.2.2, 8.1.2, 8.2.2, 8.3.1, 11.1, 11.2, 15.1.5	2.2.2, 2.2.4, 3.7.4, 3.7.5, 3.8, 3.10.2, 5.2.3, 7.3, 7.4, 9.1, 9.2, 9.4.2, 9.5.1.4, 9.6.7, 9.7, 10.3.2, 11.5, 12.1.2, 12.3, 14.2.4, 14.3.2, 15.1.4.2, 15.1.5, 15.2.5
<b>Commencement of the Work, Definition of</b>	<b>Contract Sum, Definition of</b>
<b>8.1.2</b>	9.1
<b>Communications</b>	<b>Contract Time</b>
<b>3.9.1, 4.2.4</b>	1.1.4, 2.2.1, 2.2.2, 3.7.4, 3.7.5, 3.10.2, 5.2.3, 6.1.5, 7.2.1.3, 7.3.1, 7.3.5, 7.3.6, 7, 7, 7.3.10, 7.4, 8.1.1, 8.2.1, 8.2.3, 8.3.1, 9.5.1, 9.7, 10.3.2, 12.1.1, 12.1.2, 14.3.2, 15.1.4.2, 15.1.6.1, 15.2.5
<b>Completion, Conditions Relating to</b>	<b>Contract Time, Definition of</b>
3.4.1, 3.11, 3.15, 4.2.2, 4.2.9, 8.2, 9.4.2, 9.8, 9.9.1, 9.10, 12.2, 14.1.2, 15.1.2	8.1.1
<b>COMPLETION, PAYMENTS AND</b>	<b>CONTRACTOR</b>
<b>9</b>	3
<b>Completion, Substantial</b>	<b>Contractor, Definition of</b>
3.10.1, 4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3, 12.2, 15.1.2	<b>3.1, 6.1.2</b>
<b>Compliance with Laws</b>	<b>Contractor's Construction and Submittal Schedules</b>
2.3.2, 3.2.3, 3.6, 3.7, 3.12.10, 3.13, 9.6.4, 10.2.2, 13.1, 13.3, 13.4.1, 13.4.2, 13.5, 14.1.1, 14.2.1.3, 15.2.8, 15.4.2, 15.4.3	<b>3.10, 3.12.1, 3.12.2, 4.2.3, 6.1.3, 15.1.6.2</b>

<b>Contractor's Employees</b>	<b>Damage to Construction of Owner or Separate Contractors</b>
2.2.4, 3.3.2, 3.4.3, 3.8.1, 3.9, 3.18.2, 4.2.3, 4.2.6, 10.2, 10.3, 11.3, 14.1, 14.2.1.1	3.14.2, 6.2.4, 10.2.1.2, 10.2.5, 10.4, 12.2.4
<b>Contractor's Liability Insurance</b>	<b>Damage to the Work</b>
<b>11.1</b>	3.14.2, 9.9.1, 10.2.1.2, 10.2.5, 10.4, 12.2.4
<b>Contractor's Relationship with Separate Contractors and Owner's Forces</b>	<b>Damages, Claims for</b>
3.12.5, 3.14.2, 4.2.4, 6, 11.3, 12.2.4	3.2.4, 3.18, 6.1.1, 8.3.3, 9.5.1, 9.6.7, 10.3.3, 11.3.2, 11.3, 14.2.4, 15.1.7
<b>Contractor's Relationship with Subcontractors</b>	<b>Damages for Delay</b>
1.2.2, 2.2.4, 3.3.2, 3.18.1, 3.18.2, 4.2.4, 5, 9.6.2, 9.6.7, 9.10.2, 11.2, 11.3, 11.4	6.2.3, 8.3.3, 9.5.1.6, 9.7, 10.3.2, 14.3.2
<b>Contractor's Relationship with the Architect</b>	<b>Date of Commencement of the Work, Definition of</b>
1.1.2, 1.5, 2.3.3, 3.1.3, 3.2.2, 3.2.3, 3.2.4, 3.3.1, 3.4.2, 3.5.1, 3.7.4, 3.10, 3.11, 3.12, 3.16, 3.18, 4.2, 5.2, 6.2.2, 7, 8.3.1, 9.2, 9.3, 9.4, 9.5, 9.7, 9.8, 9.9, 10.2.6, 10.3, 11.3, 12, 13.4, 15.1.3, 15.2.1	<b>8.1.2</b>
<b>Contractor's Representations</b>	<b>Date of Substantial Completion, Definition of</b>
3.2.1, 3.2.2, 3.5, 3.12.6, 6.2.2, 8.2.1, 9.3.3, 9.8.2	<b>8.1.3</b>
<b>Contractor's Responsibility for Those Performing the Work</b>	<b>Day, Definition of</b>
3.3.2, 3.18, 5.3, 6.1.3, 6.2, 9.5.1, 10.2.8	<b>8.1.4</b>
<b>Contractor's Review of Contract Documents</b>	<b>Decisions of the Architect</b>
3.2	3.7.4, 4.2.6, 4.2.7, 4.2.11, 4.2.12, 4.2.13, 6.3, 7.3.4, 7.3.9, 8.1.3, 8.3.1, 9.2, 9.4, 9.5.1, 9.8.4, 9.9.1, 13.4.2, 14.2.2, 14.2.4, 15.1, 15.2
<b>Contractor's Right to Stop the Work</b>	<b>Decisions to Withhold Certification</b>
2.2.2, 9.7	9.4.1, 9.5, 9.7, 14.1.1.3
<b>Contractor's Right to Terminate the Contract</b>	<b>Defective or Nonconforming Work, Acceptance, Rejection and Correction of</b>
14.1	2.5, 3.5, 4.2.6, 6.2.3, 9.5.1, 9.5.3, 9.6.6, 9.8.2, 9.9.3, 9.10.4, 12.2.1
<b>Contractor's Submittals</b>	<b>Definitions</b>
3.10, 3.11, 3.12, 4.2.7, 5.2.1, 5.2.3, 9.2, 9.3, 9.8.2, 9.8.3, 9.9.1, 9.10.2, 9.10.3	1.1, 2.1.1, 3.1.1, 3.5, 3.12.1, 3.12.2, 3.12.3, 4.1.1, 5.1, 6.1.2, 7.2.1, 7.3.1, 8.1, 9.1, 9.8.1, 15.1.1
<b>Contractor's Superintendent</b>	<b>Delays and Extensions of Time</b>
3.9, 10.2.6	3.2, 3.7.4, 5.2.3, 7.2.1, 7.3.1, 7.4, 8.3, 9.5.1, 9.7, 10.3.2, 10.4, 14.3.2, 15.1.6, 15.2.5
<b>Contractor's Supervision and Construction Procedures</b>	<b>Digital Data Use and Transmission</b>
1.2.2, 3.3, 3.4, 3.12.10, 4.2.2, 4.2.7, 6.1.3, 6.2.4, 7.1.3, 7.3.4, 7.3.6, 8.2, 10, 12, 14, 15.1.4	<b>1.7</b>
<b>Coordination and Correlation</b>	<b>Disputes</b>
1.2, 3.2.1, 3.3.1, 3.10, 3.12.6, 6.1.3, 6.2.1	6.3, 7.3.9, 15.1, 15.2
<b>Copies Furnished of Drawings and Specifications</b>	<b>Documents and Samples at the Site</b>
1.5, 2.3.6, 3.11	<b>3.11</b>
<b>Copyrights</b>	<b>Drawings, Definition of</b>
1.5, 3.17	<b>1.1.5</b>
<b>Correction of Work</b>	<b>Drawings and Specifications, Use and Ownership of</b>
2.5, 3.7.3, 9.4.2, 9.8.2, 9.8.3, 9.9.1, 12.1.2, 12.2, 12.3, 15.1.3.1, 15.1.3.2, 15.2.1	3.11
<b>Correlation and Intent of the Contract Documents</b>	<b>Effective Date of Insurance</b>
1.2	8.2.2
<b>Cost, Definition of</b>	<b>Emergencies</b>
7.3.4	10.4, 14.1.1.2, 15.1.5
<b>Costs</b>	<b>Employees, Contractor's</b>
2.5, 3.2.4, 3.7.3, 3.8.2, 3.15.2, 5.4.2, 6.1.1, 6.2.3, 7.3.3.3, 7.3.4, 7.3.8, 7.3.9, 9.10.2, 10.3.2, 10.3.6, 11.2, 12.1.2, 12.2.1, 12.2.4, 13.4, 14	3.3.2, 3.4.3, 3.8.1, 3.9, 3.18.2, 4.2.3, 4.2.6, 10.2, 10.3.3, 11.3, 14.1, 14.2.1.1
<b>Cutting and Patching</b>	<b>Equipment, Labor, or Materials</b>
3.14, 6.2.5	1.1.3, 1.1.6, 3.4, 3.5, 3.8.2, 3.8.3, 3.12, 3.13, 3.15.1, 4.2.6, 4.2.7, 5.2.1, 6.2.1, 7.3.4, 9.3.2, 9.3.3, 9.5.1.3, 9.10.2, 10.2.1, 10.2.4, 14.2.1.1, 14.2.1.2
<b>Execution and Progress of the Work</b>	<b>Execution and Progress of the Work</b>
1.1.3, 1.2.1, 1.2.2, 2.3.4, 2.3.6, 3.1, 3.3.1, 3.4.1, 3.7.1, 3.10.1, 3.12, 3.14, 4.2, 6.2.2, 7.1.3, 7.3.6, 8.2, 9.5.1, 9.9.1, 10.2, 10.3, 12.1, 12.2, 14.2, 14.3.1, 15.1.4	1.1.3, 1.2.1, 2.3.4, 2.3.6, 3.1, 3.3.1, 3.4.1, 3.7.1, 3.10.1, 3.12, 3.14, 4.2, 6.2.2, 7.1.3, 7.3.6, 8.2, 9.5.1, 9.9.1, 10.2, 10.3, 12.1, 12.2, 14.2, 14.3.1, 15.1.4

Extensions of Time	Insurance, Stored Materials
3.2.4, 3.7.4, 5.2.3, 7.2.1, 7.3, 7.4, 9.5.1, 9.7, 10.3.2, 10.4, 14.3, 15.1.6, 15.2.5	9.3.2
<b>Failure of Payment</b>	<b>INSURANCE AND BONDS</b>
9.5.1.3, 9.7, 9.10.2, 13.5, 14.1.1.3, 14.2.1.2	11
Faulty Work	Insurance Companies, Consent to Partial Occupancy
(See Defective or Nonconforming Work)	9.9.1
<b>Final Completion and Final Payment</b>	Insured loss, Adjustment and Settlement of
4.2.1, 4.2.9, 9.8.2, 9.10, 12.3, 14.2.4, 14.4.3	11.5
Financial Arrangements, Owner's	Intent of the Contract Documents
2.2.1, 13.2.2, 14.1.1.4	1.2.1, 4.2.7, 4.2.12, 4.2.13
<b>GENERAL PROVISIONS</b>	<b>Interest</b>
1	13.5
<b>Governing Law</b>	<b>Interpretation</b>
13.1	1.1.8, 1.2.3, 1.4, 4.1.1, 5.1, 6.1.2, 15.1.1
Guarantees (See Warranty)	Interpretations, Written
<b>Hazardous Materials and Substances</b>	4.2.11, 4.2.12
10.2.4, 10.3	Judgment on Final Award
Identification of Subcontractors and Suppliers	15.4.2
5.2.1	<b>Labor and Materials, Equipment</b>
<b>Indemnification</b>	1.1.3, 1.1.6, 3.4, 3.5, 3.8.2, 3.8.3, 3.12, 3.13, 3.15.1, 5.2.1, 6.2.1, 7.3.4, 9.3.2, 9.3.3, 9.5.1.3, 9.10.2, 10.2.1, 10.2.4, 14.2.1.1, 14.2.1.2
3.17, 3.18, 9.6.8, 9.10.2, 10.3.3, 11.3	Labor Disputes
<b>Information and Services Required of the Owner</b>	8.3.1
2.1.2, 2.2, 2.3, 3.2.2, 3.12.10.1, 6.1.3, 6.1.4, 6.2.5, 9.6.1, 9.9.2, 9.10.3, 10.3.3, 11.2, 13.4.1, 13.4.2, 14.1.1.4, 14.1.4, 15.1.4	Laws and Regulations
<b>Initial Decision</b>	1.5, 2.3.2, 3.2.3, 3.2.4, 3.6, 3.7, 3.12.10, 3.13, 9.6.4, 9.9.1, 10.2.2, 13.1, 13.3.1, 13.4.2, 13.5, 14, 15.2.8, 15.4
15.2	Liens
<b>Initial Decision Maker, Definition of</b>	2.1.2, 9.3.1, 9.3.3, 9.6.8, 9.10.2, 9.10.4, 15.2.8
1.1.8	Limitations, Statutes of
<b>Initial Decision Maker, Decisions</b>	12.2.5, 15.1.2, 15.4.1.1
14.2.4, 15.1.4.2, 15.2.1, 15.2.2, 15.2.3, 15.2.4, 15.2.5	Limitations of Liability
<b>Initial Decision Maker, Extent of Authority</b>	3.2.2, 3.5, 3.12.10, 3.12.10.1, 3.17, 3.18.1, 4.2.6, 4.2.7, 6.2.2, 9.4.2, 9.6.4, 9.6.7, 9.6.8, 10.2.5, 10.3.3, 11.3, 12.2.5, 13.3.1
14.2.4, 15.1.4.2, 15.2.1, 15.2.2, 15.2.3, 15.2.4, 15.2.5	Limitations of Time
<b>Injury or Damage to Person or Property</b>	2.1.2, 2.2, 2.5, 3.2.2, 3.10, 3.11, 3.12.5, 3.15.1, 4.2.7, 5.2, 5.3, 5.4.1, 6.2.4, 7.3, 7.4, 8.2, 9.2, 9.3.1, 9.3.3, 9.4.1, 9.5, 9.6, 9.7, 9.8, 9.9, 9.10, 12.2, 13.4, 14, 15, 15.1.2, 15.1.3, 15.1.5
10.2.8, 10.4	<b>Materials, Hazardous</b>
<b>Inspections</b>	10.2.4, 10.3
3.1.3, 3.3.3, 3.7.1, 4.2.2, 4.2.6, 4.2.9, 9.4.2, 9.8.3, 9.9.2, 9.10.1, 12.2.1, 13.4	Materials, Labor, Equipment and
<b>Instructions to Bidders</b>	1.1.3, 1.1.6, 3.4.1, 3.5, 3.8.2, 3.8.3, 3.12, 3.13, 3.15.1, 5.2.1, 6.2.1, 7.3.4, 9.3.2, 9.3.3, 9.5.1.3, 9.10.2, 10.2.1.2, 10.2.4, 14.2.1.1, 14.2.1.2
1.1.1	Means, Methods, Techniques, Sequences and
<b>Instructions to the Contractor</b>	Procedures of Construction
3.2.4, 3.3.1, 3.8.1, 5.2.1, 7, 8.2.2, 12, 13.4.2	3.3.1, 3.12.10, 4.2.2, 4.2.7, 9.4.2
<b>Instruments of Service, Definition of</b>	<b>Mechanic's Lien</b>
1.1.7	2.1.2, 9.3.1, 9.3.3, 9.6.8, 9.10.2, 9.10.4, 15.2.8
<b>Insurance</b>	<b>Mediation</b>
6.1.1, 7.3.4, 8.2.2, 9.3.2, 9.8.4, 9.9.1, 9.10.2, 10.2.5, 11	8.3.1, 15.1.3.2, 15.2.1, 15.2.5, 15.2.6, 15.3, 15.4.1, 15.4.1.1
<b>Insurance, Notice of Cancellation or Expiration</b>	<b>Minor Changes in the Work</b>
11.1.4, 11.2.3	1.1.1, 3.4.2, 3.12.8, 4.2.8, 7.1, 7.4
<b>Insurance, Contractor's Liability</b>	
11.1	
<b>Insurance, Effective Date of</b>	
8.2.2, 14.4.2	
<b>Insurance, Owner's Liability</b>	
11.2	
<b>Insurance, Property</b>	
10.2.5, 11.2, 11.4, 11.5	

## MISCELLANEOUS PROVISIONS

13

Modifications, Definition of

1.1.1

Modifications to the Contract

1.1.1, 1.1.2, 2.5, 3.11, 4.1.2, 4.2.1, 5.2.3, 7, 8.3.1, 9.7, 10.3.2

Mutual Responsibility

6.2

Nonconforming Work, Acceptance of

9.6.6, 9.9.3, 12.3

Nonconforming Work, Rejection and Correction of  
2.4, 2.5, 3.5, 4.2.6, 6.2.4, 9.5.1, 9.8.2, 9.9.3, 9.10.4, 12.2

Notice

1.6, 1.6.1, 1.6.2, 2.1.2, 2.2.2, 2.2.3, 2.2.4, 2.5, 3.2.4, 3.3.1, 3.7.4, 3.7.5, 3.9.2, 3.12.9, 3.12.10, 5.2.1, 7.4, 8.2.2, 9.6.8, 9.7, 9.10.1, 10.2.8, 10.3.2, 11.5, 12.2.2.1, 13.4.1, 13.4.2, 14.1, 14.2.2, 14.4.2, 15.1.3, 15.1.5, 15.1.6, 15.4.1

Notice of Cancellation or Expiration of Insurance

11.1.4, 11.2.3

Notice of Claims

1.6.2, 2.1.2, 3.7.4, 9.6.8, 10.2.8, 15.1.3, 15.1.5, 15.1.6, 15.2.8, 15.3.2, 15.4.1

Notice of Testing and Inspections

13.4.1, 13.4.2

Observations, Contractor's

3.2, 3.7.4

Occupancy

2.3.1, 9.6.6, 9.8

Orders, Written

1.1.1, 2.4, 3.9.2, 7, 8.2.2, 11.5, 12.1, 12.2.2.1, 13.4.2, 14.3.1

OWNER

2

Owner, Definition of

2.1.1

Owner, Evidence of Financial Arrangements

2.2, 13.2.2, 14.1.1.4

Owner, Information and Services Required of the

2.1.2, 2.2, 2.3, 3.2.2, 3.12.10, 6.1.3, 6.1.4, 6.2.5, 9.3.2, 9.6.1, 9.6.4, 9.9.2, 9.10.3, 10.3.3, 11.2, 13.4.1, 13.4.2, 14.1.1.4, 14.1.4, 15.1.4

Owner's Authority

1.5, 2.1.1, 2.3.32.4, 2.5, 3.4.2, 3.8.1, 3.12.10, 3.14.2, 4.1.2, 4.2.4, 4.2.9, 5.2.1, 5.2.4, 5.4.1, 6.1, 6.3, 7.2.1, 7.3.1, 8.2.2, 8.3.1, 9.3.2, 9.5.1, 9.6.4, 9.9.1, 9.10.2, 10.3.2, 11.4, 11.5, 12.2.2, 12.3, 13.2.2, 14.3, 14.4, 15.2.7

Owner's Insurance

11.2

Owner's Relationship with Subcontractors

1.1.2, 5.2, 5.3, 5.4, 9.6.4, 9.10.2, 14.2.2

Owner's Right to Carry Out the Work

2.5, 14.2.2

Owner's Right to Clean Up

6.3

Owner's Right to Perform Construction and to Award Separate Contracts

6.1

Owner's Right to Stop the Work

2.4

Owner's Right to Suspend the Work

14.3

Owner's Right to Terminate the Contract

14.2, 14.4

Ownership and Use of Drawings, Specifications and Other Instruments of Service

1.1.1, 1.1.6, 1.1.7, 1.5, 2.3.6, 3.2.2, 3.11, 3.17, 4.2.12, 5.3

Partial Occupancy or Use

9.6.6, 9.9

Patching, Cutting and

3.14, 6.2.5

Patents

3.17

Payment, Applications for

4.2.5, 7.3.9, 9.2, 9.3, 9.4, 9.5, 9.6.3, 9.7, 9.8.5, 9.10.1, 14.2.3, 14.2.4, 14.4.3

Payment, Certificates for

4.2.5, 4.2.9, 9.3.3, 9.4, 9.5, 9.6.1, 9.6.6, 9.7, 9.10.1, 9.10.3, 14.1.1.3, 14.2.4

Payment, Failure of

9.5.1.3, 9.7, 9.10.2, 13.5, 14.1.1.3, 14.2.1.2

Payment, Final

4.2.1, 4.2.9, 9.10, 12.3, 14.2.4, 14.4.3

Payment Bond, Performance Bond and

7.3.4.4, 9.6.7, 9.10.3, 11.1.2

Payments, Progress

9.3, 9.6, 9.8.5, 9.10.3, 14.2.3, 15.1.4

PAYMENTS AND COMPLETION

9

Payments to Subcontractors

5.4.2, 9.5.1.3, 9.6.2, 9.6.3, 9.6.4, 9.6.7, 14.2.1.2

PCB

10.3.1

Performance Bond and Payment Bond

7.3.4.4, 9.6.7, 9.10.3, 11.1.2

Permits, Fees, Notices and Compliance with Laws

2.3.1, 3.7, 3.13, 7.3.4.4, 10.2.2

PERSONS AND PROPERTY, PROTECTION OF

10

Polychlorinated Biphenyl

10.3.1

Product Data, Definition of

3.12.2

Product Data and Samples, Shop Drawings

3.11, 3.12, 4.2.7

Progress and Completion

4.2.2, 8.2, 9.8, 9.9.1, 14.1.4, 15.1.4

Progress Payments

9.3, 9.6, 9.8.5, 9.10.3, 14.2.3, 15.1.4

<b>Project, Definition of</b>	
<b>1.1.4</b>	
<b>Project Representatives</b>	
<b>4.2.10</b>	
<b>Property Insurance</b>	
<b>10.2.5, 11.2</b>	
<b>Proposal Requirements</b>	
<b>1.1.1</b>	
<b>PROTECTION OF PERSONS AND PROPERTY</b>	
<b>10</b>	
<b>Regulations and Laws</b>	
<b>1.5, 2.3.2, 3.2.3, 3.6, 3.7, 3.12.10, 3.13, 9.6.4, 9.9.1, 10.2.2, 13.1, 13.3, 13.4.1, 13.4.2, 13.5, 14, 15.2.8, 15.4</b>	
<b>Rejection of Work</b>	
<b>4.2.6, 12.2.1</b>	
<b>Releases and Waivers of Liens</b>	
<b>9.3.1, 9.10.2</b>	
<b>Representations</b>	
<b>3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1</b>	
<b>Representatives</b>	
<b>2.1.1, 3.1.1, 3.9, 4.1.1, 4.2.10, 13.2.1</b>	
<b>Responsibility for Those Performing the Work</b>	
<b>3.3.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10</b>	
<b>Retainage</b>	
<b>9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3</b>	
<b>Review of Contract Documents and Field Conditions by Contractor</b>	
<b>3.2, 3.12.7, 6.1.3</b>	
<b>Review of Contractor's Submittals by Owner and Architect</b>	
<b>3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2</b>	
<b>Review of Shop Drawings, Product Data and Samples by Contractor</b>	
<b>3.12</b>	
<b>Rights and Remedies</b>	
<b>1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4</b>	
<b>Royalties, Patents and Copyrights</b>	
<b>3.17</b>	
<b>Rules and Notices for Arbitration</b>	
<b>15.4.1</b>	
<b>Safety of Persons and Property</b>	
<b>10.2, 10.4</b>	
<b>Safety Precautions and Programs</b>	
<b>3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4</b>	
<b>Samples, Definition of</b>	
<b>3.12.3</b>	
<b>Samples, Shop Drawings, Product Data and</b>	
<b>3.11, 3.12, 4.2.7</b>	
<b>Samples at the Site, Documents and</b>	
<b>3.11</b>	
<b>Schedule of Values</b>	
<b>9.2, 9.3.1</b>	
<b>Schedules, Construction</b>	
<b>3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2</b>	
<b>Separate Contracts and Contractors</b>	
<b>1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2</b>	
<b>Separate Contractors, Definition of</b>	
<b>6.1.1</b>	
<b>Shop Drawings, Definition of</b>	
<b>3.12.1</b>	
<b>Shop Drawings, Product Data and Samples</b>	
<b>3.11, 3.12, 4.2.7</b>	
<b>Site, Use of</b>	
<b>3.13, 6.1.1, 6.2.1</b>	
<b>Site Inspections</b>	
<b>3.2.2, 3.3.3, 3.7.1, 3.7.4, 4.2, 9.9.2, 9.4.2, 9.10.1, 13.4</b>	
<b>Site Visits, Architect's</b>	
<b>3.7.4, 4.2.2, 4.2.9, 9.4.2, 9.5.1, 9.9.2, 9.10.1, 13.4</b>	
<b>Special Inspections and Testing</b>	
<b>4.2.6, 12.2.1, 13.4</b>	
<b>Specifications, Definition of</b>	
<b>1.1.6</b>	
<b>Specifications</b>	
<b>1.1.1, 1.1.6, 1.2.2, 1.5, 3.12.10, 3.17, 4.2.14</b>	
<b>Statute of Limitations</b>	
<b>15.1.2, 15.4.1.1</b>	
<b>Stopping the Work</b>	
<b>2.2.2, 2.4, 9.7, 10.3, 14.1</b>	
<b>Stored Materials</b>	
<b>6.2.1, 9.3.2, 10.2.1.2, 10.2.4</b>	
<b>Subcontractor, Definition of</b>	
<b>5.1.1</b>	
<b>SUBCONTRACTORS</b>	
<b>5</b>	
<b>Subcontractors, Work by</b>	
<b>1.2.2, 3.3.2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3, 5.4, 9.3.1.2, 9.6.7</b>	
<b>Subcontractual Relations</b>	
<b>5.3, 5.4, 9.3.1.2, 9.6, 9.10, 10.2.1, 14.1, 14.2.1</b>	
<b>Submittals</b>	
<b>3.10, 3.11, 3.12, 4.2.7, 5.2.1, 5.2.3, 7.3.4, 9.2, 9.3, 9.8, 9.9.1, 9.10.2, 9.10.3</b>	
<b>Submittal Schedule</b>	
<b>3.10.2, 3.12.5, 4.2.7</b>	
<b>Subrogation, Waivers of</b>	
<b>6.1.1, 11.3</b>	
<b>Substances, Hazardous</b>	
<b>10.3</b>	
<b>Substantial Completion</b>	
<b>4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3, 12.2, 15.1.2</b>	
<b>Substantial Completion, Definition of</b>	
<b>9.8.1</b>	
<b>Substitution of Subcontractors</b>	
<b>5.2.3, 5.2.4</b>	
<b>Substitution of Architect</b>	
<b>2.3.3</b>	
<b>Substitutions of Materials</b>	
<b>3.4.2, 3.5, 7.3.8</b>	
<b>Sub-subcontractor, Definition of</b>	
<b>5.1.2</b>	

Subsurface Conditions	Time Limits
3.7.4	2.1.2, 2.2, 2.5, 3.2.2, 3.10, 3.11, 3.12.5, 3.15.1, 4.2,
Successors and Assigns	5.2, 5.3, 5.4, 6.2.4, 7.3, 7.4, 8.2, 9.2, 9.3.1, 9.3.3, 9.4.1,
13.2	9.5, 9.6, 9.7, 9.8, 9.9, 9.10, 12.2, 13.4, 14, 15.1.2,
Superintendent	15.1.3, 15.4
3.9, 10.2.6	<b>Time Limits on Claims</b>
<b>Supervision and Construction Procedures</b>	3.7.4, 10.2.8, 15.1.2, 15.1.3
1.2.2, 3.3, 3.4, 3.12.10, 4.2.2, 4.2.7, 6.1.3, 6.2.4, 7.1.3,	<b>Title to Work</b>
7.3.4, 8.2, 8.3.1, 9.4.2, 10, 12, 14, 15.1.4	9.3.2, 9.3.3
Suppliers	<b>UNCOVERING AND CORRECTION OF WORK</b>
1.5, 3.12.1, 4.2.4, 4.2.6, 5.2.1, 9.3, 9.4.2, 9.5.4, 9.6,	12
9.10.5, 14.2.1	<b>Uncovering of Work</b>
Surety	12.1
5.4.1.2, 9.6.8, 9.8.5, 9.10.2, 9.10.3, 11.1.2, 14.2.2,	Unforeseen Conditions, Concealed or Unknown
15.2.7	3.7.4, 8.3.1, 10.3
Surety, Consent of	<b>Unit Prices</b>
9.8.5, 9.10.2, 9.10.3	7.3.3.2, 9.1.2
Surveys	<b>Use of Documents</b>
1.1.7, 2.3.4	1.1.1, 1.5, 2.3.6, 3.12.6, 5.3
<b>Suspension by the Owner for Convenience</b>	<b>Use of Site</b>
14.3	3.13, 6.1.1, 6.2.1
Suspension of the Work	<b>Values, Schedule of</b>
3.7.5, 5.4.2, 14.3	9.2, 9.3.1
Suspension or Termination of the Contract	Waiver of Claims by the Architect
5.4.1.1, 14	13.3.2
<b>Taxes</b>	Waiver of Claims by the Contractor
3.6, 3.8.2.1, 7.3.4.4	9.10.5, 13.3.2, 15.1.7
<b>Termination by the Contractor</b>	Waiver of Claims by the Owner
14.1, 15.1.7	9.9.3, 9.10.3, 9.10.4, 12.2.2.1, 13.3.2, 14.2.4, 15.1.7
<b>Termination by the Owner for Cause</b>	Waiver of Consequential Damages
5.4.1.1, 14.2, 15.1.7	14.2.4, 15.1.7
<b>Termination by the Owner for Convenience</b>	Waiver of Liens
14.4	9.3, 9.10.2, 9.10.4
Termination of the Architect	<b>Waivers of Subrogation</b>
2.3.3	6.1.1, 11.3
Termination of the Contractor Employment	<b>Warranty</b>
14.2.2	3.5, 4.2.9, 9.3.3, 9.8.4, 9.9.1, 9.10.2, 9.10.4, 12.2.2,
<b>TERMINATION OR SUSPENSION OF THE CONTRACT</b>	15.1.2
14	Weather Delays
<b>Tests and Inspections</b>	8.3, 15.1.6.2
3.1.3, 3.3.3, 3.7.1, 4.2.2, 4.2.6, 4.2.9, 9.4.2, 9.8.3,	<b>Work, Definition of</b>
9.9.2, 9.10.1, 10.3.2, 12.2.1, 13.4	1.1.3
<b>TIME</b>	Written Consent
8	1.5.2, 3.4.2, 3.7.4, 3.12.8, 3.14.2, 4.1.2, 9.3.2, 9.10.3,
<b>Time, Delays and Extensions of</b>	13.2, 13.3.2, 15.4.4.2
3.2.4, 3.7.4, 5.2.3, 7.2.1, 7.3.1, 7.4, 8.3, 9.5.1, 9.7,	Written Interpretations
10.3.2, 10.4, 14.3.2, 15.1.6, 15.2.5	4.2.11, 4.2.12
	Written Orders
	1.1.1, 2.4, 3.9, 7, 8.2.2, 12.1, 12.2, 13.4.2, 14.3.1

## ARTICLE 1 GENERAL PROVISIONS

### § 1.1 Basic Definitions

#### § 1.1.1 The Contract Documents

The Contract Documents are enumerated in the Agreement between the Owner and Contractor (hereinafter the Agreement) and consist of the Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of the Contract, other documents listed in the Agreement, and Modifications issued after execution of the Contract. A Modification is (1) a written amendment to the Contract signed by both parties, (2) a Change Order, (3) a Construction Change Directive, or (4) a written order for a minor change in the Work issued by the Architect. Unless specifically enumerated in the Agreement, the Contract Documents do not include the advertisement or invitation to bid, Instructions to Bidders, sample forms, other information furnished by the Owner in anticipation of receiving bids or proposals, the Contractor's bid or proposal, or portions of Addenda relating to bidding or proposal requirements.

#### § 1.1.2 The Contract

The Contract Documents form the Contract for Construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Contract Documents shall not be construed to create a contractual relationship of any kind (1) between the Contractor and the Architect or the Architect's consultants, (2) between the Owner and a Subcontractor or a Sub-subcontractor, (3) between the Owner and the Architect or the Architect's consultants, or (4) between any persons or entities other than the Owner and the Contractor. The Architect shall, however, be entitled to performance and enforcement of obligations under the Contract intended to facilitate performance of the Architect's duties.

#### § 1.1.3 The Work

The term "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment, and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project.

#### § 1.1.4 The Project

The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by the Owner and by Separate Contractors.

#### § 1.1.5 The Drawings

The Drawings are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, and diagrams.

#### § 1.1.6 The Specifications

The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards and workmanship for the Work, and performance of related services.

#### § 1.1.7 Instruments of Service

Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Architect and the Architect's consultants under their respective professional services agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, and other similar materials.

#### § 1.1.8 Initial Decision Maker

The Initial Decision Maker is the person identified in the Agreement to render initial decisions on Claims in accordance with Section 15.2. The Initial Decision Maker shall not show partiality to the Owner or Contractor and shall not be liable for results of interpretations or decisions rendered in good faith.

### § 1.2 Correlation and Intent of the Contract Documents

§ 1.2.1 The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all; performance by the Contractor shall be required only to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results.

**§ 1.2.1.1** The invalidity of any provision of the Contract Documents shall not invalidate the Contract or its remaining provisions. If it is determined that any provision of the Contract Documents violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Contract Documents shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Contract.

**§ 1.2.2** Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade.

**§ 1.2.3** Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

**§ 1.3 Capitalization**

Terms capitalized in these General Conditions include those that are (1) specifically defined, (2) the titles of numbered articles, or (3) the titles of other documents published by the American Institute of Architects.

**§ 1.4 Interpretation**

In the interest of brevity the Contract Documents frequently omit modifying words such as "all" and "any" and articles such as "the" and "an," but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.

**§ 1.5 Ownership and Use of Drawings, Specifications, and Other Instruments of Service**

**§ 1.5.1** The Architect and the Architect's consultants shall be deemed the authors and owners of their respective Instruments of Service, including the Drawings and Specifications, and retain all common law, statutory, and other reserved rights in their Instruments of Service, including copyrights. The Contractor, Subcontractors, Sub-subcontractors, and suppliers shall not own or claim a copyright in the Instruments of Service. Submittal or distribution to meet official regulatory requirements or for other purposes in connection with the Project is not to be construed as publication in derogation of the Architect's or Architect's consultants' reserved rights.

**§ 1.5.2** The Contractor, Subcontractors, Sub-subcontractors, and suppliers are authorized to use and reproduce the Instruments of Service provided to them, subject to any protocols established pursuant to Sections 1.7 and 1.8, solely and exclusively for execution of the Work. All copies made under this authorization shall bear the copyright notice, if any, shown on the Instruments of Service. The Contractor, Subcontractors, Sub-subcontractors, and suppliers may not use the Instruments of Service on other projects or for additions to the Project outside the scope of the Work without the specific written consent of the Owner, Architect, and the Architect's consultants.

**§ 1.6 Notice**

**§ 1.6.1** Except as otherwise provided in Section 1.6.2, where the Contract Documents require one party to notify or give notice to the other party, such notice shall be provided in writing to the designated representative of the party to whom the notice is addressed and shall be deemed to have been duly served if delivered in person, by mail, by courier, or by electronic transmission if a method for electronic transmission is set forth in the Agreement.

**§ 1.6.2** Notice of Claims as provided in Section 15.1.3 shall be provided in writing and shall be deemed to have been duly served only if delivered to the designated representative of the party to whom the notice is addressed by certified or registered mail, or by courier providing proof of delivery.

**§ 1.7 Digital Data Use and Transmission**

The parties shall agree upon protocols governing the transmission and use of Instruments of Service or any other information or documentation in digital form. The parties will use AIA Document E203™-2013, Building Information Modeling and Digital Data Exhibit, to establish the protocols for the development, use, transmission, and exchange of digital data.

**§ 1.8 Building Information Models Use and Reliance**

Any use of, or reliance on, all or a portion of a building information model without agreement to protocols governing the use of, and reliance on, the information contained in the model and without having those protocols set forth in AIA Document E203™-2013, Building Information Modeling and Digital Data Exhibit, and the requisite AIA Document

G202™-2013, Project Building Information Modeling Protocol Form, shall be at the using or relying party's sole risk and without liability to the other party and its contractors or consultants, the authors of, or contributors to, the building information model, and each of their agents and employees.

## ARTICLE 2 OWNER

### § 2.1 General

§ 2.1.1 The Owner is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Owner shall designate in writing a representative who shall have express authority to bind the Owner with respect to all matters requiring the Owner's approval or authorization. Except as otherwise provided in Section 4.2.1, the Architect does not have such authority. The term "Owner" means the Owner or the Owner's authorized representative.

§ 2.1.2 The Owner shall furnish to the Contractor, within fifteen days after receipt of a written request, information necessary and relevant for the Contractor to evaluate, give notice of, or enforce mechanic's lien rights. Such information shall include a correct statement of the record legal title to the property on which the Project is located, usually referred to as the site, and the Owner's interest therein.

### § 2.2 Evidence of the Owner's Financial Arrangements

§ 2.2.1 Prior to commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract. The Contractor shall have no obligation to commence the Work until the Owner provides such evidence. If commencement of the Work is delayed under this Section 2.2.1, the Contract Time shall be extended appropriately.

§ 2.2.2 Following commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract only if (1) the Owner fails to make payments to the Contractor as the Contract Documents require; (2) the Contractor identifies in writing a reasonable concern regarding the Owner's ability to make payment when due; or (3) a change in the Work materially changes the Contract Sum. If the Owner fails to provide such evidence, as required, within fourteen days of the Contractor's request, the Contractor may immediately stop the Work and, in that event, shall notify the Owner that the Work has stopped. However, if the request is made because a change in the Work materially changes the Contract Sum under (3) above, the Contractor may immediately stop only that portion of the Work affected by the change until reasonable evidence is provided. If the Work is stopped under this Section 2.2.2, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided in the Contract Documents.

§ 2.2.3 After the Owner furnishes evidence of financial arrangements under this Section 2.2, the Owner shall not materially vary such financial arrangements without prior notice to the Contractor.

§ 2.2.4 Where the Owner has designated information furnished under this Section 2.2 as "confidential," the Contractor shall keep the information confidential and shall not disclose it to any other person. However, the Contractor may disclose "confidential" information, after seven (7) days' notice to the Owner, where disclosure is required by law, including a subpoena or other form of compulsory legal process issued by a court or governmental entity, or by court or arbitrator(s) order. The Contractor may also disclose "confidential" information to its employees, consultants, sureties, Subcontractors and their employees, Sub-subcontractors, and others who need to know the content of such information solely and exclusively for the Project and who agree to maintain the confidentiality of such information.

### § 2.3 Information and Services Required of the Owner

§ 2.3.1 Except for permits and fees that are the responsibility of the Contractor under the Contract Documents, including those required under Section 3.7.1, the Owner shall secure and pay for necessary approvals, easements, assessments and charges required for construction, use or occupancy of permanent structures or for permanent changes in existing facilities.

§ 2.3.2 The Owner shall retain an architect lawfully licensed to practice architecture, or an entity lawfully practicing architecture, in the jurisdiction where the Project is located. That person or entity is identified as the Architect in the Agreement and is referred to throughout the Contract Documents as if singular in number.

**§ 2.3.3** If the employment of the Architect terminates, the Owner shall employ a successor to whom the Contractor has no reasonable objection and whose status under the Contract Documents shall be that of the Architect.

**§ 2.3.4** The Owner shall furnish surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site. The Contractor shall be entitled to rely on the accuracy of information furnished by the Owner but shall exercise proper precautions relating to the safe performance of the Work.

**§ 2.3.5** The Owner shall furnish information or services required of the Owner by the Contract Documents with reasonable promptness. The Owner shall also furnish any other information or services under the Owner's control and relevant to the Contractor's performance of the Work with reasonable promptness after receiving the Contractor's written request for such information or services.

**§ 2.3.6** Unless otherwise provided in the Contract Documents, the Owner shall furnish to the Contractor one copy of the Contract Documents for purposes of making reproductions pursuant to Section 1.5.2.

**§ 2.4 Owner's Right to Stop the Work**

If the Contractor fails to correct Work that is not in accordance with the requirements of the Contract Documents as required by Section 12.2 or repeatedly fails to carry out Work in accordance with the Contract Documents, the Owner may issue a written order to the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Section 6.1.3.

**§ 2.5 Owner's Right to Carry Out the Work**

If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a ten-day period after receipt of notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such default or neglect. Such action by the Owner and amounts charged to the Contractor are both subject to prior approval of the Architect and the Architect may, pursuant to Section 9.5.1, withhold or nullify a Certificate for Payment in whole or in part, to the extent reasonably necessary to reimburse the Owner for the reasonable cost of correcting such deficiencies, including Owner's expenses and compensation for the Architect's additional services made necessary by such default, neglect, or failure. If current and future payments are not sufficient to cover such amounts, the Contractor shall pay the difference to the Owner. If the Contractor disagrees with the actions of the Owner or the Architect, or the amounts claimed as costs to the Owner, the Contractor may file a Claim pursuant to Article 15.

### **ARTICLE 3 CONTRACTOR**

**§ 3.1 General**

**§ 3.1.1** The Contractor is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor shall designate in writing a representative who shall have express authority to bind the Contractor with respect to all matters under this Contract. The term "Contractor" means the Contractor or the Contractor's authorized representative.

**§ 3.1.2** The Contractor shall perform the Work in accordance with the Contract Documents.

**§ 3.1.3** The Contractor shall not be relieved of its obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Architect in the Architect's administration of the Contract, or by tests, inspections or approvals required or performed by persons or entities other than the Contractor.

**§ 3.2 Review of Contract Documents and Field Conditions by Contractor**

**§ 3.2.1** Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become generally familiar with local conditions under which the Work is to be performed, and correlated personal observations with requirements of the Contract Documents.

**§ 3.2.2** Because the Contract Documents are complementary, the Contractor shall, before starting each portion of the Work, carefully study and compare the various Contract Documents relative to that portion of the Work, as well as the information furnished by the Owner pursuant to Section 2.3.4, shall take field measurements of any existing conditions related to that portion of the Work, and shall observe any conditions at the site affecting it. These obligations are for the purpose of facilitating coordination and construction by the Contractor and are not for the purpose of discovering errors, omissions, or inconsistencies in the Contract Documents; however, the Contractor shall promptly report to the Architect any errors, inconsistencies or omissions discovered by or made known to the Contractor as a request for information in such form as the Architect may require. It is recognized that the Contractor's review is made in the Contractor's capacity as a contractor and not as a licensed design professional, unless otherwise specifically provided in the Contract Documents.

**§ 3.2.3** The Contractor is not required to ascertain that the Contract Documents are in accordance with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, but the Contractor shall promptly report to the Architect any nonconformity discovered by or made known to the Contractor as a request for information in such form as the Architect may require.

**§ 3.2.4** If the Contractor believes that additional cost or time is involved because of clarifications or instructions the Architect issues in response to the Contractor's notices or requests for information pursuant to Sections 3.2.2 or 3.2.3, the Contractor shall submit Claims as provided in Article 15. If the Contractor fails to perform the obligations of Sections 3.2.2 or 3.2.3, the Contractor shall pay such costs and damages to the Owner, subject to Section 15.1.7, as would have been avoided if the Contractor had performed such obligations. If the Contractor performs those obligations, the Contractor shall not be liable to the Owner or Architect for damages resulting from errors, inconsistencies or omissions in the Contract Documents, for differences between field measurements or conditions and the Contract Documents, or for nonconformities of the Contract Documents to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities.

### **§ 3.3 Supervision and Construction Procedures**

**§ 3.3.1** The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences, and procedures, and for coordinating all portions of the Work under the Contract. If the Contract Documents give specific instructions concerning construction means, methods, techniques, sequences, or procedures, the Contractor shall evaluate the jobsite safety thereof and shall be solely responsible for the jobsite safety of such means, methods, techniques, sequences, or procedures. If the Contractor determines that such means, methods, techniques, sequences or procedures may not be safe, the Contractor shall give timely notice to the Owner and Architect, and shall propose alternative means, methods, techniques, sequences, or procedures. The Architect shall evaluate the proposed alternative solely for conformance with the design intent for the completed construction. Unless the Architect objects to the Contractor's proposed alternative, the Contractor shall perform the Work using its alternative means, methods, techniques, sequences, or procedures.

**§ 3.3.2** The Contractor shall be responsible to the Owner for acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors.

**§ 3.3.3** The Contractor shall be responsible for inspection of portions of Work already performed to determine that such portions are in proper condition to receive subsequent Work.

### **§ 3.4 Labor and Materials**

**§ 3.4.1** Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.

**§ 3.4.2** Except in the case of minor changes in the Work approved by the Architect in accordance with Section 3.12.8 or ordered by the Architect in accordance with Section 7.4, the Contractor may make substitutions only with the consent of the Owner, after evaluation by the Architect and in accordance with a Change Order or Construction Change Directive.

**§ 3.4.3** The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.

**§ 3.5 Warranty**

**§ 3.5.1** The Contractor warrants to the Owner and Architect that materials and equipment furnished under the Contract will be of good quality and new unless the Contract Documents require or permit otherwise. The Contractor further warrants that the Work will conform to the requirements of the Contract Documents and will be free from defects, except for those inherent in the quality of the Work the Contract Documents require or permit. Work, materials, or equipment not conforming to these requirements may be considered defective. The Contractor's warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Architect, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.

**§ 3.5.2** All material, equipment, or other special warranties required by the Contract Documents shall be issued in the name of the Owner, or shall be transferable to the Owner, and shall commence in accordance with Section 9.8.4.

**§ 3.6 Taxes**

The Contractor shall pay sales, consumer, use and similar taxes for the Work provided by the Contractor that are legally enacted when bids are received or negotiations concluded, whether or not yet effective or merely scheduled to go into effect.

**§ 3.7 Permits, Fees, Notices and Compliance with Laws**

**§ 3.7.1** Unless otherwise provided in the Contract Documents, the Contractor shall secure and pay for the building permit as well as for other permits, fees, licenses, and inspections by government agencies necessary for proper execution and completion of the Work that are customarily secured after execution of the Contract and legally required at the time bids are received or negotiations concluded.

**§ 3.7.2** The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities applicable to performance of the Work.

**§ 3.7.3** If the Contractor performs Work knowing it to be contrary to applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Contractor shall assume appropriate responsibility for such Work and shall bear the costs attributable to correction.

**§ 3.7.4 Concealed or Unknown Conditions**

If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide notice to the Owner and the Architect before conditions are disturbed and in no event later than 14 days after first observance of the conditions. The Architect will promptly investigate such conditions and, if the Architect determines that they differ materially and cause an increase or decrease in the Contractor's cost of, or time required for, performance of any part of the Work, will recommend that an equitable adjustment be made in the Contract Sum or Contract Time, or both. If the Architect determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Architect shall promptly notify the Owner and Contractor, stating the reasons. If either party disputes the Architect's determination or recommendation, that party may submit a Claim as provided in Article 15.

**§ 3.7.5** If, in the course of the Work, the Contractor encounters human remains or recognizes the existence of burial markers, archaeological sites or wetlands not indicated in the Contract Documents, the Contractor shall immediately suspend any operations that would affect them and shall notify the Owner and Architect. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Contractor shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 15.

### § 3.8 Allowances

§ 3.8.1 The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. Items covered by allowances shall be supplied for such amounts and by such persons or entities as the Owner may direct, but the Contractor shall not be required to employ persons or entities to whom the Contractor has reasonable objection.

#### § 3.8.2 Unless otherwise provided in the Contract Documents,

- .1 allowances shall cover the cost to the Contractor of materials and equipment delivered at the site and all required taxes, less applicable trade discounts;
- .2 Contractor's costs for unloading and handling at the site, labor, installation costs, overhead, profit, and other expenses contemplated for stated allowance amounts shall be included in the Contract Sum but not in the allowances; and
- .3 whenever costs are more than or less than allowances, the Contract Sum shall be adjusted accordingly by Change Order. The amount of the Change Order shall reflect (1) the difference between actual costs and the allowances under Section 3.8.2.1 and (2) changes in Contractor's costs under Section 3.8.2.2.

§ 3.8.3 Materials and equipment under an allowance shall be selected by the Owner with reasonable promptness.

### § 3.9 Superintendent

§ 3.9.1 The Contractor shall employ a competent superintendent and necessary assistants who shall be in attendance at the Project site during performance of the Work. The superintendent shall represent the Contractor, and communications given to the superintendent shall be as binding as if given to the Contractor.

§ 3.9.2 The Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the name and qualifications of a proposed superintendent. Within 14 days of receipt of the information, the Architect may notify the Contractor, stating whether the Owner or the Architect (1) has reasonable objection to the proposed superintendent or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 3.9.3 The Contractor shall not employ a proposed superintendent to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not change the superintendent without the Owner's consent, which shall not unreasonably be withheld or delayed.

### § 3.10 Contractor's Construction and Submittal Schedules

§ 3.10.1 The Contractor, promptly after being awarded the Contract, shall submit for the Owner's and Architect's information a Contractor's construction schedule for the Work. The schedule shall contain detail appropriate for the Project, including (1) the date of commencement of the Work, interim schedule milestone dates, and the date of Substantial Completion; (2) an apportionment of the Work by construction activity; and (3) the time required for completion of each portion of the Work. The schedule shall provide for the orderly progression of the Work to completion and shall not exceed time limits current under the Contract Documents. The schedule shall be revised at appropriate intervals as required by the conditions of the Work and Project.

§ 3.10.2 The Contractor, promptly after being awarded the Contract and thereafter as necessary to maintain a current submittal schedule, shall submit a submittal schedule for the Architect's approval. The Architect's approval shall not be unreasonably delayed or withheld. The submittal schedule shall (1) be coordinated with the Contractor's construction schedule, and (2) allow the Architect reasonable time to review submittals. If the Contractor fails to submit a submittal schedule, or fails to provide submittals in accordance with the approved submittal schedule, the Contractor shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of submittals.

§ 3.10.3 The Contractor shall perform the Work in general accordance with the most recent schedules submitted to the Owner and Architect.

### § 3.11 Documents and Samples at the Site

The Contractor shall make available, at the Project site, the Contract Documents, including Change Orders, Construction Change Directives, and other Modifications, in good order and marked currently to indicate field changes and selections made during construction, and the approved Shop Drawings, Product Data, Samples, and similar required submittals. These shall be in electronic form or paper copy, available to the Architect and Owner, and

delivered to the Architect for submittal to the Owner upon completion of the Work as a record of the Work as constructed.

**§ 3.12 Shop Drawings, Product Data and Samples**

§ 3.12.1 Shop Drawings are drawings, diagrams, schedules, and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier, or distributor to illustrate some portion of the Work.

§ 3.12.2 Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams, and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.

§ 3.12.3 Samples are physical examples that illustrate materials, equipment, or workmanship, and establish standards by which the Work will be judged.

§ 3.12.4 Shop Drawings, Product Data, Samples, and similar submittals are not Contract Documents. Their purpose is to demonstrate how the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for those portions of the Work for which the Contract Documents require submittals. Review by the Architect is subject to the limitations of Section 4.2.7. Informational submittals upon which the Architect is not expected to take responsive action may be so identified in the Contract Documents. Submittals that are not required by the Contract Documents may be returned by the Architect without action.

§ 3.12.5 The Contractor shall review for compliance with the Contract Documents, approve, and submit to the Architect, Shop Drawings, Product Data, Samples, and similar submittals required by the Contract Documents, in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness and in such sequence as to cause no delay in the Work or in the activities of the Owner or of Separate Contractors.

§ 3.12.6 By submitting Shop Drawings, Product Data, Samples, and similar submittals, the Contractor represents to the Owner and Architect that the Contractor has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so, and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.

§ 3.12.7 The Contractor shall perform no portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples, or similar submittals, until the respective submittal has been approved by the Architect.

§ 3.12.8 The Work shall be in accordance with approved submittals except that the Contractor shall not be relieved of responsibility for deviations from the requirements of the Contract Documents by the Architect's approval of Shop Drawings, Product Data, Samples, or similar submittals, unless the Contractor has specifically notified the Architect of such deviation at the time of submittal and (1) the Architect has given written approval to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has been issued authorizing the deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples, or similar submittals, by the Architect's approval thereof.

§ 3.12.9 The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples, or similar submittals, to revisions other than those requested by the Architect on previous submittals. In the absence of such notice, the Architect's approval of a resubmission shall not apply to such revisions.

§ 3.12.10 The Contractor shall not be required to provide professional services that constitute the practice of architecture or engineering unless such services are specifically required by the Contract Documents for a portion of the Work or unless the Contractor needs to provide such services in order to carry out the Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures. The Contractor shall not be required to provide professional services in violation of applicable law.

§ 3.12.10.1 If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of the Contractor by the Contract Documents, the Owner and the Architect will

specify all performance and design criteria that such services must satisfy. The Contractor shall be entitled to rely upon the adequacy and accuracy of the performance and design criteria provided in the Contract Documents. The Contractor shall cause such services or certifications to be provided by an appropriately licensed design professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings, and other submittals prepared by such professional. Shop Drawings, and other submittals related to the Work, designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to the Architect. The Owner and the Architect shall be entitled to rely upon the adequacy and accuracy of the services, certifications, and approvals performed or provided by such design professionals, provided the Owner and Architect have specified to the Contractor the performance and design criteria that such services must satisfy. Pursuant to this Section 3.12.10, the Architect will review and approve or take other appropriate action on submittals only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents.

**§ 3.12.10.2** If the Contract Documents require the Contractor's design professional to certify that the Work has been performed in accordance with the design criteria, the Contractor shall furnish such certifications to the Architect at the time and in the form specified by the Architect.

#### **§ 3.13 Use of Site**

The Contractor shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, lawful orders of public authorities, and the Contract Documents and shall not unreasonably encumber the site with materials or equipment.

#### **§ 3.14 Cutting and Patching**

**§ 3.14.1** The Contractor shall be responsible for cutting, fitting, or patching required to complete the Work or to make its parts fit together properly. All areas requiring cutting, fitting, or patching shall be restored to the condition existing prior to the cutting, fitting, or patching, unless otherwise required by the Contract Documents.

**§ 3.14.2** The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner or Separate Contractors by cutting, patching, or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter construction by the Owner or a Separate Contractor except with written consent of the Owner and of the Separate Contractor. Consent shall not be unreasonably withheld. The Contractor shall not unreasonably withhold, from the Owner or a Separate Contractor, its consent to cutting or otherwise altering the Work.

#### **§ 3.15 Cleaning Up**

**§ 3.15.1** The Contractor shall keep the premises and surrounding area free from accumulation of waste materials and rubbish caused by operations under the Contract. At completion of the Work, the Contractor shall remove waste materials, rubbish, the Contractor's tools, construction equipment, machinery, and surplus materials from and about the Project.

**§ 3.15.2** If the Contractor fails to clean up as provided in the Contract Documents, the Owner may do so and the Owner shall be entitled to reimbursement from the Contractor.

#### **§ 3.16 Access to Work**

The Contractor shall provide the Owner and Architect with access to the Work in preparation and progress wherever located.

#### **§ 3.17 Royalties, Patents and Copyrights**

The Contractor shall pay all royalties and license fees. The Contractor shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner and Architect harmless from loss on account thereof, but shall not be responsible for defense or loss when a particular design, process, or product of a particular manufacturer or manufacturers is required by the Contract Documents, or where the copyright violations are contained in Drawings, Specifications, or other documents prepared by the Owner or Architect. However, if an infringement of a copyright or patent is discovered by, or made known to, the Contractor, the Contractor shall be responsible for the loss unless the information is promptly furnished to the Architect.

### § 3.18 Indemnification

§ 3.18.1 To the fullest extent permitted by law, the Contractor shall indemnify and hold harmless the Owner, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), but only to the extent caused by the negligent acts or omissions of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss, or expense is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity that would otherwise exist as to a party or person described in this Section 3.18.

§ 3.18.2 In claims against any person or entity indemnified under this Section 3.18 by an employee of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, the indemnification obligation under Section 3.18.1 shall not be limited by a limitation on amount or type of damages, compensation, or benefits payable by or for the Contractor or a Subcontractor under workers' compensation acts, disability benefit acts, or other employee benefit acts.

## ARTICLE 4 ARCHITECT

### § 4.1 General

§ 4.1.1 The Architect is the person or entity retained by the Owner pursuant to Section 2.3.2 and identified as such in the Agreement.

§ 4.1.2 Duties, responsibilities, and limitations of authority of the Architect as set forth in the Contract Documents shall not be restricted, modified, or extended without written consent of the Owner, Contractor, and Architect. Consent shall not be unreasonably withheld.

### § 4.2 Administration of the Contract

§ 4.2.1 The Architect will provide administration of the Contract as described in the Contract Documents and will be an Owner's representative during construction until the date the Architect issues the final Certificate for Payment. The Architect will have authority to act on behalf of the Owner only to the extent provided in the Contract Documents.

§ 4.2.2 The Architect will visit the site at intervals appropriate to the stage of construction, or as otherwise agreed with the Owner, to become generally familiar with the progress and quality of the portion of the Work completed, and to determine in general if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. The Architect will not have control over, charge of, or responsibility for the construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, since these are solely the Contractor's rights and responsibilities under the Contract Documents.

§ 4.2.3 On the basis of the site visits, the Architect will keep the Owner reasonably informed about the progress and quality of the portion of the Work completed, and promptly report to the Owner (1) known deviations from the Contract Documents, (2) known deviations from the most recent construction schedule submitted by the Contractor, and (3) defects and deficiencies observed in the Work. The Architect will not be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect will not have control over or charge of, and will not be responsible for acts or omissions of, the Contractor, Subcontractors, or their agents or employees, or any other persons or entities performing portions of the Work.

### § 4.2.4 Communications

The Owner and Contractor shall include the Architect in all communications that relate to or affect the Architect's services or professional responsibilities. The Owner shall promptly notify the Architect of the substance of any direct communications between the Owner and the Contractor otherwise relating to the Project. Communications by and with the Architect's consultants shall be through the Architect. Communications by and with Subcontractors and suppliers shall be through the Contractor. Communications by and with Separate Contractors shall be through the Owner. The Contract Documents may specify other communication protocols.

**§ 4.2.5** Based on the Architect's evaluations of the Contractor's Applications for Payment, the Architect will review and certify the amounts due the Contractor and will issue Certificates for Payment in such amounts.

**§ 4.2.6** The Architect has authority to reject Work that does not conform to the Contract Documents. Whenever the Architect considers it necessary or advisable, the Architect will have authority to require inspection or testing of the Work in accordance with Sections 13.4.2 and 13.4.3, whether or not the Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, suppliers, their agents or employees, or other persons or entities performing portions of the Work.

**§ 4.2.7** The Architect will review and approve, or take other appropriate action upon, the Contractor's submittals such as Shop Drawings, Product Data, and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect's action will be taken in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time in the Architect's professional judgment to permit adequate review. Review of such submittals is not conducted for the purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Architect's review of the Contractor's submittals shall not relieve the Contractor of the obligations under Sections 3.3, 3.5, and 3.12. The Architect's review shall not constitute approval of safety precautions or of any construction means, methods, techniques, sequences, or procedures. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

**§ 4.2.8** The Architect will prepare Change Orders and Construction Change Directives, and may order minor changes in the Work as provided in Section 7.4. The Architect will investigate and make determinations and recommendations regarding concealed and unknown conditions as provided in Section 3.7.4.

**§ 4.2.9** The Architect will conduct inspections to determine the date or dates of Substantial Completion and the date of final completion; issue Certificates of Substantial Completion pursuant to Section 9.8; receive and forward to the Owner, for the Owner's review and records, written warranties and related documents required by the Contract and assembled by the Contractor pursuant to Section 9.10; and issue a final Certificate for Payment pursuant to Section 9.10.

**§ 4.2.10** If the Owner and Architect agree, the Architect will provide one or more Project representatives to assist in carrying out the Architect's responsibilities at the site. The Owner shall notify the Contractor of any change in the duties, responsibilities and limitations of authority of the Project representatives.

**§ 4.2.11** The Architect will interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of either the Owner or Contractor. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

**§ 4.2.12** Interpretations and decisions of the Architect will be consistent with the intent of, and reasonably inferable from, the Contract Documents and will be in writing or in the form of drawings. When making such interpretations and decisions, the Architect will endeavor to secure faithful performance by both Owner and Contractor, will not show partiality to either, and will not be liable for results of interpretations or decisions rendered in good faith.

**§ 4.2.13** The Architect's decisions on matters relating to aesthetic effect will be final if consistent with the intent expressed in the Contract Documents.

**§ 4.2.14** The Architect will review and respond to requests for information about the Contract Documents. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness. If appropriate, the Architect will prepare and issue supplemental Drawings and Specifications in response to the requests for information.

## ARTICLE 5 SUBCONTRACTORS

### § 5.1 Definitions

§ 5.1.1 A Subcontractor is a person or entity who has a direct contract with the Contractor to perform a portion of the Work at the site. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or an authorized representative of the Subcontractor. The term "Subcontractor" does not include a Separate Contractor or the subcontractors of a Separate Contractor.

§ 5.1.2 A Sub-subcontractor is a person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the Work at the site. The term "Sub-subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative of the Sub-subcontractor.

### § 5.2 Award of Subcontracts and Other Contracts for Portions of the Work

§ 5.2.1 Unless otherwise stated in the Contract Documents, the Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the persons or entities proposed for each principal portion of the Work, including those who are to furnish materials or equipment fabricated to a special design. Within 14 days of receipt of the information, the Architect may notify the Contractor whether the Owner or the Architect (1) has reasonable objection to any such proposed person or entity or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 5.2.2 The Contractor shall not contract with a proposed person or entity to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection.

§ 5.2.3 If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection. If the proposed but rejected Subcontractor was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute Subcontractor's Work. However, no increase in the Contract Sum or Contract Time shall be allowed for such change unless the Contractor has acted promptly and responsively in submitting names as required.

§ 5.2.4 The Contractor shall not substitute a Subcontractor, person, or entity for one previously selected if the Owner or Architect makes reasonable objection to such substitution.

### § 5.3 Subcontractual Relations

By appropriate written agreement, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities, including the responsibility for safety of the Subcontractor's Work that the Contractor, by these Contract Documents, assumes toward the Owner and Architect. Each subcontract agreement shall preserve and protect the rights of the Owner and Architect under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor, unless specifically provided otherwise in the subcontract agreement, the benefit of all rights, remedies, and redress against the Contractor that the Contractor, by the Contract Documents, has against the Owner. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with Sub-subcontractors. The Contractor shall make available to each proposed Subcontractor, prior to the execution of the subcontract agreement, copies of the Contract Documents to which the Subcontractor will be bound, and, upon written request of the Subcontractor, identify to the Subcontractor terms and conditions of the proposed subcontract agreement that may be at variance with the Contract Documents. Subcontractors will similarly make copies of applicable portions of such documents available to their respective proposed Sub-subcontractors.

### § 5.4 Contingent Assignment of Subcontracts

§ 5.4.1 Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that

- .1 assignment is effective only after termination of the Contract by the Owner for cause pursuant to Section 14.2 and only for those subcontract agreements that the Owner accepts by notifying the Subcontractor and Contractor; and
- .2 assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of a subcontract agreement, the Owner assumes the Contractor's rights and obligations under the subcontract.

**§ 5.4.2** Upon such assignment, if the Work has been suspended for more than 30 days, the Subcontractor's compensation shall be equitably adjusted for increases in cost resulting from the suspension.

**§ 5.4.3** Upon assignment to the Owner under this Section 5.4, the Owner may further assign the subcontract to a successor contractor or other entity. If the Owner assigns the subcontract to a successor contractor or other entity, the Owner shall nevertheless remain legally responsible for all of the successor contractor's obligations under the subcontract.

## **ARTICLE 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS**

### **§ 6.1 Owner's Right to Perform Construction and to Award Separate Contracts**

**§ 6.1.1** The term "Separate Contractor(s)" shall mean other contractors retained by the Owner under separate agreements. The Owner reserves the right to perform construction or operations related to the Project with the Owner's own forces, and with Separate Contractors retained under Conditions of the Contract substantially similar to those of this Contract, including those provisions of the Conditions of the Contract related to insurance and waiver of subrogation.

**§ 6.1.2** When separate contracts are awarded for different portions of the Project or other construction or operations on the site, the term "Contractor" in the Contract Documents in each case shall mean the Contractor who executes each separate Owner-Contractor Agreement.

**§ 6.1.3** The Owner shall provide for coordination of the activities of the Owner's own forces and of each Separate Contractor with the Work of the Contractor, who shall cooperate with them. The Contractor shall participate with any Separate Contractors and the Owner in reviewing their construction schedules. The Contractor shall make any revisions to its construction schedule deemed necessary after a joint review and mutual agreement. The construction schedules shall then constitute the schedules to be used by the Contractor, Separate Contractors, and the Owner until subsequently revised.

**§ 6.1.4** Unless otherwise provided in the Contract Documents, when the Owner performs construction or operations related to the Project with the Owner's own forces or with Separate Contractors, the Owner or its Separate Contractors shall have the same obligations and rights that the Contractor has under the Conditions of the Contract, including, without excluding others, those stated in Article 3, this Article 6, and Articles 10, 11, and 12.

### **§ 6.2 Mutual Responsibility**

**§ 6.2.1** The Contractor shall afford the Owner and Separate Contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Contractor's construction and operations with theirs as required by the Contract Documents.

**§ 6.2.2** If part of the Contractor's Work depends for proper execution or results upon construction or operations by the Owner or a Separate Contractor, the Contractor shall, prior to proceeding with that portion of the Work, promptly notify the Architect of apparent discrepancies or defects in the construction or operations by the Owner or Separate Contractor that would render it unsuitable for proper execution and results of the Contractor's Work. Failure of the Contractor to notify the Architect of apparent discrepancies or defects prior to proceeding with the Work shall constitute an acknowledgment that the Owner's or Separate Contractor's completed or partially completed construction is fit and proper to receive the Contractor's Work. The Contractor shall not be responsible for discrepancies or defects in the construction or operations by the Owner or Separate Contractor that are not apparent.

**§ 6.2.3** The Contractor shall reimburse the Owner for costs the Owner incurs that are payable to a Separate Contractor because of the Contractor's delays, improperly timed activities or defective construction. The Owner shall be responsible to the Contractor for costs the Contractor incurs because of a Separate Contractor's delays, improperly timed activities, damage to the Work or defective construction.

**§ 6.2.4** The Contractor shall promptly remedy damage that the Contractor wrongfully causes to completed or partially completed construction or to property of the Owner or Separate Contractor as provided in Section 10.2.5.

**§ 6.2.5** The Owner and each Separate Contractor shall have the same responsibilities for cutting and patching as are described for the Contractor in Section 3.14.

**§ 6.3 Owner's Right to Clean Up**

If a dispute arises among the Contractor, Separate Contractors, and the Owner as to the responsibility under their respective contracts for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up and the Architect will allocate the cost among those responsible.

## ARTICLE 7 CHANGES IN THE WORK

**§ 7.1 General**

**§ 7.1.1** Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order, Construction Change Directive or order for a minor change in the Work, subject to the limitations stated in this Article 7 and elsewhere in the Contract Documents.

**§ 7.1.2** A Change Order shall be based upon agreement among the Owner, Contractor, and Architect. A Construction Change Directive requires agreement by the Owner and Architect and may or may not be agreed to by the Contractor. An order for a minor change in the Work may be issued by the Architect alone.

**§ 7.1.3** Changes in the Work shall be performed under applicable provisions of the Contract Documents. The Contractor shall proceed promptly with changes in the Work, unless otherwise provided in the Change Order, Construction Change Directive, or order for a minor change in the Work.

**§ 7.2 Change Orders**

**§ 7.2.1** A Change Order is a written instrument prepared by the Architect and signed by the Owner, Contractor, and Architect stating their agreement upon all of the following:

- .1 The change in the Work;
- .2 The amount of the adjustment, if any, in the Contract Sum; and
- .3 The extent of the adjustment, if any, in the Contract Time.

**§ 7.3 Construction Change Directives**

**§ 7.3.1** A Construction Change Directive is a written order prepared by the Architect and signed by the Owner and Architect, directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or Contract Time, or both. The Owner may by Construction Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions, or other revisions, the Contract Sum and Contract Time being adjusted accordingly.

**§ 7.3.2** A Construction Change Directive shall be used in the absence of total agreement on the terms of a Change Order.

**§ 7.3.3** If the Construction Change Directive provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:

- .1 Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
- .2 Unit prices stated in the Contract Documents or subsequently agreed upon;
- .3 Cost to be determined in a manner agreed upon by the parties and a mutually acceptable fixed or percentage fee; or
- .4 As provided in Section 7.3.4.

**§ 7.3.4** If the Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the Architect shall determine the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase in the Contract Sum, an amount for overhead and profit as set forth in the Agreement, or if no such amount is set forth in the Agreement, a reasonable amount. In such case, and also under Section 7.3.3.3, the Contractor shall keep and present, in such form as the Architect may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this Section 7.3.4 shall be limited to the following:

- .1 Costs of labor, including applicable payroll taxes, fringe benefits required by agreement or custom, workers' compensation insurance, and other employee costs approved by the Architect;
- .2 Costs of materials, supplies, and equipment, including cost of transportation, whether incorporated or consumed;
- .3 Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Contractor or others;
- .4 Costs of premiums for all bonds and insurance, permit fees, and sales, use, or similar taxes, directly related to the change; and
- .5 Costs of supervision and field office personnel directly attributable to the change.

**§ 7.3.5** If the Contractor disagrees with the adjustment in the Contract Time, the Contractor may make a Claim in accordance with applicable provisions of Article 15.

**§ 7.3.6** Upon receipt of a Construction Change Directive, the Contractor shall promptly proceed with the change in the Work involved and advise the Architect of the Contractor's agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum or Contract Time.

**§ 7.3.7** A Construction Change Directive signed by the Contractor indicates the Contractor's agreement therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

**§ 7.3.8** The amount of credit to be allowed by the Contractor to the Owner for a deletion or change that results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Architect. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.

**§ 7.3.9** Pending final determination of the total cost of a Construction Change Directive to the Owner, the Contractor may request payment for Work completed under the Construction Change Directive in Applications for Payment. The Architect will make an interim determination for purposes of monthly certification for payment for those costs and certify for payment the amount that the Architect determines, in the Architect's professional judgment, to be reasonably justified. The Architect's interim determination of cost shall adjust the Contract Sum on the same basis as a Change Order, subject to the right of either party to disagree and assert a Claim in accordance with Article 15.

**§ 7.3.10** When the Owner and Contractor agree with a determination made by the Architect concerning the adjustments in the Contract Sum and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Architect will prepare a Change Order. Change Orders may be issued for all or any part of a Construction Change Directive.

#### **§ 7.4 Minor Changes in the Work**

The Architect may order minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in the Contract Sum or an extension of the Contract Time. The Architect's order for minor changes shall be in writing. If the Contractor believes that the proposed minor change in the Work will affect the Contract Sum or Contract Time, the Contractor shall notify the Architect and shall not proceed to implement the change in the Work. If the Contractor performs the Work set forth in the Architect's order for a minor change without prior notice to the Architect that such change will affect the Contract Sum or Contract Time, the Contractor waives any adjustment to the Contract Sum or extension of the Contract Time.

### **ARTICLE 8 TIME**

#### **§ 8.1 Definitions**

**§ 8.1.1** Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, allotted in the Contract Documents for Substantial Completion of the Work.

**§ 8.1.2** The date of commencement of the Work is the date established in the Agreement.

**§ 8.1.3** The date of Substantial Completion is the date certified by the Architect in accordance with Section 9.8.

§ 8.1.4 The term "day" as used in the Contract Documents shall mean calendar day unless otherwise specifically defined.

**§ 8.2 Progress and Completion**

§ 8.2.1 Time limits stated in the Contract Documents are of the essence of the Contract. By executing the Agreement, the Contractor confirms that the Contract Time is a reasonable period for performing the Work.

§ 8.2.2 The Contractor shall not knowingly, except by agreement or instruction of the Owner in writing, commence the Work prior to the effective date of insurance required to be furnished by the Contractor and Owner.

§ 8.2.3 The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

**§ 8.3 Delays and Extensions of Time**

§ 8.3.1 If the Contractor is delayed at any time in the commencement or progress of the Work by (1) an act or neglect of the Owner or Architect, of an employee of either, or of a Separate Contractor; (2) by changes ordered in the Work; (3) by labor disputes, fire, unusual delay in deliveries, unavoidable casualties, adverse weather conditions documented in accordance with Section 15.1.6.2, or other causes beyond the Contractor's control; (4) by delay authorized by the Owner pending mediation and binding dispute resolution; or (5) by other causes that the Contractor asserts, and the Architect determines, justify delay, then the Contract Time shall be extended for such reasonable time as the Architect may determine.

§ 8.3.2 Claims relating to time shall be made in accordance with applicable provisions of Article 15.

§ 8.3.3 This Section 8.3 does not preclude recovery of damages for delay by either party under other provisions of the Contract Documents.

**ARTICLE 9 PAYMENTS AND COMPLETION**

**§ 9.1 Contract Sum**

§ 9.1.1 The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.

§ 9.1.2 If unit prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed so that application of such unit prices to the actual quantities causes substantial inequity to the Owner or Contractor, the applicable unit prices shall be equitably adjusted.

**§ 9.2 Schedule of Values**

Where the Contract is based on a stipulated sum or Guaranteed Maximum Price, the Contractor shall submit a schedule of values to the Architect before the first Application for Payment, allocating the entire Contract Sum to the various portions of the Work. The schedule of values shall be prepared in the form, and supported by the data to substantiate its accuracy, required by the Architect. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment. Any changes to the schedule of values shall be submitted to the Architect and supported by such data to substantiate its accuracy as the Architect may require, and unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's subsequent Applications for Payment.

**§ 9.3 Applications for Payment**

§ 9.3.1 At least ten days before the date established for each progress payment, the Contractor shall submit to the Architect an itemized Application for Payment prepared in accordance with the schedule of values, if required under Section 9.2, for completed portions of the Work. The application shall be notarized, if required, and supported by all data substantiating the Contractor's right to payment that the Owner or Architect require, such as copies of requisitions, and releases and waivers of liens from Subcontractors and suppliers, and shall reflect retainage if provided for in the Contract Documents.

§ 9.3.1.1 As provided in Section 7.3.9, such applications may include requests for payment on account of changes in the Work that have been properly authorized by Construction Change Directives, or by interim determinations of the Architect, but not yet included in Change Orders.

**§ 9.3.1.2** Applications for Payment shall not include requests for payment for portions of the Work for which the Contractor does not intend to pay a Subcontractor or supplier, unless such Work has been performed by others whom the Contractor intends to pay.

**§ 9.3.2** Unless otherwise provided in the Contract Documents, payments shall be made on account of materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work. If approved in advance by the Owner, payment may similarly be made for materials and equipment suitably stored off the site at a location agreed upon in writing. Payment for materials and equipment stored on or off the site shall be conditioned upon compliance by the Contractor with procedures satisfactory to the Owner to establish the Owner's title to such materials and equipment or otherwise protect the Owner's interest, and shall include the costs of applicable insurance, storage, and transportation to the site, for such materials and equipment stored off the site.

**§ 9.3.3** The Contractor warrants that title to all Work covered by an Application for Payment will pass to the Owner no later than the time of payment. The Contractor further warrants that upon submittal of an Application for Payment all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall, to the best of the Contractor's knowledge, information, and belief, be free and clear of liens, claims, security interests, or encumbrances, in favor of the Contractor, Subcontractors, suppliers, or other persons or entities that provided labor, materials, and equipment relating to the Work.

#### **§ 9.4 Certificates for Payment**

**§ 9.4.1** The Architect will, within seven days after receipt of the Contractor's Application for Payment, either (1) issue to the Owner a Certificate for Payment in the full amount of the Application for Payment, with a copy to the Contractor; or (2) issue to the Owner a Certificate for Payment for such amount as the Architect determines is properly due, and notify the Contractor and Owner of the Architect's reasons for withholding certification in part as provided in Section 9.5.1; or (3) withhold certification of the entire Application for Payment, and notify the Contractor and Owner of the Architect's reason for withholding certification in whole as provided in Section 9.5.1.

**§ 9.4.2** The issuance of a Certificate for Payment will constitute a representation by the Architect to the Owner, based on the Architect's evaluation of the Work and the data in the Application for Payment, that, to the best of the Architect's knowledge, information, and belief, the Work has progressed to the point indicated, the quality of the Work is in accordance with the Contract Documents, and that the Contractor is entitled to payment in the amount certified. The foregoing representations are subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to results of subsequent tests and inspections, to correction of minor deviations from the Contract Documents prior to completion, and to specific qualifications expressed by the Architect. However, the issuance of a Certificate for Payment will not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work; (2) reviewed construction means, methods, techniques, sequences, or procedures; (3) reviewed copies of requisitions received from Subcontractors and suppliers and other data requested by the Owner to substantiate the Contractor's right to payment; or (4) made examination to ascertain how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

#### **§ 9.5 Decisions to Withhold Certification**

**§ 9.5.1** The Architect may withhold a Certificate for Payment in whole or in part, to the extent reasonably necessary to protect the Owner, if in the Architect's opinion the representations to the Owner required by Section 9.4.2 cannot be made. If the Architect is unable to certify payment in the amount of the Application, the Architect will notify the Contractor and Owner as provided in Section 9.4.1. If the Contractor and Architect cannot agree on a revised amount, the Architect will promptly issue a Certificate for Payment for the amount for which the Architect is able to make such representations to the Owner. The Architect may also withhold a Certificate for Payment or, because of subsequently discovered evidence, may nullify the whole or a part of a Certificate for Payment previously issued, to such extent as may be necessary in the Architect's opinion to protect the Owner from loss for which the Contractor is responsible, including loss resulting from acts and omissions described in Section 3.3.2, because of

- .1 defective Work not remedied;
- .2 third party claims filed or reasonable evidence indicating probable filing of such claims, unless security acceptable to the Owner is provided by the Contractor;
- .3 failure of the Contractor to make payments properly to Subcontractors or suppliers for labor, materials or equipment;

- .4 reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- .5 damage to the Owner or a Separate Contractor;
- .6 reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay; or
- .7 repeated failure to carry out the Work in accordance with the Contract Documents.

§ 9.5.2 When either party disputes the Architect's decision regarding a Certificate for Payment under Section 9.5.1, in whole or in part, that party may submit a Claim in accordance with Article 15.

§ 9.5.3 When the reasons for withholding certification are removed, certification will be made for amounts previously withheld.

§ 9.5.4 If the Architect withholds certification for payment under Section 9.5.1.3, the Owner may, at its sole option, issue joint checks to the Contractor and to any Subcontractor or supplier to whom the Contractor failed to make payment for Work properly performed or material or equipment suitably delivered. If the Owner makes payments by joint check, the Owner shall notify the Architect and the Contractor shall reflect such payment on its next Application for Payment.

#### § 9.6 Progress Payments

§ 9.6.1 After the Architect has issued a Certificate for Payment, the Owner shall make payment in the manner and within the time provided in the Contract Documents, and shall so notify the Architect.

§ 9.6.2 The Contractor shall pay each Subcontractor, no later than seven days after receipt of payment from the Owner, the amount to which the Subcontractor is entitled, reflecting percentages actually retained from payments to the Contractor on account of the Subcontractor's portion of the Work. The Contractor shall, by appropriate agreement with each Subcontractor, require each Subcontractor to make payments to Sub-subcontractors in a similar manner.

§ 9.6.3 The Architect will, on request, furnish to a Subcontractor, if practicable, information regarding percentages of completion or amounts applied for by the Contractor and action taken thereon by the Architect and Owner on account of portions of the Work done by such Subcontractor.

§ 9.6.4 The Owner has the right to request written evidence from the Contractor that the Contractor has properly paid Subcontractors and suppliers amounts paid by the Owner to the Contractor for subcontracted Work. If the Contractor fails to furnish such evidence within seven days, the Owner shall have the right to contact Subcontractors and suppliers to ascertain whether they have been properly paid. Neither the Owner nor Architect shall have an obligation to pay, or to see to the payment of money to, a Subcontractor or supplier, except as may otherwise be required by law.

§ 9.6.5 The Contractor's payments to suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4.

§ 9.6.6 A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.

§ 9.6.7 Unless the Contractor provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Contractor for Work properly performed by Subcontractors or provided by suppliers shall be held by the Contractor for those Subcontractors or suppliers who performed Work or furnished materials, or both, under contract with the Contractor for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Contractor, create any fiduciary liability or tort liability on the part of the Contractor for breach of trust, or entitle any person or entity to an award of punitive damages against the Contractor for breach of the requirements of this provision.

§ 9.6.8 Provided the Owner has fulfilled its payment obligations under the Contract Documents, the Contractor shall defend and indemnify the Owner from all loss, liability, damage or expense, including reasonable attorney's fees and litigation expenses, arising out of any lien claim or other claim for payment by any Subcontractor or supplier of any tier. Upon receipt of notice of a lien claim or other claim for payment, the Owner shall notify the Contractor. If approved by the applicable court, when required, the Contractor may substitute a surety bond for the property against which the lien or other claim for payment has been asserted.

### § 9.7 Failure of Payment

If the Architect does not issue a Certificate for Payment, through no fault of the Contractor, within seven days after receipt of the Contractor's Application for Payment, or if the Owner does not pay the Contractor within seven days after the date established in the Contract Documents, the amount certified by the Architect or awarded by binding dispute resolution, then the Contractor may, upon seven additional days' notice to the Owner and Architect, stop the Work until payment of the amount owing has been received. The Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided for in the Contract Documents.

### § 9.8 Substantial Completion

§ 9.8.1 Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use.

§ 9.8.2 When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall prepare and submit to the Architect a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

§ 9.8.3 Upon receipt of the Contractor's list, the Architect will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Architect's inspection discloses any item, whether or not included on the Contractor's list, which is not sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Architect. In such case, the Contractor shall then submit a request for another inspection by the Architect to determine Substantial Completion.

§ 9.8.4 When the Work or designated portion thereof is substantially complete, the Architect will prepare a Certificate of Substantial Completion that shall establish the date of Substantial Completion; establish responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance; and fix the time within which the Contractor shall finish all items on the list accompanying the Certificate. Warranties required by the Contract Documents shall commence on the date of Substantial Completion of the Work or designated portion thereof unless otherwise provided in the Certificate of Substantial Completion.

§ 9.8.5 The Certificate of Substantial Completion shall be submitted to the Owner and Contractor for their written acceptance of responsibilities assigned to them in the Certificate. Upon such acceptance, and consent of surety if any, the Owner shall make payment of retainage applying to the Work or designated portion thereof. Such payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Contract Documents.

### § 9.9 Partial Occupancy or Use

§ 9.9.1 The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented to by the insurer and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage, if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor shall prepare and submit a list to the Architect as provided under Section 9.8.2. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Contractor or, if no agreement is reached, by decision of the Architect.

§ 9.9.2 Immediately prior to such partial occupancy or use, the Owner, Contractor, and Architect shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.

**§ 9.9.3** Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

**§ 9.10 Final Completion and Final Payment**

**§ 9.10.1** Upon receipt of the Contractor's notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Architect will promptly make such inspection. When the Architect finds the Work acceptable under the Contract Documents and the Contract fully performed, the Architect will promptly issue a final Certificate for Payment stating that to the best of the Architect's knowledge, information and belief, and on the basis of the Architect's on-site visits and inspections, the Work has been completed in accordance with the Contract Documents and that the entire balance found to be due the Contractor and noted in the final Certificate is due and payable. The Architect's final Certificate for Payment will constitute a further representation that conditions listed in Section 9.10.2 as precedent to the Contractor's being entitled to final payment have been fulfilled.

**§ 9.10.2** Neither final payment nor any remaining retained percentage shall become due until the Contractor submits to the Architect (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner's property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Contract Documents to remain in force after final payment is currently in effect, (3) a written statement that the Contractor knows of no reason that the insurance will not be renewable to cover the period required by the Contract Documents, (4) consent of surety, if any, to final payment, (5) documentation of any special warranties, such as manufacturers' warranties or specific Subcontractor warranties, and (6) if required by the Owner, other data establishing payment or satisfaction of obligations, such as receipts and releases and waivers of liens, claims, security interests, or encumbrances arising out of the Contract, to the extent and in such form as may be designated by the Owner. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien, claim, security interest, or encumbrance. If a lien, claim, security interest, or encumbrance remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging the lien, claim, security interest, or encumbrance, including all costs and reasonable attorneys' fees.

**§ 9.10.3** If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor or by issuance of Change Orders affecting final completion, and the Architect so confirms, the Owner shall, upon application by the Contractor and certification by the Architect, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed, corrected, and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Contract Documents, and if bonds have been furnished, the written consent of the surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Contractor to the Architect prior to certification of such payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.

**§ 9.10.4** The making of final payment shall constitute a waiver of Claims by the Owner except those arising from

- .1 liens, Claims, security interests, or encumbrances arising out of the Contract and unsettled;
- .2 failure of the Work to comply with the requirements of the Contract Documents;
- .3 terms of special warranties required by the Contract Documents; or
- .4 audits performed by the Owner, if permitted by the Contract Documents, after final payment.

**§ 9.10.5** Acceptance of final payment by the Contractor, a Subcontractor, or a supplier, shall constitute a waiver of claims by that payee except those previously made in writing and identified by that payee as unsettled at the time of final Application for Payment.

**ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY**

**§ 10.1 Safety Precautions and Programs**

The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract.

**§ 10.2 Safety of Persons and Property**

**§ 10.2.1** The Contractor shall take reasonable precautions for safety of, and shall provide reasonable protection to prevent damage, injury, or loss to

- .1 employees on the Work and other persons who may be affected thereby;
- .2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody, or control of the Contractor, a Subcontractor, or a Sub-subcontractor; and
- .3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction.

**§ 10.2.2** The Contractor shall comply with, and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities, bearing on safety of persons or property or their protection from damage, injury, or loss.

**§ 10.2.3** The Contractor shall implement, erect, and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards; promulgating safety regulations; and notifying the owners and users of adjacent sites and utilities of the safeguards.

**§ 10.2.4** When use or storage of explosives or other hazardous materials or equipment, or unusual methods are necessary for execution of the Work, the Contractor shall exercise utmost care and carry on such activities under supervision of properly qualified personnel.

**§ 10.2.5** The Contractor shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Contract Documents) to property referred to in Sections 10.2.1.2 and 10.2.1.3 caused in whole or in part by the Contractor, a Subcontractor, a Sub-subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable and for which the Contractor is responsible under Sections 10.2.1.2 and 10.2.1.3. The Contractor may make a Claim for the cost to remedy the damage or loss to the extent such damage or loss is attributable to acts or omissions of the Owner or Architect or anyone directly or indirectly employed by either of them, or by anyone for whose acts either of them may be liable, and not attributable to the fault or negligence of the Contractor. The foregoing obligations of the Contractor are in addition to the Contractor's obligations under Section 3.18.

**§ 10.2.6** The Contractor shall designate a responsible member of the Contractor's organization at the site whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent unless otherwise designated by the Contractor in writing to the Owner and Architect.

**§ 10.2.7** The Contractor shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.

**§ 10.2.8 Injury or Damage to Person or Property**

If either party suffers injury or damage to person or property because of an act or omission of the other party, or of others for whose acts such party is legally responsible, notice of the injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.

**§ 10.3 Hazardous Materials and Substances**

**§ 10.3.1** The Contractor is responsible for compliance with any requirements included in the Contract Documents regarding hazardous materials or substances. If the Contractor encounters a hazardous material or substance not addressed in the Contract Documents and if reasonable precautions will be inadequate to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or polychlorinated biphenyl (PCB), encountered on the site by the Contractor, the Contractor shall, upon recognizing the condition, immediately stop Work in the affected area and notify the Owner and Architect of the condition.

**§ 10.3.2** Upon receipt of the Contractor's notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Contractor and, in the event such material or substance is found to be present, to cause it to be rendered harmless. Unless otherwise required by the Contract Documents, the Owner shall furnish in writing to the Contractor and Architect the names and qualifications of persons or entities who are to perform tests verifying the presence or absence of the material or substance or who are to perform the task of removal or safe containment of the material or substance. The Contractor and the Architect will

promptly reply to the Owner in writing stating whether or not either has reasonable objection to the persons or entities proposed by the Owner. If either the Contractor or Architect has an objection to a person or entity proposed by the Owner, the Owner shall propose another to whom the Contractor and the Architect have no reasonable objection. When the material or substance has been rendered harmless, Work in the affected area shall resume upon written agreement of the Owner and Contractor. By Change Order, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable additional costs of shutdown, delay, and start-up.

**§ 10.3.3** To the fullest extent permitted by law, the Owner shall indemnify and hold harmless the Contractor, Subcontractors, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work in the affected area if in fact the material or substance presents the risk of bodily injury or death as described in Section 10.3.1 and has not been rendered harmless, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), except to the extent that such damage, loss, or expense is due to the fault or negligence of the party seeking indemnity.

**§ 10.3.4** The Owner shall not be responsible under this Section 10.3 for hazardous materials or substances the Contractor brings to the site unless such materials or substances are required by the Contract Documents. The Owner shall be responsible for hazardous materials or substances required by the Contract Documents, except to the extent of the Contractor's fault or negligence in the use and handling of such materials or substances.

**§ 10.3.5** The Contractor shall reimburse the Owner for the cost and expense the Owner incurs (1) for remediation of hazardous materials or substances the Contractor brings to the site and negligently handles, or (2) where the Contractor fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner's fault or negligence.

**§ 10.3.6** If, without negligence on the part of the Contractor, the Contractor is held liable by a government agency for the cost of remediation of a hazardous material or substance solely by reason of performing Work as required by the Contract Documents, the Owner shall reimburse the Contractor for all cost and expense thereby incurred.

#### **§ 10.4 Emergencies**

In an emergency affecting safety of persons or property, the Contractor shall act, at the Contractor's discretion, to prevent threatened damage, injury, or loss. Additional compensation or extension of time claimed by the Contractor on account of an emergency shall be determined as provided in Article 15 and Article 7.

### **ARTICLE 11 INSURANCE AND BONDS**

#### **§ 11.1 Contractor's Insurance and Bonds**

**§ 11.1.1** The Contractor shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Contractor shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The Owner, Architect, and Architect's consultants shall be named as additional insureds under the Contractor's commercial general liability policy or as otherwise described in the Contract Documents.

**§ 11.1.2** The Contractor shall provide surety bonds of the types, for such penal sums, and subject to such terms and conditions as required by the Contract Documents. The Contractor shall purchase and maintain the required bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

**§ 11.1.3** Upon the request of any person or entity appearing to be a potential beneficiary of bonds covering payment of obligations arising under the Contract, the Contractor shall promptly furnish a copy of the bonds or shall authorize a copy to be furnished.

**§ 11.1.4 Notice of Cancellation or Expiration of Contractor's Required Insurance.** Within three (3) business days of the date the Contractor becomes aware of an impending or actual cancellation or expiration of any insurance required by the Contract Documents, the Contractor shall provide notice to the Owner of such impending or actual cancellation or expiration. Upon receipt of notice from the Contractor, the Owner shall, unless the lapse in coverage arises from an act

or omission of the Owner, have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by the Contractor. The furnishing of notice by the Contractor shall not relieve the Contractor of any contractual obligation to provide any required coverage.

#### **§ 11.2 Owner's Insurance**

**§ 11.2.1** The Owner shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Owner shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located.

**§ 11.2.2 Failure to Purchase Required Property Insurance.** If the Owner fails to purchase and maintain the required property insurance, with all of the coverages and in the amounts described in the Agreement or elsewhere in the Contract Documents, the Owner shall inform the Contractor in writing prior to commencement of the Work. Upon receipt of notice from the Owner, the Contractor may delay commencement of the Work and may obtain insurance that will protect the interests of the Contractor, Subcontractors, and Sub-Subcontractors in the Work. When the failure to provide coverage has been cured or resolved, the Contract Sum and Contract Time shall be equitably adjusted. In the event the Owner fails to procure coverage, the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent the loss to the Owner would have been covered by the insurance to have been procured by the Owner. The cost of the insurance shall be charged to the Owner by a Change Order. If the Owner does not provide written notice, and the Contractor is damaged by the failure or neglect of the Owner to purchase or maintain the required insurance, the Owner shall reimburse the Contractor for all reasonable costs and damages attributable thereto.

**§ 11.2.3 Notice of Cancellation or Expiration of Owner's Required Property Insurance.** Within three (3) business days of the date the Owner becomes aware of an impending or actual cancellation or expiration of any property insurance required by the Contract Documents, the Owner shall provide notice to the Contractor of such impending or actual cancellation or expiration. Unless the lapse in coverage arises from an act or omission of the Contractor: (1) the Contractor, upon receipt of notice from the Owner, shall have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by either the Owner or the Contractor; (2) the Contract Time and Contract Sum shall be equitably adjusted; and (3) the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent any loss to the Owner would have been covered by the insurance had it not expired or been cancelled. If the Contractor purchases replacement coverage, the cost of the insurance shall be charged to the Owner by an appropriate Change Order. The furnishing of notice by the Owner shall not relieve the Owner of any contractual obligation to provide required insurance.

#### **§ 11.3 Waivers of Subrogation**

**§ 11.3.1** The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, sub-subcontractors, agents, and employees, each of the other; (2) the Architect and Architect's consultants; and (3) Separate Contractors, if any, and any of their subcontractors, sub-subcontractors, agents, and employees, for damages caused by fire, or other causes of loss, to the extent those losses are covered by property insurance required by the Agreement or other property insurance applicable to the Project, except such rights as they have to proceeds of such insurance. The Owner or Contractor, as appropriate, shall require similar written waivers in favor of the individuals and entities identified above from the Architect, Architect's consultants, Separate Contractors, subcontractors, and sub-subcontractors. The policies of insurance purchased and maintained by each person or entity agreeing to waive claims pursuant to this section 11.3.1 shall not prohibit this waiver of subrogation. This waiver of subrogation shall be effective as to a person or entity (1) even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, (2) even though that person or entity did not pay the insurance premium directly or indirectly, or (3) whether or not the person or entity had an insurable interest in the damaged property.

**§ 11.3.2** If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, or if after final payment property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, to the extent permissible by such policies, the Owner waives all rights in accordance with the terms of Section 11.3.1 for damages caused by fire or other causes of loss covered by this separate property insurance.

#### **§ 11.4 Loss of Use, Business Interruption, and Delay in Completion Insurance**

The Owner, at the Owner's option, may purchase and maintain insurance that will protect the Owner against loss of use of the Owner's property, or the inability to conduct normal operations, due to fire or other causes of loss. The Owner waives all rights of action against the Contractor and Architect for loss of use of the Owner's property, due to fire or other hazards however caused.

#### **§11.5 Adjustment and Settlement of Insured Loss**

§ 11.5.1 A loss insured under the property insurance required by the Agreement shall be adjusted by the Owner as fiduciary and made payable to the Owner as fiduciary for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of Section 11.5.2. The Owner shall pay the Architect and Contractor their just shares of insurance proceeds received by the Owner, and by appropriate agreements the Architect and Contractor shall make payments to their consultants and Subcontractors in similar manner.

§ 11.5.2 Prior to settlement of an insured loss, the Owner shall notify the Contractor of the terms of the proposed settlement as well as the proposed allocation of the insurance proceeds. The Contractor shall have 14 days from receipt of notice to object to the proposed settlement or allocation of the proceeds. If the Contractor does not object, the Owner shall settle the loss and the Contractor shall be bound by the settlement and allocation. Upon receipt, the Owner shall deposit the insurance proceeds in a separate account and make the appropriate distributions. Thereafter, if no other agreement is made or the Owner does not terminate the Contract for convenience, the Owner and Contractor shall execute a Change Order for reconstruction of the damaged or destroyed Work in the amount allocated for that purpose. If the Contractor timely objects to either the terms of the proposed settlement or the allocation of the proceeds, the Owner may proceed to settle the insured loss, and any dispute between the Owner and Contractor arising out of the settlement or allocation of the proceeds shall be resolved pursuant to Article 15. Pending resolution of any dispute, the Owner may issue a Construction Change Directive for the reconstruction of the damaged or destroyed Work.

### **ARTICLE 12 UNCOVERING AND CORRECTION OF WORK**

#### **§ 12.1 Uncovering of Work**

§ 12.1.1 If a portion of the Work is covered contrary to the Architect's request or to requirements specifically expressed in the Contract Documents, it must, if requested in writing by the Architect, be uncovered for the Architect's examination and be replaced at the Contractor's expense without change in the Contract Time.

§ 12.1.2 If a portion of the Work has been covered that the Architect has not specifically requested to examine prior to its being covered, the Architect may request to see such Work and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, the Contractor shall be entitled to an equitable adjustment to the Contract Sum and Contract Time as may be appropriate. If such Work is not in accordance with the Contract Documents, the costs of uncovering the Work, and the cost of correction, shall be at the Contractor's expense.

#### **§ 12.2 Correction of Work**

##### **§ 12.2.1 Before Substantial Completion**

The Contractor shall promptly correct Work rejected by the Architect or failing to conform to the requirements of the Contract Documents, discovered before Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for the Architect's services and expenses made necessary thereby, shall be at the Contractor's expense.

##### **§ 12.2.2 After Substantial Completion**

§ 12.2.2.1 In addition to the Contractor's obligations under Section 3.5, if, within one year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of any applicable special warranty required by the Contract Documents, any of the Work is found to be not in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of notice from the Owner to do so, unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. During the one-year period for correction of Work, if the Owner fails to notify the Contractor and give the Contractor an opportunity to make the correction, the Owner waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner or Architect, the Owner may correct it in accordance with Section 2.5.

**§ 12.2.2.2** The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.

**§ 12.2.2.3** The one-year period for correction of Work shall not be extended by corrective Work performed by the Contractor pursuant to this Section 12.2.

**§ 12.2.3** The Contractor shall remove from the site portions of the Work that are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.

**§ 12.2.4** The Contractor shall bear the cost of correcting destroyed or damaged construction of the Owner or Separate Contractors, whether completed or partially completed, caused by the Contractor's correction or removal of Work that is not in accordance with the requirements of the Contract Documents.

**§ 12.2.5** Nothing contained in this Section 12.2 shall be construed to establish a period of limitation with respect to other obligations the Contractor has under the Contract Documents. Establishment of the one-year period for correction of Work as described in Section 12.2.2 relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

### **§ 12.3 Acceptance of Nonconforming Work**

If the Owner prefers to accept Work that is not in accordance with the requirements of the Contract Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

## **ARTICLE 13 MISCELLANEOUS PROVISIONS**

### **§ 13.1 Governing Law**

The Contract shall be governed by the law of the place where the Project is located, excluding that jurisdiction's choice of law rules. If the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 15.4.

### **§ 13.2 Successors and Assigns**

**§ 13.2.1** The Owner and Contractor respectively bind themselves, their partners, successors, assigns, and legal representatives to covenants, agreements, and obligations contained in the Contract Documents. Except as provided in Section 13.2.2, neither party to the Contract shall assign the Contract as a whole without written consent of the other. If either party attempts to make an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.

**§ 13.2.2** The Owner may, without consent of the Contractor, assign the Contract to a lender providing construction financing for the Project, if the lender assumes the Owner's rights and obligations under the Contract Documents. The Contractor shall execute all consents reasonably required to facilitate the assignment.

### **§ 13.3 Rights and Remedies**

**§ 13.3.1** Duties and obligations imposed by the Contract Documents and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights, and remedies otherwise imposed or available by law.

**§ 13.3.2** No action or failure to act by the Owner, Architect, or Contractor shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed upon in writing.

### **§ 13.4 Tests and Inspections**

**§ 13.4.1** Tests, inspections, and approvals of portions of the Work shall be made as required by the Contract Documents and by applicable laws, statutes, ordinances, codes, rules, and regulations or lawful orders of public authorities. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections, and

approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections, and approvals. The Contractor shall give the Architect timely notice of when and where tests and inspections are to be made so that the Architect may be present for such procedures. The Owner shall bear costs of tests, inspections, or approvals that do not become requirements until after bids are received or negotiations concluded. The Owner shall directly arrange and pay for tests, inspections, or approvals where building codes or applicable laws or regulations so require.

**§ 13.4.2** If the Architect, Owner, or public authorities having jurisdiction determine that portions of the Work require additional testing, inspection, or approval not included under Section 13.4.1, the Architect will, upon written authorization from the Owner, instruct the Contractor to make arrangements for such additional testing, inspection, or approval, by an entity acceptable to the Owner, and the Contractor shall give timely notice to the Architect of when and where tests and inspections are to be made so that the Architect may be present for such procedures. Such costs, except as provided in Section 13.4.3, shall be at the Owner's expense.

**§ 13.4.3** If procedures for testing, inspection, or approval under Sections 13.4.1 and 13.4.2 reveal failure of the portions of the Work to comply with requirements established by the Contract Documents, all costs made necessary by such failure, including those of repeated procedures and compensation for the Architect's services and expenses, shall be at the Contractor's expense.

**§ 13.4.4** Required certificates of testing, inspection, or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Architect.

**§ 13.4.5** If the Architect is to observe tests, inspections, or approvals required by the Contract Documents, the Architect will do so promptly and, where practicable, at the normal place of testing.

**§ 13.4.6** Tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

### **§ 13.5 Interest**

Payments due and unpaid under the Contract Documents shall bear interest from the date payment is due at the rate the parties agree upon in writing or, in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.

## **ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT**

### **§ 14.1 Termination by the Contractor**

**§ 14.1.1** The Contractor may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, for any of the following reasons:

- .1 Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be stopped;
- .2 An act of government, such as a declaration of national emergency, that requires all Work to be stopped;
- .3 Because the Architect has not issued a Certificate for Payment and has not notified the Contractor of the reason for withholding certification as provided in Section 9.4.1, or because the Owner has not made payment on a Certificate for Payment within the time stated in the Contract Documents; or
- .4 The Owner has failed to furnish to the Contractor reasonable evidence as required by Section 2.2.

**§ 14.1.2** The Contractor may terminate the Contract if, through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, repeated suspensions, delays, or interruptions of the entire Work by the Owner as described in Section 14.3, constitute in the aggregate more than 100 percent of the total number of days scheduled for completion, or 120 days in any 365-day period, whichever is less.

**§ 14.1.3** If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon seven days' notice to the Owner and Architect, terminate the Contract and recover from the Owner payment for Work executed, as well as reasonable overhead and profit on Work not executed, and costs incurred by reason of such termination.

**§ 14.1.4** If the Work is stopped for a period of 60 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, or their agents or employees or any other persons or entities performing portions of the Work because the Owner has repeatedly failed to fulfill the Owner's obligations under the Contract Documents with respect to matters important to the progress of the Work, the Contractor may, upon seven additional days' notice to the Owner and the Architect, terminate the Contract and recover from the Owner as provided in Section 14.1.3.

**§ 14.2 Termination by the Owner for Cause**

**§ 14.2.1** The Owner may terminate the Contract if the Contractor

- .1 repeatedly refuses or fails to supply enough properly skilled workers or proper materials;
- .2 fails to make payment to Subcontractors or suppliers in accordance with the respective agreements between the Contractor and the Subcontractors or suppliers;
- .3 repeatedly disregards applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of a public authority; or
- .4 otherwise is guilty of substantial breach of a provision of the Contract Documents.

**§ 14.2.2** When any of the reasons described in Section 14.2.1 exist, and upon certification by the Architect that sufficient cause exists to justify such action, the Owner may, without prejudice to any other rights or remedies of the Owner and after giving the Contractor and the Contractor's surety, if any, seven days' notice, terminate employment of the Contractor and may, subject to any prior rights of the surety:

- .1 Exclude the Contractor from the site and take possession of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor;
- .2 Accept assignment of subcontracts pursuant to Section 5.4; and
- .3 Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Contractor, the Owner shall furnish to the Contractor a detailed accounting of the costs incurred by the Owner in finishing the Work.

**§ 14.2.3** When the Owner terminates the Contract for one of the reasons stated in Section 14.2.1, the Contractor shall not be entitled to receive further payment until the Work is finished.

**§ 14.2.4** If the unpaid balance of the Contract Sum exceeds costs of finishing the Work, including compensation for the Architect's services and expenses made necessary thereby, and other damages incurred by the Owner and not expressly waived, such excess shall be paid to the Contractor. If such costs and damages exceed the unpaid balance, the Contractor shall pay the difference to the Owner. The amount to be paid to the Contractor or Owner, as the case may be, shall be certified by the Initial Decision Maker, upon application, and this obligation for payment shall survive termination of the Contract.

**§ 14.3 Suspension by the Owner for Convenience**

**§ 14.3.1** The Owner may, without cause, order the Contractor in writing to suspend, delay or interrupt the Work, in whole or in part for such period of time as the Owner may determine.

**§ 14.3.2** The Contract Sum and Contract Time shall be adjusted for increases in the cost and time caused by suspension, delay, or interruption under Section 14.3.1. Adjustment of the Contract Sum shall include profit. No adjustment shall be made to the extent

- .1 that performance is, was, or would have been, so suspended, delayed, or interrupted, by another cause for which the Contractor is responsible; or
- .2 that an equitable adjustment is made or denied under another provision of the Contract.

**§ 14.4 Termination by the Owner for Convenience**

**§ 14.4.1** The Owner may, at any time, terminate the Contract for the Owner's convenience and without cause.

**§ 14.4.2** Upon receipt of notice from the Owner of such termination for the Owner's convenience, the Contractor shall

- .1 cease operations as directed by the Owner in the notice;
- .2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work; and
- .3 except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing subcontracts and purchase orders and enter into no further subcontracts and purchase orders.

**§ 14.4.3** In case of such termination for the Owner's convenience, the Owner shall pay the Contractor for Work properly executed; costs incurred by reason of the termination, including costs attributable to termination of Subcontracts; and the termination fee, if any, set forth in the Agreement.

## ARTICLE 15 CLAIMS AND DISPUTES

### § 15.1 Claims

#### § 15.1.1 Definition

A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, a change in the Contract Time, or other relief with respect to the terms of the Contract. The term "Claim" also includes other disputes and matters in question between the Owner and Contractor arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim. This Section 15.1.1 does not require the Owner to file a Claim in order to impose liquidated damages in accordance with the Contract Documents.

#### § 15.1.2 Time Limits on Claims

The Owner and Contractor shall commence all Claims and causes of action against the other and arising out of or related to the Contract, whether in contract, tort, breach of warranty or otherwise, in accordance with the requirements of the binding dispute resolution method selected in the Agreement and within the period specified by applicable law, but in any case not more than 10 years after the date of Substantial Completion of the Work. The Owner and Contractor waive all Claims and causes of action not commenced in accordance with this Section 15.1.2.

#### § 15.1.3 Notice of Claims

§ 15.1.3.1 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered prior to expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party and to the Initial Decision Maker with a copy sent to the Architect, if the Architect is not serving as the Initial Decision Maker. Claims by either party under this Section 15.1.3.1 shall be initiated within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later.

§ 15.1.3.2 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party. In such event, no decision by the Initial Decision Maker is required.

#### § 15.1.4 Continuing Contract Performance

§ 15.1.4.1 Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 14, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments in accordance with the Contract Documents.

§ 15.1.4.2 The Contract Sum and Contract Time shall be adjusted in accordance with the Initial Decision Maker's decision, subject to the right of either party to proceed in accordance with this Article 15. The Architect will issue Certificates for Payment in accordance with the decision of the Initial Decision Maker.

#### § 15.1.5 Claims for Additional Cost

If the Contractor wishes to make a Claim for an increase in the Contract Sum, notice as provided in Section 15.1.3 shall be given before proceeding to execute the portion of the Work that is the subject of the Claim. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

#### § 15.1.6 Claims for Additional Time

§ 15.1.6.1 If the Contractor wishes to make a Claim for an increase in the Contract Time, notice as provided in Section 15.1.3 shall be given. The Contractor's Claim shall include an estimate of cost and of probable effect of delay on progress of the Work. In the case of a continuing delay, only one Claim is necessary.

§ 15.1.6.2 If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not have been reasonably anticipated, and had an adverse effect on the scheduled construction.

### § 15.1.7 Waiver of Claims for Consequential Damages

The Contractor and Owner waive Claims against each other for consequential damages arising out of or relating to this Contract. This mutual waiver includes

- .1 damages incurred by the Owner for rental expenses, for losses of use, income, profit, financing, business and reputation, and for loss of management or employee productivity or of the services of such persons; and
- .2 damages incurred by the Contractor for principal office expenses including the compensation of personnel stationed there, for losses of financing, business and reputation, and for loss of profit, except anticipated profit arising directly from the Work.

This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination in accordance with Article 14. Nothing contained in this Section 15.1.7 shall be deemed to preclude assessment of liquidated damages, when applicable, in accordance with the requirements of the Contract Documents.

### § 15.2 Initial Decision

§ 15.2.1 Claims, excluding those where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2 or arising under Sections 10.3, 10.4, and 11.5, shall be referred to the Initial Decision Maker for initial decision. The Architect will serve as the Initial Decision Maker, unless otherwise indicated in the Agreement. Except for those Claims excluded by this Section 15.2.1, an initial decision shall be required as a condition precedent to mediation of any Claim. If an initial decision has not been rendered within 30 days after the Claim has been referred to the Initial Decision Maker, the party asserting the Claim may demand mediation and binding dispute resolution without a decision having been rendered. Unless the Initial Decision Maker and all affected parties agree, the Initial Decision Maker will not decide disputes between the Contractor and persons or entities other than the Owner.

§ 15.2.2 The Initial Decision Maker will review Claims and within ten days of the receipt of a Claim take one or more of the following actions: (1) request additional supporting data from the claimant or a response with supporting data from the other party, (2) reject the Claim in whole or in part, (3) approve the Claim, (4) suggest a compromise, or (5) advise the parties that the Initial Decision Maker is unable to resolve the Claim if the Initial Decision Maker lacks sufficient information to evaluate the merits of the Claim or if the Initial Decision Maker concludes that, in the Initial Decision Maker's sole discretion, it would be inappropriate for the Initial Decision Maker to resolve the Claim.

§ 15.2.3 In evaluating Claims, the Initial Decision Maker may, but shall not be obligated to, consult with or seek information from either party or from persons with special knowledge or expertise who may assist the Initial Decision Maker in rendering a decision. The Initial Decision Maker may request the Owner to authorize retention of such persons at the Owner's expense.

§ 15.2.4 If the Initial Decision Maker requests a party to provide a response to a Claim or to furnish additional supporting data, such party shall respond, within ten days after receipt of the request, and shall either (1) provide a response on the requested supporting data, (2) advise the Initial Decision Maker when the response or supporting data will be furnished, or (3) advise the Initial Decision Maker that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Initial Decision Maker will either reject or approve the Claim in whole or in part.

§ 15.2.5 The Initial Decision Maker will render an initial decision approving or rejecting the Claim, or indicating that the Initial Decision Maker is unable to resolve the Claim. This initial decision shall (1) be in writing; (2) state the reasons therefor; and (3) notify the parties and the Architect, if the Architect is not serving as the Initial Decision Maker, of any change in the Contract Sum or Contract Time or both. The initial decision shall be final and binding on the parties but subject to mediation and, if the parties fail to resolve their dispute through mediation, to binding dispute resolution.

§ 15.2.6 Either party may file for mediation of an initial decision at any time, subject to the terms of Section 15.2.6.1.

§ 15.2.6.1 Either party may, within 30 days from the date of receipt of an initial decision, demand in writing that the other party file for mediation. If such a demand is made and the party receiving the demand fails to file for mediation within 30 days after receipt thereof, then both parties waive their rights to mediate or pursue binding dispute resolution proceedings with respect to the initial decision.

**§ 15.2.7** In the event of a Claim against the Contractor, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Contractor's default, the Owner may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.

**§ 15.2.8** If a Claim relates to or is the subject of a mechanic's lien, the party asserting such Claim may proceed in accordance with applicable law to comply with the lien notice or filing deadlines.

**§ 15.3 Mediation**

**§ 15.3.1** Claims, disputes, or other matters in controversy arising out of or related to the Contract, except those waived as provided for in Sections 9.10.4, 9.10.5, and 15.1.7, shall be subject to mediation as a condition precedent to binding dispute resolution.

**§ 15.3.2** The parties shall endeavor to resolve their Claims by mediation which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement. A request for mediation shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the mediation. The request may be made concurrently with the filing of binding dispute resolution proceedings but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration is stayed pursuant to this Section 15.3.2, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.

**§ 15.3.3** Either party may, within 30 days from the date that mediation has been concluded without resolution of the dispute or 60 days after mediation has been demanded without resolution of the dispute, demand in writing that the other party file for binding dispute resolution. If such a demand is made and the party receiving the demand fails to file for binding dispute resolution within 60 days after receipt thereof, then both parties waive their rights to binding dispute resolution proceedings with respect to the initial decision.

**§ 15.3.4** The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

**§ 15.4 Arbitration**

**§ 15.4.1** If the parties have selected arbitration as the method for binding dispute resolution in the Agreement, any Claim subject to, but not resolved by, mediation shall be subject to arbitration which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Arbitration Rules in effect on the date of the Agreement. The Arbitration shall be conducted in the place where the Project is located, unless another location is mutually agreed upon. A demand for arbitration shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the arbitration. The party filing a notice of demand for arbitration must assert in the demand all Claims then known to that party on which arbitration is permitted to be demanded.

**§ 15.4.1.1** A demand for arbitration shall be made no earlier than concurrently with the filing of a request for mediation, but in no event shall it be made after the date when the institution of legal or equitable proceedings based on the Claim would be barred by the applicable statute of limitations. For statute of limitations purposes, receipt of a written demand for arbitration by the person or entity administering the arbitration shall constitute the institution of legal or equitable proceedings based on the Claim.

**§ 15.4.2** The award rendered by the arbitrator or arbitrators shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.

**§ 15.4.3** The foregoing agreement to arbitrate and other agreements to arbitrate with an additional person or entity duly consented to by parties to the Agreement, shall be specifically enforceable under applicable law in any court having jurisdiction thereof.

#### § 15.4.4 Consolidation or Joinder

§ 15.4.4.1 Subject to the rules of the American Arbitration Association or other applicable arbitration rules, either party may consolidate an arbitration conducted under this Agreement with any other arbitration to which it is a party provided that (1) the arbitration agreement governing the other arbitration permits consolidation, (2) the arbitrations to be consolidated substantially involve common questions of law or fact, and (3) the arbitrations employ materially similar procedural rules and methods for selecting arbitrator(s).

§ 15.4.4.2 Subject to the rules of the American Arbitration Association or other applicable arbitration rules, either party may include by joinder persons or entities substantially involved in a common question of law or fact whose presence is required if complete relief is to be accorded in arbitration, provided that the party sought to be joined consents in writing to such joinder. Consent to arbitration involving an additional person or entity shall not constitute consent to arbitration of any claim, dispute or other matter in question not described in the written consent.

§ 15.4.4.3 The Owner and Contractor grant to any person or entity made a party to an arbitration conducted under this Section 15.4, whether by joinder or consolidation, the same rights of joinder and consolidation as those of the Owner and Contractor under this Agreement.

## **Additions and Deletions Report for AIA® Document A201® – 2017**

This Additions and Deletions Report, as defined on page 1 of the associated document, reproduces below all text the author has added to the standard form AIA document in order to complete it, as well as any text the author may have added to or deleted from the original AIA text. Added text is shown underlined. Deleted text is indicated with a horizontal line through the original AIA text.

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*There are no differences.*

## ***Certification of Document's Authenticity***

**AIA® Document D401™ – 2003**

I, Nadine Sullivan, hereby certify, to the best of my knowledge, information and belief, that I created the attached final document simultaneously with its associated Additions and Deletions Report and this certification at 11:23:15 CT on 04/12/2022 under Order No. 2114279075 from AIA Contract Documents software and that in preparing the attached final document I made no changes to the original text of AIA® Document A201™ – 2017, General Conditions of the Contract for Construction, as published by the AIA in its software, other than those additions and deletions shown in the associated Additions and Deletions Report.

*(Signed)*

*(Title)*

*(Dated)*

1.0 - GENERAL

1.1 Summary

A. This Section includes administrative and procedural requirements for alternates.

1. Before submitting proposals, Bidders shall read entire specifications, including all divisions, and familiarize themselves with requirements respecting all Alternates, and also how each section of the work is affected by acceptance or omission of Alternates.
2. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.
3. Bidders shall state on the Bid Form the amount to amend the Base Bid for making the following changes, including all incidental omissions, additions, and adjustments as may be necessary or required by such changes

B. The Owner will award the Alternates in accordance with and as stated in The Instructions to Bidders and located at the front of this Project Specification Manual.

C. Before signing the Contracts, the successful Contractor should be familiar with all Alternates and requirements. After signing the contracts, there will be no allowance or extra compensation paid to the Contractor because of omission or ignorance of said requirements.

1.2 Definitions

A. Alternate: An amount proposed by bidders and stated on the Bid Form for certain work defined in the Bidding Requirements that may be added to or deducted from the Base Bid amount if Owner decides to accept a corresponding change either in the amount of construction to be completed or in the products, materials, equipment, systems, or installation methods described in the Contract Documents.

1. The cost or credit for each alternate is the net addition to or deduction from the Contract Sum to incorporate the alternate into the Work. No other adjustments are made to the Contract Sum.

1.3 Procedures

A. Coordination: Modify or adjust affected adjacent work as necessary to completely integrate work of the alternate into Project.

1. Include as part of each alternate, miscellaneous devices, accessory objects, and similar items incidental to or required for a complete installation whether or not indicated as part of alternate.

B. Execute accepted alternates under the same conditions as other work of the Contract.

1.4 Schedule:

A Schedule of Alternates is included at the end of this Section. Specification Sections referenced in schedule contain requirements for materials necessary to achieve the work described under each alternate.

ALTERNATE PRICES ARE REQUIRED AS FOLLOWS:

Alternate No. 1 (Gymnasium Wood Flooring): The amount to be added to the Base Bid to provide a wood flooring system with membrane waterproofing systems in Gymnasium 107 as indicated and specified.

Alternate No. 2 (Gymnasium Sound System): The amount to be added to the Base Bid to provide a PA sound system for Gymnasium 107 as indicated and specified. All rough in conduits and junctions boxes shall be provided in Base Bid.

Alternate No. 3 (Gymnasium Divider Curtain): The amount to be added to the Base Bid to provide a fabric divider curtain in Gymnasium 107 as indicated and specified.

Alternate No. 4 (Gymnasium Wall Padding): The amount to be added to the Base Bid to provide wall padding in Gymnasium 107 as indicated and specified.

Alternate No. 5 (Gymnasium Acoustical Panels): The amount to be added to the Base Bid to provide acoustical panels in Gymnasium 107 as indicated and specified.

Alternate No. 6 (Additional Parking): The amount to be added to the Base Bid to provide additional on street parking as indicated.

Alternate No. 7 (Additional Parking): The amount to be added to the Base Bid to provide an additional parking lot on the west side of the structure as indicated.

Alternate No. 8 (Interior and Exterior Masonry Wall Construction)

The amount to be added to Base Bid to provide Interior and exterior masonry wall construction, exterior brick veneer wainscot, exterior brick pilasters, additional rigid frame roof support along column line 1.9, and additional clerestory windows as indicated. Base Bid shall include metal stud framing and gypsum board wall finish.

Alternate No. 9 (Additional Exterior Concrete Walks and Site Stairs)

The amount to be added to the Base Bid to provide additional exterior concrete walks and site stairs as indicated. See sheet A1.0.

END OF SECTION

1.0 - GENERAL

1.1 Related Documents

Drawings and general provisions of Contract, including General and Supplementary Conditions and other Division-1 Specification Sections, apply to this Section.

1.2 Summary

A. This Section specifies administrative and procedural requirements governing handling and processing allowances.

Selected materials, services and equipment, and in some cases, their installation is shown and specified in the Contract Documents by allowances. Allowances have been established in lieu of additional requirements and to defer selection of actual materials, services and equipment to a later date when additional information is available for evaluation. Additional requirements, if necessary, will be issued by Change Order. **Allowances indicated shall be included in the Base Bid or Alternates as indicated.**

B. Types of allowances required include the following:

1. Lump sum allowances.
2. Contingency allowance.

C. Procedures for submitting and handling Change Orders are included in the General Conditions of the Contract, Article 43.

1.3 Selection and Purchase

At the earliest feasible date after Contract award, advise the Architect of the date when the final selection and purchase of each service, product or system described by an allowance must be completed in order to avoid delay in performance of the Work.

A. When requested by the Architect, obtain proposals for each allowance for use in making final selections; including recommendations that are relevant to performance of the Work.

B. Purchase products and systems as selected by the Architect from the designated supplier.

C. Specific service providers, i.e., geotechnical and landscaping, shall be selected by the Owner.

1.4 Submittals

A. Submit proposals for purchase of products or systems included in allowances. Reduction and addition in allowances shall be in the form specified for Change Orders.

B. Submit invoices or delivery slips to indicate actual quantities of materials delivered to the site for use in fulfillment of each allowance.

1.5 Contingency Allowances

- A. Use the contingency allowance only as directed for the Owner's purposes, and only by written approval which designate amounts to be charged to the allowance.
- B. With the exception of quantity allowances, all allowances indicated are contingency allowances and therefore the Owner may transfer balances for other discretionary uses. Overhead and profit margins SHALL NOT BE ADDED to any amount drawn from original Allowance(s) regardless of the indicated use.
- C. Invoicing Procedures:
  - 1. Each contingency allowance shall be a "line item" on the Schedule of Values which is an attachment to the Application and Certificate for Payment.
  - 2. A copy of actual invoices paid by the Contractor and used against the respective Allowance(s), shall be included with the General Contractor's Application for Payment. This will allow all parties to know the remaining balance of Allowance(s) at all times.
  - 3. Overages:  
Contractor shall submit to the Architect all costs associated with prior approved overages of Allowance(s). The Architect will prepare change order for these prior approved overages.
  - 4. Unused Balance:  
Prior to final Application of Payment, Contractor shall submit total costs associated with Allowance(s). These costs should correspond with Schedule of Values from previous Applications for Payment plus any new charges. The Architect will prepare a change order to credit unused amounts. All changes which involve a net credit to the Owner shall include fair and reasonable credits for overhead and profit on the deducted work, in no case less than 5%.

2.0 - PRODUCTS

Not applicable.

3.0 - EXECUTION

3.1 Inspection

Inspect products covered by an allowance promptly upon delivery for damage or defects.

3.2 Preparation

Coordinate materials and their installation for each allowance with related materials and installations to ensure that each allowance item is completely integrated and interfaced with related construction activities.

3.3 Schedule of Allowances

Allowance No. 1: Include a contingency allowance of \$30,000.00 for the Owner's use throughout the project for unforeseen conditions as directed by the Architect.

Allowance No. 2: Include a contingency allowance of \$15,000.00 for material testing and special inspections.

Allowance No. 3: Include a contingency allowance of \$600.00 per thousand for the purchase of brick. Brick masonry installation and all associated materials shall be included under Base Bid. Premium cost above \$450.00 per thousand for special shape brick, solid brick, and accent brick shall not be provided through this allowance and shall be included in the Base Bid.

Allowance No. 4: Include a contingency allowance of \$50,000.00 for the Emergency Radio Communication Coverage System per IFC 510.

END OF SECTION

1.0 - GENERAL REQUIREMENTS

1.1 Related Documents

Drawings and general provisions of Contract, including General and Supplementary (Special) Conditions and Modifications and other Division - 1 Specifications Sections apply to work of the Section.

1.2 Project / Work Identification

Project name is New Multi-Purpose Facility for the City of Winfield, Alabama

A. Base Bid Requirements:

1. In general, the project shall consist of selective demolition and new construction including, but not limited to:

Site work, new single-level, concrete block wall construction, with brick veneer, aluminum windows and aluminum storefront system, standing seam roof, on pre-engineered structural metal frame, interior concrete block wall and paint finish, acoustical tile ceiling, epoxy resin floor and wall finishes, vinyl floor finishes, plus plumbing, mechanical and electrical work as required to perform the work under this Contract for the The City of Winfield and to properly join, connect and finish the new work to bring all to final, finished completion in first class manner ready for use by the Owner, all in strict accordance with Contract Documents including plans and specifications as prepared by Lathan Associates Architects, P. C., Hoover, Alabama; and shall include the furnishing of all labor, materials, equipment and services necessary for the proper completion of the building and other work as called for in the drawings and / or specifications dated July 30, 2024.

2. The Base Bid shall include all work shown or specified.
3. See Section 01010 for Alternates.
4. See Section 01020 for Allowances.
5. It is the intent and requirement under this Contract to accomplish all demolition and preparation necessary to perform the Work under this Contract and to properly join, connect and finish the new work to bring all to final, finished completion in first class manner ready for use by Owner.

B. Contractor's Duties: Except as specifically noted, provide and pay for:

1. Labor, materials and equipment.
2. Tools, construction equipment and machinery.
3. Water, heat, conditioning and utilities required for construction shall be provided by the Contractor.
4. Other facilities and services necessary for the proper execution and completion of the Work. Including hoist if same required for access to site. Provide own telephone service and sanitary portable toilet facilities.
5. Secure and pay for permits, impact fees, government fees, and licenses. This will include, but not be limited to, all permits required by ADEM and the U.S. Army Corp of Engineers and all fees required by local authority.

6. Give required notices.
7. Comply with codes, ordinances, rules, regulations, orders and other legal requirements of public authorities which bear on performance of the Work.
8. Promptly submit written notice to the Architect of observed variance of Contract Documents from legal requirements. It is not Contractor's responsibility to make certain that drawings and specifications comply with codes and regulations.
9. Enforce strict discipline and good order among employees. Do not employ unfit persons or persons not skilled in assigned tasks. **Smoking is prohibited on site.**
10. **Comply with Owner's Covid-19 safety measures, and requirements.**
11. It is intended that all items and systems shown or specified be furnished and installed complete and fully operational when all work is in place and in use. Where more than one trade is involved, the General Contractor shall be responsible for coordination and resolution of disputes between his subcontractors and material suppliers regarding responsibility for furnishing and installing individual parts, systems, materials, connections, proper separation, hardware, adapters, surface preparation, relationship conflicts, supports, blocking and all similar items required for the complete and fully functional weathertight installation of the work.

C. **Related Contract Documents:**  
Related requirements and conditions that are indicated on the Contract Documents include, but are not necessarily limited to, the following:

1. Existing site conditions and restrictions on use of the site.
2. Alterations and coordination with existing work.
3. Work to be performed concurrently by the Owner.
4. Work to be performed concurrently by separate contractors.
5. Work to be performed subsequent to work under this Contract.
6. Equipment / Material assigned as work of the Contract.
7. Requirements for partial Owner occupancy prior to substantial completion of the Contract Work.
8. Safety for and protection for occupancy, operation of existing facilities and construction to remain.

D. **Summary by References:**  
Work of the Contract can be summarized by references to the Contract, General Conditions, Supplementary (Special Requirements) Conditions, Specification Sections, Drawings, addenda and modifications to the Contract Documents issued subsequent to the initial printing of the project manual and including, but not necessarily limited to, printed material referenced by any of these.

It is recognized that work of the Contract is also unavoidably affected or influenced by governing regulations, natural phenomenon including weather conditions and other forces outside the Contract Documents.

E. The Owner may provide certain items of furniture, equipment, etc. Coordinate for utility rough-in and / or installation.

1.3 Contractor's Use of Premises:

A. General:

During the entire construction period the Contractor shall have the exclusive use of that portion of the phased contract work limits for construction operations, in accord with approved phasing plan schedule.

The Contractor shall limit his use of the premises to the work indicated, so as to allow for Owner occupancy and use by the public.

Use of the Site:

Confine operations at the site to the areas and limits permitted under the Contract and by law, ordinances, permits, and special conditions and special project procedures and coordination sections of the documents. Portions of the site beyond areas on which work is indicated are not to be disturbed. Conform to site rules and regulations affecting the work while engaged in project construction.

1. Keep existing driveways and entrances serving the premises clear and available to the Owner and his employees at all times. Do not use these areas for parking or storage of materials.
2. Do not unreasonably encumber the site with materials or equipment. Confine stockpiling of materials and location of storage sheds to the areas indicated. If additional storage is necessary, obtain and pay for such storage off site. Storage of material in the phased contract work limits shall be confined to noncombustible / non-hazard material that is scheduled for immediate use (no longer than 24-hour storage).
3. Lock mechanized or motorized construction equipment, when parked and unattended, so as to prevent unauthorized use. Do not leave such vehicles or equipment unattended with the motor running or the ignition key in place. Release hydraulic pressure when equipment is not in use. All vehicles delivering materials to the site shall be manned at all times, no exception.

B. Confine operations at site to areas and limits permitted by law, ordinances, permits, Contract Documents and SUPPLEMENTARY CONDITIONS.

C. Assume full responsibility for insurance, protection and safekeeping of products stored on premises.

D. Coordinate with the Owner and schedule deliveries and unloading to prevent traffic congestion blocking of access or interference with Work. Arrange deliveries to avoid larger accumulations of materials than can be suitably stored at site.

E. Contractor to pay for, or satisfactorily repair, all damages incident to their Work, to sidewalks, streets, other public or private property, or to any public utilities occurring during period of work under Contract.

F. Owner furnished and installed items that may require coordination between this

General Contractor and Owner assigned agent. Contractor should also verify requirements for utility rough-ins for Owner furnished equipment.

- G. Contractor shall maintain all existing adjacent building exits passable for emergency pedestrian egress.
- H. Comply with Owner's Covid-19 safety measures, and requirements.

1.4 Owner Occupancy / Partial Owner Occupancy:

The Owner reserves the right to place and install equipment as necessary in completed areas of the building and to occupy such completed areas prior to substantial completion, provided that such occupancy does not substantially interfere with completion of the work. Such placing of equipment and partial occupancy shall not constitute acceptance of the work or any part of the work.

1.5 Alterations and Coordination:

A. General:

The work of this contract includes coordination of the entire work of the project, including preparation of general coordination drawings, diagrams and schedules, and control of site utilization, from beginning of construction activity through project close-out and warranty periods.

B. Alterations:

Where applicable, requirements of the Contract Documents apply to alteration work in the same manner as to new construction.

C. General:

To expedite delivery and for other purposes in his own best interests, the Owner, before the date of the Contract, may negotiate purchase orders or make other commitments with supplies of material and equipment to be incorporated into the work by the Contractor. These purchase orders and commitments will be assigned to the Contractor for installation.

1.6 Miscellaneous Provisions (to include, but not be limited, by the following):

- A. Provide all rough-in and utility connections for all Owner Furnished Equipment and all new plumbing fixtures, new kitchen equipment and for all new electrical fixtures, switches and outlets, etc.
- B. Complete Plumbing, Heating, Ventilating, Air Conditioning, and Electrical systems.
- C. Preparation of new finishes as called for in Finish Schedule and related specified Sections.
- D. Rework and refinish those areas including ceiling tile and grid disturbed by work of Divisions 15 and 16, cutting and patching as required for these specifications. Strict coordination with the Architect and Owner's assigned project representative is mandatory.

E. Mechanical / Electrical Requirements of General Work:

1. General:

Except as otherwise indicated, comply with applicable requirements of Division 15 Sections for mechanical provisions within units of general

(Division 2 - 14) Work. Except as otherwise indicated, comply with applicable requirements of Division 16 Sections for electrical provisions within units of general (Division 2 - 14) Work.

Service Connections: Refer to Division 15 and Division 16 Sections for the characteristics of the mechanical and electrical services to be connected to units of general work. Provide units manufactured or fabricated for proper connection to and utilization of available services.

Except as otherwise indicated, final connection of mechanical services to general work is defined as being mechanical work, and final connection of electrical services to general work is defined as electrical work.

2. Electrical Requirements:

Except as otherwise indicated, comply with applicable provisions of The National Electrical Code (NEC) and standards by National Electrical Manufacturer's Association (NEMA), for electrical components of general work. Provide Underwriters Laboratories listed and labeled products where applicable. See Division 16 and electrical drawings.

F. Performance Requirements for Completed Work

The Contract Documents indicate the intended occupancy and utilization of the building and its individual systems and facilities. Compliance with governing regulations is intended and required for the work and for the Owner's occupancy and utilization. In addition to the requirement that every element of the work comply with applicable requirements of the contract documents, it is also required that the work as a whole comply with the general building performance requirements.

1.7 Utilities for Construction:

Make all arrangements necessary to connect to all utilities required to accomplish work under this contract. The Contractor will be solely responsible for connection to utilities required for construction of this Contract.

A. The Contractor shall pay for utility usage bills.

B. The contractor shall provide reasonable heat, cooling and ventilation within the building as required until the mechanical system has been completed, connected and in operation in the normal sequence of construction. This is not "in addition" to any normal requirement for heating, cooling and ventilation under this Contract, but is to clarify that a subcontractor or a Separate Contractor may benefit from the existence of these systems.

C. Temporary Electrical Lighting and Power:

Until permanent electrical power is installed and until the building lighting fixtures are installed in the normal sequence of construction, the General Contractor will make available in each general area of the contract work, outlets to which the Separate Contractors may connect for temporary lighting and single-phase electrical power. The General Contractor will pay all costs for this temporary utility extension and remove this temporary source when permanent electrical lighting and power outlets are installed. When, in the normal sequence of construction, the building lights are installed and connected and the building electrical outlets installed, the use of these shall be available for use by the subcontractor and/or Separate Contractors at no cost to them. All temporary electrical lighting and power for Separate Contractors shall be single phase, except the General Contractor will provide sufficient three-phase service as required for the operation and testing of certain items of Equipment, such as food service equipment. Verify all electrical service and phasing prior to

construction.

1.8 Requirements of Separate Contractors will be as follows:

- A. Separate Contractors to enter the building site to accomplish his work at the approval of the building General Contractor shall cooperate and coordinate with the General Contractor and shall be subject to the General Contractor as to schedule and locations within the site for him to accomplish his work. The General Contractor is responsible for and is in charge of the building site.
- B. The Separate Contractor is entitled to storage, access and work space inside the building in the same manner and subject to the same conditions and requirements as subcontractors for the building contract. The Separate Contractor will be advised of the availability of storage space (location coordinated by the General Contractor), and of responsibility to vacate and clean in time for final finish work.
- C. Separate Contractors are liable for any damage to the building. The Separate Contractor shall immediately make good any stain, harm or damage to the building caused by his forces. Most particularly, his attention is directed to need for caution in not damaging ceiling tile and wall finishes. Before final payment will be made to a Separate Contractor, he must have settled with the building General Contractor for any damage done.
- D. Separate Contractor must provide own toilet and telephone facilities (or make arrangements with the General Contractor as to pay rent for his share of cost).
- E. Separate Contractor to make provisions for his own safety and to accomplish his work in compliance with all National and Local Safety Regulations.
- F. Remove own trash and debris; each Separate Contractor to completely remove all trash and debris, caused by his work, from the building, and from the site.
- G. Do not allow dust to be exhausted through mechanical system.
- H. This Contractor to clean building exterior and interior as outlined in Section 01700-CLEAN UP.

1.9 Quality Control

- A. Shop Drawings and Product Approval:  
Compliance with Shop Drawing checking by the Contractor then submittal for approval to the Architect as required by GENERAL CONDITIONS and SUBMITTALS - SECTION 01350.
- B. Material Approval:  
Compliance with SUBMITTALS - SECTION 01350 for submittal of products for approval by Architect before delivery of same to jobsite.
- C. Qualifications of Workmen:  
In acceptance or rejection of the work of the Sections specified herein, and in particularly that work involved with the application of finish materials, the Architect will make no allowance for lack of skill on the part of the workmen.
- D. Special Inspections:  
Compliance with special inspection requirements of the International Building Code

is the responsibility of the General Contractor.

1.10 Patch and Repair Work:

Patch and Repair work under this Contract (in addition to work specified and indicated on the drawings) shall include, but not be limited to, the following:

Maintain fire integrity of walls, floors, ceilings and structure where piercing or openings are made. Use safing material as specified herein for approved UL poke-through applications.

1.11 N.I.C. Items:

Items noted as Not In Contract (N.I.C.) are to be furnished by Owner.

END OF SECTION

## SPECIAL PROJECT REQUIREMENTS - SECTION 01030

The Instructions to Bidders, General Conditions, Modified General Conditions and Special Project Requirements as set forth herein are applicable to the work under every Division and Section of these Specifications.

### TIME FOR COMPLETION

All work under this Contract shall be complete and ready for Owner occupancy within Two Hundred Forty (180) consecutive calendar days from written Notice To Proceed. The work under this contract shall commence within Ten (10) calendar days from date of Notice To Proceed.

### TIME IS OF THE ESSENCE

The Owner must occupy the work within the completion time indicated herein. Delivery time for equipment and material provided under this contract shall include lead time for storage and ready installation within time limits of the work. Coordination of Owner furnished / Contractor installed equipment and/or materials shall be considered within time limits of the work.

### BID GUARANTY

The base bid proposal shall be guaranteed for a period of Sixty (60) days after date of proposal. Alternate proposals (additive or deductive), if requested, shall be guaranteed for a period of Ninety (90) days after date of signing contract. Unit prices, if requested, shall be guaranteed until the date of final acceptance of the project by the Owner. Upon receipt of the drafted construction contract, the contractor shall have no more than fourteen (14) days to execute and return the construction contract to the architect with all supporting documentation in correct order.

### INSURANCE

All projects require Builder's Risk Insurance.

### RETAINAGE

In making progress payments the Owner shall retain five percent of the estimated value of Work performed and the value of the materials stored for the Work; but after retainage has been held upon fifty percent of the Contract Sum, no additional retainage will be withheld.

### OWNER

All papers shall be delivered to the Owner, unless otherwise specified in writing to the Contractor. Wherever the term "Owner" is used in the Specification it shall refer to:

THE CITY OF WINFIELD, ALABAMA  
111 BANKHEAD HIGHWAY  
WINFIELD, AL 35594

### ARCHITECT

Wherever the term "Architect" is used in the Specifications, it shall refer to:

LATHAN ASSOCIATES ARCHITECTS, P. C.  
300 CHASE PARK SOUTH, SUITE 200  
HOOVER, AL 35244

who by contract with the Owner, is authorized to prepare all drawings, details, and specifications for this work.

After the award of this contract, supervision of the work will be performed by the aforementioned Architect, his duly authorized representatives, or his duly appointed successor as may be designated in writing to the Contractor by the Owner.

## APPLICABLE CODES AND AUTHORITIES

### A. Codes

1. The work of this project shall be in accordance with the 2021 Edition, International Building Code. The minimum building standard code adopted by the Division of Construction Management is the 2021 International Building Code. The following companion codes to the 2021 International Code are also adopted:
  - a. 2021 – International Existing Building Code.
  - b. 2021 – International Plumbing Code.
  - c. 2021 – International Fuel Gas Code.
  - d. 2021 – International Mechanical Code.
  - e. 2020 – National Electrical Code (NFPA 70).
  - f. 2021 – International Fire Code.
  - g. ANSI/ASHRAE/IES Standard 90.1 – 2013 Energy Standard for Buildings.
  - h. 2010 – ADA Standards for Accessible Design.
  - i. 2019 NFPA 72: National Fire Alarm and Signaling Code (NFPA 72).
2. Promptly notify the Architect, in writing, if any of the contract documents are in conflict or variance with applicable codes, laws and ordinances. All changes will be made by written addenda or modifications.

### B. Precedence of Codes

1. In case of conflict between the State Building Code, local codes, the Life Safety Code enforced by the State Fire Marshal, or other codes, the most stringent requirements shall prevail.
2. All food preparation facilities, private water systems, and sewage disposal systems shall also meet the requirements of and be approved by the applicable county health department.

### C. Authorities, including but not limited to:

1. State of Alabama Department of Finance - Division of Construction Management (DCM)
2. Local Municipalities
3. Secure and pay for permits, impact fees, government fees and licenses. This will include, but not be limited to, all permits and/or fees required by ADEM, State of Alabama and the U.S. Army Corp of Engineers.

D. If any work is performed knowing it to be contrary to such codes, law, ordinances, rules and regulations and without notice to the Architect, the Contractor assumes full responsibility therefore and shall bear all costs for compliance thereto.

## FIRE ALARM REQUIREMENTS

The Certified Fire Alarm Act requires that every business who installs fire alarm systems in commercial occupancies must be licensed as a Certified Fire Alarm Contractor. The contractor must have a NICET Level III Technician in a position of responsibility, and the license will be issued in the name of the certificate holder and the contractor. The Certified Fire Alarm Act also requires that technicians working for the Certified Contractor must hold a current NICET Level II or equivalent certification. Contractors wishing to bid on fire alarm work must show evidence at the pre-bid conference that he/she meets the certification requirements of the Act and holds a permit issued by the State Fire Marshal.

Act 2009-657, effective August 1, 2012, requires fire alarm contractors to be permitted through the State of Alabama Fire Marshal's Office. In accordance with §34-33A-9, if a fire alarm contractor is going to do work in State of Alabama, the contractor must deliver to the local building official a copy of their State Fire Marshal's Fire Alarm Permit. In addition, the DCM requires the following:

1. For work involving fire alarm systems, General Contractors must submit a copy of the fire alarm contractor's State Fire Marshal's Fire Alarm Permit at the same time as submission of the subcontractor and supplier list to the lead design professional, which is required within 24 hours after receipt of bids. The architect or engineer shall reject fire alarm contractors who cannot provide a copy of the required permit.
2. For work involving fire alarm systems, General Contractors must provide a copy of the fire alarm contractor's State Fire Marshal's Fire Alarm Permit to the DCM Inspector at the pre-construction conference.

#### NONRESIDENT BIDDERS

Nonresident bidders must accompany any written bid documents with a written opinion of an attorney at law licensed to practice law in such nonresident bidders' state of domicile, as to the preferences, if any or none, granted by the law of that state to its own business entities whose principal places of business are in that state in the letting of any or all public contracts.

#### PRE-BID CONFERENCE

A conference of intended bidders may be held by the Owner prior to the time for the opening of bids for the purpose of presenting and explaining the policies of the Board. Notification of date and place for conference shall be given by written addenda.

#### PRE-CONSTRUCTION CONFERENCE

A conference shall be held at the job site no later than two weeks following the date of "NOTICE TO PROCEED". The purpose of this conference is to define the duties and responsibilities of the Architect, Owner and Contractor. All forms, procedures, schedules and other pertinent requirements will be discussed.

#### PRE-ROOFING CONFERENCE

A Pre-Roofing Conference is required before any roofing materials are installed. This conference shall be conducted by a representative of the Architect and attended by representatives of the Owner, DCM Inspector, General Contractor, Roofing Contractor, Sheet Metal Contractor, Roof Deck Manufacturer (if applicable), and the Roofing Materials Manufacturer. If equipment of substantial size is to be placed on the roof, the Mechanical Contractor must also attend this meeting.

The Pre-Roofing Conference is intended to clarify demolition (for renovation or re-roofing projects) and application requirements for work to be completed before roofing operations can begin. This would include a detailed review of the shop drawings, submittal data and samples. If conflict exists between the specifications and the Manufacturer's requirements, this shall be resolved. If this Pre-Roofing Conference cannot be satisfactorily concluded without further inspection and investigation by any of the parties present, it shall be reconvened at the earliest possible time to avoid delay of the work. In no case, should the work proceed without inspection of all roof deck areas and substantial agreement on all points.

**The Representative for the Roofing Materials Manufacturer shall bring a copy of the warranty(ies) for the roofing material(s) for comparison to the warranty(ies) specified. This sample warranty is required to be job specific, covering all requirements, per the specifications. If the sample warranty isn't provided as required, the conference will be voided, an inspection fee will be issued, and it will have to be rescheduled.**

The following are to be accomplished during the conference:

1. Review all Factory Mutual and Underwriters Laboratories requirements listed in the specifications and resolve any questions or conflicts that may arise.
2. Establish trade-related job schedules, including the installation of roof-mounted mechanical equipment.
3. Establish roofing schedule and work methods that will prevent roof damage.
4. Require that all roof penetrations and walls be in place prior to installing the roof.
5. Establish those areas on the job site that will be designated as work and storage areas for roofing operations.
6. Establish weather and working temperature conditions to which all parties must agree.
7. Establish acceptable methods of protecting the finished roof if any trades must travel across or work on or above any areas of the finished roof.

The Architect shall prepare a written report indicating actions taken and decisions made at this Pre-Roofing Conference. This report shall be made a part of the project records and copies furnished to the General Contractor, the Owner, The State of Alabama Department of Finance - Division of Construction Management and the DCM Inspector.

**Regardless of whether or not the sample warranty has been submitted to the Architect, a copy of the warranty must be provided to the DCM Inspector by the Manufacturer at this Pre-Roofing Conference.**

#### **PRE-FINISHES CONFERENCE**

If elected by the Architect, a conference shall be held at the job site within two weeks prior to the installation of finishes. All Contractors involved with finish work are required to attend. The purpose of this conference is to discuss finish work, coordination issues, the Owner's and Architect's expectations of quality and workmanship and the position of the Owner and Architect regarding poor quality and workmanship. This conference must be scheduled two weeks in advance of any finish installation.

#### **LIST OF SUBCONTRACTORS AND PRINCIPAL MATERIAL SUPPLIERS**

A copy shall be prepared by the successful Contractor and delivered to Architect within **Twenty-Four (24) hours after bid**. List shall show following information on each Subcontractor and/or Supplier:

- A. Name of Subcontractor and/or Supplier
- B. Complete mailing address
- C. Telephone Number
- D. Person to contact and position in organization
- E. Scope of Work to be performed by Subcontractor and percent of total contract.
- F. For work involving fire alarm systems, General Contractor's must submit a copy of the Fire Alarm contractor's State Fire Marshall's Fire Alarm Permit at the same time as submission of the subcontractor and supplier list to Architect. The architect or engineer shall reject fire alarm contractors who cannot provide a copy of the required permit.

This list may also be emailed to [submittals@lathanassociates.com](mailto:submittals@lathanassociates.com).

#### **PROGRESS SCHEDULES AND CHARTS**

One hard copy prepared by Contractor and delivered to Architect at beginning of job. Five (5) additional copies must be submitted with each monthly request for payment showing actual progress. The schedule shall be in the form of an Analog Bar Chart Schedule of suitable scale to indicate appropriately the percentage of work scheduled for completion at any time. The Contractor shall enter on the Chart his actual progress, preferably at the end of each week, but in any event, at the end of each month, and deliver to the Architect five (5) copies thereof and attach one to his monthly Application for Partial Payment.

#### **CONTRACTOR'S CONSTRUCTION SCHEDULE**

- A. Bar-Chart Schedule: Prepare a fully developed, horizontal bar-chart type Contractor's construction schedule. Submit within 30 days of the date established for "Commencement

of the Work".

1. Provide a separate time bar for each significant construction activity. Provide a continuous vertical line to identify the first working day of each week. Use the same breakdown of units of the work as indicated in the "Schedule of Values".
2. Within each time bar indicate estimated completion percentage in 10 percent increments. As work progresses, place a contrasting mark in each bar to indicate Actual Completion.
3. Prepare the schedule on a sheet, or series of sheets, of stable transparency, or other reproducible media, of sufficient width to show data for the entire construction period.
4. Secure time commitments for performing critical elements of the work from parties involved. Coordinate each element on the schedule with other construction activities; include minor elements involved in the sequence of the work. Show each activity in proper sequence. Indicate graphically sequences necessary for completion of related portions of the work.
5. Coordinate the Contractor's construction schedule with the schedule of values, list of subcontracts, submittal schedule, progress reports, payment requests and other schedules.
6. Indicate completion in advance of the date established for Substantial Completion. Indicate Substantial Completion on the schedule to allow time for the Architect's procedures necessary for certification of Substantial Completion.

B. Work Stages

Indicate important stages of construction for each major portion of the work, including testing and installation.

C. Cost Correlation

At the head of the schedule, provide a two-item cost correlation line, indicating "precalculated" and "actual" costs. On the line show dollar-volume of work performed as of the dates used for preparation of payment requests.

D. Distribution

Following response to the initial submittal, print and distribute copies to the Architect, Owner, subcontractors, and other parties required to comply with scheduled dates. Post copies in the Project meeting room and temporary field office.

When revisions are made, distribute to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the work and are no longer involved in construction activities.

E. Schedule Updating and Progress Photographs

Revise the schedule after each bi-weekly meeting or activity, where revisions have been recognized or made. Issue the copies of updated schedule concurrently with progress photographs and report of each meeting to the Owner and Architect.

**NOTICE OF SALES AND USE TAX EXEMPTION**

The Owner is a tax-exempt agency. Materials incorporated into the Work are exempt from sales and use tax, therefore Contractor shall NOT include sales and use taxes in his Bid. Pursuant to Alabama Act No. 2013-205 (effective 5/9/2013), Contractors bidding the Work shall be required to attach "Accounting of Sales Tax"

Form to their Bid. **FAILURE OF THE CONTRACTOR TO COMPLETE THIS ATTACHMENT TO BID PROPOSAL FORM INDICATING THE SALES TAX AS REQUIRED BY ACT 2013-205, SECTION 1 (g) SHALL RENDER THE BID NON-RESPONSIVE.**

It shall be the responsibility of the successful Contractor and any Subcontractor working under the same contract to apply for a Certificate of Exemption from the Alabama Department of Revenue for this specific project and to comply with all ADOR rules and regulations. The Owner shall not consider claims for additional costs resultant of the Contractor's or its subcontractors' failure to comply with such rules and regulations.

However, the Owner may elect to issue Form ST: PAA1 Purchasing Agent Appointment which appoints the Contractor as Agent to purchase materials Tax-Exempt. In this case, invoices must be transmitted for direct payment by the Owner.

#### DAMAGE TO PROPERTY

A. The Contractor shall be solely responsible for all work of this contract prior to such work achieving official Substantial Completion; and for providing adequate insurance, including project specific Builder's Risk Insurance and Flood Insurance to cover the following:

1. Any damage to or loss of stored materials.
2. Any damage to or loss of in-place work.
3. Any damage to or loss of any portion of on-site or off-site property, existing or new, resulting from failure of or omission of protective measures; or caused by the work of this contract, including but not limited to: property, furnishings, contents or loss of revenue.

The Contractor shall be further responsible for promptly correcting or remedying of any such damage or loss; and shall exercise all reasonable measures to minimize any resulting delays to the project's original completion schedule.

B. Damaged work shall be considered Defective Work.

#### INSPECTIONS

**Scheduling** - The contractor will contact the architect by e-mail at [inspections@lathanassociates.com](mailto:inspections@lathanassociates.com) of the date the project will be ready for an inspection.

- The Architect will schedule the first available date for the inspection. Inspections must be requested minimum 14 days in advance.
- The Architect will send an e-mail confirming the inspection time and date.
- Cancellations of any scheduled inspection must be received in writing by e-mail no less than 48 hours prior to the scheduled inspection. If an inspection is cancelled, it will be rescheduled subject to the Architect's availability.
- If an inspection is cancelled less than 48 hours prior to the scheduled inspection, the re-inspection fee of \$1,500 may be charged to the General Contractor.
- If an inspection is held and the project is not deemed ready for inspection or it does not pass the inspection, a re-inspection fee of \$1,500 may be charged to the General Contractor.

**Minimum Requirements** - The following minimum requirements listed below are provided to aid the contractors and architect in determining if a project is ready for a required inspection.

- Pre-Construction Conference
  - Required Attendees: Contractor, Owner, Architect, Major Subcontractors
  - Inspection Requirements:
    - Signed construction contract
    - Fire Alarm Contractor's Certification (from State Fire Marshal)
    - ADEM permit, if more than 1 acre of land is disturbed
- Pre-Roofing Conference
  - Required Attendees: Contractor, Owner, Architect, Roofing Subcontractor, Roofing Manufacturer's Representative, DCM Inspector
  - Inspection Requirements:
    - Roofing submittals must be approved by the architect prior to Pre-Roofing Conference
    - Roofing manufacturer must provide documentation that roof design and roofing materials meet code requirements for wind uplift and impact resistance
    - Copy of sample roofing warranty
- Life Safety Inspections and Final Inspections
  - Required Attendees: Contractor, Owner, Architect, Engineers, Major Subcontractors, Local Fire Marshal (If required)
  - Inspection Requirements:
    - Fire alarm certification
    - Kitchen hood fire suppression system certification
    - Provide Smoke Machine for testing of Duct Detectors
    - General Contractor's 5-Year Roofing Warranty (DCM Form C-9)
    - Roofing manufacturer's guaranty
    - Above ground and below ground sprinkler certifications
    - Completed Certificate of Structural Engineer's Observations for storm shelters
    - Emergency and exit lighting tests
    - Fire alarm must be monitored
    - Elevator Inspection completed and Certificate of Operation provided by the State of Alabama Department of Labor
    - Boiler/Vessels Inspection completed and Certificate of Operation provided by the State of Alabama Department of Labor
    - Flush test for underground sprinkler lines (witnessed by local fire marshal, fire chief and/or DCM Inspector)
    - Flush/pressure test for new and/or existing fire hydrants
    - Must have clear egress/access and emergency (for first responders) access to building
    - Must have ADA access completed
- Year-End Inspections
  - Required Attendees: Contractor, Owner, Architect, Engineers, and /or Major subcontractors may also be required to attend
  - Inspection Requirements:
    - Owner's list of documented warranty items

## MATERIALS

ALL MATERIALS FOR THIS PROJECT SHALL BE ASBESTOS FREE.

## PROTECTION OF WORK AND PROPERTY

Contractor shall confine his operations to the project work limits of this contract and shall maintain required exit and fire safety requirements as well as Owner's security requirements. Protect adjoining spaces and cause no damage to same; any damage to be immediately repaired.

A. Protection of Work and the Public

Provide adequate protection, in full accordance with local, State and Federal regulations, for the work in progress as well as for the public and others using the site, until the completion of all work.

Provide suitable signs, signals and barricades against trespassing by individual and take whatever steps necessary or required by law to protect workers and public from harm. Protect the work and the public from damage of any kind during all operations. Methods described herein are minimum standards acceptable except where exceeded by Federal, State or local requirements.

B. Safety and Traffic Control Devices During Construction

1. Within the limits of area designated for work under this contract, and any staging or traffic areas, this Contractor shall furnish, install and maintain all safety and traffic control devices during the construction period as described herein, and as required by law.
2. All safety and traffic control devices shall be in compliance with Federal, State and local laws and regulations, and to the requirements and approval of applicable local officials, State Highway Department and the Architect.
3. Wherever the work affects the normal flow of vehicular or pedestrian traffic, traffic control devices shall be in accordance with requirements and standards as set forth in the "Manual on the Uniform Traffic Control Devices for Streets and Highways", latest edition, as published by U.S. Department of Transportation, Federal Highway Administration, and Section "G" of the Alabama Manual on Uniform Traffic Control Devices, Volumes I and II, latest edition.
4. Traffic Control Devices. Traffic control devices shall be installed at the inception of the construction operations and shall be properly maintained during the periods of construction. They shall remain in place only as long as they are needed and shall be removed immediately thereafter.
5. All traffic control devices must be approved by the City, County and by all affected enforcing agencies.
6. Protective Construction Site Barricade
  - a. Requirements: Contractor shall furnish, install and maintain throughout the life of the Contract, all necessary barricades, covers, scaffold guards, warning signs, warning lights, channelization markers and other protective devices, all as required by Owner, local rules, regulations and ordinances, and as necessary to protect the work from trespassing.
  - b. Barricades, enclosing devices and warning lights may be standard rental items of equipment in compliance with these requirements; and shall be of a type that affords security, is quite visible and is easily moved.
  - c. Materials for use in construction of site barricades and other protective devices shall be of new exterior plywood and not less than #2 pine structural lumber, all of good appearance, sound, square, straight, in line, braced and well-constructed. All materials, except those to be walked on, shall be painted.
  - d. Move barricades from one area to the next as the work progresses. Remove

all upon completion.

- e. Lighting on Barricades: Furnish and install traffic warning lights or barricades, in areas of vehicular traffic. Install yellow traffic signal lamps complete with all wiring, switches, disconnects, fusing, sockets, guards and hanging provisions. These lights shall be turned on during all hours of darkness (dusk to dawn). Maintain in service during the construction period; move forward as site of work moves. Remove all upon completion of work.
- f. See also erosion control requirements of Earthwork Section 02300.
- g. **Unauthorized visitors not permitted within working and storage areas.** OSHA approved suitable personal safety devices are to be provided for authorized visitors within working areas. Suitable fire extinguishing equipment, readily accessible from any part of the work, to be provided and maintained. Erect any and all required additional protective barriers, lights, etc., as necessary for safety and protection. Keep area of work closed off when not in use.

**C. Utilities**

- 1. The Contractor shall pay for electricity and water usage required for normal construction purposes.
- 2. Other utility bills caused by work of the contract are to be paid by Contractor as outlined in the SUMMARY OF THE WORK. Contractor to provide own telephone, temporary heat and pay costs for same. Contractor to pay for any sewer impact fee as related to this project. All project related sanitary conditions are the responsibility of the Contractor.
- 3. Contractor must investigate and verify the existence and location of all site utilities in the field before starting work. Flag on site all underground service lines in the construction area. Notify the Architect of any condition which, in the Contractor's opinion, may interfere with the completion of work as designated. Excavating in the vicinity of existing utilities shall be done carefully and by hand. Maintain and protect existing utilities.
- 4. The Contractor is responsible for all temporary utility connections to utilities.

**D. Protection of Materials**

Properly and effectively protect all materials and equipment, before, during and after their installation. Contractor will be allowed to store materials, equipment, etc., on the site. Security of the area(s) will be the sole responsibility of the Contractor. **Protect materials such as insulation and insulated duct from rain exposure.**

**E. Watchman**

The Contractor, at his own expense and option, may employ a watchman at such time as he deems necessary to protect his work and/or materials.

**DAMAGE TO PROPERTY**

The contractor will be responsible for, and insure against, any damage to property, furnishings, and/or loss of revenue resulting from any damage to any part of the existing property caused by the work of this Contract.

**SPECIAL SAFETY REQUIREMENTS**

All exitways shall be maintained free and clear of all stored materials, debris, etc.

No combustible construction materials shall be stored in the Project area after the day's work is complete. Remove any potentially hazardous materials immediately to prevent any fire hazards which may result from the construction of this Project. In addition, precautions shall be made by the General Contractor to prevent any other activities at the site which may constitute a fire hazard.

In addition to any portable fire extinguishers existing in the building, the General Contractor shall provide additional fire extinguishers during the construction as required.

Refer to the General Conditions for additional safety requirements.

#### USE OF PREMISES, SANITARY PROVISIONS

Refer to SECTION 01035, SPECIAL PROJECT PROCEDURES, for use of premises, sanitary provisions which are specifically related to this project. Note that sanitary conditions are the responsibility of the Contractor.

All personnel required on the job site must at all times be in possession of **state issued** photo identification subject to examination by Owner or their representative. Other security or evacuation requirements may also be in place and is the responsibility of the General Contractor to abide by all school rules.

#### USE OF OCCUPIED PREMISES

During execution of this Contract, clear passages must be maintained as described along corridors. Owner will endeavor to keep personnel and visitors from work areas, but it will be the Contractor's responsibility to enforce all safety precautions.

#### CUTTING AND PATCHING

All excavation and cutting of new work to accomplish the work shall be by the respective trades. It is to be noted that Divisions 15 and 16 each are required to perform the necessary cutting of floors, walls, ceilings as necessary to install the work of their trade, all under the direct supervision of the General Contractor and in accordance with the construction schedule. The General Contractor is responsible for the repair, replacement and finish of pavement, roofs, floors, walls and ceiling (all finish work); and same shall be accomplished by competent workmen and finish up in a neat manner, by craftsmen skilled in their work, all to be equal in quality and appearance of adjacent work. Finished installation shall comply with specified tolerances and finishes. The Contractor shall not cut, excavate, or otherwise alter any work in a manner or by a method or methods that will endanger the work, adjacent property, workmen, the public or the work of any other Contractor.

In acceptance or rejection of the work of the Sections involved in the application of finish materials, the Architect will make no allowance for lack of skill on the part of the workmen.

When necessary to cut, or alter completed work to accommodate subsequent work, the Contractor performing the work previously in place shall do such cutting and repairing.

Cost of cutting and repairs necessitated by fault of negligence, or for other reasons, shall be borne by the Contractor at fault in requiring such work.

If a Contractor or Subcontractor fails to do necessary cutting or fails to have restored any work of others damaged by him, for a period of time causing delay in project construction, the Owner may do so and cost thereof shall be charged to the General Contractor.

Cutting of structural members will not be permitted.

**FIRE INTEGRITY OF CONSTRUCTION** shall be maintained whenever components of rated assemblies are penetrated, jointed, cracked or compromised in any way either intentionally or unintentionally; including, but not limited to: walls, floors, ceilings and caps. Rated walls shall extend and key to floor, cap assembly or roof deck above using consistent materials.

Openings for "poke-through" pipe, conduit, etc., penetrations shall be of minimum size in accordance with UL published requirements for maintaining integrity of rated construction and fire sealed properly. Mortar or concrete in contact with copper will not be accepted. Expansive spray foam fill which is combustible shall not be allowed.

Opening shall be sealed full thickness of penetration, (i.e., grout solid up to within one (1) inch of finish surface then seal with rated sealant material). Any and all pipe and conduit penetrations of a finished wall, floor or ceiling materials shall be finished out with an approved escutcheon plate. Any penetration of rated walls or ceilings by mechanical ductwork shall be protected by use of rated fire damper system at point of penetration. Provide for collars as required at point of penetration through rated construction. Contractor shall provide fire integrity sign on rated wall construction (above ceiling) lines in accordance with the building code, and as outlined in PAINTING - SECTION 09910.

If specified under FIRESTOP CAULKING AND SEALING - SECTION 07840, fire caulking and sealing shall be **single source** provided using same approved materials and certified technicians throughout the project. All applicable trades shall coordinate accordingly and make their work ready to properly receive fire sealant. If fire sealing is not specified under a separate section, then all applicable trades shall fire seal their own work using the same mutually agreed upon fire sealing materials consistently throughout the project installed by manufacturer's certified technician(s). Acceptable fire sealing materials include, but are not limited to: Dow-Corning, 3-M Brand, Tremco meeting ASTM 3-119, ASTM 3-814 and mineral wool fiber safing.

#### USE AND OCCUPANCY PRIOR TO ACCEPTANCE BY OWNER

- A. Contractor agrees to permit Owner to use and occupy portions of building or Project before formal acceptance by Owner, provided that Owner:
  1. Secures written consent of Contractor (except in event that in the opinion of Architect, Contractor is chargeable with unwarranted delay in final completion of contract requirements).
  2. Secures endorsement from insurance carrier and consent of the surety, permitting occupancy and use of portions of project during remaining period of construction.
- B. Use and occupancy prior to formal acceptance shall not relieve Contractor of his responsibility to maintain insurance coverage, as called for in specifications, for benefit of Owner, Owner's Agent, Contractor, and all Subcontractors until Project is completed and accepted by Owner. However, use and occupancy of any area by the Owner prior to project completion shall mean partial acceptance of that area and any equipment within that area used by the Owner, thereby requiring a substantial completion agreement between the Owner and the Contractor for said area and equipment.

#### PROJECT SIGN

- A. The General Contractor will erect a sign at the project site identifying the project. Wording for sign to be provided by the Owner through the Architect. Sign to be constructed of 3/4" x 4' x 8' exterior grade plywood with treated wood trim surround, mounted on two (2) 4" x 4" x 8'-0" treated wood posts, bottom of sign to be 3'-0" above finish grade. Sign painted with two coats best exterior grade alkyd paint before letters and graphics are painted on. Option: In lieu of painted lettering on plywood, a corrugated plastic sign (displaying the same lettering, layout and colors as above) may be secured directly to the unpainted exterior grade plywood.
- B. Sign shall be single sided.
- C. Location of sign to be coordinated with Architect and Owner and placed in a prominent location easily readable from existing street or roadway. Sign to be maintained in good

condition until completion of Project. No other signs will be allowed on Project Site without the written approval of the Owner, issued through the Architect.

D. See DCM Form C-15 for PSCA Projects.

**GEOTECHNICAL INVESTIGATION ENTITLED:**

**"PROPOSED MULT-PURPOSE FACILITY GEOTECHNICAL ENGINEERING REPORT"**  
**PROJECT NO. E1245097**

was prepared by: Terracon Consultants, Inc. - 2147 Riverchase Office Road, Birmingham, AL 35244.

The General Contractor and Subcontractors are responsible for familiarizing themselves with geotechnical information, for visiting the site, ascertaining the conditions thereof, and conditions under which work is to be done. The General Contractor shall include in their bid the cost of meeting the requirements and conditions of the geotechnical investigation.

**A copy of this report immediately follows this Section.**

**END OF SECTION**

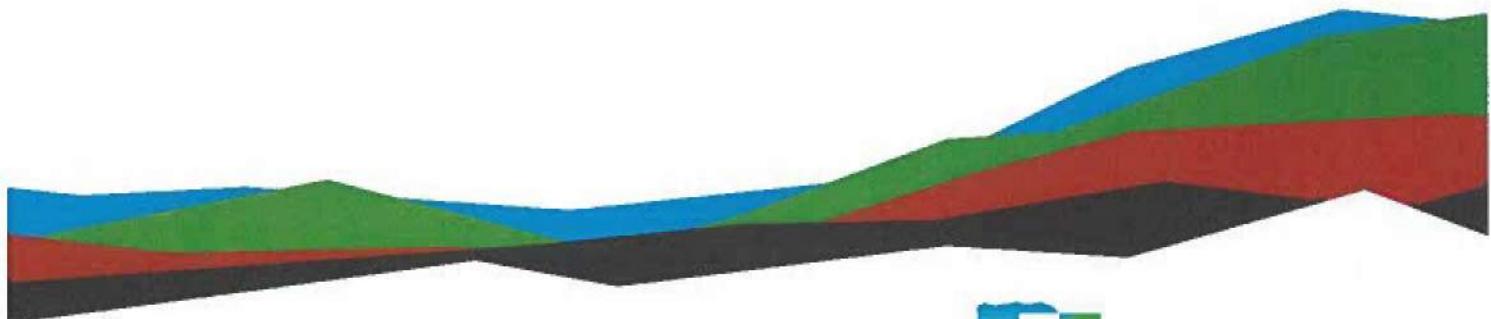
# Proposed Multi-Purpose Facility

## Geotechnical Engineering Report

July 17, 2024 | Terracon Project No. E1245097

### Prepared for:

City of Winfield  
111 Bankhead Highway  
Winfield, AL 35594



Nationwide  
[Terracon.com](http://Terracon.com)

- Facilities
- Environmental
- Geotechnical
- Materials



2147 Riverchase Office Road  
Birmingham, Alabama 35244  
P (205) 942-1289  
[Terracon.com](http://Terracon.com)

July 17, 2024

City of Winfield  
111 Bankhead Highway  
Winfield, AL 35594

Attn: Mr. Randy Price, Mayor

Re: Geotechnical Engineering Report  
Proposed Multi-Purpose Facility  
Winfield, Alabama  
Terracon Project No. E1245097

Dear Mayor Price:

We have completed the scope of Geotechnical Engineering services for the above referenced project in general accordance with our Proposal No. PE1245097 dated May 09, 2024. This report presents the findings of the subsurface exploration and provides geotechnical recommendations concerning earthwork and the design and construction of foundations, floor slabs, and pavements for the proposed project.

We appreciate the opportunity to be of service to you on this project. If you have any questions concerning this report or if we may be of further service, please contact us.

Sincerely,

**Terracon**



Bryan C. Ritenour  
Senior Engineer

A handwritten signature in blue ink that reads "Matthew S. McCullough". A horizontal line is drawn under the signature.

Matthew S. McCullough, P.E.  
Manager, Geotechnical Department

## Table of Contents

<b>Introduction.....</b>	<b>1</b>
<b>Project Description.....</b>	<b>1</b>
<b>Site Conditions .....</b>	<b>2</b>
<b>Geotechnical Characterization .....</b>	<b>3</b>
<b>Seismic Site Class.....</b>	<b>4</b>
<b>Geotechnical Overview .....</b>	<b>4</b>
<b>Earthwork .....</b>	<b>6</b>
Site Preparation.....	7
Subgrade Preparation .....	7
Excavation.....	8
Soil Stabilization In Pavement Areas.....	8
Fill Material Types.....	9
Fill Placement and Compaction Requirements .....	10
Utility Trench Backfill .....	10
Grading and Drainage.....	11
Earthwork Construction Considerations .....	11
Construction Observation and Testing .....	12
<b>Shallow Foundations .....</b>	<b>12</b>
Design Parameters – Compressive Loads .....	13
Design Parameters – Overturning and Uplift Loads .....	14
Foundation Construction Considerations .....	14
<b>Floor Slabs .....</b>	<b>15</b>
Floor Slab Design Parameters .....	15
Floor Slab Construction Considerations.....	16
<b>Pavements .....</b>	<b>17</b>
General Pavement Comments .....	17
Pavement Design Parameters .....	17
Pavement Section Thicknesses .....	17
Pavement Drainage.....	19
Pavement Maintenance .....	19
<b>General Comments .....</b>	<b>20</b>

## Figures

GeoModel

## Attachments

- Exploration and Testing Procedures**
- Site Location and Exploration Plans**
- Exploration and Laboratory Results**
- Supporting Information**

**Note:** This report was originally delivered in a web-based format. **Blue Bold** text in the report indicates a referenced section heading. The PDF version also includes hyperlinks which direct the reader to that section and clicking on the  Terracon logo will bring you back to this page. For more interactive features, please view your project online at [client.terracon.com](http://client.terracon.com).

Refer to each individual Attachment for a listing of contents

## Introduction

This report presents the results of our subsurface exploration and Geotechnical Engineering services performed for the proposed Multi-Purpose Facility in Winfield, Alabama. The purpose of these services was to provide information and geotechnical engineering recommendations relative to:

- Subsurface soil conditions
- Groundwater conditions
- Seismic site classification per IBC
- Site preparation and earthwork
- Pavement considerations
- Foundation design and construction
- Floor slab design and construction
- Demolition Considerations

The geotechnical engineering Scope of Services for this project included the advancement of 9 primary borings to depths ranging from 4 to 20 feet below the existing site grade. Offset borings were drilled adjacent to each of the primary boring locations B-7, B-8, and B-9 to verify shallow auger refusal.

Drawings showing the site and boring locations are shown on the [Site Location](#) and [Exploration Plan](#), respectively. The results of the laboratory testing performed on soil samples obtained from the site during our field exploration are included on the boring logs in the [Exploration Results](#) section.

## Project Description

Our initial understanding of the project was provided in our proposal and was discussed during project planning. A period of collaboration has transpired since the project was initiated, and our final understanding of the project conditions is as follows:

Item	Description
<b>Information Provided</b>	A Conceptual Plan was provided during the proposal and field exploration phase. A Grading Plan was provided by Lathan Architects via email on July 15, 2024.
<b>Project Description</b>	The project will consist of a new Multi-Purpose Facility for the City of Winfield.
<b>Proposed Structure</b>	We understand the structure will be one-story gymnasium type structure . The planned building will be about 23,000 SF and will

Item	Description
	house playing courts, offices, storage, concessions, restrooms, and mechanical rooms.
<b>Building Construction</b>	Anticipated steel frame and masonry with concrete footings and interior slab-on-grade
<b>Finished Floor Elevation</b>	El. 500
<b>Maximum Loads</b>	<ul style="list-style-type: none"><li>■ Columns: 200 - 300 kips (assumed)</li><li>■ Walls: 4-6 kips per linear foot (klf) (assumed)</li><li>■ Floor Slabs: 100 pounds per square foot (psf) (assumed)</li></ul>
<b>Grading/Slopes</b>	The furnished Grading Plan indicates cuts and fills up to about 5 feet will be required.
<b>Below-Grade Structures</b>	None
<b>Free-Standing Retaining Walls</b>	None apparent on the provided plans
<b>Pavements</b>	Initially, we understood that no new pavements were planned. However, the recently furnished Grading Plan shows a new parking lot on the west side of the new building. We assume both flexible (asphalt) and rigid (PCC) pavement sections could be considered.

Terracon should be notified if any of the above information is inconsistent with the planned construction, especially the grading limits, as modifications to our recommendations may be necessary.

## Site Conditions

The following description of site conditions is derived from our site visit in association with the field exploration and our review of publicly available geologic and topographic maps.

Item	Description
<b>Parcel Information</b>	The project site is in the southwest quadrant of the Community Street and Berkley Drive intersection in Winfield, Alabama. Latitude/Longitude (approximate) 33.9377° N, 87.8113° W (See Exhibit D)

Item	Description
<b>Existing Improvements</b>	The site was a former recreation facility that included a clubhouse and an outdoor swimming pool. Based on aerial photography, the pool was abandoned and backfilled in roughly 2011.
<b>Current Ground Cover</b>	Asphalt, grass, bare ground, existing one-story structure.
<b>Existing Topography</b>	The furnished Grading Plan indicates existing site grades slope down from west to east from about El 515 to El 488.
<b>Local Geology</b>	Published geologic maps indicate the site is underlain by Alluvial, Coastal, and Terrace Deposits that typically consist of varicolored fine to coarse quartz sand containing clay lenses and gravel in places.

## Geotechnical Characterization

We have developed a general characterization of the subsurface conditions based upon our review of the subsurface exploration, laboratory data, geologic setting and our understanding of the project. This characterization, termed GeoModel, forms the basis of our geotechnical calculations and evaluation of the site. Conditions observed at each exploration point are indicated on the individual logs. The individual logs can be found in the **Exploration Results** and the GeoModel can be found in the **Figures** attachment of this report.

As part of our analyses, we identified the following model layers within the subsurface profile. For a more detailed view of the model layer depths at each boring location, refer to the GeoModel.

Model Layer	Layer Name	General Description
1	<b>Ground Cover</b>	Topsoil ranging in thickness from about 2 to 4 inches, except at borings B-5 and B-6 which was on bare ground.
2	<b>Existing Fill</b>	Typically silty sand with varying amounts of rounded gravel
3	<b>Native Clays</b>	Typically, dark gray or brownish yellow, varying amounts of sand, medium stiff to very stiff except soft in the upper 3 feet at boring B-1
4	<b>Native Sands</b>	Clayey Sand (SC) or Sand (SP), light gray to brownish yellow, medium dense to dense

The borings were advanced using a solid stem auger drilling technique that allows short term groundwater observations to be made while drilling. The groundwater observation recordings are shown on the individual boring logs. Groundwater was observed in boring B-6 at a depth of about 5 feet during drilling. Groundwater was not observed during in any of the other borings. Groundwater conditions may be different at the time of construction. Groundwater conditions may change because of seasonal variations in rainfall, runoff, and other conditions not apparent at the time of drilling. Long-term groundwater monitoring was outside the scope of services for this project.

## Seismic Site Class

The seismic design requirements for buildings and other structures are based on Seismic Design Category. Site Classification is required to determine the Seismic Design Category for a structure. The Site Classification is based on the upper 100 feet of the site profile defined by a weighted average value of either shear wave velocity, standard penetration resistance, or undrained shear strength in accordance with Section 20.4 of ASCE 7 and the International Building Code (IBC). Based on the soil/bedrock properties observed at the site and as described on the exploration logs and results, our professional opinion is for that a **Seismic Site Classification of D** be considered for the project. Subsurface explorations at this site were extended to a maximum depth of 20 feet. The site properties below the boring depth to 100 feet were estimated based on our experience and knowledge of geologic conditions of the general area. Additional deeper borings or geophysical testing may be performed to confirm the conditions below the current boring depth.

## Geotechnical Overview

The site can be made suitable for the proposed construction based upon geotechnical conditions encountered in the test borings, provided that the recommendations provided in this report are implemented in the design and construction phases of this project.

The borings initially penetrated a ground cover of topsoil ranging in thickness from about 2 to 4 inches. Beneath the topsoil, borings B-2, B-5, B-6, B-7, B-8, and B-9 encountered existing fill material consisting of silty sand with varying gravel content. N-values recorded in the existing fill ranged from 5 to over 50 blows per foot. Borings B-2, B-5, B-6 penetrated the existing fill at depth of about 3 to 5 feet below the ground surface. Borings B-7, B-8, B-9, and each offset boring near those locations met auger refusal at a depths of 4 to 5 feet below the ground surface. It is likely that borings B-7, B-8, B-9, and each offset boring met auger refusal on the concrete swimming pool bottom and/or debris placed in the pool during backfilling.

Native soils were encountered beneath the existing fill at borings B-2, B-5, B-6 and beneath the topsoil layer at borings B-1, B-3, and B-4. The native soils consist of either dark gray or brownish yellow Lean Clay (CL), Clayey Sand (SC), or poorly graded Sand (SP) with varying amounts of rounded gravel. The native clay soils were typically stiff to very stiff, except for the upper 3 feet at boring B-1, which was soft. The native sandy soils were typically medium dense to dense. Borings B-1 through B-6 were terminated in the native soils at a depth of 20 feet.

The building may be supported on a conventional shallow foundation system bearing on medium stiff to very stiff native clays, medium dense to dense native sands, approved existing fill lying outside the limits of the old swimming pool, or new engineered fill. We recommend that all existing fill that was used to backfill the old swimming pool be removed. In addition, any remnants of the pool (i.e., floor, walls, piping, etc.) should be removed. Also, any soft and unstable native soils, such as the soft upper 3 feet at boring B-1, should be removed. The excavation made to remove the pool structure and soft native soils should be backfilled with engineered fill. Any undercut required along the perimeter of the proposed building should extend at least 5 feet beyond the building's perimeter. Based on Google Earth aerial imagery, the approximate limits of the old swimming pool is shown outlined in blue on the image below. Also, the City of Winfield may have records related to the depth of the old pool. The undercut and replacement is further discussed in the **Earthwork** section of this report.



Removing the existing fill soils from the old pool area will not remove all of the existing fill. Based on the borings, the existing fill outside the limits of the swimming pool appears to have been placed with compactive effort. However, even with the recommended construction procedures, an inherent risk remains for the owner that compressible fill or unsuitable material, within or buried by the fill outside the pool limits, will not be discovered. This risk of unforeseen conditions cannot be eliminated without completely removing the existing fill but can be reduced by following the recommendations contained in this report. Burn piles, burial pits, lower-consistency / lower relative-density fill soils or fill soils could exist between or away from our borings. Any such debris fill or lower-consistency /lower relative density fill soils exposed at the finish subgrade will require removal and replacement with new structural fill.

To take advantage of the cost benefit of not removing the undocumented fill beyond the swimming pool limits, the owner must be willing to accept the risk of cosmetic cracking. Should this risk be acceptable, the building foundations and floor slabs can be supported above the fill outside the swimming pool limits.

Shallow foundation design and construction recommendations are provided in the **Shallow Foundations** section.

The existing native sandy soils may become unstable with typical earthwork and construction traffic, especially after precipitation events. The effective drainage should be completed early in the construction sequence and maintained after construction to avoid potential issues. If possible, the grading should be performed during the warmer and drier times of the year. If grading is performed during the winter months, an increased risk for possible undercutting and replacement of unstable subgrade will persist. Additional site preparation recommendations, including subgrade improvement and fill placement, are provided in the **Earthwork** section.

The recommendations contained in this report are based upon the results of field and laboratory testing (presented in the **Exploration Results**), engineering analyses, and our current understanding of the proposed project. The **General Comments** section provides an understanding of the report limitations.

## Earthwork

Earthwork is anticipated to include excavations and engineered fill placement. The following sections provide recommendations for use in the preparation of specifications for the work. Recommendations include critical quality criteria, as necessary, to render the site in the state considered in our geotechnical engineering evaluation for foundations, floor slabs, and pavements.

## Site Preparation

Prior to grading operations, undercutting, and fill placement, removal of any deleterious materials should be performed in the proposed building and parking/driveway areas.

Any existing utilities present that will not serve the proposed development should be removed. Soft or loose soils are commonly encountered within existing utility trenches. If existing utilities are to be removed or rerouted from the site, all soft or loose soil should be removed, and the trenches should be properly backfilled with new structural fill.

Demolition of the structures, foundations, sewer/septic systems, and utilities could result in significant subgrade disturbance and the need for remedial undercutting of disturbed soils. Soils encountered beneath existing slabs, pavements, or hardscapes may be wet and could require additional scarifying, moisture conditioning and re-compaction.

## Subgrade Preparation

We recommend that all existing fill that was used to backfill the old swimming pool be removed. In addition, any remnants of the pool (i.e., floor, walls, piping, etc.) should be removed. Also, any soft and unstable native soils, such as the soft upper 3 feet at boring B-1, or other unstable existing fill should be removed. The excavation made to remove the pool structure and soft native soils should be backfilled with engineered fill. Any undercut required along the perimeter of the proposed building should extend at least 5 feet beyond the building's perimeter.

After demolition, stripping of topsoil, and undercutting the existing fill within the old swimming pool, the exposed subgrades should be densified using a heavy vibratory sheepfoot roller. After densification/compaction, the subgrade should be proofrolled with an adequately loaded vehicle such as a fully-loaded tandem-axle dump truck. The proofrolling should be performed under the observation of the Geotechnical Engineer or representative. Areas excessively deflecting under the proofroll should be delineated and subsequently addressed by the Geotechnical Engineer. Such areas should either be removed, further densified in place, or stabilized by other methods discussed in the **Soil Stabilization** section. Excessively wet or dry material should either be removed or moisture conditioned and recompacted. Compacted structural fill soils should then be placed to the proposed design grade and the moisture content and compaction of subgrade soils should be maintained until foundation or pavement construction.

The existing fill or native clayey soils could become unstable with typical earthwork and construction traffic, especially after precipitation events. The effective drainage should be completed early in the construction sequence and maintained after construction to avoid potential issues. If possible, the grading should be performed during the warmer

and drier times of the year. If grading is performed during the winter months, an increased risk for possible undercutting and replacement of unstable subgrade will persist.

Depending upon site and weather conditions at the time of earthwork operations, we caution that construction delays could be incurred during undercutting and replacement. Contractors should be prepared to dewater undercut excavations and the exposed subgrade. It may be possible to dewater excavations by pumping from one or more temporary sumps.

## Excavation

We anticipate that excavations for the proposed construction can be accomplished with light to medium duty earthmoving equipment. However, concrete remnants of the old pool may require a large excavator or jack hammering. The bottom of excavations should be thoroughly cleaned of loose soils and disturbed materials prior to backfill placement and/or construction.

## Soil Stabilization In Pavement Areas

Unstable subgrades may develop in areas subjected to repetitive construction traffic or if earthwork is performed during the wetter and cooler periods of the year. Methods of subgrade improvement in paved areas, as described below, could include scarification, moisture conditioning and recompaction or removal of unstable materials. The appropriate method of improvement, if required, would be dependent on factors such as schedule, weather, the size of area to be stabilized, and the nature of the instability. More detailed recommendations can be provided during construction as the need for subgrade stabilization occurs. Performing site grading operations during warm seasons and dry periods would help reduce the amount of subgrade stabilization required.

If the exposed subgrade is unstable during proofrolling operations, it could be stabilized using one of the methods outlined below.

- **Scarification and Recompaction** - It may be feasible to scarify, dry, and recompact the exposed soils. The success of this procedure would depend primarily upon favorable weather and sufficient time to dry the soils. Stable subgrades likely would not be achievable if the thickness of the unstable soil is greater than about 1 foot, if the unstable soil is at or near groundwater levels, or if construction is performed during a period of wet or cool weather when drying is difficult.
- **Crushed Stone** - The use of crushed stone or crushed gravel combined with the use of high-modulus geotextiles (i.e. engineering fabric or geogrid) is a common procedure to improve subgrade stability. Typical undercut depths would be expected to range from about 12 to 18 inches below finished subgrade elevation

in the pavement areas. Prior to placing the fabric or geogrid, we recommend that all below grade construction, such as utility line installation, be completed to avoid damaging the fabric or geogrid. Equipment should not be operated above the fabric or geogrid until one full lift of crushed stone fill is placed above it. The maximum particle size of granular material placed over geotextile fabric or geogrid should not exceed 1-1/2 inches.

Further evaluation of the need and recommendations for subgrade stabilization can be provided during construction as the geotechnical conditions are exposed.

## Fill Material Types

Fill required to achieve design grade should be classified as structural fill. Structural fill is material used below, or within 10 feet of structures, pavements or constructed slopes.

**Reuse of On-Site Soil:** Excavated on-site soil of low plasticity and not organic or debris laden may be selectively reused as fill in building and paved areas. However, significant drying of the on-site soils will likely be required to achieve proper compaction. Material property requirements for on-site soil for use as structural fill are noted in the table below:

Property	Structural Fill
Composition	Free of deleterious material
Maximum particle size	4 inches
Fines content	Not limited
Plasticity	Liquid Limit less than 50 And Plasticity index less than 25
GeoModel Layers Expected to be Suitable <sup>1</sup>	2, 3 and 4

1. Based on subsurface exploration

**Imported Fill Materials:** Imported fill materials should meet the following material property requirements. Regardless of its source, compacted fill should consist of approved materials that are free of organic matter and debris. Frozen material should not be used, and fill should not be placed on a frozen subgrade.

Soil Type <sup>1, 2</sup>	USCS Classification	Acceptable Parameters (for Structural Fill)
Low Plasticity Cohesive	CL, CL-ML ML, SM, SC	Liquid Limit less than 50 And Plasticity index less than 25

Soil Type <sup>1, 2</sup>	USCS Classification	Acceptable Parameters (for Structural Fill)
Granular	GW, GP, GM, GC, SW, SP, SM, SC	Less than 50% passing No. 200 sieve

1. Structural fill should consist of approved materials free of organic matter and debris. Frozen material should not be used, and fill should not be placed on a frozen subgrade. A sample of each material type should be submitted to the Geotechnical Engineer for evaluation prior to use on this site. Additional geotechnical consultation should be provided prior to use of uniformly graded gravel on the site.
2. Open graded crushed stone (ALDOT #57, etc.) should not be used to backfill the excavation made to remove the existing fill from the old swimming pool area.

## Fill Placement and Compaction Requirements

Structural fill should meet the following compaction requirements.

Item	Structural Fill
<b>Maximum Lift Thickness</b>	8 inches or less in loose thickness when heavy, self-propelled compaction equipment is used
<b>Minimum Compaction Requirements <sup>1</sup></b>	4 to 6 inches in loose thickness when hand-guided equipment (i.e. jumping jack or plate compactor) is used
<b>Water Content Range <sup>1</sup></b>	98% of max.
	Low plasticity cohesive: -2% to +2% of optimum Granular: -3% to +3% of optimum

1. Maximum density and optimum water content as determined by the standard Proctor test (ASTM D 698).

## Utility Trench Backfill

Any soft or unsuitable materials encountered at the bottom of utility trench excavations should be removed and replaced with structural fill or bedding material in accordance with public works specifications for the utility be supported. This recommendation is particularly applicable to utility work requiring grade control and/or in areas where subsequent grade raising could cause settlement in the subgrade supporting the utility. Trench excavation should not be conducted below a downward 1:1 projection from existing foundations without engineering review of shoring requirements and geotechnical observation during construction.

On-site materials are considered suitable for backfill of utility and pipe trenches, provided the material is free of organic matter and deleterious substances. However, material used as trench backfill should comply with the pipe manufacturer or governing municipality's requirements.

Trench backfill should be mechanically placed and compacted as discussed earlier in this report. Compaction of initial lifts should be accomplished with hand-operated tampers or other lightweight compactors. Where trenches are placed beneath slabs, footings, or pavements, the backfill should satisfy the gradation requirements of engineered fill discussed in this report. Flooding or jetting for placement and compaction of backfill is not recommended.

## Grading and Drainage

All grades must provide effective drainage away from the building during and after construction and should be maintained throughout the life of the structure. Water retained next to the building can result in soil movements greater than those discussed in this report. Greater movements can result in unacceptable differential floor slab and/or foundation movements, cracked slabs and walls, and roof leaks. In areas where hardscapes and/or paving do not abut against the structure, the roof should have gutters/drains with downspouts that discharge onto splash blocks at a distance of at least 10 feet from the building.

Exposed ground should be sloped and maintained at a minimum 5% away from the building for at least 10 feet beyond the perimeter of the building. Locally, flatter grades may be necessary to transition ADA access requirements for flatwork. After building construction and landscaping have been completed, final grades should be verified to document effective drainage has been achieved. Grades around the structure should also be periodically inspected and adjusted, as necessary, as part of the structure's maintenance program. Where paving or flatwork abuts the structure, a maintenance program should be established to effectively seal and maintain joints and prevent surface water infiltration.

## Earthwork Construction Considerations

Shallow excavations for the proposed structure are anticipated to be accomplished with conventional construction equipment. Upon completion of filling and grading, care should be taken to maintain the subgrade water content prior to construction of grade-supported improvements such as floor slabs and pavements. Construction traffic over the completed subgrades should be avoided. The site should also be graded to prevent ponding of surface water on the prepared subgrades or in excavations. Water collecting over or adjacent to construction areas should be removed. If the subgrade freezes, desiccates, saturates, or is disturbed, the affected material should be removed, or the

materials should be scarified, moisture conditioned, and recompacted prior to floor slab construction.

As a minimum, excavations should be performed in accordance with OSHA 29 CFR, Part 1926, Subpart P, "Excavations" and its appendices, and in accordance with any applicable local and/or state regulations.

Construction site safety is the sole responsibility of the contractor who controls the means, methods, and sequencing of construction operations. Under no circumstances shall the information provided herein be interpreted to mean Terracon is assuming responsibility for construction site safety or the contractor's activities; such responsibility shall neither be implied nor inferred.

### Construction Observation and Testing

The earthwork efforts should be observed by the Geotechnical Engineer (or others under their direction). Observation should include documentation of adequate removal of surficial materials (vegetation, topsoil, and pavements), evaluation and remediation of existing fill materials, as well as proofrolling and mitigation of unsuitable areas delineated by the proofroll.

Each lift of compacted fill should be tested, evaluated, and reworked, as necessary, as recommended by the Geotechnical Engineer prior to placement of additional lifts. Each lift of fill should be tested for density and water content at a frequency of at least one test for every 2,500 square feet of compacted fill in the building areas and 5,000 square feet in pavement areas. Where not specified by local ordinance, one density and water content test should be performed for every 50 linear feet of compacted utility trench backfill and a minimum of one test performed for every 12 vertical inches of compacted backfill.

In areas of foundation excavations, the bearing subgrade should be evaluated by the Geotechnical Engineer. If unanticipated conditions are observed, the Geotechnical Engineer should prescribe mitigation options.

## Shallow Foundations

If the site has been prepared in accordance with the requirements noted in **Earthwork**, the following design parameters are applicable for shallow foundations.

## Design Parameters – Compressive Loads

Item	Description
<b>Maximum Net Allowable Bearing Pressure <sup>1, 2</sup></b>	Building: 2,000 psf
<b>Required Bearing Stratum <sup>3</sup></b>	New structural fill, approved existing fill located beyond the limits of the old pool, medium stiff or better native clays, or medium dense, or better, native sands.
<b>Minimum Foundation Dimensions</b>	Per IBC 1809.7
<b>Ultimate Passive Resistance<sup>4</sup> (equivalent fluid pressures)</b>	330pcf (cohesive backfill) 460pcf (crushed stone)
<b>Sliding Resistance<sup>5</sup></b>	0.30 ultimate coefficient of friction
<b>Minimum Embedment below Finished Grade <sup>6</sup></b>	18 inches
<b>Estimated Total Settlement from Structural Loads <sup>2</sup></b>	Less than about 1 inch
<b>Estimated Differential Settlement <sup>2, 7</sup></b>	About 1/2 of total settlement

1. The maximum net allowable bearing pressure is the pressure in excess of the minimum surrounding overburden pressure at the footing base elevation. Values assume that exterior grades are no steeper than 20% within 10 feet of structure.
2. Values provided are for maximum loads noted in **Project Description**. Additional geotechnical consultation will be necessary if higher loads are anticipated.
3. The existing fill within the limits of the old pool and soft native soils should be undercut and replaced per the recommendations presented in **Earthwork**.
4. Use of passive earth pressures require the sides of the excavation for the spread footing foundation to be nearly vertical and the concrete placed neat against these vertical faces or that the footing forms be removed and compacted structural fill be placed against the vertical footing face. Assumes no hydrostatic pressure. Apply a factor of safety of at least 1.5 when designing for lateral force resistance.
5. Can be used to compute sliding resistance where foundations are placed on suitable soil/materials. Frictional resistance for granular materials is dependent on the bearing pressure which may vary due to load combinations.
6. Embedment necessary to minimize the effects of frost and/or seasonal water content variations. For sloping ground, maintain depth below the lowest adjacent exterior grade within 5 horizontal feet of the structure.
7. Differential settlements are noted for equivalent-loaded foundations and bearing elevation as measured over a span of 50 feet.

## Design Parameters – Overturning and Uplift Loads

Shallow foundations subjected to overturning loads should be proportioned such that the resultant eccentricity is maintained in the center-third of the foundation (e.g.,  $e < b/6$ , where  $b$  is the foundation width). This requirement is intended to keep the entire foundation area in compression during the extreme lateral/overturning load event. Foundation oversizing may be required to satisfy this condition.

Uplift resistance of spread footings can be developed from the effective weight of the footing and the overlying soils with consideration to the IBC basic load combinations.

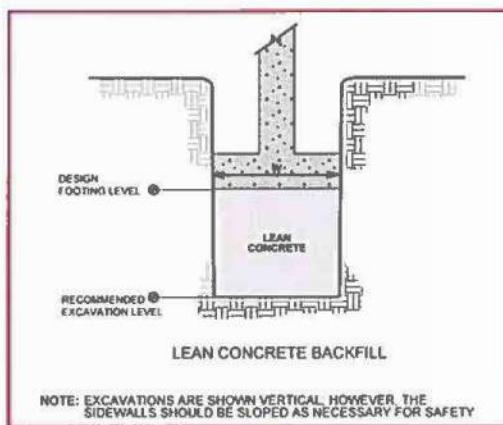
Item	Description
<b>Soil Moist Unit Weight</b>	120 pcf
<b>Soil Effective Unit Weight<sup>1</sup></b>	60 pcf
<b>Soil weight included in uplift resistance</b>	Soil included within the prism extending up from the top perimeter of the footing at an angle of 20 degrees from vertical to ground surface
1. Effective (or buoyant) unit weight should be used for soil above the foundation level and below a water level. The high groundwater level should be used in uplift design as applicable.	

## Foundation Construction Considerations

As noted in **Earthwork**, the footing excavations should be evaluated under the observation of the Geotechnical Engineer. The base of all foundation excavations should be free of water and loose soil, prior to placing concrete. Concrete should be placed soon after excavating to reduce bearing soil disturbance. Care should be taken to prevent wetting or drying of the bearing materials during construction. Excessively wet or dry material or any loose/disturbed material in the bottom of the footing excavations should be removed/reconditioned before foundation concrete is placed.

Sensitive soils exposed at the surface of footing excavations may require surficial compaction with hand-held dynamic compaction equipment prior to placing structural fill, steel, and/or concrete. Should surficial compaction not be adequate, construction of a working surface consisting of either crushed stone or a lean concrete mud mat may be required prior to the placement of reinforcing steel and construction of foundations.

If unsuitable bearing soils are observed at the base of the planned footing excavation, the excavation should be extended deeper to suitable soils, and the footings could bear directly on these soils at the lower level or on lean concrete backfill placed in the excavations. The lean concrete replacement zone is illustrated on the sketch below.



## Floor Slabs

Design parameters for floor slabs assume the requirements for **Earthwork** have been followed. Specific attention should be given to positive drainage away from the structure and positive drainage of the aggregate base beneath the floor slab.

Depending upon the site and weather conditions at the time of construction, unsuitable, weak, and/or loose soils may be observed at the floor slab subgrade level. These soils should be densified in place or undercut and replaced with structural fill.

### Floor Slab Design Parameters

Item	Description
<b>Floor Slab Support<sup>1</sup></b>	Minimum 4 inches base course meeting material specifications of ACI 302 placed over a subgrade prepared in accordance with this report
	Subgrade compacted to recommendations in <b>Earthwork</b>
<b>Estimated Modulus of Subgrade Reaction<sup>2</sup></b>	100 pounds per square inch per inch (psi/in) for point loads
	<ol style="list-style-type: none"><li>1. Floor slabs should be structurally independent of building footings or walls to reduce the possibility of floor slab cracking caused by differential movements between the slab and foundation.</li><li>2. Modulus of subgrade reaction is an estimated value based upon our experience with the subgrade condition, the requirements noted in <b>Earthwork</b>, and the floor slab support as noted in this table. It is provided for point loads. For large area loads the modulus of subgrade reaction would be lower.</li></ol>

The use of a vapor retarder should be considered beneath concrete slabs on grade covered with wood, tile, carpet, or other moisture sensitive or impervious coverings, when the project includes humidity-controlled areas, or when the slab will support equipment sensitive to moisture. When conditions warrant the use of a vapor retarder, the slab designer should refer to ACI 302 and/or ACI 360 for procedures and cautions regarding the use and placement of a vapor retarder.

Saw-cut contraction joints should be placed in the slab to help control the location and extent of cracking. For additional recommendations, refer to the ACI Design Manual. Joints or cracks should be sealed with a waterproof, non-extruding compressible compound specifically recommended for heavy duty concrete pavement and wet environments.

Where floor slabs are tied to perimeter walls or turn-down slabs to meet structural or other construction objectives, our experience indicates differential movement between the walls and slabs will likely be observed in adjacent slab expansion joints or floor slab cracks beyond the length of the structural dowels. The Structural Engineer should account for potential differential settlement through use of sufficient control joints, appropriate reinforcing or other means.

## Floor Slab Construction Considerations

Finished subgrade, within and for at least 10 feet beyond the floor slab, should be protected from traffic, rutting, or other disturbance and maintained in a relatively moist condition until floor slabs are constructed. If the subgrade should become damaged or desiccated prior to construction of floor slabs, the affected material should be removed, and structural fill should be added to replace the resulting excavation. Final conditioning of the finished subgrade should be performed immediately prior to placement of the floor slab support course.

The Geotechnical Engineer should observe the condition of the floor slab subgrades immediately prior to placement of the floor slab support course, reinforcing steel, and concrete. Attention should be paid to high traffic areas that were rutted and disturbed earlier, and to areas where backfilled trenches are located.

## Pavements

### General Pavement Comments

No borings were drilled in the proposed pavement areas. The recommendations below assume that stable native soils or new engineered fill will support the new pavements. Pavement designs are provided for the traffic conditions and pavement life conditions as noted in **Project Description** and in the following sections of this report. A critical aspect of pavement performance is site preparation. Pavement designs noted in this section must be applied to the site which has been prepared as recommended in the **Earthwork** section.

### Pavement Design Parameters

Traffic patterns and anticipated loading conditions were not available at the time that this report was prepared. However, we anticipate that traffic loads will be produced primarily by passenger vehicles, trash collection trucks and the occasional delivery vehicle. The thickness of pavements subjected to heavy truck traffic should be determined using expected traffic volumes, vehicle types, and vehicle loads and should be in accordance with local, city or county ordinances. We have provided pavement thickness design for the parking stalls and drive lanes based upon our expectation of the quality of the subgrade as prescribed by the **Site Preparation** conditions as outlined in **Earthwork**, a subgrade maintained in a dry condition for the life of the project, and our experience with similar facilities. The subgrade in fill areas should be compacted to at least 98% of the standard Proctor maximum dry density.

### Pavement Section Thicknesses

The following table provides our opinion of minimum thickness for AC sections:

**Asphaltic Concrete Design**

Layer	Thickness (inches)	
	Light Duty <sup>1</sup>	Heavy Duty <sup>1</sup>
AC Wearing Surface <sup>2, 3</sup>	1.0	1.0
AC Binder <sup>2</sup>	2.0	2.0
Aggregate Base <sup>2</sup>	6.0	8.0

## Asphaltic Concrete Design

1. See **Project Description** for more specifics regarding traffic assumptions.
2. All materials should meet the current Alabama Department of Transportation (ALDOT) Standard Specifications for Highway Construction.
  - Asphaltic Surface - ALDOT 424A Superpave Bituminous Concrete Wearing Surface Layer,  $\frac{1}{2}$  inch maximum aggregate size mix
  - Asphaltic Binder - ALDOT 424B Superpave Bituminous Concrete Upper Binder Layer,  $\frac{3}{4}$  inch maximum aggregate size mix
  - Aggregate Base - ALDOT 825B Dense Graded Aggregate Base, compacted to 100% of the modified Proctor
3. A minimum 1.0-inch surface course should be used on ACC pavements.

The following table provides our estimated minimum thickness of PCC pavements.

## Portland Cement Concrete Design

Layer	Thickness (inches)	
	Light Duty <sup>1</sup>	Heavy Duty <sup>1</sup> And Dumpster Pad
PCC <sup>2</sup>	5.0	6.0
Aggregate Base	4.0	4.0

1. See **Project Description** for more specifics regarding traffic classifications.
2. All materials should meet Section 450 of the Alabama Department of Transportation (ALDOT) Standard Specifications for Highway Construction.

Areas for parking of heavy vehicles, concentrated turn areas, and start/stop maneuvers could require thicker pavement sections. Edge restraints (i.e. concrete curbs or aggregate shoulders) should be planned along curves and areas of maneuvering vehicles.

Although not required for structural support, a minimum 4-inch thick base course layer is recommended to help reduce potential for slab curl, shrinkage cracking, and subgrade pumping through joints. Proper joint spacing will also be required to prevent excessive slab curling and shrinkage cracking. Joints should be sealed to prevent entry of foreign material and doweled where necessary for load transfer. PCC pavement details for joint spacing, joint reinforcement, and joint sealing should be prepared in accordance with ACI 330 and ACI 325.

Where practical, we recommend early-entry cutting of crack-control joints in PCC pavements. Cutting of the concrete in its "green" state typically reduces the potential for

micro-cracking of the pavements prior to the crack control joints being formed, compared to cutting the joints after the concrete has fully set. Micro-cracking of pavements may lead to crack formation in locations other than the sawed joints, and/or reduction of fatigue life of the pavement.

Openings in pavements, such as decorative landscaped areas, are sources for water infiltration into surrounding pavement systems. Water can collect in the islands and migrate into the surrounding subgrade soils thereby degrading support of the pavement. Islands with raised concrete curbs, irrigated foliage, and low permeability near-surface soils are particular areas of concern. To reduce the risk of excess water migrating into the surrounding subgrade, the curb and gutter could be placed directly on the cohesive soil subgrade rather than on the unbound granular base course.

## Pavement Drainage

Pavements should be sloped to provide rapid drainage of surface water. Water allowed to pond on or adjacent to the pavements could saturate the subgrade and contribute to premature pavement deterioration. In addition, the pavement subgrade should be graded to provide positive drainage within the granular base section.

## Pavement Maintenance

The pavement sections represent minimum recommended thicknesses and, as such, periodic upkeep should be anticipated. Preventive maintenance should be planned and provided for through an on-going pavement management program. Maintenance activities are intended to slow the rate of pavement deterioration and to preserve the pavement investment. Pavement care consists of both localized (e.g., crack and joint sealing and patching) and global maintenance (e.g., surface sealing). Additional engineering consultation is recommended to determine the type and extent of a cost-effective program. Even with periodic maintenance, some movements and related cracking may still occur, and repairs may be required.

Pavement performance is affected by its surroundings. In addition to providing preventive maintenance, the civil engineer should consider the following recommendations in the design and layout of pavements:

- Final grade adjacent to paved areas should slope down from the edges at a minimum 2%.
- Subgrade and pavement surfaces should have a minimum 2% slope to promote proper surface drainage, unless flatter slopes are required for ADA compliance.
- Install joint sealant and seal cracks immediately.
- Seal all landscaped areas in or adjacent to pavements to reduce moisture migration to subgrade soils.

- Place compacted, low permeability backfill against the exterior side of curb and gutter.
- Place curb, gutter and/or sidewalk directly on cohesive subgrade soils rather than on unbound granular base course materials.

## General Comments

Our analysis and opinions are based upon our understanding of the project, the geotechnical conditions in the area, and the data obtained from our site exploration. Variations will occur between exploration point locations or due to the modifying effects of construction or weather. The nature and extent of such variations may not become evident until during or after construction. Terracon should be retained as the Geotechnical Engineer, where noted in this report, to provide observation and testing services during pertinent construction phases. If variations appear, we can provide further evaluation and supplemental recommendations. If variations are noted in the absence of our observation and testing services on-site, we should be immediately notified so that we can provide evaluation and supplemental recommendations.

Our Scope of Services does not include either specifically or by implication any environmental or biological (e.g., mold, fungi, bacteria) assessment of the site or identification or prevention of pollutants, hazardous materials or conditions. If the owner is concerned about the potential for such contamination or pollution, other studies should be undertaken.

Our services and any correspondence are intended for the sole benefit and exclusive use of our client for specific application to the project discussed and are accomplished in accordance with generally accepted geotechnical engineering practices with no third-party beneficiaries intended. Any third-party access to services or correspondence is solely for information purposes to support the services provided by Terracon to our client. Reliance upon the services and any work product is limited to our client and is not intended for third parties. Any use or reliance of the provided information by third parties is done solely at their own risk. No warranties, either express or implied, are intended or made.

Site characteristics as provided are for design purposes and not to estimate excavation cost. Any use of our report in that regard is done at the sole risk of the excavating cost estimator as there may be variations on the site that are not apparent in the data that could significantly effect excavation cost. Any parties charged with estimating excavation costs should seek their own site characterization for specific purposes to obtain the specific level of detail necessary for costing. Site safety and cost estimating including excavation support and dewatering requirements/design are the responsibility of others. Construction and site development have the potential to affect adjacent properties. Such impacts can include damages due to vibration, modification of groundwater/surface

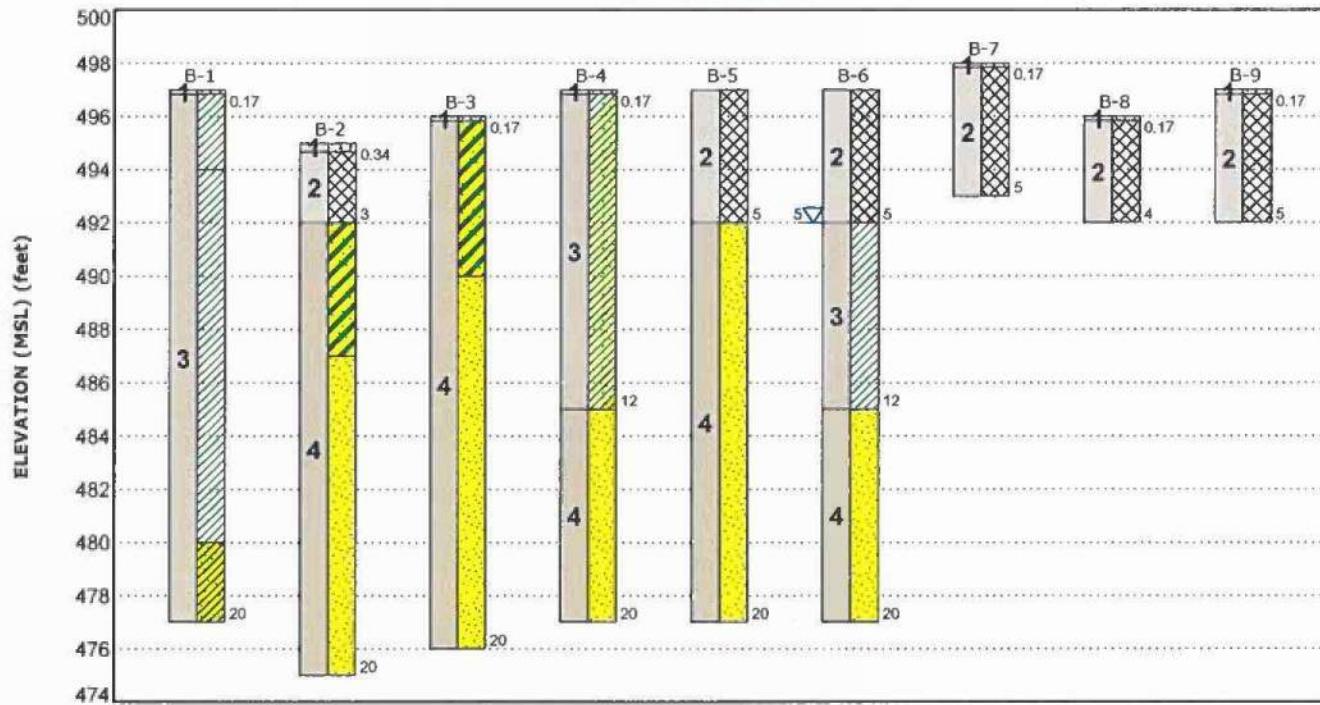
water flow during construction, foundation movement due to undermining or subsidence from excavation, as well as noise or air quality concerns. Evaluation of these items on nearby properties are commonly associated with contractor means and methods and are not addressed in this report. The owner and contractor should consider a preconstruction/precondition survey of surrounding development. If changes in the nature, design, or location of the project are planned, our conclusions and recommendations shall not be considered valid unless we review the changes and either verify or modify our conclusions in writing.

## Figures

### Contents:

GeoModel

## GeoModel



This is not a cross section. This is intended to display the Geotechnical Model only. See individual logs for more detailed conditions.

Model Layer	Layer Name	General Description	Legend
1	Ground Cover	Topsoil ranging in thickness from about 2 to 4 inches	Topsoil
2	Existing Fill	Typically silty sand with varying amounts of rounded gravel	Lean Clay
3	Native Clays	Typically, dark gray brownish yellow, varying amounts of sand, medium stiff to very stiff except soft in the upper 3 feet at boring B-1	Sandy Lean Clay
4	Native Sands	Clayey Sand (SC) or Sand (SP), light gray to brownish yellow, medium dense to dense	Fill
			Clayey Sand
			Poorly-graded Sand
			Lean Clay with Sand

### NOTES:

Layering shown on this figure has been developed by the geotechnical engineer for purposes of modeling the subsurface conditions as required for the subsequent geotechnical engineering for this project. Numbers adjacent to soil column indicate depth below ground surface.

### First Water Observation

Groundwater levels are temporal. The levels shown are representative of the date and time of our exploration. Significant changes are possible over time.

Water levels shown are as measured during and/or after drilling. In some cases, boring advancement methods mask the presence/absence of groundwater. See individual logs for details.

## Attachments

## Exploration and Testing Procedures

### Field Exploration

Number of Borings	Approximate Boring Depth (feet)	Location
9, Plus 3 Offset Borings	4 to 20	Building Area

**Boring Layout and Elevations:** Terracon personnel provided the boring layout using existing site features. Borehole elevations were interpolated from a furnished topographic map provided by Lathan. If a more precise boring layout is desired, we recommend borings be surveyed.

**Subsurface Exploration Procedures:** We advanced the borings with a truck-mounted, rotary drill rig using continuous flight augers (solid stem and/or hollow stem, as necessary, depending on soil conditions). Four samples were obtained in the upper 10 feet of each boring and at intervals of 5 feet thereafter. In the split-barrel sampling procedure, a standard 2-inch outer diameter split-barrel sampling spoon was driven into the ground by a 140-pound automatic hammer hoisted by a rope and cathead falling a distance of 30 inches. The number of blows required to advance the sampling spoon the last 12 inches of a normal 18-inch penetration is recorded as the Standard Penetration Test (SPT) resistance value. The SPT resistance values, also referred to as N-values, are indicated on the boring logs at the test depths. For safety purposes, all borings were backfilled with auger cuttings after their completion.

We also observed the boreholes while drilling and at the completion of drilling for the presence of groundwater. Groundwater observations are noted on the attached boring logs.

The sampling depths, penetration distances, and other sampling information was recorded on the field boring logs. The samples were placed in appropriate containers and taken to our soil laboratory for testing and classification by a Geotechnical Engineer. Our exploration team prepared field boring logs as part of the drilling operations. These field logs included visual classifications of the materials observed during drilling and our interpretation of the subsurface conditions between samples. Final boring logs were prepared from the field logs. The final boring logs represent the Geotechnical Engineer's interpretation of the field logs and include modifications based on observations and tests of the samples in our laboratory.

## Laboratory Testing

The project engineer reviews the field data and assigns various laboratory tests to better understand the engineering properties of the various soil and rock strata as necessary for this project. Procedural standards noted below are for reference to methodology in general. In some cases, variations to methods are applied because of local practice or professional judgment. Standards noted below include reference to other, related standards. Such references are not necessarily applicable to describe the specific test performed:

- Moisture Content
- Atterberg Limits

The laboratory testing program often included examination of soil samples by an engineer. Based on the results of our field and laboratory programs, we described and classified the soil samples in accordance with the Unified Soil Classification System.

## Site Location and Exploration Plans

### Contents:

Site Location Plan  
Exploration Plan

Note: All attachments are one page unless noted above.

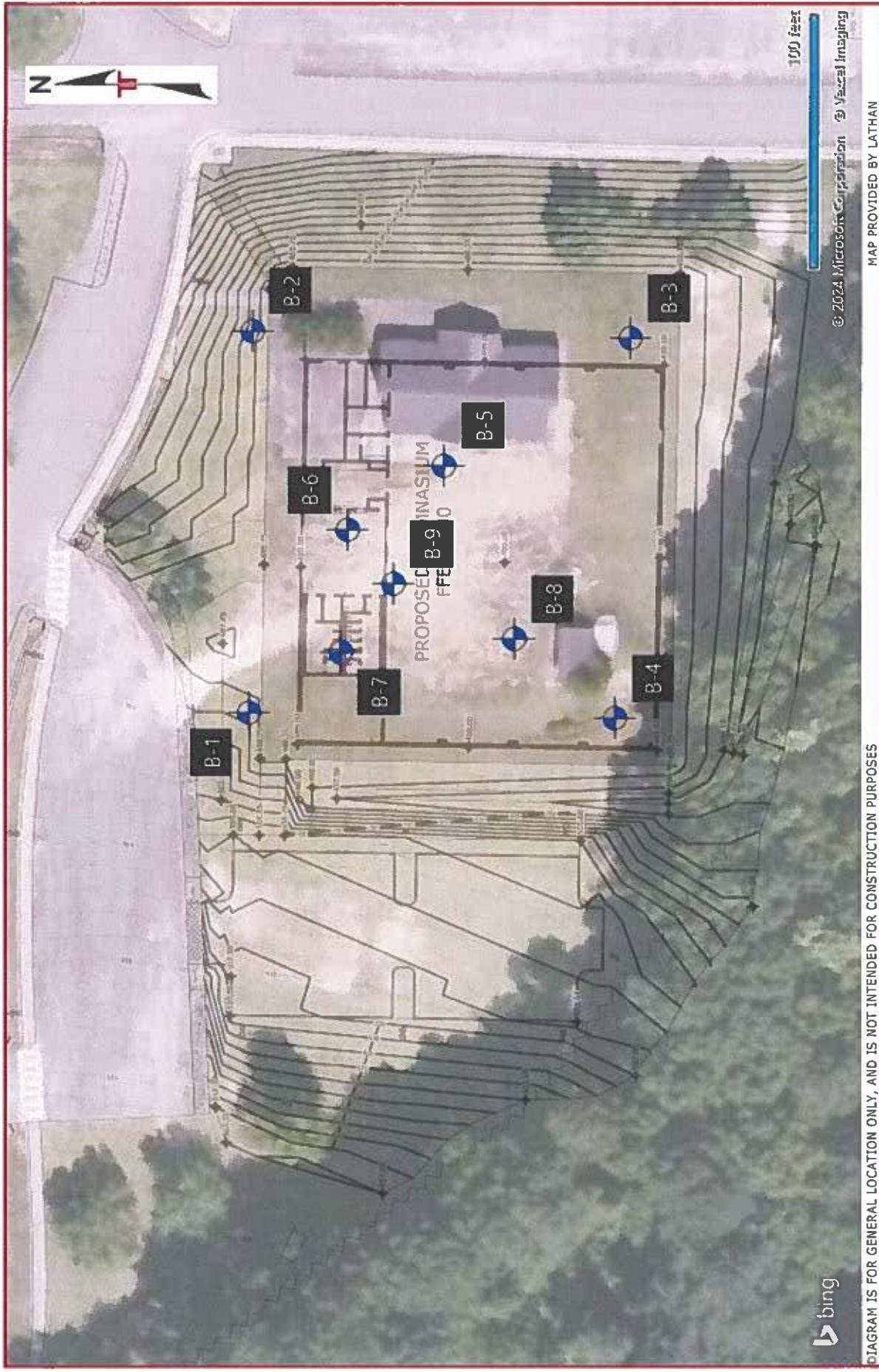
## Site Location



DIAGRAM IS FOR GENERAL LOCATION ONLY, AND IS NOT INTENDED FOR CONSTRUCTION PURPOSES

MAP PROVIDED BY MICROSOFT BING MAPS

## Exploration Plan



boring

DIAGRAM IS FOR GENERAL LOCATION ONLY, AND IS NOT INTENDED FOR CONSTRUCTION PURPOSES

© 2024 Microsoft Corporation 3D Visual Imaging

MAP PROVIDED BY LATHAN

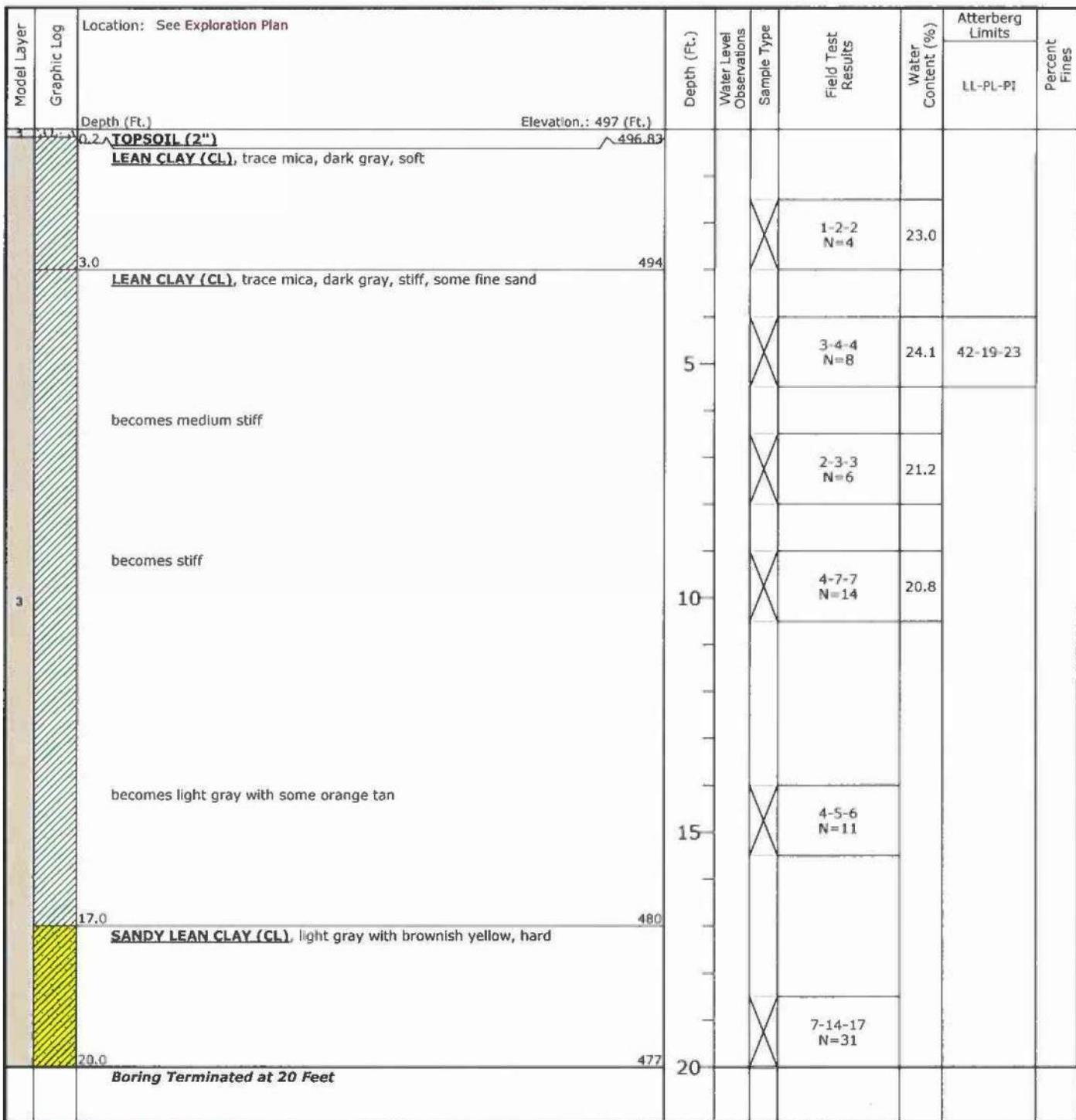
## **Exploration and Laboratory Results**

### **Contents:**

Boring Logs (B-1 through B-9)

Note: All attachments are one page unless noted above.

## Boring Log No. B-1



See **Exploration and Testing Procedures** for a description of field and laboratory procedures used and additional data (if any).

See **Supporting Information** for explanation of symbols and abbreviations.

**Water Level Observations**  
No water observed during drilling

**Drill Rig**  
CME-45

**Notes**

**Advancement Method**  
Continuous flight auger

**Driller**  
Smith Drilling

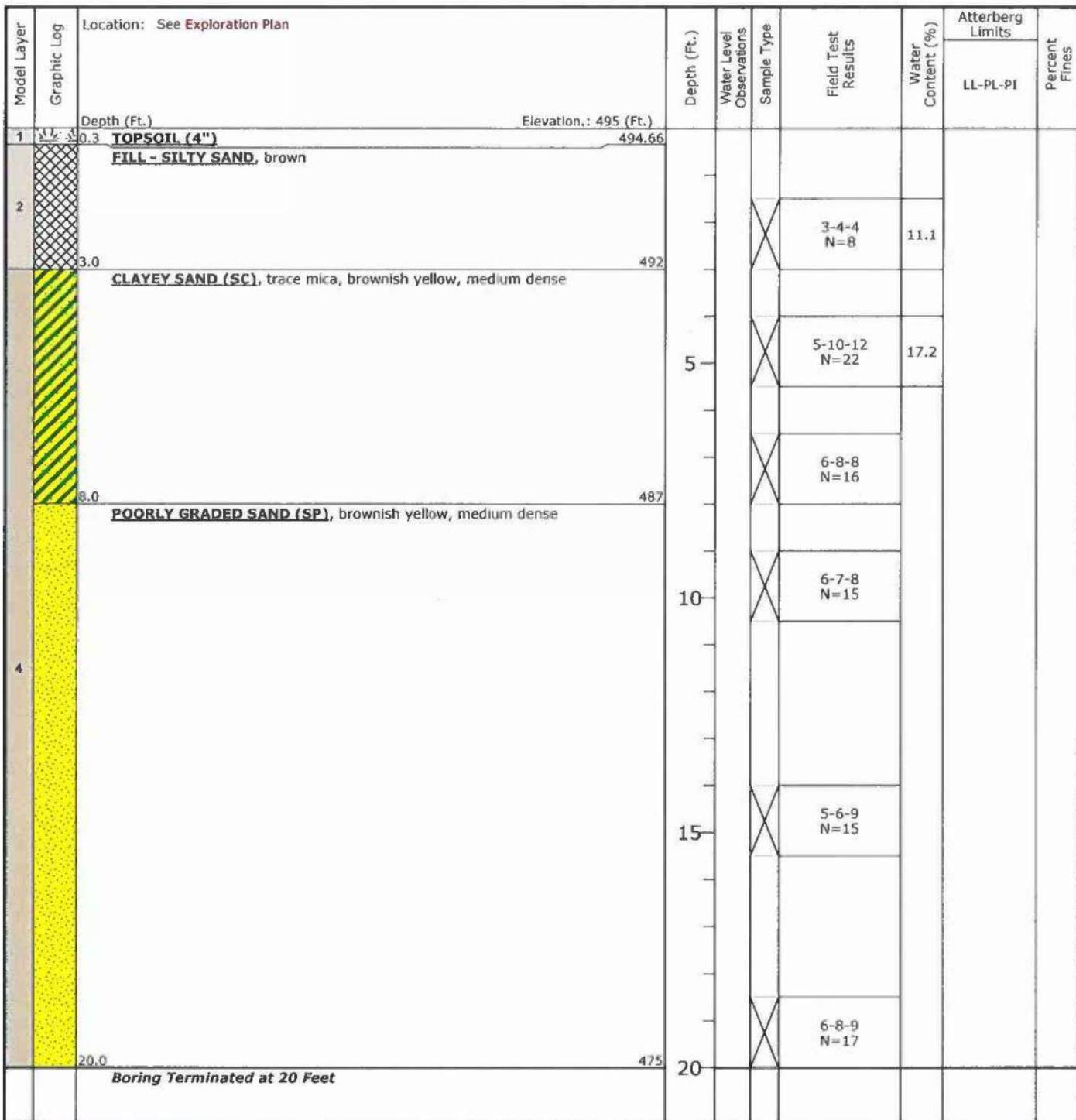
**Abandonment Method**  
Boring backfilled with auger cuttings upon completion.

**Logged by**  
BCR

**Boring Started**  
06-25-2024

**Boring Completed**  
06-25-2024

## Boring Log No. B-2



See Exploration and Testing Procedures for a description of field and laboratory procedures used and additional data (if any).

See Supporting Information for explanation of symbols and abbreviations.

**Water Level Observations**  
No water observed during drilling

**Drill Rig**  
CME-45

**Notes**

**Advancement Method**  
Continuous flight auger

**Driller**  
Smith Drilling

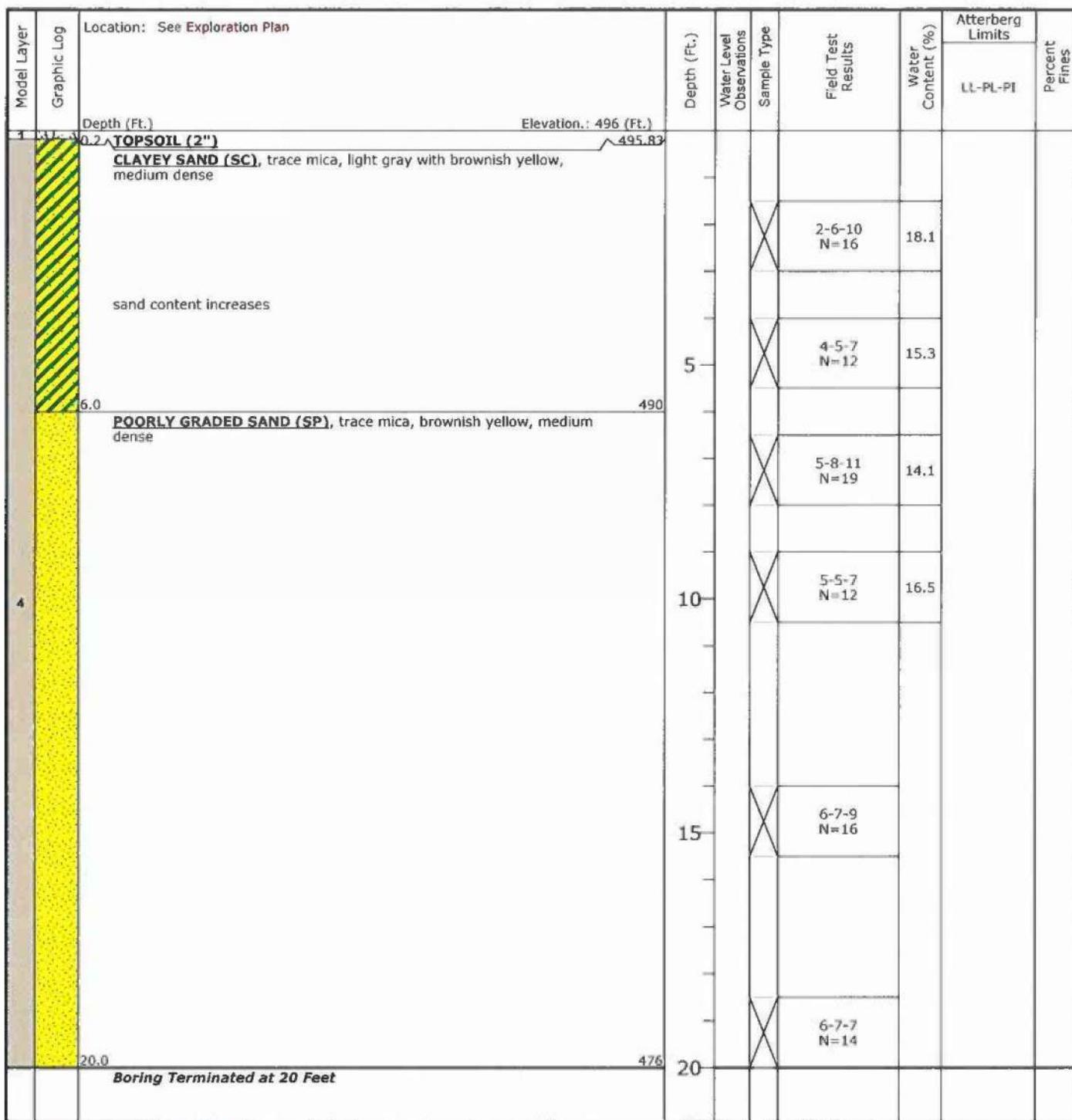
**Abandonment Method**  
Boring backfilled with auger cuttings upon completion.

**Logged by**  
BCR

**Boring Started**  
06-25-2024

**Boring Completed**  
06-25-2024

## Boring Log No. B-3



See Exploration and Testing Procedures for a description of field and laboratory procedures used and additional data (if any).

See Supporting Information for explanation of symbols and abbreviations.

**Water Level Observations**  
No water observed during drilling

**Drill Rig**  
CME-45

### Notes

**Advancement Method**  
Continuous flight auger

**Driller**  
Smith Drilling

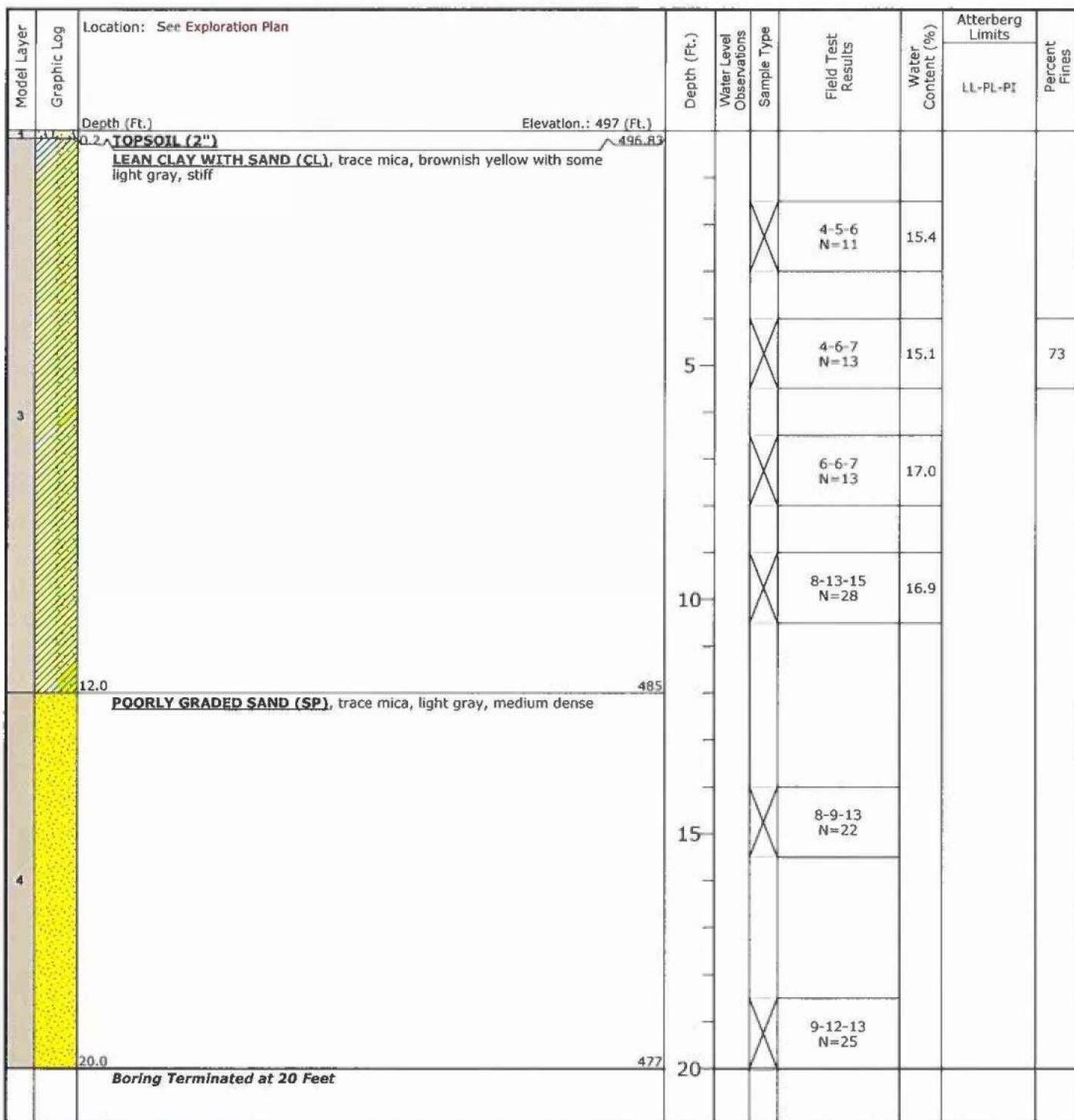
**Abandonment Method**  
Boring backfilled with auger cuttings upon completion.

**Logged by**  
BCR

**Boring Started**  
06-25-2024

**Boring Completed**  
06-25-2024

## Boring Log No. B-4



See Exploration and Testing Procedures for a description of field and laboratory procedures used and additional data (if any).

See Supporting Information for explanation of symbols and abbreviations.

**Water Level Observations**  
No water observed during drilling

**Drill Rig**  
CME-45

### Notes

**Advancement Method**  
Continuous flight auger

**Driller**  
Smith Drilling

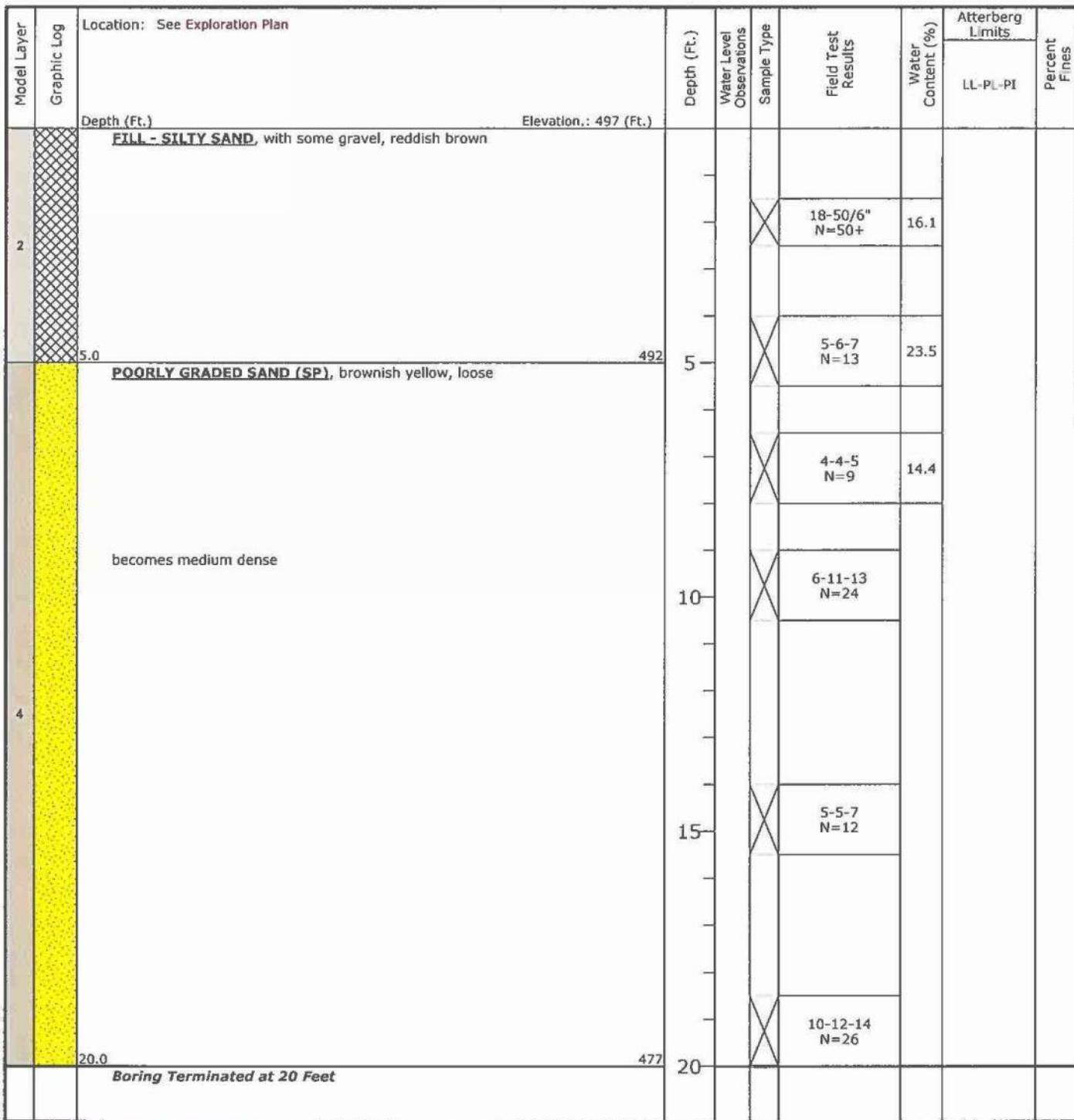
**Abandonment Method**  
Boring backfilled with auger cuttings upon completion.

**Logged by**  
BCR

**Boring Started**  
06-25-2024

**Boring Completed**  
06-25-2024

## Boring Log No. B-5



See Exploration and Testing Procedures for a description of field and laboratory procedures used and additional data (if any).

See Supporting Information for explanation of symbols and abbreviations.

**Water Level Observations**  
No water observed during drilling

**Drill Rig**  
CME-45

### Notes

**Advancement Method**  
Continuous flight auger

**Driller**  
Smith Drilling

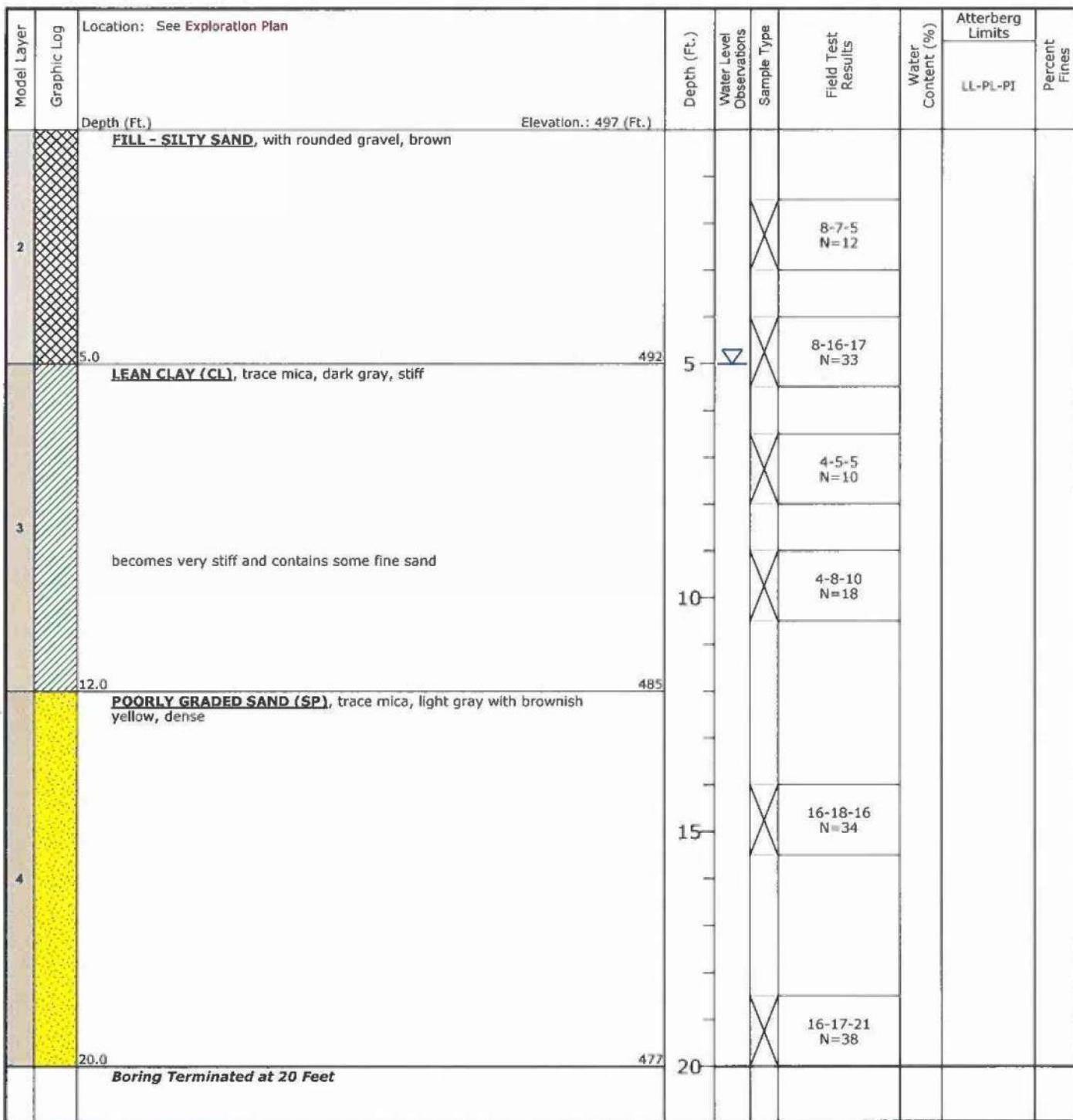
**Abandonment Method**  
Boring backfilled with auger cuttings upon completion.

**Logged by**  
BCR

**Boring Started**  
06-25-2024

**Boring Completed**  
06-25-2024

## Boring Log No. B-6



See Exploration and Testing Procedures for a description of field and laboratory procedures used and additional data (if any).

See Supporting Information for explanation of symbols and abbreviations.

**Water Level Observations**  
 Water observed at 5' during drilling

**Drill Rig**  
CME-45

### Notes

**Advancement Method**  
Continuous flight auger

**Driller**  
Smith Drilling

**Abandonment Method**  
Boring backfilled with auger cuttings upon completion.

**Logged by**  
BCR

**Boring Started**  
06-25-2024

**Boring Completed**  
06-25-2024

## Boring Log No. B-7

Model Layer	Graphic Log	Location: See Exploration Plan			Depth (Ft.)	Elevation.: 498 (Ft.)	Water Level Observations	Sample Type	Field Test Results	Water Content (%)	Atterberg Limits LL-PL-PI	Percent Fines
		Depth (Ft.)	Elevation.: 498 (Ft.)	Water Level Observations								
1	0.2^TOPSOIL (2") FILL - SANDY LEAN CLAY, with some rounded gravel, brown	497.83	498									
2									6-5-5 N=10			
		5.0	493						50/1" N=50+			
		Auger Refusal at 5 Feet			5							

See Exploration and Testing Procedures for a description of field and laboratory procedures used and additional data (if any).

See Supporting Information for explanation of symbols and abbreviations.

Water Level Observations  
No water observed during drilling

Drill Rig  
CME-45

Driller  
Smith Drilling

Notes  
Two offset borings met auger refusal at 5 feet

Advancement Method  
Continuous flight auger

Logged by  
BCR

Abandonment Method  
Boring backfilled with auger cuttings upon completion.

Boring Started  
06-25-2024

Boring Completed  
06-25-2024

## Boring Log No. B-8

Model Layer	Graphic Log	Location: See Exploration Plan			Depth (Ft.)	Elevation.: 496 (Ft.)	Atterberg Limits	Percent Fines
		Water Content (%)	Field Test Results	LL-PL-PI				
1	0.2 TOPSOIL (2") FILL - SILTY SAND, with some rounded gravel, brown							
2			2-2-3 N=5					
	4.0 Auger Refusal at 4 Feet	50/1" N=50+			492			

See Exploration and Testing Procedures for a description of field and laboratory procedures used and additional data (if any).

See Supporting Information for explanation of symbols and abbreviations.

Water Level Observations  
No water observed during drilling

Drill Rig  
CME-45

Notes  
Two offset borings met auger refusal at 5 feet.

Advancement Method  
Continuous flight auger

Driller  
Smith Drilling

Abandonment Method  
Boring backfilled with auger cuttings upon completion.

Logged by  
BCR  
Boring Started  
06-25-2024  
Boring Completed  
06-25-2024

## Boring Log No. B-9

Model Layer	Graphic Log	Location: See Exploration Plan	Depth (Ft.)	Elevation.: 497 (Ft.)	Depth (Ft.)	Water Level Observations	Sample Type	Field Test Results	Atterberg Limits		Percent Fines
									Water Content (%)	LL-PL-PI	
1		0.2 ~TOPSOIL (2") FILL - SILTY SAND, with gravel, brown		496.83							
2								4-7-5 N=12			
		5.0 Auger Refusal at 5 Feet		492	5						

See Exploration and Testing Procedures for a description of field and laboratory procedures used and additional data (if any).

See Supporting Information for explanation of symbols and abbreviations.

Water Level Observations  
No water observed during drilling

Drill Rig  
CME-45

Driller  
Smith Drilling

Notes  
Two offset borings met auger refusal at 5 feet

Advancement Method  
Continuous flight auger

Logged by  
8CR

Boring Started  
06-25-2024

Abandonment Method  
Boring backfilled with auger cuttings upon completion.

Boring Completed  
06-25-2024

## **Supporting Information**

### **Contents:**

General Notes  
Unified Soil Classification System

Note: All attachments are one page unless noted above.

## General Notes

Sampling	Water Level	Field Tests
 Standard Penetration Test	 Water Initially Encountered  Water Level After a Specified Period of Time  Water Level After a Specified Period of Time  Cave In Encountered	N Standard Penetration Test Resistance (Blows/Ft.) (HP) Hand Penetrometer (T) Torvane (DCP) Dynamic Cone Penetrometer UC Unconfined Compressive Strength (PID) Photo-Ionization Detector (OVA) Organic Vapor Analyzer
	Water levels indicated on the soil boring logs are the levels measured in the borehole at the times indicated. Groundwater level variations will occur over time. In low permeability soils, accurate determination of groundwater levels is not possible with short term water level observations.	

### Descriptive Soil Classification

Soil classification as noted on the soil boring logs is based Unified Soil Classification System. Where sufficient laboratory data exist to classify the soils consistent with ASTM D2487 "Classification of Soils for Engineering Purposes" this procedure is used. ASTM D2488 "Description and Identification of Soils (Visual-Manual Procedure)" is also used to classify the soils, particularly where insufficient laboratory data exist to classify the soils in accordance with ASTM D2487. In addition to USCS classification, coarse grained soils are classified on the basis of their in-place relative density, and fine-grained soils are classified on the basis of their consistency. See "Strength Terms" table below for details. The ASTM standards noted above are for reference to methodology in general. In some cases, variations to methods are applied as a result of local practice or professional judgment.

### Location And Elevation Notes

Exploration point locations as shown on the Exploration Plan and as noted on the soil boring logs in the form of Latitude and Longitude are approximate. See Exploration and Testing Procedures in the report for the methods used to locate the exploration points for this project. Surface elevation data annotated with +/- indicates that no actual topographical survey was conducted to confirm the surface elevation. Instead, the surface elevation was approximately determined from topographic maps of the area.

Strength Terms				
Relative Density of Coarse-Grained Soils (More than 50% retained on No. 200 sieve.) Density determined by Standard Penetration Resistance		Consistency of Fine-Grained Soils (50% or more passing the No. 200 sieve.) Consistency determined by laboratory shear strength testing, field visual-manual procedures or standard penetration resistance		
Relative Density	Standard Penetration or N-Value (Blows/Ft.)	Consistency	Unconfined Compressive Strength Qu (tsf)	Standard Penetration or N-Value (Blows/Ft.)
Very Loose	0 - 3	Very Soft	less than 0.25	0 - 1
Loose	4 - 9	Soft	0.25 to 0.50	2 - 4
Medium Dense	10 - 29	Medium Stiff	0.50 to 1.00	4 - 8
Dense	30 - 50	Stiff	1.00 to 2.00	8 - 15
Very Dense	> 50	Very Stiff	2.00 to 4.00	15 - 30
		Hard	> 4.00	> 30

### Relevance of Exploration and Laboratory Test Results

Exploration/field results and/or laboratory test data contained within this document are intended for application to the project as described in this document. Use of such exploration/field results and/or laboratory test data should not be used independently of this document.

## Unified Soil Classification System

Criteria for Assigning Group Symbols and Group Names Using Laboratory Tests <sup>A</sup>			Soil Classification	
			Group Symbol	Group Name <sup>B</sup>
Coarse-Grained Soils: More than 50% retained on No. 200 sieve	Gravels: More than 50% of coarse fraction retained on No. 4 sieve	Clean Gravels: Less than 5% fines <sup>C</sup>	Cu ≥ 4 and 1 ≤ Cc ≤ 3 <sup>E</sup>	GW Well-graded gravel <sup>F</sup>
		Gravels with Fines: More than 12% fines <sup>C</sup>	Cu < 4 and/or [Cc < 1 or Cc > 3.0] <sup>E</sup> Fines classify as ML or MH	GP Poorly graded gravel <sup>F</sup>
			Fines classify as CL or CH	GM Silty gravel <sup>F, G, H</sup>
	Sands: 50% or more of coarse fraction passes No. 4 sieve	Clean Sands: Less than 5% fines <sup>D</sup>	Cu ≥ 6 and 1 ≤ Cc ≤ 3 <sup>E</sup>	GC Clayey gravel <sup>F, G, H</sup>
		Sands with Fines: More than 12% fines <sup>D</sup>	Cu < 6 and/or [Cc < 1 or Cc > 3.0] <sup>E</sup> Fines classify as ML or MH	SW Well-graded sand <sup>I</sup>
			Fines classify as CL or CH	SP Poorly graded sand <sup>I</sup>
Fine-Grained Soils: 50% or more passes the No. 200 sieve	Silts and Clays: Liquid limit less than 50	Inorganic:	PI > 7 and plots above "A" line <sup>J</sup>	CL Lean clay <sup>K, L, M</sup>
			PI < 4 or plots below "A" line <sup>J</sup>	ML Silt <sup>K, L, M</sup>
		Organic:	$\frac{LL \text{ oven dried}}{LL \text{ not dried}} < 0.75$	OL Organic clay <sup>K, L, M, N</sup>
	Silts and Clays: Liquid limit 50 or more	Inorganic:	PI plots on or above "A" line	CH Organic silt <sup>K, L, M, O</sup>
			PI plots below "A" line	MH Fat clay <sup>K, L, M</sup>
		Organic:	$\frac{LL \text{ oven dried}}{LL \text{ not dried}} < 0.75$	OH Elastic silt <sup>K, L, M, P</sup>
Highly organic soils:		Primarily organic matter, dark in color, and organic odor	PT Organic clay <sup>K, L, M, P</sup>	Peat <sup>Q</sup>
<p><sup>A</sup> Based on the material passing the 3-inch (75-mm) sieve.  <sup>B</sup> If field sample contained cobbles or boulders, or both, add "with cobbles or boulders, or both" to group name.  <sup>C</sup> Gravels with 5 to 12% fines require dual symbols: GW-GM well-graded gravel with silt, GW-GC well-graded gravel with clay, GP-GM poorly graded gravel with silt, GP-GC poorly graded gravel with clay.  <sup>D</sup> Sands with 5 to 12% fines require dual symbols: SW-SM well-graded sand with silt, SW-SC well-graded sand with clay, SP-SM poorly graded sand with silt, SP-SC poorly graded sand with clay.  <sup>E</sup> <math>Cu = D_{60}/D_{10}</math>   <math>Cc = \frac{(D_{30})^2}{D_{10} \times D_{60}}</math>  <sup>F</sup> If soil contains ≥ 15% sand, add "with sand" to group name.  <sup>G</sup> If fines classify as CL-ML, use dual symbol GC-GM, or SC-SM.</p> <p><sup>H</sup> If fines are organic, add "with organic fines" to group name.  <sup>I</sup> If soil contains ≥ 15% gravel, add "with gravel" to group name.  <sup>J</sup> If Atterberg limits plot in shaded area, soil is a CL-ML, silty clay.  <sup>K</sup> If soil contains 15 to 29% plus No. 200, add "with sand" or "with gravel," whichever is predominant.  <sup>L</sup> If soil contains ≥ 30% plus No. 200 predominantly sand, add "sandy" to group name.  <sup>M</sup> If soil contains ≥ 30% plus No. 200, predominantly gravel, add "gravelly" to group name.  <sup>N</sup> PI ≥ 4 and plots on or above "A" line.  <sup>O</sup> PI &lt; 4 or plots below "A" line.  <sup>P</sup> PI plots on or above "A" line.  <sup>Q</sup> PI plots below "A" line.</p>				

SPECIAL PROJECT PROCEDURES - SECTION 01035

1.0 Requirements

As set forth herein are applicable to the Work under every Section or Division of this Specification, of the General Contractor and all Subcontractors.

1.1 Completion Date

Work under this contract shall be sufficiently completed to permit Owner to occupy the building, or a designated portion thereof, on or before date stipulated on the Proposal Form and accepted by Owner. See Paragraph entitled Time For Completion under SPECIAL PROJECT REQUIREMENTS, SECTION 01030.

1.2 Acceptance of Preceding Work

Before starting any operation, Contractor and each Subcontractor shall examine existing work performed by others to which his work adjoins. Failure to remedy faults in or notify Architect of deficiencies or faults in preceding work will constitute acceptance thereof and waiver of any claim of its unsuitability.

1.3 Layouts and Levels

General Contractor shall establish principal lines, grades, levels and corners, and shall set and maintain adequate reference points therefore. Contractor shall lay out own work to dimension from principal lines and shall be responsible for layout of his subcontractor's work.

1.4 Product Approval

A. In addition to items submitted for approval by Shop Drawings, Contractor to submit for approval within ten (10) days after receipt of Notice to Proceed a list of all products proposed for use in the work, listing manufacturer, make, model number, catalog listing subcontractors' and / or vendors' names, and other manufacturers' identification for each particular product for each particular use. Submit list of products requiring color selection. Approved list of products manufacturer and / or vendor will be returned promptly in order to avoid any delay of ordering materials specified. General Contractor shall review with Architect and the Owner the actual status of availability of all materials and schedule of work in the building, (including Alternates).

B. Submit complete Product Data and testing results, if requested.

1.5 Weather Protection

Contractor provide, maintain and pay all cost for all weather protection required to properly protect all parts of structure from damage during construction. Note that building heating and cooling system will remain in operation throughout the contract period.

1.6 Manufacturer's Directions

A. Apply, install, connect and erect manufactured items or materials according to recommendations of manufacturer when such recommendations are not in conflict with Contract Documents.

B. Furnish to Architect, on request, copies of manufacturer's recommendations. Secure approval of recommendations before proceeding with work.

**ALL MANUFACTURED ITEMS THAT ARE STRUCTURAL IN NATURE SHALL BEAR  
THE SEAL OF A PROFESSIONAL ENGINEER REGISTERED IN THE STATE OF  
ALABAMA AND SUBMITTED FOR APPROVAL.**

1.7 Coordination Between Trades: Contractor's Pre-Construction Coordination Meeting

A. Plumbing, Heating, Ventilating, Air Conditioning and Electrical Drawings are diagrammatic.

B. BEFORE COMMENCING WORK UNDER THIS CONTRACT, GENERAL CONTRACTOR IS TO ARRANGE FOR A MEETING OF ALL MAJOR SUBCONTRACTORS (AND SEPARATE CONTRACTS AS APPLICABLE) TO DETERMINE THAT ALL ITEMS WILL FIT INTO SPACES PROVIDED, HEADROOMS MAINTAINED, CONCEALMENT REQUIRED, WALL THICKNESS SUFFICIENT FOR RECESS OF ITEMS, PRIORITIES ESTABLISHED IN INSTALLATION OF DUCTS, PIPING, ETC. EACH SUBCONTRACTOR MUST HAVE THEIR RESPECTIVE ON-SITE JOB FOREMAN PRESENT. Each Subcontractor to have drawings of all trades, and to be completely aware of and fully informed of, requirements and locations of work to be installed by other Subcontractors. In case of disagreements in locations, General Contractor is to settle same, giving preference to ductwork and larger items, except where grading of pipe may require preference. All decisions to be recorded on each Subcontractor's drawings and on jobsite set of drawings and fully inform all Subcontractors. No changes to be made which affect finish locations or alter requirements of contract without approval of the Architect. Do not cover or block previously installed alarm devices, valves, etc., without providing for access to same.

C. If, in any location, it is impossible to install required items and maintain requirements as to ceiling heights, clearances dimensions, etc., or due to structural interference, General Contractor is to advise Architect for a decision.

1.8 City Ordinances

A. Comply with all City rules, regulations and ordinances in regard to parking, unloading, blocking of street, sidewalk or alley; and provide all lights, barriers, temporary walkways, protection, etc., as necessary for complete compliance.

B. Comply with applicable Code and all local and Federal laws and ordinances in regard to safeguards during construction and fire protection, and all governing regulations pertaining to requirements during construction.

1.9 Operating and Maintenance Instructions

A. Contractor shall instruct Owner's operating personnel in proper operation, lubrication and maintenance of all equipment items installed under this contract.

B. At completion of job, Contractor shall provide three (3) copies of a brochure containing manufacturer's operating, lubricating and maintenance instructions and parts lists for each item of equipment furnished under this contract. Each copy shall be assembled and bound under a substantial hardboard cover with title and index. Provide a complete set of approved manufacturer's and contractor's shop and equipment "setting" drawings for major systems and equipment furnished under this contract.

One (1) copy of the Operating and Maintenance instructions shall be hand delivered to the Architect at the final inspection and the remaining copies shall be provided to the Owner prior to issuance of the Certificate of Substantial Completion

1.10 Site Limitation and Use

A. General Contractor and each Subcontractor shall note the extent of site available for access and storage. Contractor restricted to those limits.

B. All personnel required on the job site must at all times be in possession of **state issued** photo identification subject to examination by Owner or their representative. Other security requirements may also be in place and is the responsibility of the General Contractor to abide by all school rules.

C. Contractor and Subcontractors are further cautioned that the traffic on adjacent streets may place strict limitations on the rates and means of delivery of materials, equipment and supplies, the removal of rubbish, and, in some cases, the hours during which deliveries are

made.

1.11 Protection of Existing Property Adjacent

A. Protect and cause no damage to adjacent area and site.

During progress of work, Contractor will be responsible for full and complete protection of property which the work is being done, insofar as related to work under this Contract. Any damage to adjacent property, or contents caused by failure in performance with these requirements must be made good by Contractor at his own expense and to the satisfaction of Owner. Any damage to existing adjacent areas outside contract work limits shall be replaced with exact same materials as that damaged.

B. Provide for means to prevent objectionable dust and debris blowing onto adjacent property or streets from work being accomplished under this contract.

1.12 Dimensions

Contractor and each Subcontractor shall verify dimensions at site for built-in work, for work adjoining that of other trades and for dimensions shown to existing structures or installations. Notify Architect of any discrepancies.

1.13 Security of Construction Area

Contractor shall secure on site storage of materials and equipment. Storage of materials shall be within the Contractor's limit of construction at the site. This General Contractor shall adhere to Owner's requirements for security of work area and under all conditions shall be subject to these security regulations and requirements. Off-site storage of materials and equipment that are to be installed in the project shall be in a bonded storage area as outlined in the General Conditions.

1.14 Delivering and Storage

- A. Deliver packaged materials to site in manufacturer's original, unopened and labeled containers. Do not open containers until approximate time for use.
- B. Store materials in a manner that will prevent damage to materials or structure, and that will prevent injury to persons. No materials will be stored outside of contract work area by this Contractor.
- C. Store cementitious materials in dry, weathertight, ventilated spaces. Store ferrous materials to prevent contact with ground and to avoid rusting and damage from weather.

1.15 Fire Protection

Contractor to take all necessary steps to ensure prevention of fire. Contractor to have portable extinguishers on hand at site throughout the period of construction. Flammable and combustible materials shall be kept in metal cans with tight covers and removed from building at end of each working day.

Fire protection systems within existing buildings must be maintained in full operation during construction.

1.16 Hoist, Ramps, Elevator Access, etc.

Furnish and Maintain as Necessary: Hoists, ramps, railings, platforms, etc., required in conformance with local applicable regulations. Hoists shall be operated by qualified and experienced mechanics. Space for hoist shall be coordinated with Architect and Owner's assigned project representative.

1.17 Chases and Openings

Provide all proper chases, openings and recesses as indicated for work under this Contract. Build in all sleeves, anchors, etc., for proper engagement of work to be installed. All post piercing of slabs and masonry shall be core drilling.

END OF SECTION

1.0 - GENERAL REQUIREMENTS

1.1 Related Documents

Drawings and general provisions of Contract, including General and Supplementary Conditions (plus modifications thereto), and other Division 1 Specification sections, apply to work of this section.

1.2 Description of Work

Minimum administrative and supervisory requirements necessary for coordination of work on the project include, but are not necessarily limited to, the following:

- A. Coordination and meetings.
- B. Administrative and supervisory personnel.
- C. Surveys and records or reports.
- D. Limitations for use of site.
- E. Special reports.
- F. General installation provisions.
- G. Cleaning and protection.
- H. Conservation and salvage.
- I. Special Inspections.

1.3 Coordination and Meetings

A. General

Prepare a written memorandum on required coordination activities. Include such items as required notices, reports and attendance at meetings. Distribute this memorandum to each entity performing work at the project site. Prepare similar memorandum for separate contractors where interfacing of their work is required.

B. Coordination Drawings

Prepare coordination drawings where work by separate entities requires fabrication off-site of products and materials which must accurately interface. Coordination drawings shall indicate how work shown by separate shop drawings will interface, and shall indicate sequence for installation.

C. Bi-Weekly Coordination Meetings

Hold bi-weekly general project coordination meetings at regularly scheduled times convenient for all parties involved. These meetings are in addition to specific meetings held for other purposes, such as regular project meetings and special pre-installation meetings. Request representation at each meeting by every party currently involved in coordination or planning for the work of the entire project. Conduct meetings in a manner which will resolve coordination problems. Record results of the meeting and distribute copies to everyone in attendance and to others affected by decisions or actions resulting from each meeting.

D. At Contractor's option, bi-weekly coordination meetings can be held integrally with progress meetings.

1.4 Administrative / Supervisory Personnel

A. General

In addition to a General Superintendent and other administrative and supervisory personnel required for performance of the work, provide specific coordinating personnel as specified herein.

B. Project Coordinator  
Provide a full-time Project Coordinator experienced in administration and supervision of building construction, including mechanical and electrical work. This Project Coordinator is hereby authorized to act as general coordinator of interfaces between units of work. For the purpose of this provision, "interface" is defined to include scheduling and sequencing of work, sharing of access to work spaces, installation, protection of each other's work, cutting and patching, tolerances, cleaning, selections for compatibility, preparation of coordination drawings, inspections, tests, temporary facilities and services, scheduling and sequencing of mechanical / electrical work, integration of work placed into limited spaces available for mechanical / electrical installations, each trades' protection of work by other trades and preparation of mechanical / electrical coordination drawings.

1.5 Surveys and Records / Reports

A. General  
Establish markers to set lines and levels for work as needed to properly locate each element of the project. Calculate and measure required dimensions as shown within recognized tolerances. Drawings shall not be scaled to determine dimensions. Advise entities performing work of marked lines and levels provided for their use.

B. Survey Procedures  
Before proceeding with the layout of actual work, verify the layout information shown on the drawings, in relation to the existing partitions and conditions. As work proceeds, check every major element for line, level and plumb. Maintain a record of such checks; make this record available for the Architect or Engineer. Record deviations from required lines and levels, and advise the Architect or Engineer promptly upon detection of deviations that exceed indicated or recognized tolerances. Record deviations which are accepted, and not corrected, on record drawings.

1.6 Limitations on Use of the Site

A. General  
Limitations on site usage as well as specific requirements that impact site utilization are indicated on the drawings and by other contract documents. In addition to these limitations and requirements administer allocation of available space equitably among entities needing both access and space so as to produce the best overall efficiency in performance of the total work of the project. Schedule deliveries so as to minimize space and time requirements for storage of materials and equipment on site.

B. See also specific requirements of SECTION 01030 - SPECIAL PROJECT REQUIREMENTS and SECTION 01035 SPECIAL PROJECT PROCEDURES.

1.7 Special Reports

A. General  
Submit special reports directly to the Owner through the Architect within one day of an occurrence. Submit a copy of the report to the other entities that are affected by the occurrence.

B. Reporting Unusual Events  
When an event of an unusual and significant nature occurs at the site, prepare and submit a special report. List chain of events, persons participating, response by the Contractor's personnel, and evaluation of the results or affects and similar pertinent information. Advise the Owner in advance when such events are known or predictable.

C. Reporting Accidents

Prepare and submit reports of significant accidents at the site and anywhere else work is in progress. Record and document data and actions. For this purpose, a significant accident is defined to include events where personal injury is sustained, or property loss of substance is sustained, or where the event posed a significant threat of loss or personal injury.

2.0 -PRODUCTS

Not applicable.

3.0 - EXECUTION

3.1 General Installation Provisions

A. Pre-Installation Conferences

Hold a pre-installation meeting at the project site well before installation of each unit of work which requires coordination with other work. Installer and representatives of the manufacturers and fabricators who are involved in, or affected by, that unit of work, and with its coordination or integration with other work that has preceded or will follow shall attend this meeting. Advise the Architect / Engineer of scheduled meeting dates.

1. At each meeting review progress of other work and preparations for the particular work under consideration including specific requirements for the following:

Contract documents.  
Options.  
Related change orders.  
Purchases.  
Deliveries.  
Shop drawings, product data and quality control samples.  
Possible conflicts and compatibility problems.  
Time schedules.  
Manufacturer's recommendations.  
Compatibility of materials.  
Acceptability of substrates.  
Temporary facilities.  
Space and access limitations.  
Governing regulations.  
Safety.  
Inspection and testing requirements.  
Required performance results.  
Recording requirements.  
Protection.

2. Record significant discussions of each conference, and record agreements and disagreements, along with the final plan of action. Distribute the record of meeting promptly to everyone concerned, including the Owner and Architect / Engineer.
3. Do not proceed with the work if the pre-installation conference cannot be successfully concluded. Initiate whatever actions are necessary to resolve impediments to performance of the work and reconvene pre-installation conference at the earliest feasible date.

- B. **Installer's Inspection of Conditions**  
Require the Installer of each major unit of work to inspect the substrate to receive work and conditions under which the work is to be performed. The Installer shall report all unsatisfactory conditions in writing to the Contractor. Do not proceed with the work until unsatisfactory conditions have been corrected in a manner acceptable to the Installer.
- C. **Special Inspections**  
Coordinate and schedule for Special Masonry Inspections with Masonry Contractor and Owner's Inspector as required to comply with current Building Codes. All grout placement for CMU walls shall be witnessed by the Special Inspector.
- D. **Manufacturer's Instructions**  
Where installations include manufactured products, comply with the manufacturer's applicable instructions and recommendations for installation, to the extent that these instructions and recommendations are more explicit or more stringent than the requirements indicated in the contract documents.
- E. Inspect each item of materials or equipment immediately prior to installation. Reject damaged and defective items.
- F. Provide attachment and connection devices and methods for securing work. Secure work true to line and level and within recognized industry tolerances. Allow expansion and building movement. Provide uniform joint width in exposed work. Arrange joints in exposed work to obtain the best visual effect. Refer questionable visual-effect choices to the Architect / Engineer for final decision.
- G. Recheck measurements and dimensions of the work as an integral step of starting each installation.
- H. Install each unit-of-work during weather conditions and project status which will ensure the best possible results in coordination with the entire work. Isolate each unit of work from incompatible work as necessary to prevent deterioration.
- I. Coordinate enclosure of the work with required inspections and tests, so as to minimize the necessity of uncovering work for that purpose.
- J. **Mounting Heights**  
Where mounting heights are not indicated, mount individual units of work at industry recognized standard and A.D.A. acceptable mounting heights for the particular application indicated. Refer questionable mounting height choices to the Architect / Engineer for final decision. For mounting heights on Owner Furnished Equipment, Contractor shall obtain accurate information from data supplied by Owner or from field measurements of actual equipment to be relocated and installed.

### 3.2 **Cleaning and Protection**

- A. **General**  
During handling and installation of work at the project site, clean and protect work in progress and adjoining work on the basis of continuous maintenance. Apply protective covering on installed work where it is required to ensure freedom from damage or deterioration at time of substantial completion.

B. Clean and perform maintenance on installed work as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure proper operation without damaging effects.

C. Limiting Exposures of Work

To the extent possible through reasonable control and protection methods, supervise performance of the work in such a manner and by such means which will ensure that none of the work, whether completed or in progress, will be subjected to harmful, dangerous, damaging or otherwise deleterious exposure during the construction period. Such exposures include, where applicable, but not by way of limitation, to the following:

Excessively high or low temperatures.  
Thermal shock.  
Excessively high or low humidity.  
Water or ice.  
Solvents.  
Chemicals.  
Electrical current.  
Incompatible interface.  
Misalignment.  
Unprotected storage.  
Theft.  
Vandalism.

3.3 Conservation and Salvage

It is a requirement for supervision and administration of the work that construction operations be carried out with the maximum possible consideration given to conservation of energy, water and materials.

END OF SECTION

1.0 - GENERAL REQUIREMENTS

**1.1      Related Documents**

Drawings and General Provisions of Contract, including General and Supplementary Conditions (plus modifications thereto), and other Division 1 Specification Sections, apply to work of this Section.

**1.2      Description of Requirements**

**A.      Definition**

"Cutting and patching" includes cutting into existing construction to provide for the installation or performance of other work and subsequent fitting and patching required to restore surfaces to their original condition.

1.      "Cutting and patching" is performed for coordination of the work, to uncover work for access or inspection, to obtain samples for testing, to permit alterations to be performed or for other similar purposes.
2.      Cutting and patching performed during the initial fabrication, erection or installation processes is not considered to be "cutting and patching" under this definition. Drilling of holes to install fasteners and similar operations are also not considered to be "cutting and patching".

B.      Refer to other sections of these specifications for specific cutting and patching requirements and limitations applicable to individual units of work.

Unless otherwise specified, requirements of this section apply to mechanical and electrical work. Refer to Division 15 and Division 16 Sections for additional requirements and limitations on cutting and patching of mechanical and electrical work.

**1.3      Quality Assurance**

**A.      Requirements for Structural Work**

Do not cut and patch structural work in a manner that would result in a reduction of load-carrying capacity or of load-deflection ratio.

B.      Before cutting and patching the following categories of work, obtain the Architect / Engineer's approval to proceed with cutting and patching as described in the procedural proposal for cutting and patching.

1.      Structural steel.
2.      Miscellaneous structural metals, including lintels, equipment supports, stair systems and similar categories or work.
3.      Structural concrete.
4.      Bearing walls.
5.      Structural decking.
6.      Exterior wall construction.
7.      Piping, ductwork, vessels and equipment.
8.      Structural systems of special construction, as specified by Division 13 Sections.

C.      Where new work is indicated to interface with an existing roofing system or other systems potentially under current warranty, the Contractor shall coordinate as required to verify and provide new work in such manner and with such resources as to maintain the Owners current warranty accordingly without compromise.

D. **Operational and Safety Limitations**  
Do not cut and patch operational elements or safety related components in a manner that would result in a reduction of their capacity to perform in the manner intended, including energy performance, or that would result in increased maintenance, or decreased operational life or decreased safety.

E. Before cutting and patching the following elements of work, and similar work elements where directed, obtain the Owner's approval through the Architect / Engineer to proceed with cutting and patching as proposed in the proposal for cutting and patching. Note fourteen (14) day prior notice requirement of Owner.

1. Primary operational systems and equipment.
2. Noise and vibration control elements and systems.
3. Control, communication, conveying and electrical wiring systems.

F. **Visual Requirements**  
Do not cut and patch work exposed on the building's exterior or in its occupied spaces in a manner that would, in the Architect's opinion, result in lessening the building's aesthetic qualities. Do not cut and patch work in a manner that would result in substantial visual evidence of cut and patch work. Remove and replace work judged by the Architect to be cut and patched in a visually unsatisfactory manner.

1.4 **Submittals**

A. **Procedural Proposal for Cutting and Patching**  
Where prior approval of cutting and patching is required, submit proposed procedures for this work well in advance of the time work will be performed and request approval to proceed. Include the following information, as applicable, in the submittal:

1. Describe nature of the work and how it is to be performed, indicating why cutting and patching cannot be avoided. Describe anticipated results of the work in terms of changes to existing work, including structural, operational and visual changes as well as other significant elements.
2. List products to be used and firms that will perform work.
3. Give dates when work is expected to be performed.
4. List utilities that will be disturbed or otherwise be affected by work, including those that will be relocated and those that will be out-of-service temporarily. Indicate how long utility service will be disrupted. Request day and time desired for disruption of services.
5. Where cutting and patching structural work involves the addition of reinforcement, submit details and engineering calculations to show how that reinforcement is integrated with original structure to satisfy requirements.
6. Approval by the Architect / Engineer to proceed with cutting and patching work does not waive the Architect / Engineer's right to later require complete removal and replacement of work found to be cut and patched in an unsatisfactory manner.

2.1 Materials

Except as otherwise indicated, or as directed by the Architect / Engineer, use materials for cutting and patching that are identical to existing materials. If identical materials are not available, or cannot be used, use materials that match existing adjacent surfaces to the fullest extent possible with regard to visual effect. Use materials for cutting and patching that will result in equal-or-better performance characteristics.

3.0 - EXECUTION

3.1 Inspection

- A. Before cutting, examine the surfaces to be cut and patched and the conditions under which the work is to be performed. If unsafe or otherwise unsatisfactory conditions are encountered, take corrective action before proceeding with the work.
- B. Before the start of cutting work, meet at the work site with all parties involved in cutting and patching, including mechanical and electrical trades. Review areas of potential interference and conflict between the various trades. Coordinate layout of the work and resolve potential conflicts before proceeding with the work.

3.2 Preparation

A. Temporary Support

To prevent failure, provide temporary support of work to be cut.

B. Protection

1. Protect other work during cutting and patching to prevent damage. Provide protection from adverse weather conditions for that part of the project that may be exposed during cutting and patching operations.
2. Avoid interference with use of adjoining areas or interruption of free passage to adjoining areas.

C. Take precautions not to cut existing pipe, conduit or duct serving the building but scheduled to be relocated until provisions have been made to bypass them.

3.3 Performance

A. General

Employ skilled workmen to perform cutting and patching work. Except as otherwise indicated or as approved by the Architect / Engineer, proceed with cutting and patching at the earliest feasible time and complete work without delay.

B. Cutting

1. Cut the work using methods that are least likely to damage work to be retained or adjoining work. Where possible, review proposed procedures with the original installer; comply with original installer's recommendations.
2. In general, where cutting is required, use hand or small power tools designed for sawing or grinding, not hammering and chopping. Cut through concrete and masonry using a cutting machine such as a Carborundum saw or core drill to insure a neat hole. Cut holes and slots neatly to size required with minimum disturbance of adjacent work. To avoid marring existing finished surfaces, cut or drill from the exposed or finished side into concealed surfaces. Temporarily cover openings when not in use.
3. By-pass utility services such as pipe and conduit, before cutting, where such utility services are shown or required to be removed, relocated or abandoned. Cut-off conduit and pipe in walls or partitions to be removed.

After by-pass and cutting, cap, valve or plug and seal tight remaining portion of pipe and conduit to prevent entrance of moisture or other foreign matter.

C. Patching

1. Patch with seams which are durable and as invisible as possible. Comply with specified tolerances for the work.
2. Where feasible, inspect and test patched areas to demonstrate integrity of work.
3. Restore exposed finishes of patched areas and, where necessary, extend finish restoration into retained adjoining work in a manner which will eliminate evidence of patching and refinishing.
4. Where removal of walls or partitions extends one finished area into another finished area, patch and repair floor and wall surfaces in the new space to provide an even surface of uniform color and appearance. If necessary to achieve uniform color and appearance, remove existing floor and wall coverings and replace with new materials.
5. Where patch occurs in a smooth painted surface, extend final paint coat over entire unbroken surface containing patch, after patched area has received prime and base coat.
6. Patch and repair existing plaster / gypsum board ceilings as necessary to provide an even plane surface of uniform appearance.

3.4 Cleaning

Thoroughly clean areas and spaces where work is performed or used as access to work. Remove completely paint, mortar, oils, putty and items of similar nature. Thoroughly clean piping, conduit and similar features before painting or other finishing is applied. Restore damaged pipe covering to its original condition.

END OF SECTION

## TEMPORARY FACILITIES AND CONTROLS - SECTION 01200

### **1.0 GENERAL REQUIREMENTS**

Temporary facilities and controls required for this project include, but are not necessarily limited to, the following:

#### **1.1 Temporary Structures**

- A. Provide and maintain field office separate from the project of not less than 300 sq. ft. in area equipped with the following:
  - 1. Heater or air conditioner as required by weather.
  - 2. Telephone service.
  - 3. Computer with ability and service to send/receive email.
  - 4. Printer
  - 5. Adequate lighting.
  - 6. Plan table, 36" x 60" minimum (2)
  - 7. Plan rack.
  - 8. Desk and chair with lockable file drawer in desk.
  - 9. Toilet facilities: Provide 1 water closet and 1 lavatory.
  - 10. Computer system capable of sending/receiving emails with printer.
- B. Within the Contractor's facilities, provide enclosed space adequate for holding weekly project meetings. Furnish with all required tables, chairs and utilities.
- C. The entire facility, including furniture, will remain the property of the Contractor and shall be maintained at the site until 100% completion of the Work.
- D. Portable office or trailer meeting above requirements acceptable pending local approval.

#### **1.2 Temporary Facilities**

- A. Temporary water and electrical service connections will be provided by General Contractor. This Contractor shall make necessary connections and provide conductors and furnish and install area distribution boxes so located that the individual trades may use 30m (100') maximum length extension cords to obtain adequate power and artificial lighting at all points where required for the Work, and for inspection and safety.
- B. Cost of temporary water and electric connections and conductors shall be borne by Contractor.
- C. Provide temporary toilets in portable units. Toilets must meet standards of the County Public Health Department. Toilets shall be maintained for the duration of the project.
- D. Remove temporary utilities on completion of construction.

#### **1.3 Temporary Scaffolds, Lifts, Staging and Stairs**

Provide scaffolds, lifts, staging, stairs, ramps, ladders, runways, platforms, hoists and guard rails necessary for execution of construction. Comply with recognized safety rules and prevailing laws or ordinances. Remove on completion of construction.

#### **1.4 Protective Barricades and Temporary Walkways**

- A. Contractor to provide and maintain all necessary temporary barricades, covers, enclosing fences, walkways, scaffolds, guards, street barricades, etc., in accordance with requirements of SPECIAL PROJECT REQUIREMENTS - SECTION 01030.

Height and location to be in compliance with local codes and ordinances. Provide adequate warning signs and warning lights.

- B. Materials for construction shall be substantial, sound, all of good appearance, straight, in line, unyielding, complete, well installed, braced and adequate for use intended. All to comply with requirements of local codes and ordinances including the International Building Code. Provide and install gates and doors in enclosing barricade as required.
- C. Remove upon completion of the work.

**1.5 Construction Fence**

- A. Provide 6'-0" high chain link fence around area of work, around staging area, and/or material storage area(s) as directed and/or as deemed necessary for safety. Fence shall be supported on steel posts and maintained in good condition throughout contract period. Remove fence when contract is completed and repair any site damage caused by fence and posts.
- B. Fence adjacent to pedestrian and traffic areas as required to safely maintain ongoing school operations subject to the Site Limits and approval of the Owner and the Architect.
- C. Provide lockable gates (truck gates and pedestrian gate as required). Locate at Contractor's option. Keep gates closed except during actual ingress and egress.
- D. Route fence in behind existing fire hydrants to keep available from street side at all times.
- E. Coordinate fence location with Owner prior to installation of fencing and gates. Fencing and gates shall not obstruct the Owner's daily operation of pedestrian, bus, and or car traffic.

**1.6 Protection**

Conform to requirements of "Safety & Protection of Persons and Property", in GENERAL CONDITIONS.

**1.7 Maintaining Traffic**

- A. Do not close or obstruct streets, sidewalks, alleys and passageways without permit. Do not place or store material in streets, alleys or passageways.
- B. Conduct operations with minimum interference to roads, streets, driveways, alleys, sidewalks and facilities, except as noted herein.
- C. Provide, erect and maintain lights, barriers and the like required by traffic regulations or local laws.

**1.8 Protection of Structure and Property**

- A. Execute work to ensure adjacent property against damages which might occur from falling debris or other cause; do not interfere with use of adjacent property. Maintain free, safe passage to and from same.
- B. Take precautions to guard against movement, settlement or collapse of any sidewalks or street passages adjoining property; be liable for any such movement, settlement or collapse; repair promptly such damage when so ordered.

1.9    **Project Signs**  
Allow no signs or advertising of any kind on the job site except as specifically approved in advance by the Architect.

1.10    **Maintenance and Removal**  
Maintain all temporary facilities and controls as long as needed for the safe and proper completion of the Work. Remove all such temporary facilities and controls as rapidly as progress of the Work will permit, or as directed by the Architect.

END OF SECTION

1.0 - GENERAL REQUIREMENTS

**1.1    Related Documents**

Drawings and general provisions of Contract, including General and Supplementary (Special) Conditions, and modifications thereto, and other Division 1 Specifications Sections, apply to work of this Section. See Special Project Requirements Section 01030 for pre-installation meetings and pre-finishes meeting.

**1.2    Description of Requirements**

**A.    General**

Required inspection and testing services are intended to assist in the determination of probable compliance of the work with requirements specified or indicated. These required services do not relieve the Contractor of responsibility for compliance with these requirements or for compliance with requirements of the Contract Documents.

**B.    Definitions**

The requirements of this section relate primarily to customized fabrication and installation procedures, not to the production of standard products. Quality control services include inspections and tests and related actions including reports performed by independent agencies and governing authorities, as well as directly by the Contractor. These services do not include Contract enforcement activities performed directly by the Architect or Engineer.

1.    Specific quality control requirements for individual units of work are specified in the sections of these specifications that specify the individual element of the work. These requirements, including inspections and tests, cover both production of standard products and fabrication of customized work. These requirements also cover quality control of the installation procedures.
2.    Inspection, tests and related actions specified in this section and elsewhere in the Contract Documents are not intended to limit the Contractor's own quality control procedures which facilitate overall compliance with requirements of the Contract Documents.
3.    Requirements for the Contractor to provide quality control services as required by the Architect / Engineer, the Owner, governing authorities or other authorized entities are not limited by the provisions of this section.

**1.3    Responsibilities**

**A.    Testing**

Owner shall employ and pay for testing services except where tests are specifically indicated as being the contractor's responsibility.

**B.    Re-Test Responsibilities**

Where results of required inspections, tests or similar services prove unsatisfactory and do not indicate compliance or related work with the requirements of the Contract Documents, then re-tests are the responsibility of the Contractor, regardless of whether the original test was the Contractor's responsibility. Re-testing of work revised or replaced by the Contractor is the Contractor's responsibility, where required tests were performed on original work.

C. Responsibility for Associated Services

The Contractor is required to cooperate with the independent agencies performing required inspections, tests and similar services. Provide such auxiliary services as are reasonably requested. Notify the testing agency sufficiently in advance of operations to permit assignment of personnel. These auxiliary services include, but are not necessarily limited to, the following:

1. Providing access to the work.
2. Taking samples or assistance with taking samples.
3. Delivery of samples to test laboratories.
4. Security and protection of samples and test equipment at the project site.

D. Coordination

The Contractor and each independent agency engaged to perform inspections, tests and similar services for the project shall coordinate the sequence of their activities so as to accommodate required services with a minimum of delay in the progress of the work. In addition, the Contractor and each independent testing agency shall coordinate their work so as to avoid the necessity of removing and replacing work to accommodate inspections and tests. The Contractor is responsible for scheduling times for inspections, tests, taking of samples and similar activities.

1.4 Quality Assurance

Qualification for Service Agencies: Except as otherwise indicated, engage inspection and test service agencies, including independent testing laboratories, which are pre-qualified as complying with "Recommended Requirements for Independent Laboratory Qualification" by the American Council of Independent Laboratories, and which are recognized in the industry as specialized in the types of inspections and tests to be performed.

1.5 Submittals

A. General

Refer to Division - 1 Section of "Submittals" for the general requirements on submittals. Submit a certified written report of each inspection, test or similar service, directly to the Architect / Engineer, in duplicate, unless the Contractor is responsible for the service. If the Contractor is responsible for the service, submit a certified written report of each inspection, test or similar service through the Contractor, in duplicate. Submit additional copies of each written report directly to the governing authority, when the authority so directs.

B. Report Data

Written reports of each inspection, test or similar service shall include, but not be limited to, the following:

1. Name of testing agency or test laboratory.
2. Dates and locations of samples and tests or inspections.
3. Names of individuals making the inspection or test.
4. Designation of the work and test method.
5. Complete inspection or test data.
6. Test results.
7. Interpretations of test results.
8. Notation of significant ambient conditions at the time of sample-taking and testing.
9. Comments or professional opinion as to whether inspected or tested work complies with requirements of the Contract Documents.
10. Recommendations on re-testing, if applicable.

## 2.0 - PRODUCTS

Not applicable.

## 3.0 - EXECUTION

### 3.1 Repair and Protection

Upon completion of inspection, testing, sample-taking and similar services performed on the work, repair damaged work and restore substrates and finishes to eliminate deficiencies, including deficiencies in the visual qualities of exposed finishes. Comply with the Contract Document requirements for "Cutting and Patching". Protect work exposed by or for quality control service activities and protect repaired work. Repair and protection is the Contractor's responsibility, regardless of the assignment of responsibility for inspection, testing or similar services.

END OF SECTION

## SHOP DRAWING SUBMITTALS - SECTION 01350

### 1.0 - GENERAL

A. Summary: Shop drawings may be transmitted for approval by electronic format or by hard copies.

1. Digital Copies:
  - a. Shop drawing and product data submittals shall be transmitted to Architect's office in electronic (PDF) format via email at [submittals@lathanassociates.com](mailto:submittals@lathanassociates.com). Do not email or copy transmittals to Architect or engineer.
  - b. The intent of electronic submittals is to expedite the construction process by reducing paperwork and improving information flow.
  - c. **The electronic submittal process is not intended for color samples, color charts, or physical material samples.**
  - d. After receiving approved digital submittals, **General Contractor is responsible for printing and delivering 2 hard copies of the approved shop drawings to the Architect within 10 days.** Submittals are not considered complete until 2 copies have been received by the Architect. This may have a direct effect on pay requests or final payment.
  - e. The Architect will retain the two (2) hard copies of shop drawing submittals: one for project records, and one to be incorporated with Close-Out Documents for the Owner.
  - f. Prior to submitting electronic submittals, GC must sign electronic submittal agreement. Project will be either all electronic or all hard copy. We will not accept electronic submittals once we have begun with hard copies. A copy of this agreement is attached to this section.

**DIGITAL file name** shall include Architect Job No, Specification Section number and description. (e.g., 15-01, 06100 - Rough Carpentry). We will not accept files that are randomly named. (e.g. scan 1234 or from Xerox Copier, etc.) Digital submittals must still be stamped approved or approved as noted.

B. Submittal Procedures:

1. Coordinate submittals preparation with construction, fabrication, other submittals and activities that require sequential operations. Transmit in advance of construction operations to avoid delay.
2. Coordinate submittals for related operations to avoid delay because of the need to review submittals concurrently for coordination. The Architect reserves the right to withhold action on a submittal requiring coordination until related submittals are received.
3. Processing: General Contractor must review and approve shop drawings and submittals prior to submitting to Architect. Allow the Architect no less than three (3) weeks for initial review. Allow more time if the Architect must delay processing to permit coordination with the sequence of construction, related specification divisions and finishes to be selected in comparison, engineers, consultants and owner's representatives. Allow no less than two (2) weeks for reprocessing.

**NOTE:** No extension of Contract Time and/or additional costs will be authorized because of failure to transmit submittals sufficiently in advance of the Work to permit processing.

4. **Submittal Preparation:** The following information must be included with each transmittal.
  - a. Date
  - b. Project name and architect's project number.
  - c. Name of the General Contractor and contact within company.
  - d. Subcontractor name.
  - e. Supplier name.
  - f. Description of item.
  - g. Specification Section and name of that section.
  - h. Name of the Manufacturer - Model / Style of Item.
  - i. Only project specific items should be sent.
5. **Transmittal Letter:** Transmit samples, etc. with form that contains Architect's Job name and number, Specification Number, Product Name, Manufacturer name and Model number. On the form, record requests for data and deviations from requirements.
6. **Contractors Action/Approval**

Include General Contractor's certification stamp that information has been checked and complies with requirements before submitting to architect. General Contractor's action stamp must include Approved or Approved as Noted.

Information received without the contractor's stamp will be returned without any action taken by engineer or architect.

C. **Submittal Schedule:**

1. After developing the Contractor's Construction Schedule, prepare a schedule of submittals. Submit at or before date of the Pre-Construction Conference.
2. Coordinate with a list of Subcontracts, Schedule of Values, List of Products and the Contractor's Construction Schedule.
3. Prepare the schedule in order by Section number. Provide the following information:
  - a. Date for first submittal.
  - b. Related Section number.
  - c. Submittal category (Shop Drawings, Product Data or Samples).
  - d. Name of the Subcontractor.
  - e. Description of the Work covered.
  - f. Date for the Architect's final approval.

D. **Shop Drawings:**

Submit newly prepared information drawn to scale. Indicate deviations from the Contract Documents. Do not reproduce Contract Documents or copy standard information. Include the following information:

1. Dimensions.
2. Identification of products and materials included by sheet and detail number.
3. Compliance with standards.
4. Notation of coordination requirements.
5. Notation of dimensions established by field measurement.
6. Do not use Shop Drawings without an appropriate final stamp indicating action taken.
7. After receiving approved digital Shop Drawings, General Contractor is responsible for printing and delivering 2 hard copies of the approved shop drawings to the Architect within 10 days. Submittals are not considered complete until 2 copies

have been received by the Architect. This may have a direct effect on pay requests or final payment.

**N*ote: ALL MANUFACTURED ITEMS THAT ARE STRUCTURAL IN NATURE SHALL BEAR THE SEAL OF A PROFESSIONAL ENGINEER REGISTERED IN THE STATE OF ALABAMA AND SUBMITTED FOR APPROVAL.***

**E. Product Data:**

1. Collect Product Data into a single submittal for each element of construction. Mark each copy to show applicable choices and options. Where Product Data includes information on several products, mark copies to indicate applicable information.
2. Include the following information:
  - a. Manufacturer's printed recommendations.
  - b. Compliance with trade association standards.
  - c. Compliance with recognized testing agency standards.
  - d. Application of testing agency labels and seals.
  - e. Notation of dimensions verified by field measurement.
  - f. Notation of coordination requirements.
3. Submittals:
  - a. Unless noncompliance with Contract Documents is observed, the submittal serves as the final submittal.
4. Distribution:
  - a. Furnish copies to Installers, Subcontractors, Suppliers and others required for performance of construction activities.
  - b. Do not use unmarked Product Data for construction.

**F. Samples:**

1. Submit samples as required/requested and for color/texture/finish selections.
2. Include the following:
  - a. Specification Section number and reference.
  - b. Generic description of the Sample.
  - c. Sample source.
  - d. Product name or name of the Manufacturer.
  - e. Compliance with recognized standards.
3. Refer to other Sections for requirements for samples that illustrate workmanship, fabrication techniques, details of assembly, connections, operation and similar characteristics.
  - a. Samples erected at site and not incorporated into the Work, or designated as the Owner's property, are the Contractor's property and shall be removed from the site.

**G. Architect's Action:**

1. Except for submittals for the record or information, where action and return are required, the Architect will review each submittal, mark to indicate action taken, and return. Compliance with contract documents and specified characteristics is the Contractor's responsibility.
2. Action Stamp

- a. The Architect will stamp each submittal with an action stamp. The Architect will mark the stamp appropriately to indicate the action taken.
- b. Architect's Action Stamp will read as follows:

Reviewed by Lathan Associates Architects, P.C.  
Date  
Approved for Design as Noted Subject to Contractor Verifying  
Quantities and Dimensions

2.0 - PRODUCTS Not applicable.

3.0 - EXECUTION Not applicable.

END OF SECTION

**ELECTRONIC SUBMITTAL REQUIREMENTS FOR  
LATHAN ASSOCIATES ARCHITECTS, P.C.**

1. **Processing:** General Contractor must review and approve shop drawings and submittals prior to submitting to Architect. Allow the Architect two (2) weeks for initial review. Allow more time if the Architect must delay processing to permit coordination with other engineers and consultants.

NOTE: No extension of Contract Time will be authorized because of failure to transmit submittals sufficiently in advance of the Work to permit processing.

2. **Contractors Action / Approval**

Include General Contractor's electronic certification stamp that information has been checked by the General Contractor and complies with requirements of the Contract Documents before submitting to architect. General Contractor's action stamp must include Approved or Approved as Noted.

Information received without the contractor's stamp will not be reviewed and no action will be taken by engineer or architect.

**DIGITAL file name** shall include Architect Job No, Specification Section number and description. (e.g., 15-01, 06100 - Rough Carpentry). We will not accept files that are randomly named. (e.g. scan 1234 or from Xerox Copier, etc.)

3. **Submittal Preparation:**

Include the following information on transmittal / email.

- a. Date
- b. Project Name and Architect's Project Number.
- c. Name of the General Contractor and Contact within company.
- d. Subcontractor/Supplier.

Clearly state Number and title of appropriate Specification Section and Description of Item and if applicable

- a. Name of the Manufacturer.
- b. Model / Style of Item.

4. **Electronic submittals will only be accepted when emailed to: [submittals@lathanassociates.com](mailto:submittals@lathanassociates.com)**

**DO NOT COPY ARCHITECTS OR ENGINEERS WITH THE SUBMITTAL**

5. After receiving approved submittals, **General Contractor is responsible for printing and delivering 2 hard copies of the approved shop drawings to the Architect within 10 days**. Submittals are not considered complete until these copies are received by the Architect and may have a direct effect on Pay Requests and / or final payment.

I have read the above requirements and agree to the terms set forth in this document.

General Contractor

by:

Authorized Signature

Architect Job Name and Number

## PRODUCT SUBSTITUTION PROCEDURES - SECTION 01360

### **1.0 GENERAL**

#### **1.1 Section Includes:**

- A. General requirements for product options and substitution procedures.
- B. Material and product options.
- C. Substitutions.
- D. Coordination
- E. Substitution Request Form.

#### **1.2 Related Sections:**

- A. Section 01025 - Summary of Work
- B. Section 01040 - Project Coordination
- C. Section 01350 - Shop Drawing Submittals
- D. Section 01400 - Materials and Equipment
- E. Section 01900 - Warranties
- F. Section 01910 - Close Out Procedures
- G. In addition to "General Conditions of the Contract", comply with product option and substitution requirements specified in this Section.

#### **1.3 Material and Product Options:**

- A. Materials and products specified by reference standards, by performance, or by description only:
  - 1. Any product meeting specified requirements.
- B. Materials and products specified by naming products of one or more manufacturers with a provision for an equivalent product:
  - 1. Submit one of the products listed which complies with specified requirements or submit a Request for Substitution for a product of manufacturer not specifically named which complies with specified requirements.
- C. Materials and products specified by naming products of several manufacturers meeting specifications:
  - 1. Submit one of the products listed which complies with specified requirements or submit a Request for Substitution for a product of manufacturer not specifically named which complies with specified requirements.

#### **1.4 Substitutions:**

- A. After date of Notice to Proceed, Architect / Engineer will consider requests from Contractor for substitutions. Subsequently, substitutions will be considered only when a material or product becomes unavailable due to no fault of Contractor or as follows:
  - 1. Lockouts
  - 2. Strikes
  - 3. Bankruptcy
  - 4. Discontinuation of products
  - 5. Proven shortage
  - 6. Other similar occurrences
- B. Each proposed substitution of materials or products for that one specified is a representation by Contractor that he has personally investigated the substitution and determined that the proposed substitution is equivalent or superior to that specified in quality, durability and serviceability, design, appearance, function, finish, performance, and of size and weight which will permit installation in spaces provided and allow adequate service access. Additionally, Contractor agrees that it

will provide and/or do the following:

1. Same warranty on substitution as for specified product or materials;
2. Coordinate installation and make other changes that may be required for Work to be complete in all respects;
3. Waive claims for additional costs which may subsequently become apparent;
4. Verify that proposed materials and products comply with applicable building codes and governing regulations and, where applicable, has approval of governing authorities having jurisdiction.

C. The Architect/Engineer will review requests from Contractor for substitutions with the Owner. Contractor shall not purchase or install substitute materials and products without written approval. The Architect/Engineer will give written notice to Contractor and the Owner of acceptance or rejection within a reasonable time.

D. Document each request for substitution with complete data substantiating compliance of proposed substitution with Contract Documents. Contractor shall use the *Substitution Request Form* along with appropriate attachments and submit them to the office of the Architect. A copy of the *Substitution Request Form* is included at the end of this Section.

1. Documents, as appropriate, shall include the following:
  - a. Reason for the proposed substitution;
  - b. Change in Contract Sum and Contract Time, if any;
  - c. Effect on work progress schedule and completion date;
  - d. Changes in details and construction of related work required due to substitution
  - e. Drawings and samples
  - f. Product identification and description
  - g. Performance and test data
  - h. Itemized comparison of the qualities of the proposed substitution to the product specified including durability, serviceability, design, appearance, function, finish, performance, size and space limitations, vibration, noise, and weight
  - i. Availability of maintenance service, source and interchangeability of parts or components
  - j. Additional information as requested.

E. In the event of credit change in the cost, the Owner shall receive all benefit of the reduction in cost of the proposed substitution. Credit shall be established prior to final approval of the proposed substitution and will be adjusted by Change Order.

F. Substitutions will not be considered when they are indicated or implied on shop drawing or product data submittals without separate written request, without having been reviewed and approved by Contractor, or when acceptance will require substantial revision of Contract Documents without addition compensation to the Architect / Engineer.

G. In the event that the Contractor or Subcontractor has neglected to place an order for specified materials and products to meet the work progress schedule, specified requirements, color schemes or other similar provisions, such failure or neglect shall not be considered as legitimate grounds for an extension of completion time nor shall arbitrary substitutions be considered to meet completion date.

H. Only one request for substitutions will be considered for each product. When substitutions are not accepted, the Contractor shall provide specified product.

I. Should substitution be accepted, and substitution subsequently is defective or

otherwise unsatisfactory, Contractor shall replace defective material or product with specified material or product at no cost to Owner.

**1.5 Coordination:**

- A. When a specified, optional, specified by reference standard, or proposed substitution item of equipment or material is submitted which requires minor changes or additions to the designed structure, finishes or to mechanical and/or electrical services due to its requirements being different from those shown on the Contract Documents, itemize the changes required and attach to submittal. Do not proceed with changes without written approval from the Architect / Engineer.
- B. Contractor shall make adjustments and changes required to coordinate Work for installation of optional materials and products, approved substitutions and materials and products specified by reference standards without additional costs to Owner or Architect/ Engineer.

**2.0 PRODUCTS**

Not applicable.

**3.0 EXECUTION**

Not applicable.

END OF SECTION

## PRIOR APPROVAL / SUBSTITUTION REQUEST FORM

Date: \_\_\_\_\_

Company Submitting Request: \_\_\_\_\_  
(Name and Address)  
\_\_\_\_\_

Contact Name: \_\_\_\_\_ Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

E-Mail: \_\_\_\_\_

PROJECT NAME: \_\_\_\_\_

SPECIFIED ITEM: \_\_\_\_\_  
(Section) (Page) (Description)

The undersigned requests consideration of the following product substitution:

PROPOSED SUBSTITUTION: \_\_\_\_\_

Provide Product Name / Model /Manufacturer

1. Attached data includes: \_\_\_\_\_ Product Description \_\_\_\_\_ Performance and Test Data  
\_\_\_\_\_  
Drawings \_\_\_\_\_ Specifications \_\_\_\_\_ Photographs
2. \_\_\_\_\_ Yes / No changes will be required to the Contract Documents for the proper installation of proposed product substitution. If yes, then attach data that includes description of changes.

**The undersigned states that the following paragraphs, unless modified by attachments, are correct:**

1. The proposed substitution does not affect dimensions shown on the drawings.
2. No changes to the building design, engineering design, or detailing are required by the proposed substitution.
3. The proposed substitution will have no adverse effect on other trades, the construction schedule, or **specified warranty requirements**.
4. No maintenance is required by the proposed substitution other than that required for originally specified product.
5. Other Information

The undersigned further states that they have read the corresponding specification section in the project manual and confirms that the function, appearance and quality of the proposed substitution are equivalent or superior to the originally specified product. \_\_\_\_\_ initial.

Signature: \_\_\_\_\_ Printed Name: \_\_\_\_\_

Fax Number: \_\_\_\_\_

---

### For Architect's Use:

<input type="checkbox"/> Accepted	<input type="checkbox"/> Accepted As Noted	<input type="checkbox"/> Incomplete Information
<input type="checkbox"/> Not Accepted	<input type="checkbox"/> Received Too Late	<input type="checkbox"/> No Substitutions Accepted For This Product

Reviewed By / Date: \_\_\_\_\_

Processed by Addendum No. \_\_\_\_\_

Comments: \_\_\_\_\_

1.0 - GENERAL REQUIREMENTS

**1.1 Products and Materials**

A. Products, materials and manufactured items or articles of like nature shall, as nearly as possible, be of one brand or manufacturer. No changes or substitutions shall be made without written consent of the Architect. In selection of colors and patterns, the Architect reserves the right to select from the manufacturer's running pattern line (within same price range) of the materials called for in the Specifications without the added cost to the Owner.

B. All products and materials used for this project shall be asbestos free.

**1.2 Trade Names**

The use of manufacturer's names and serial numbers are given to establish a standard of manufacture and not intended to be restrictive or preferential. Similar, equal, and approved materials of other manufacturers will be acceptable, subject to the approval of the Architect, pursuant to requirements set forth in INSTRUCTIONS TO BIDDERS and as required by the Specifications.

**1.3 Measurements**

Before ordering any material or doing any work, the Contractor shall verify all measurements of the building and shall be responsible for correctness of same. No extra charge or compensation will be allowed because of differences between actual measurements and the dimensions indicated on the Drawings. Any differences which may be found, shall be submitted to the Architect for consideration before proceeding with the work.

**1.4 Salvageable Material**

Any salvageable material and/or equipment shall remain the property of the Owner and, upon removal from its existing location, shall be stored where directed by the Architect.

In the event that the Owner does not wish to keep the salvaged material, it shall be the responsibility of the Contractor to remove same promptly from the site.

Salvageable material shall include those items indicated on the drawings as items to be reused or relocated. Remove all finish hardware from doors noted to be removed under demolition. Tag and label finish hardware as to door function (and label), and turn over to Owner.

Coordinate with Architect on questionable salvage items.

**1.5 Unused Materials**

Unused excess materials purchased for this project and charged against the contract shall be the property of the General Contractor and removed upon final completion.

END OF SECTION

## SECTION 01410 – QA/QC, STRUCTURAL TESTS, & STRUCTURAL SPECIAL INSPECTIONS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 1 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements required for compliance with the International Building Code, Chapter 17, Structural Tests and Special Inspections as well as specific quality-assurance and -control requirements for individual construction activities as referenced in the Sections that specify those activities.
- B. Structural testing and special inspection services are required to verify compliance with requirements specified or indicated. These services do not relieve contractor of responsibility for compliance with other construction document requirements.
  - 1. Specified tests, inspections, and related actions do not limit Contractor's other quality-assurance and -control procedures that facilitate compliance with the construction document requirements.
  - 2. Requirements for contractor to provide quality-assurance and quality-control services required by architect, owner, or authorities having jurisdiction are not limited by provisions of this section.
- C. The owner will engage one or more qualified special inspectors and / or testing agencies to conduct structural tests and special inspections specified in this section and related sections and as maybe specified in other divisions of these specifications.

#### 1.3 DEFINITIONS

- A. Approved Agency: An established and recognized agency regularly engaged in conducting tests or furnishing inspection services, when such agency has been approved by the building official and the Structural Engineer of Record.
- B. Construction Documents: Written (including specifications), graphic and pictorial documents prepared or assembled for describing the design, location and physical characteristics of the elements of a project necessary for obtaining a building permit. Construction Documents include all supplemental instructions, sketches, addenda, and revisions to the drawings and specifications issued by the registered design professional beyond those issued for a building permit.
- C. Shop Drawings / Submittal Data: Written, graphic and pictorial documents prepared and

/ or assembled by the contractor based on the Construction Documents.

- D. Structural Observation: Visual observation of the structural system by a representative of the registered design professional's office for general conformance to the approved construction documents. Structural observations are not considered part of the structural tests and special inspections and do not replace inspections and testing by the testing agency or special inspector.
- E. Special Inspector: A qualified person who demonstrating competence, to the satisfaction of the code enforcement official and registered design professional in responsible charge, for inspection of the particular type of construction or operation requiring special inspection. The special inspector shall be a licensed professional engineer or engineering intern or a qualified representative from the testing agency.
- F. Special Inspection, Continuous: The full-time observation of work requiring special inspection by an approved special inspector who is present in the area where the work is being performed.
- G. Special Inspection, Periodic: The part-time or intermittent observation of work requiring special inspection by an approved special inspector who is present in the area where the work has been or is being performed and at the completion of the work.
- H. Testing Agency: A qualified materials testing laboratory under the responsible charge of a licensed professional engineer, approved by the code enforcement official and the registered design professional in responsible charge, to measure, examine, test, calibrate, or otherwise determine the characteristics or performance of construction materials and verify confirmation with construction documents.

#### 1.4 QUALITY ASSURANCE

##### A. Testing Agency Qualifications:

- 1. Minimum qualifications of inspection and testing agencies and their personnel shall comply with ASTM E329-03 Standard Specification for Agencies in the Testing and / or Inspection of Materials Used in Construction.
  - a. Inspectors and individuals performing tests shall be certified for the work being performed as outlined in the appendix of the ASTM E329. Certification by organizations other than those listed must be submitted to the building official for consideration before proceeding with work.
- 2. Additional minimum qualifications of inspection and testing agencies and their personnel inspecting and testing concrete and concrete related work shall be as follows:
  - a. An independent agency, acceptable to the Structural Engineer of Record qualified according to ASTM C 1077.
  - b. Personnel conducting field tests shall be qualified as ACI Concrete Field Testing Technician, Grade 1, according to ACI CP-01 or an equivalent certification program.
  - c. Personnel performing laboratory tests shall be ACI-certified Concrete Strength Testing Technician and Concrete Laboratory Testing Technician - Grade I. Testing Agency laboratory supervisor shall be an ACI-certified

Concrete Laboratory Testing Technician - Grade II.

3. In addition to these requirements, local jurisdiction may have additional requirements. It is the responsibility of the testing and inspection agencies to meet local requirements and comply with local procedures.

**1.5 CONFLICTING REQUIREMENTS, REPORTS, AND TEST RESULTS**

- A. General: If compliance with two or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer uncertainties and requirements that are different, but apparently equal, to the registered design professional in responsible charge for a decision before proceeding.
- B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to the registered design profession in responsible charge for a decision before proceeding.
- C. The special inspector's reports and testing agencies results shall have precedence over reports and test results provided by the contractor.
- D. Where a conflict exists between the construction documents and approved shop drawings / submittal data, the construction documents shall govern unless the shop drawings / submittal data are more restrictive. All conflicts shall be brought to the attention of the registered design professional in responsible charge.

**1.6 SUBMITTALS BY SPECIAL INSPECTOR AND / OR TESTING AGENCY**

- A. Special inspectors shall keep and distribute records of inspections. The special inspector shall furnish inspection reports to the building official, and to the registered design professional in responsible charge, contractor, architect, and owner. Reports shall indicate that work inspected was done in conformance to approved construction documents. Discrepancies shall be brought to the immediate attention of the contractor for correction. If the discrepancies are not corrected, the discrepancies shall be brought to the attention of the building official and to the registered design professional in responsible charge prior to the completion of that phase of the work. A final report documenting required special inspections and correction of any discrepancies noted in the inspections shall be submitted at a point in time agreed upon by the permit applicant and the building official prior to the start of work.
  1. Special inspection reports and test results shall include, but not be limited to, the following:
    - a. Date of inspection.
    - b. Description of inspections or tests performed including location (reference grid lines, floors, elevations, etc.).
    - c. Statement noting that the work, material, and / or product conforms or

does not conform to the construction document requirements.

1. Name and signature of contractor's representative who was notified of work, material, and / or products that do not meet the construction document requirements.
- d. Name and signature of special inspector and / or testing agency representative performing the work.
- e. Additional information as required herein.

B. Schedule of Non-Compliant Work: Each agent shall maintain a log of work that does not meet the requirements of the construction documents. Include reference to original inspection / test report and subsequent dates of re-inspection / retesting.

C. Reports and tests shall be submitted within 1 week of inspection or test. Schedule of Non-Compliant Work shall be updated daily and submitted at monthly intervals.

D. Concrete Test Reports: Test results shall be reported in writing to Architect, Engineer, concrete manufacturer, and Contractor within 24 hours of testing. Reports of compressive-strength tests shall contain:

1. Project identification name and number.
2. Date and time of concrete placement.
3. Mix design number or identification.
4. Design compressive strength at 28 days.
5. Design Air Content.
6. Design Slump.
7. Location of concrete batch in Work.
8. Time concrete was batched.
9. Amount of water withheld at plant.
10. Amount of water added at site.
11. Temperature of mix at point of placement.
12. Slump at point of placement
  - a. When use of a Type I or II plasticizing admixture conforming to ASTM C 1017 or when a Type F or G high range water reducing admixture conforming to ASTM C494 is used, slump shall be measured and report both before addition of the admixture and at the point of placement.
13. Air content.
14. Name of concrete testing and inspecting agency.
  - a. Name of Laboratory Technician and ACI Certification Number.
  - b. Name of Field Technician and ACI Certification Number.
15. Compressive breaking strength.
16. Type of break.

E. Final Report of Special Inspections. Submitted by each agent listed in the schedule of Structural Testing and Special Inspections.

## PART 2 - PRODUCTS (not used)

## PART 3 - EXECUTION

### 3.1 CONTRACTOR'S RESPONSIBILITY

- A. The contractor shall coordinate the inspection and testing services with the progress of the work. The contractor shall provide sufficient notice to allow proper scheduling of all personnel. The contractor shall provide safe access for performing inspection and on site testing.
- B. The contractor shall submit schedules to the owner, registered design professionals and testing and inspecting agencies. Schedules will note milestones and durations of time for materials requiring structural tests and special inspections.
- C. The contractor shall repair and / or replace work that does not meet the requirements of the construction documents.
  1. Contractor shall engage an engineer / architect to prepare repair and / or replacement procedures.
  2. Engineer / architect shall be registered in the state in which the project is located. Engineer shall be acceptable to the registered design professional in responsible charge, code enforcement official, and owner.
  3. Procedures shall be submitted for review and acceptance by the registered design professional in responsible charge, code enforcement official, and owner before proceeding with corrective action.
- D. The contractor shall be responsible for costs of:
  1. Re-testing and re-inspection of materials, work, and / or products that do not meet the requirements of the construction documents and shop drawings / submittal data.
  2. Review of proposed repair and / or replacement procedures by the registered design professional in responsible charge and the inspectors and testing agencies.
  3. Repair or replacement of work that does not meet the requirements of the construction documents.

### 3.2 STRUCTURAL OBSERVATIONS

- A. Structural observations may be made periodically as determined by the registered design professional in responsible charge.

### 3.3 TESTING AND INSPECTION

- A. Testing and inspection shall be in accordance with the attached Schedule of Special Inspections, as listed elsewhere in the project documents, and as listed herein.
- B. Soils, Periodic Inspection.

1. Verify bearing capacities of soils beneath footings is in accordance with the approved project soils report and earthwork specifications.
2. Verify assumed bearing capacities (As noted on the drawings, recommended by the geotechnical engineer, and specified in earthwork specifications.) and determine settlements of soils beneath footings and building pad.
3. Verify site preparation prior to beginning fill placement. Verify fill material type, placement method, lift thickness, and compaction of fill material. Verify in-place density of compacted fill.
  - a. As recommended in approved soils report and specified in earthwork specifications.

#### C. Concrete, Continuous Inspection

1. Concrete Tests: Testing of composite samples of fresh concrete obtained according to ASTM C 172 shall be performed according to the following requirements:
  - a. Testing Frequency: Obtain one composite sample for each day's pour of each concrete mixture exceeding 5 cu. yd, but less than 25 cu. yd., plus one set for each additional 50 cu. yd. or fraction thereof.
  - b. When frequency of testing will provide fewer than five compressive-strength tests for each concrete mixture, testing shall be conducted from at least five randomly selected batches or from each batch if fewer than five are used.
2. Slump: ASTM C 143; one test at point of placement for each composite sample, but not less than one test for each day's pour of each concrete mixture. Perform additional tests when concrete consistency appears to change.
3. Air Content: ASTM C 231, pressure method, for normal-weight concrete; ASTM C 173, volumetric method, for structural lightweight concrete; one test for each composite sample, but not less than one test for each day's pour of each concrete mixture.
4. Concrete Temperature: ASTM C 1064; one test hourly when air temperature is 40 deg F and below and when 80 deg F and above, and one test for each composite sample.
5. Unit Weight: ASTM C 567, fresh unit weight of structural lightweight concrete; one test for each composite sample, but not less than one test for each day's pour of each concrete mixture.
  - a. Unit Weight is only required for lightweight concrete
6. Compressive-Strength Tests: ASTM C 39; test one laboratory-cured specimens at 7 days, one set of two specimens at 28 days, and hold one in reserve for later testing as directed by the Structural Engineer of Record.

- a. Test one set of two field-cured specimens at 7 days and one set of two specimens at 28 days.
7. Inspect bolts to be installed prior to and during placement of concrete.
8. Inspect concrete placement to verify operations are in accordance with project requirements.
  - a. Verify correct mix is used.

#### D. Concrete, Periodic Inspection

1. Floor flatness:
  - a. Measure floor and slab flatness and levelness according to ASTM E 1155 within 24 hours of finishing..
2. Inspect concrete formwork prior to concrete placement, except as noted. Verify that construction joints are properly keyed. Verify that slab recesses, if any, have been installed.
3. Inspect reinforcing steel prior to concrete placement, except as noted, for installation including size, spacing and bar clearances. Verify that lap splices and embedment lengths are per the construction documents. Verify that dowels for work above are properly aligned and spaced to match other work.
4. Inspect all concrete curing operations and verify they are in accordance with project requirements.
5. Inspect the installation of anchors installed in hardened concrete.

#### E. Masonry, Continuous Inspection

1. Inspect grouting operations to ensure compliance with code and construction documents.
2. Inspect masonry cells and cleanouts prior to placement of grout. Inspect placement of all grout.
3. Inspect type size and location of anchors, including details of anchorage of masonry to structural members, frames or other construction.
4. Inspect preparation of grout specimens, mortar specimens and / or prisms.

#### F. Masonry, Periodic Inspection

1. At beginning of masonry construction:
  - a. Inspect proportions of site prepared mortar and grout.
  - b. Inspect construction of mortar joints.

- c. Inspect reinforcement for correct size and spacing.
2. At beginning of masonry construction and every 1000 square feet of masonry thereafter
  - a. Inspect work for size and location of structural elements
  - b. Inspect work for correct location and type of embeds and anchor bolts.
  - c. Specified size, grade, and type of reinforcement.
3. Prior to grouting
  - a. Inspect masonry cells and cleanouts prior to placement of grout. Verify spaces are clear.
  - b. Inspect any site prepared grout proportions.
  - c. Inspect placement of reinforcement.
  - d. Inspect construction of mortar joints
4. Inspect protection of masonry during cold weather and hot weather.
  - a. During periods with temperatures below 40 degrees or above 90 degrees.
5. Verify compliance with all required inspection provisions of the construction documents and approved submittals.

#### G. Steel Construction, Continuous Inspection

1. Inspect welding: Structural Steel:
  - a. Complete and partial penetration groove
    1. Perform Continuous Inspection during the Welding Operations to verify compliance with approved WPS.

#### H. Steel Construction, Periodic Inspection

1. Inspect high-strength bolts, nuts and washers:
  - a. Identify markings to conform to ASTM standards specified in the construction document.
  - b. Inspect manufacturer's certificate of compliance.
2. Inspect high-strength bolting: Bearing-type connections.
3. Inspect and verify structural steel material:
  - a. Identification markings to conform to ASTM standards specified in the

- approved construction documents.
- b. Manufacturers' certified mill test reports.

4. Inspect and verify weld filler materials:

- a. Identification markings to conform to AWS specification in the approved construction documents.
- b. Manufacturer's certificate of compliance required

5. Inspect welding: Structural Steel:

- a. Single-pass fillet welds  $\leq 5/16$
- b. Floor and deck welds.

I. Special Inspection for Wind Resistance, Periodic Inspection

- 1. Roof Cladding and Roof Framing Connections.
- 2. Wall Connections to Roof.
- 3. Diaphragms connections to framing.

J. Reference related specifications for the minimum level of inspections and testing. Provide additional inspections and testing as necessary to determine compliance with the construction drawings.

PART 4 – FORMS AND SCHEDULES (ATTACHED)

# Statement of Special Inspections

Project: *New Multipurpose Facility for the City of Winfield, Alabama*

Location: *Winfield, Alabama*

Owner: *City of Winfield, Alabama*

## Design Professional in Responsible Charge:

This *Statement of Special Inspections* is submitted as a condition for permit issuance in accordance with the Special Inspection and Structural Testing requirements of the Building Code. It includes a schedule of Special Inspection services applicable to this project as well as the name of the Special Inspection Coordinator and the identity of other approved agencies to be retained for conducting these inspections and tests. This *Statement of Special Inspections* encompass the following disciplines:

<input checked="" type="checkbox"/> Structural	<input type="checkbox"/> Mechanical/Electrical/Plumbing
<input type="checkbox"/> Architectural	<input type="checkbox"/> Other: _____

The Special Inspection Coordinator shall keep records of all inspections and shall furnish inspection reports to the Building Official and the Registered Design Professional in Responsible Charge. Discovered discrepancies shall be brought to the immediate attention of the Contractor for correction. If such discrepancies are not corrected, the discrepancies shall be brought to the attention of the Building Official and the Registered Design Professional in Responsible Charge. The Special Inspection program does not relieve the Contractor of his or her responsibilities.

Interim reports shall be submitted to the Building Official and the Registered Design Professional in Responsible Charge.

A *Final Report of Special Inspections* documenting completion of all required Special Inspections, testing and correction of any discrepancies noted in the inspections shall be submitted prior to issuance of a Certificate of Use and Occupancy.

Job site safety and means and methods of construction are solely the responsibility of the Contractor.

Interim Report Frequency: *Weekly* or  per attached schedule.

Prepared by:

\_\_\_\_\_  
(type or print name)



Signature

Date

*Design Professional Seal*

Owner's Authorization:

Building Official's Acceptance:

\_\_\_\_\_  
Signature

Date

\_\_\_\_\_  
Signature

Date

## Schedule of Inspection and Testing Agencies

This Statement of Special Inspections / Quality Assurance Plan includes the following building systems:

<input type="checkbox"/> Soils and Foundations	<input type="checkbox"/> Spray Fire Resistant Material
<input type="checkbox"/> Cast-In-Place Concrete	<input type="checkbox"/> Wood Construction
<input type="checkbox"/> Precast Concrete	<input type="checkbox"/> Exterior Insulation and Finish System
<input type="checkbox"/> Masonry	<input type="checkbox"/> Mechanical & Electrical Systems
<input type="checkbox"/> Structural Steel	<input type="checkbox"/> Architectural Systems
<input type="checkbox"/> Cold-Formed Steel Framing	<input type="checkbox"/> Special Cases

Special Inspection Agencies	Firm	Address, Telephone, e-mail
1. Special Inspection Coordinator		
2. Inspector		
3. Inspector		
4. Testing Agency		
5. Testing Agency		
6. Other		

Note: The inspectors and testing agencies shall be engaged by the Owner or the Owner's Agent, and not by the Contractor or Subcontractor whose work is to be inspected or tested. Any conflict of interest must be disclosed to the Building Official, prior to commencing work.

# Final Report of Special Inspections

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Project:

Location:

Owner:

Owner's Address:

Architect of Record:

Structural Engineer of Record:

To the best of my information, knowledge and belief, the Special Inspections required for this project, and itemized in the *Statement of Special Inspections* submitted for permit, have been performed and all discovered discrepancies have been reported and resolved other than the following:

Comments:

*(Attach continuation sheets if required to complete the description of corrections.)*

Interim reports submitted prior to this final report form a basis for and are to be considered an integral part of this final report.

Respectfully submitted,  
Special Inspector

---

(Type or print name)

---

Signature

---

Date

Licensed Professional Seal



# Agent's Final Report

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Project:

Agent:

Special Inspector:

To the best of my information, knowledge and belief, the Special Inspections or testing required for this project, and designated for this Agent in the *Statement of Special Inspections* submitted for permit, have been performed and all discovered discrepancies have been reported and resolved other than the following:

Comments:

*(Attach continuation sheets if required to complete the description of corrections.)*

Interim reports submitted prior to this final report form a basis for and are to be considered an integral part of this final report.

Respectfully submitted,  
Agent of the Special Inspector

(Type or print name)

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Signature

---

Date

Licensed Professional Seal or  
Certification

# Contractor's Statement of Responsibility

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Each contractor responsible for the construction or fabrication of a system or component designated in the Quality Assurance Plan must submit a Statement of Responsibility.

Project:

Contractor's Name:

Address:

License No.:

Description of designated building systems and components included in the Statement of Responsibility:

## Contractor's Acknowledgment of Special Requirements

I hereby acknowledge that I have received, read, and understand the Quality Assurance Plan and Special Inspection program.

I hereby acknowledge that control will be exercised to obtain conformance with the construction documents approved by the Building Official.

---

Signature

---

Date

## Contractor's Provisions for Quality Control

Procedures for exercising control within the contractor's organization, the method and frequency of reporting and the distribution of reports is attached to this Statement.

Identification and qualifications of the person(s) exercising such control and their position(s) in the organization are attached to this Statement.

## Fabricator's Certificate of Compliance

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Each approved fabricator that is exempt from Special Inspection of shop fabrication and implementation procedures per section 1704.2 of the International Building Code must submit a *Fabricator's Certificate of Compliance* at the completion of fabrication.

Project:

Fabricator's Name:

Address:

Certification or Approval Agency:

Certification Number:

Date of Last Audit or Approval:

Description of structural members and assemblies that have been fabricated:

I hereby certify that items described above were fabricated in strict accordance with the approved construction documents.

---

Signature

---

Date

---

Title

Attach copies of fabricator's certification or building code evaluation service report and fabricator's quality control manual

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## SCHEDULE OF SPECIAL INSPECTIONS

Item	Inspection / Test / Certification	C or P	Extent / Comments	Agent
<b>1.00</b>	<b>Fabricators</b>			
1.01	Review the quality control procedures of the following fabricators for completeness and adequacy relative to the fabricator's scope of work: steel fabricator, CFS truss fabricator, wood truss fabricator, hollow core slab plank manufacturer.	Periodic		OTA
1.02	The following fabricators, if registered and approved by the building official, may submit "Certificates of Compliance" at the completion of their scope of work that their fabricated items were constructed in accordance with the approved construction documents: steel fabricator, CFS truss fabricator, wood truss fabricator, hollow core slab plank manufacturer.	Periodic		OTA
<b>2.00</b>	<b>Soils and Deep Foundations</b>			
2.01	Verify bearing capacities of soils beneath footings.	Periodic	As recommended in approved soils report and specified in earthwork specifications.	OTA
2.02	Verify assumed bearing capacities and determine settlements of soils beneath footings and building pad.	Periodic	As noted on the drawings, recommended by the geotechnical engineer, and specified in earthwork specifications.	OTA
2.03	Verify site preparation prior to beginning fill placement. Verify fill material type, placement method, lift thickness, and compaction of fill material. Verify in-place density of compacted fill.	Periodic	As recommended in approved soils report and specified in earthwork specifications.	OTA
<b>3.00</b>	<b>Concrete Construction</b>			
3.01	Spread footings are excepted from the inspections listed below.			OTA
3.02	Continuous footings are excepted from the inspections listed below.			OTA
3.03	Slabs on grade are excepted from the inspections listed below.			OTA

Item	Inspection / Test / Certification	C or P	Extent / Comments	Agent
3.04	Inspect reinforcing steel except as noted above for installation including size, spacing and bar clearances. Verify that lap splices and embedment lengths are per the construction documents. Verify that dowels for work above are properly aligned and spaced to match other work.	Periodic	Prior to each pour.	OTA; SDG & OTA for Storm Shelter
3.05	Inspect weldability of reinforcing steel other than ASTM A706.	Periodic	Prior to fabrication.	OTA
3.06	Inspect welded shear reinforcement.	Continuous	During installation.	OTA
3.07	Inspect all other welded reinforcement.	Periodic	Prior to pour.	OTA
3.08	Inspect bolts	Periodic		OTA
3.09	Inspect bolts to be installed in concrete prior to and during placement of concrete.	Continuous	During placement and concreting operations.	OTA
3.10	Verify each proposed concrete mix for the project.	Periodic	For each proposed mix.	OTA
3.11	Sample all concrete for strength tests and test concrete for slump, air content, temperature, and other tests.	Continuous	During placement operations. Reference concrete specifications for specific tests and frequencies.	OTA
3.12	Inspect concrete placement except as noted above.	Continuous		OTA
3.13	Inspect all concrete curing operations as noted in the extents column.	Periodic	Monitor during hot, cold and windy conditions. Reference concrete specifications.	OTA
3.14	Erection of precast concrete members.	Periodic	Inspect all connections.	OTA
3.15	Verification of in-situ concrete strength prior to removal of forms and shores supporting weight of concrete.	Periodic	Prior to form or shoring removal.	OTA
3.16	Verification of in-situ concrete strength prior to backfilling walls.	Periodic	Prior to backfilling operations.	OTA
3.17	Inspect Post installed anchors, expansion	Periodic		OTA
3.18	Inspect Post installed anchors, epoxy anchors	Continuous		OTA

Item	Inspection / Test / Certification	C or P	Extent / Comments	Agent
4.00	<b>Masonry Construction</b>			
4.01	Masonry foundation walls are excluded from inspections listed below.			OTA
4.02	Inspect proportions of site prepared mortar and grout. Inspect construction of mortar joints. Inspect reinforcement for correct size and spacing. Inspect work for correct location and type of embeds and anchor bolts. Inspect work for size and location of structural elements.	Periodic	At beginning of masonry construction and every 1000 square feet of masonry thereafter.	OTA
4.03	Inspect masonry cells and cleanouts prior to placement of grout. Inspect grout proportions. Inspect placement of reinforcement.	Periodic	Prior to grouting of masonry.	OTA
4.04	Inspect grouting operations to ensure compliance with code and construction documents.	Continuous	During grouting.	OTA
4.05	Inspect proportions of site prepared mortar and grout. Inspect placement of masonry units and construction of mortar joints. Inspect reinforcement for correct size and spacing. Inspect work for correct size and location of structural elements.	Periodic	At beginning of masonry construction and every 1000 square feet of masonry thereafter.	OTA
4.06	Inspect masonry cells and cleanouts prior to placement of grout. Inspect placement of all grout.	Continuous	During grouting.	OTA
4.07	Inspect type size and location of anchors, including details of anchorage of masonry to structural members, frames or other construction.	Continuous	During installation of anchors.	OTA
4.08	Inspect welding of reinforcing bars.	Continuous	During installation and welding of all reinforcing.	OTA
4.09	Inspect protection of masonry during cold weather and hot weather.	Periodic	During periods with temperatures below 40 degrees or above 90 degrees.	OTA
4.10	Inspect preparation of grout specimens, mortar specimens and / or prisms.	Continuous	During preparation of all specimens.	OTA
4.11	Verify compliance with all required inspection provisions of the construction documents and approved submittals.	Periodic	As required for duration of project.	OTA

Item	Inspection / Test / Certification	C or P	Extent / Comments	Agent
5.00	<b>Steel Construction</b>			
5.01	Inspect high-strength bolts, nuts and washers: a. Identify markings to conform to ASTM standards specified in the construction documents. b. Inspect manufacturer's certificate of compliance.	Periodic	Reference project specifications and ASTM material specifications; AISC 335, (Sect A3.4); AISC LRFD (Sect A3.3).	OTA
5.02	Inspect high-strength bolting: Bearing-type connections.	Periodic		OTA
5.03	Inspect high-strength bolting: Slip-critical connections.	Periodic or Continuous	Continuous monitoring required for pretensioning using calibrated wrench method or turn-of-nut method without matchmarking.	OTA
5.04	Inspect and verify structural steel material: a. Identification markings to conform to ASTM standards specified in the approved construction documents. b. Manufacturers' certified mill test reports.	Periodic	Confirm that materials meet applicable ASTM specifications noted in construction documents.	OTA
5.05	Inspect and verify weld filler materials: a. Identification markings to conform to AWS specification in the approved construction documents. b. Manufacturer's certificate of compliance required.	Periodic	Confirm that materials meet applicable ASTM specifications noted in construction documents.	OTA
5.06	Inspect welding: Structural Steel: 1) Complete and partial penetration groove 2) Multipass fillet welds. 3) Single-pass fillet welds $> 5/16$ "	Continuous	Per specifications and AWS D1.1	OTA
5.07	Inspect welding: Structural Steel: 1) Single-pass fillet welds $\leq 5/16$ " 2) Floor and deck welds.	Periodic	Per specifications and AWS D1.1	OTA
5.08	Inspect steel frame joint details for compliance with approved construction documents: a. Details such as bracing and stiffening. b. Member locations. c. Application of joint details at each connection.	Periodic	Inspect complete frame.	OTA
5.09	Inspect and verify steel deck attachment.	Periodic		OTA
6.00	<b>Special Inspections for Wind Resistance</b>			
6.01	Roof Cladding and Roof Framing Connections	Periodic		OTA

Item	Inspection / Test / Certification	C or P	Extent / Comments	Agent
6.02	Wall Connections to Roof and Floor Diaphragms and Framing	Periodic		OTA
6.03	Roof and Floor Diaphragm Systems, including Collectors, Drag Struts, and Boundary Elements.	Periodic		OTA
6.04	Vertical Windforce-Resisting Systems, including Braced Frames, Moment Frames, and Shearwalls	Periodic		OTA
6.05	Windforce-Resisting System Connections to the Foundation.	Periodic		OTA
6.06	Fabrication and installation of components and assemblies required to meet the impact-resistance requirements of Section 1609.1.4.	Periodic		OTA
7.00	<b>Special Inspections for Seismic Resistance</b>			
7.01	Inspect structural welding in accordance with AISC 341.	Continuous	Exceptions: I. Single-pass fillet welds not exceeding 5/16 inch in size.	OTA
7.02	Inspect nailing, bolting, anchoring and other fastening of components within the seismic-force-resisting system including drag-struts, braces and hold-downs.	Periodic		OTA
7.03	Inspect welding operations of cold-formed steel framing elements of the seismic-force-resisting system.	Periodic		OTA
7.04	Inspect screw attachment, bolting, anchoring and other fastening of cold-formed steel framing components within the seismic-force-resisting system.	Periodic		OTA
7.05	Certificates of compliance used in masonry construction		Prior to construction.	OTA
7.06	Verify masonry <i>f'm</i> .		Prior to construction.	OTA
7.07	Test masonry <i>f'm</i> .	Periodic	Test for each 5000 sf of masonry.	OTA
7.08	Verification of proportions of materials in mortar and grout as delivered to the site	Periodic		OTA
7.09	Review certified mill test reports of all concrete reinforcing.			OTA

Item	Inspection / Test / Certification	C or P	Extent / Comments	Agent
7.10	Submit certificate of compliance for designated seismic system components			OTA
8.00	<b>Cold Formed Steel Framing Construction</b>			OTA
8.01	Inspect exterior wall infill including installed studs' sizes and attachments.	Periodic		OTA
8.02	Inspect roof trusses assembly/framing and attachments.	Periodic		OTA
8.03	Verify size and gage of load bearing studs.	Periodic		OTA
8.04	Verify load bearing framing spacing, configuration and attachments.	Periodic		OTA
8.05	Verify load bearing bracing and blocking	Periodic		OTA
8.06	Proper seating of studs in track.	Periodic		OTA
8.07	Stud header size, gauge, and construction per structural drawings for load bearing walls.	Periodic		OTA
8.08	Screw attachments, bolting, anchoring, and other fastening of components per structural drawings.	Periodic		OTA
8.09	Welding of elements per structural drawings.	Periodic		OTA
8.10	Where a cold-formed steel truss clear span is 60 feet or greater, verify that the temporary installation restraint/bracing and the permanent individual truss member restraint/bracing are installed in accordance with the approved truss submittal package.	Periodic		OTA

#### INSPECTION AGENTS

#	Firm, Address, Telephone
OTA	Owner's Testing Agent
SDG	SDG -- 300 Chase Park South, Suite 125, Hoover, AL 35244 -- (205) 824 - 5200

*Note: The inspection and testing agent(s) shall be engaged by the Owner or the Owner's Agent, and not by the Contractor or Subcontractor whose work is to be inspected or tested. Any conflict of interest must be disclosed to the Building Official prior to commencing work. The qualifications of the Inspection Agent(s) may be subject to the approval of the Building Official.*

Is the Schedule of Special Inspection Services part of a Quality Assurance Plan as defined in Sections 1705 or 1706 of the Building Code? \_\_\_\_\_

1.0 - GENERAL

**1.1    Related Documents**

Drawings and General Provisions of Contract, including General and Supplementary Conditions and Division - 1 Specifications Sections, apply to work of this Section. See drawings for additional Demolition and Protection Requirements not stated herein.

**1.2    Description of Work**

- A.    Extent of selective demolition work as indicated on drawings and/or as required for completion of finish work.
- B.    Types of Selective Demolition Work: Demolition requires the selective removal and subsequent offsite disposal of the following:
  1.    It is the intent for all required existing building components, systems, related structure, materials, etc., be removed and/or relocated to allow for completion of new construction, whether indicated or not.
  2.    All abandoned components, systems and related wiring, piping, ductwork, controls, fixtures, etc., shall be removed from job site, whether specifically indicated or not. Refer to Civil, Structural, Plumbing, Mechanical and Electrical drawings and specifications for respective demolition requirements and coordinate with Architectural.
  3.    See drawings for other demolition items.

**1.3    Submittals**

- A.    Submit schedule indicating proposed methods and sequence of operations for selective demolition work to Owner's representative for review prior to commencement of work. Include coordination for shut-off, capping, and continuation of utility services as required, together with details for dust and noise control protection.
- B.    Provide detailed sequence of demolition and removal work to ensure uninterrupted progress of Owner's on-site operations.
- C.    Existing building function and operation shall be maintained during construction unless scheduled and approved by the Owner. Work schedule shall vary as required to complete work as required.
- D.    Existing facilities shall be maintained in operation during construction. Protect and/or relocate all utilities, service, security systems, satellite communications, data systems, etc., as required to ensure continuous operation and function. Temporary relocation and utility outages shall be scheduled and approved by the Owner.

**1.4    Job Conditions**

- A.    Owner will be continuously occupying areas of the building immediately adjacent to areas of selective demolition. Conduct selective demolition work in manner that will minimize need for disruption of Owner's normal operations. Provide minimum of 72 hours advance notice to Owner of demolition activities which will severely impact Owner's normal operations.
- B.    Owner and Architect assume no responsibility for actual condition of items or structures to be demolished.

- C. All salvageable materials, as selected by Owner, shall be removed, stored, and / or delivered to Owner as directed. Salvageable materials shall be protected during removal and delivery. All items of salvage not wanted by the Owner shall be the property of the General Contractor and removed from job site.
- D. Provide temporary barricades and other forms of protection as required to protect Owner's personnel and general public from injury due to selective demolition work.
  - 1. All paths to and from exits and entrances shall be maintained during construction. Provide temporary barricades, fences, warning signs, etc., as required, interior and exterior, to protect building occupants and pedestrians during construction and demolition.
  - 2. Erect temporary covered passageways as required by authorities having jurisdiction.
  - 3. Provide interior and exterior shoring, bracing, or support to prevent movement, settlement, or collapse of structure or element to be demolished, and adjacent facilities or work to remain.
  - 4. Protect from damage existing finish work that is to remain in place and becomes exposed during demolition operations.
  - 5. Protect floors with suitable coverings when necessary.
  - 6. Construct temporary insulated solid dust proof partitions where required to separate areas where noisy or extensive dirt or dust operations are performed. Equip partitions with dustproof doors and security locks if required.
  - 7. Provide temporary weather protection during interval between demolition and removal of existing construction on exterior surfaces, and installation of new construction to ensure that no water leakage or damage occurs to structure or interior areas of existing building.
  - 8. Remove protections at completion of work.
- E. Damages: Promptly repair damages caused to adjacent facilities by demolition work at no cost to Owner.
- F. Traffic:
  - 1. Conduct selective demolition operations and debris removal in a manner to ensure minimum interference with roads, streets, walks and other adjacent occupied or used facilities.
  - 2. Do not close, block or otherwise obstruct streets, walks or other occupied or used facilities without written permission from authorities having jurisdiction. Provide alternate routes around closed or obstructed traffic ways if required by governing regulations.
- G. Explosives: Use of explosives will not be permitted.
- H. Utility Services:
  - 1. Maintain existing utilities indicated to remain, keep in service and protect against damage during demolition operations.
  - 2. Do not interrupt existing utilities or fire alarm/fire protection systems serving occupied or used facilities, except when authorized in writing by

authorities having jurisdiction. Provide temporary services during interruptions to existing utilities, as acceptable to governing authorities. Repair damages to such immediately.

I. Environmental Controls:

1. Use water sprinkling, temporary enclosures and other suitable methods to limit dust and dirt, interior and exterior, from rising and scattering in air to lowest practical level. **COMPLY WITH GOVERNING REGULATIONS PERTAINING TO ENVIRONMENTAL PROTECTION.**
2. Do not use water when it may create hazardous or objectionable conditions such as ice, flooding and pollution.

**2.0 - PRODUCTS**

Products are not applicable to this section.

**3.0 - EXECUTION**

3.1 Inspection

Prior to commencement of selective demolition work, inspect areas in which work will be performed. Photograph existing conditions of structure surfaces, equipment or of surrounding properties which could be misconstrued as damage resulting from selective demolition work; file with Owner's representative prior to starting work.

3.2 Preparation

- A. Provide interior and exterior shoring, bracing, or support to prevent movement, settlement or collapse of structures to be demolished and adjacent facilities to remain.
- B. Cease operations and notify the Owner's representative immediately if safety of structure appears to be endangered. Take precautions to support structure until determination is made for continuing operations.
- C. Cover, protect, and relocate furniture, equipment and fixtures to remain from soiling or damage when demolition work is performed in rooms or areas from which such items have not been removed.
- D. Erect and maintain dust-proof partitions and closures as required to prevent spread of dust or fumes to occupied portions of the building.
- E. Where selective demolition occurs immediately adjacent to occupied portions of the building, construct dust-proof partitions of minimum 4" studs, 5/8" drywall (joints taped) on occupied side, 1/2" fire-retardant plywood on demolition side, and fill partition cavity with sound-deadening insulation.
- F. Provide weatherproof closures for exterior openings resulting from demolition work.
- G. Locate, identify, stub off and disconnect utility services that are not indicated to remain.
- H. Provide by-pass connections as necessary to maintain continuity of service to occupied areas of building. Provide minimum of 72 hours advance notice to Owner if shut-down of service is necessary during change over.

3.3 Demolition

- A. Perform selective demolition work in a systematic manner. Use such methods as required to complete work indicated on drawings in accordance with demolition schedule and governing regulations.

1. Demolish concrete and masonry in all sections. Cut concrete and masonry at junctures with construction to remain using power-driven masonry saw or hand tools; do not use power-driven impact tools.
2. Locate demolition equipment throughout structure and promptly remove debris to avoid imposing excessive loads on supporting walls, floors, roofs or framing.
3. Provide services for effective air and water pollution controls as required by local authorities having jurisdiction.
4. For interior slab on grade, use removal methods that will not crack or structurally disturb adjacent slabs or partitions. Use power saw where possible.
5. Existing ceramic tile floor finishes shall be removed down to the top of the existing dropped slab.

B. If unanticipated mechanical, electrical or structural elements which conflict with intended function or design are encountered, investigate and measure both nature and extent of the conflict. Submit report to Architect in written, accurate detail. Pending receipt of directive from Architect, rearrange selective demolition schedule as necessary to continue overall job progress without delay.

3.4 Disposal of Demolished Materials

- A. Remove debris, rubbish and other materials resulting from demolition operations from building site. Transport and legally dispose of materials off site. Pay all related fees and costs.
- B. If hazardous materials are encountered during demolition operations, comply with applicable regulations, laws and ordinances concerning removal, handling and protection against exposure or environmental pollution.
- C. Burning of removed materials is not permitted on project site.

3.5 Clean-Up and Repair

- A. Upon completion of demolition work, remove tools, equipment and demolished materials from site. Remove protections and leave interior areas broom clean.
- B. Repair demolition performed in excess of that required. Return structures and surfaces to remain to condition existing prior to commencement of selective demolition work. Repair adjacent construction or surfaces soiled or damaged by selective demolition work.

END OF SECTION

1.0 - GENERAL

1.1 Scope

The work required under this Section consists of providing all labor, materials and equipment necessary to do all clean-up work; including, but not limited to, periodic cleaning, removal of temporary protection, removal of debris and final cleaning.

1.2 Related Sections

Administrative provisions and technical requirements specified under this Section are in addition to provisions for cleaning specified under various Sections of the Specifications and apply to each Section of Specifications.

1.3 Special Instructions

- A. Contractor shall endeavor to keep interior free of dust and mud, take precautionary measures, and provide protective materials, such as insulated dust and noise partitions and gravel at all entries during dried-in stages of construction.
- B. Upon completion of work in each area or part of the building and immediately prior to final inspection and acceptance of that respective area, that area shall be thoroughly cleaned and made ready for immediate occupancy by the Owner.
- C. In case of failure to comply with the requirements of this Section for any part of the work within the time specified by the Architect, the Architect may cause the work to be done and deduct the price thereof from the Contract Price on the next succeeding monthly Application for Payment.

2.0 - PRODUCTS

2.1 Equipment

- A. For periodic and final cleaning operations, use approved apparatus designed for the specific type of cleaning required and compatible with the particular materials to be cleaned.
- B. Operate equipment in compliance with equipment manufacturer's instructions.

2.2 Materials

All soap, detergents, brushes, scrapers and other materials and accessories utilized in periodic and final cleaning shall be of a type recommended by the material manufacturer as being compatible with and non-injurious to the particular surface, material, equipment or finish to be cleaned.

3.0 - EXECUTION

3.1 Periodic Cleaning

- A. The Contractor shall periodically, or as directed during the progress of the work, clean-up and remove from the premises all refuse, rubbish, scrap materials and debris caused by his employees, his Subcontractors or resulting from his work.
- B. Such clean-up shall be sufficient to assure that at all times the premises are sanitary, safe, reasonably clean, orderly and workmanlike.
- C. Remove oily rags and combustible waste, debris, rubbish and excess materials from the premises at the completion of each day's work, or more often, if required to keep the building and premises free from any accumulation of flammable and dangerous materials.

- D. At no time shall any rubbish, debris or any other material be thrown from window or door openings nor into foundation trenches.
- E. Clean areas prior to any painting work. Take care to settle and minimize dust before painting begins. Use commercial type vacuum cleaners.
- F. Close rooms and areas where painting and decorating work is completed to all but authorized personnel.
- G. All debris and waste materials shall become the property of the Contractor and shall be removed by him from the project site.
- H. Remove Debris from roof tops daily.
- I. Trim excess exposed dur-o-wall flush with face of CMU.
- J. Keep adjacent paved driveways and roads clear of mud and debris intruded as a result of this work.

3.2 Removal of Temporary Facilities

- A. Upon completion of work in each area or part of the building, remove temporary lighting, power, protection and enclosures and repair defects in materials and workmanship noted after removal of such.
- B. Before final completion and final acceptance, the Contractor shall remove from the Owner's property, and from all public and private property, all tools, scaffolding, falsework, temporary structures and/or utilities including the foundations thereof (except as the Owner permits in writing to remain).

3.3 Final Cleaning

- A. Before final completion and acceptance, the Contractor shall remove from the Owner's property, and from all public and private property, all refuse, rubbish, scrap and surplus material and debris caused by his employees, his Subcontractors, or resulting from his work, leaving the site clean and true to line and grade, and the work in a safe and clean condition, ready for use and operation.
- B. Clean all painted, enameled, stained or baked enamel work to remove all marks, stains, smudges, fingerprints and splatters from such surfaces.
- C. Clean and remove all stickers, labels, marks, stains, smudges and paint from all glass. Wash and polish all glass, including, but not limited to, that in mirrors, view windows and doors, on the interior and exterior. Scratched or marred glass shall be replaced.
- D. Clean all hardware and metals to remove all stains, marks, smudges, fingerprints, dirt, dust, paint or other disfigurement and polish. Scratched, marred or otherwise disfigured hardware or metals shall be replaced.
- E. Clean all tile and floor finishes of all kinds to remove all splatters, stains, paint, dirt and dust. Wash and apply a final coat of wax and polish all finished floors except concrete and carpet as recommended by the manufacturer or as required by the Architect.
- F. Clean all manufactured articles, fixtures, materials, appliances and equipment to

remove all stickers, labels, rust stains and temporary covers.

- G. Clean and condition all manufactured articles, fixtures, materials, appliances and equipment and all electrical, heating and air conditioning equipment as recommended or directed by the manufacturer.
- H. Blow out or flush out all foreign matter from all dust pockets, piping, tanks, pumps, fans, motors, devices, switches, panels, fixtures, boilers and similar features of all appliances and equipment and all electrical, heating and air conditioning equipment as recommended or directed by the manufacturer.
- I. Remove all paint from all identification plates on all appliances and equipment and all electrical, heating and air conditioning equipment and polish plates.
- J. Exterior walks, steps, ramps and platforms shall be washed down and broom cleaned to remove all dirt, dust, stains or other disfigurations.
- K. Interior surfaces of all heating, ventilation and air conditioning ducts shall be damp or wet mopped or vacuum cleaned to remove all dirt and dust.
- L. In general, leave all work clean and free of dirt, dust, smudges, stains, paint spots, mastic, caulk, sealant and other excess materials.
- M. After final cleaning of building and prior to final balancing of heating and air conditioning system, all air filters shall be replaced with clean, new filters.
- N. Upon completion of final cleaning, remove all cleaning equipment, materials and debris from the building and the premises.

END OF SECTION

## CHANGE ORDER PROCEDURES - SECTION 01800

### 1.0 - GENERAL

- A. This Section shall serve as a guide to requirements for submitting and processing Change Orders.
- B. Should changes in the work constitute an increase or decrease in the Contract amount, the General Contractor shall submit a Change Order Request (COR) which shall include a number for identification, description and cost break down.
- C. Contractor shall attach all supporting documentation, including, but not limited to the following:
  1. Breakdown of costs which shall include material, labor, delivery (freight), installation, taxes, and mark-up for overhead and profit.
  2. If a Subcontractor is used for the requested change, then supporting documentation listed for Item 1 shall also be provided by the Subcontractor and included with the COR.
- D. The General Contractor shall note the following:
  1. Mark-Up Procedures for Change Order with net addition to Contract:
    - a. The General Contractor's mark-up for overhead and profit shall not exceed fifteen (15) percent.
    - b. Where Subcontract work is involved, the total mark-up for the Contractor and Subcontractor shall not exceed twenty-five (25) percent.
    - c. The Architect must be able to determine the total amount of mark-up, therefore, supporting documentation must state the mark-up of both the Subcontractor and the General Contractor.
  2. Mark-Up Procedures for Change Order with net Credit to Contract:  
**Changes which involve a net credit to the Owner shall include credits for overhead and profit on the deducted work of no less than 5%.**
  3. Overhead "Indirect Costs": For the purposes of determining an adjustment of the Contract Sum, "overhead" shall cover the Contractor's indirect costs of the change including but not limited to the following:
    - a. Bonds
    - b. Insurance
    - c. Superintendent
    - d. Job Office Personnel
    - e. Watchman
    - f. Job Office, office supplies and expenses
    - g. Temporary facilities and utilities

### 2.0 - PRODUCTS (Not Applicable)

### 3.0 - EXECUTION

- A. General Contractor shall submit COR to Architect for review and approval. If approved, the Architect will submit to Owner for final approval. Upon approval by the Owner, the Architect will prepare required number of copies of Change Order and forward to General Contractor.
- B. Three (3) copies of Change Order are required for projects.

- C. Sequence of execution shall be as follows:
  1. General Contractor signs all copies of Change Order. Note: Change Order must be signed by an Officer within the company.
  2. G. C. forwards Change Order to Architect.
  3. Architect forwards Change Order to Owner.
  4. Owner executes and returns Change Order to Architect.
  5. All parties will receive a copy of fully executed Change Order from the appropriate state agency for their permanent records.
- D. General Contractor may include cost of Change Order on Pay Application only after receipt of fully executed Change Order. This cost shall be included on Pay Application as a separate line item listing change order number and amount. Billing shall be for the percentage of work completed for the change order within the month covered by that Pay Application.
- E. All change(s) in the work shall require approval by the Owner, through the Architect, in advance of the commencement of any work associated with the change(s).
- F. Charges against Allowances shall not include General Contractor's mark-up.  
- *Refer to Specification Section 01020 - Allowances* -

END OF SECTION

1.0 - GENERAL

- A. Standard product warranties are preprinted written warranties published by individual manufacturers for particular products and are specifically endorsed by the manufacturer to the Owner.
- B. Special warranties are written warranties required by or incorporated in the Contract Documents, either to extend time limits provided by standard warranties or to provide greater rights for the Owner.
  - 1. Refer to the General Conditions for terms of the Contractor's period for correction of the Work.
- C. Warranties
  - 1. Subcontractors: General Contractor shall provide a one-year warranty from each Subcontractor they have under contract for the project.
  - 2. Vendors/Suppliers: General Contractor shall obtain a one-year warranty from each Vendor/Supplier for manufactured product used for the project. Example: *XYS Building Products, Inc.* shall provide a one-year warranty for each product they provided for the project, such as, *toilet partitions and hollow metal doors and frames*. This warranty may be on a form or letterhead provided by the Vendor/Supplier and must list all products provided for the project.
  - 3. Manufacturers: The Manufacturer's warranty for each product shall be placed directly behind the applicable Subcontractor or Vendor/Supplier's warranty within the warranty binder.
  - 4. Roof Warranties: The executed roofing warranties shall be presented at Final Inspection. Manufacturer's warranties cannot be prorated.
- D. Disclaimers and Limitations: Manufacturer's disclaimers and limitations on product warranties do not relieve the Contractor of the warranty on the Work that incorporates the products. Manufacturer's and limitations on product warranties do not relieve suppliers, manufacturer's and subcontractors required to countersign special warranties with the Contractor.
- E. Related Damages and Losses: When correcting failed or damaged warranted construction, remove and replace construction that has been damaged as a result of such failure or must be removed and replaced to provide access for correction of warranted construction.
- F. Reinstatement of Warranty: When Work covered by a warranty has failed and been corrected by replacement or rebuilding, reinstate the warranty by written endorsement. The reinstated warranty shall be equal to the original warranty with an equitable adjustment for depreciation.
- G. Replacement Cost: Upon determination that Work covered by a warranty has failed, replace or rebuild the Work to an acceptable condition complying with requirements of the Contract Documents. The Contractor is responsible for the cost of replacing or rebuilding defective Work regardless of whether the Owner has benefitted from use of the Work through a portion of its anticipated useful service life.
- H. Owner's Recourse: Expressed warranties made to the Owner are in addition to implied warranties and shall not limit the duties, obligations, rights, and remedies otherwise

available under the law. Expressed warranty periods shall not be interpreted as limitations on the time in which the Owner can enforce such other duties, obligations, rights, or remedies.

1. Rejection of Warranties: The Owner reserves the right to reject warranties and to limit selection to products with warranties not in conflict with requirements of the Contract Documents.
2. Where the Contract Documents require a special warranty, or similar commitment, the Owner reserves the right to refuse to accept the Work, until the Contractor presents evidence that entities required to countersign such commitments are willing to do so.

I. Submit written warranties to the Architect prior to the date certified for Substantial Completion. If the Architect's Certificate of Substantial Completion designates a commencement date for warranties other than the date of Substantial Completion, submit written warranties upon request of the Architect.

1. When a designated portion of the Work is completed and occupied or used by the Owner, by separate agreement with the Contractor during the construction period, submit properly executed warranties to the Architect within 15 days of completion of that designated portion of the Work.

J. When the Contract Documents require the Contractor, or the Contractor and a subcontractor, supplier or manufacturer to execute a special warranty, prepare a written document that contains appropriate terms and identification, ready for execution by the required parties. Submit a draft to the Owner, through the Architect, for approval prior to final execution.

1. Refer to Divisions 2 through 16 Sections for specific content requirements and particular requirements for submitting special warranties.

K. Bind warranties and bonds in heavy-duty, commercial-quality, durable 3-ring, vinyl-covered loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2-by-11-inch (115-by-280-mm) paper. Three (3) sets of warranties and close out documents are required: one set will be retained by the Architect and two sets will be delivered to the Owner.

1. Provide heavy paper dividers with celluloid covered tabs for each separate warranty. Mark the tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product, and the name, address, and telephone number of the Installer.
2. Identify each binder on the front and spine with the typed or printed title "WARRANTIES," Project title or name, and name of the Contractor.
3. When warranted construction requires operation and maintenance manuals, provide additional copies of each required warranty, as necessary, for inclusion in each required manual.

## 2.0 - PRODUCTS (Not Applicable)

## 3.0 - EXECUTION

The One-Year Warranty issued by the General Contractor shall list all disciplines they are covering when there is not a warranty from a Subcontractor. For instance, some General Contractors have Masons employed within their company and, therefore, do not contract Masonry work through a Masonry Subcontractor. In that case, the General Contractor's warranty would list Masonry as part of their itemized list of warranted work. Other typical examples are Painting, Rough Carpentry,

Miscellaneous Metals, etc.

Warranties shall bear the same date as the Date of Substantial Completion. All warranties shall be effective for a period of One Year from Date of Substantial Completion with exceptions for special warranties requiring extended periods of warranty coverage.

This list is designed as an aid to comply with close-out procedures; however, it should not be considered a complete and comprehensive list. General Contractor should review warranty requirements specified in Project Manual.

Warranties shall include, but not be limited, to the following:

Warranties from ALL Subcontractors for this project.

DIVISION 2 - SITE WORK

Embankment, Borrow and Fill  
Selective Demolition  
Site Preparation & Unclassified Excavation  
Temporary Erosion Control  
Soil Poisoning  
Lawns and Planting  
Aggregate Surface Course  
Trenching, Backfill and Compaction  
Fences and Gates  
Sodding  
Seeding and Mulching

DIVISION 3 - CONCRETE

Concrete Formwork  
Concrete Reinforcement  
Fire Hydrants  
Watermain Taps  
Gate Valves  
Watermain Installation  
Cast-In-Place Concrete  
Portland Cement Concrete  
Ductile Iron Pipe and Fitting for Watermains  
Concrete Finishing  
Polyvinyl Chloride Pipe for Watermain  
Curbs and Gutter  
Hot-Mixed Asphalt Paving  
Traffic Stripe, Marking and Legends  
Concrete Sawing and Coring  
Polyvinyl Chloride Pipe for Gravity Sanitary Sewer  
Gravity Sanitary Sewer Installation

DIVISION 4 - MASONRY

Unit Masonry

DIVISION 5 -METALS

Structural Steel  
Miscellaneous Metals

**DIVISION 6 - CARPENTRY**

Rough Carpentry  
Finish Carpentry

**DIVISION 7 - MOISTURE PROTECTION**

Solvent Type Damproofing Coating  
Building Insulation  
Pre-Engineered Building Insulation  
Caulking and Sealants

**NOTE: Provide roofing warranties as stipulated in Division 7 of the specifications, and as required by The State of Alabama Department of Construction Management. Roofing warranties shall be presented at the time of Final Inspection.**

**DIVISION 8 - WINDOWS AND DOORS**

Hollow Metal Doors and Frames  
Flush Wood Doors  
Coiling Counter Doors  
Aluminum Framed Entrances and Storefronts  
Finish Hardware  
Glass and Glazing

**DIVISION 9 - FINISHES**

Acoustical Panel Ceiling  
Fiberglass Reinforced Plastic Panels  
Modular Athletic Flooring  
Gymnasium Wood Flooring System  
Resilient Rubber Base and Accessories  
Luxury Vinyl Tile Flooring  
Epoxy Resinous Flake Flooring  
Acoustical Panel Treatment  
Paint

**DIVISION 10 - SPECIALTIES**

Identifying Devices  
Toilet Accessories

**DIVISION 11 - EQUIPMENT**

Gymnasium Equipment  
Gymnasium Divider Curtain

**DIVISION 12 - FURNITURE AND FIXTURES**

Miscellaneous Furnishings and Fixtures  
Laminate Clad Casework  
Telescoping Gym Seats Specifications

**DIVISION 13 – SPECIAL CONSTRUCTION**

Steel Building Systems

**DIVISION 15 – PLUMBING and FIRE PROTECTION**

Plumbing Systems – Fixtures - Labor

**DIVISION 15 - MECHANICAL - HVAC**  
Mechanical Systems – Equipment – Labor

**DIVISION 16 - ELECTRICAL**  
Electrical Systems – Fixtures -Equipment – Material and Labor

**See attached WARRANTY FORMS immediately following for General Contractors and Subcontractors.**

GENERAL CONTRACTOR WARRANTY FORM

G. C.'S PROJECT NO. \_\_\_\_\_ ARCHITECT'S PROJECT NO: \_\_\_\_\_

PROJECT NAME: \_\_\_\_\_

GENERAL CONTRACTOR: \_\_\_\_\_

(Name and Address) \_\_\_\_\_

PROJECT OWNER: \_\_\_\_\_

ARCHITECT: Lathan Associates Architects, P.C., 300 Chase Park South, Suite 200, Hoover, AL 35244

PROJECT SUBSTANTIAL COMPLETION DATE:

This is to certify that we, \_\_\_\_\_, the General Contractor for the above referenced project, per contract documents, warrant all labor, material and equipment provided and performed for a period of One (1) Year from the Date of Substantial Completion indicated above.

If applicable, we warrant additional work, materials and equipment for One (1) Year on the following:

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By: \_\_\_\_\_  
(Name and Title)

Dated this \_\_\_\_\_ day of \_\_\_\_\_

State of Alabama  
County of \_\_\_\_\_

Sworn to and subscribed before me this

\_\_\_\_\_ day of \_\_\_\_\_

\_\_\_\_\_  
Notary Public

My Commission Expires: \_\_\_\_\_

## SUBCONTRACTOR WARRANTY FORM

G. C.'S PROJECT NO. \_\_\_\_\_ ARCHITECT'S PROJECT NO: \_\_\_\_\_

PROJECT NAME: \_\_\_\_\_

GENERAL CONTRACTOR: \_\_\_\_\_

SUBCONTRACTOR: \_\_\_\_\_

(Name and Address) \_\_\_\_\_  
\_\_\_\_\_

PROJECT OWNER: \_\_\_\_\_

ARCHITECT: Lathan Associates Architects, P.C., 300 Chase Park South, Suite 200, Hoover, AL 35244

### PROJECT SUBSTANTIAL COMPLETION DATE:

We, \_\_\_\_\_, Subcontractor for \_\_\_\_\_  
(name) \_\_\_\_\_ (work)

as described in Specification Section(s) \_\_\_\_\_, do hereby warrant that all labor and materials provided and performed in conjunction with above referenced project are in accordance with the Contract Documents and will be free from defects due to defective materials and/or workmanship for a period of One (1) year from the Date of Substantial Completion indicated above or as required by the Specification Section relevant to your trade.

Should any defect develop during the warranty period due to improper materials and/or workmanship, the same, including adjacent work displaced, shall be made good by the undersigned at no expense to the Owner.

The Owner will give Subcontractor written notice of defective work. Should Subcontractor fail to correct defective work within Thirty (30) days after receiving notice, the Owner may, at his option, correct defects and charge Subcontractor cost for such correction. Subcontractor agrees to pay such charges upon demand.

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Warranty applies to the following Work: \_\_\_\_\_  
\_\_\_\_\_

By: \_\_\_\_\_  
(Name and Title)

Dated this \_\_\_\_\_ day of \_\_\_\_\_

1.0 - GENERAL

- A. Closeout requirements for specific construction activities are included in the appropriate Sections in Division 2 through 16.
- B. Final Inspection Procedures: See Section 01030 - Special Project Requirements for Inspection Requirements
  - 1. Deliver tools, spare parts, extra stock, and similar items.
  - 2. Changeover locks and transmit keys to the Owner.
  - 3. Complete startup testing of systems and instruction of operation and maintenance personnel. **Obtain signature(s) of all Owner's personnel participating in operation and maintenance instructions.**
  - 4. Remove temporary facilities, mockups, construction tools, and similar elements.
  - 5. Complete final cleanup requirements, including touchup painting.
  - 6. Touch up and repair and restore marred, exposed finishes.
- C. After Substantial Completion has been achieved, the General Contractor shall:
  - 1. Submit final payment request with releases and supporting documentation. Include insurance certificates where required.
    - a. In the Application for Payment that coincides with, or first follows, the date Substantial Completion is claimed, show 100 percent completion for the Work claimed as substantially complete. Include supporting documentation for completion and an accounting of changes to the Contract Sum.
    - b. Advise the Owner of pending insurance changeover requirements.
    - c. Submit specific warranties, workmanship bonds, maintenance agreements, final certifications, and similar documents.
    - d. Submit record drawings, maintenance manuals, final project photographs, damage or settlement surveys, property surveys, and similar final record information.
    - e. Deliver tools, spare parts, extra stock, and similar items.
    - f. Changeover locks and transmit keys to the Owner.
    - g. Complete startup testing of systems and instruction of operation and maintenance personnel. Obtain signature(s) of all Owner's personnel participating in operation and maintenance instructions.
  - 2. Submit a copy of the final inspection list stating that each item has been completed or otherwise resolved for acceptance.
  - 3. Submit final meter readings for utilities, a record of stored fuel, and similar data as of the date of Substantial Completion.
  - 4. Submit Consent of Surety to final payment.
  - 5. Submit Release of Liens.
  - 6. Submit a final settlement statement.
  - 7. Submit evidence of continuing insurance coverage complying with insurance requirements.
- D. Record Drawings: Maintain a set of prints of Contract Drawings. Mark the set to show the actual installation where the installation varies substantially from the Work as originally shown. Mark the drawing most capable of showing conditions fully and accurately. Give attention to concealed elements.
  - 1. Mark sets with red pencil.
  - 2. Mark completed record drawings: "As-Built" Set.
  - 3. Upon completion of the Work, submit record drawings to the Architect for the Owner's records in the form of two (2) CD's.

E. Record Specifications: Maintain one copy of the Project Manual, including addenda. Mark to show variations in Work performed in comparison with the text of the Specifications and modifications. Give attention to substitutions and selection of options and information on concealed construction. Note related record drawing information and Product Data. Mark cover of set: "As-Built".

Upon completion of the Work, submit record Specifications to the Architect for the Owner's records in the form of two (2) CD's.

*Note: If space allows, both "As-Built" plans and specs may be scanned and saved onto a single CD and 2 copies of record CD's shall be submitted.*

F. Maintenance Manuals: Organize operation and maintenance data into sets of manageable size. Bind in individual, heavy-duty, 3-ring binders, with pocket folders for folded sheet information. Mark identification on front and spine of each binder. Include the following information:

1. Emergency instructions.
2. Spare parts list.
3. Copies of warranties.
4. Wiring diagrams.

G. Close-Out Documents

Close-Out Documents consists of the following:

1. General Contractor's Warranty
2. Subcontractors' Warranties
3. Manufacturers' Warranties
4. Affidavit of Advertisement of Completion
5. Consent of Surety to Final Payment
6. Contractor's Affidavit of Release of Liens
7. Operating and Maintenance Manuals / Instructions to Owner
8. "As-Built" Plans and Specification Manual
9. Owner's Set of Shop Drawing Submittals

General Contractor shall submit three (3) sets of binders for Items 1-7. Documents should be bound in 3-ring binders in size suitable for amount of material included. Divider tabs should be used to separate items.

If Operating Manuals are large, they can be bound in separate binders as indicated under Paragraph I listed above.

"As-Built" Plans and Specification Manual (2 set of each) should be complete and submitted on CD's. All plans should be submitted as one set. Do not submit separate sets of "As-Built" plans for Plumbing, HVAC, Electrical, etc.

Architect shall submit one copy of the Shop Drawings to the Owner with close-out documentation.

## 2.0 - PRODUCTS (Not Applicable)

## 3.0 - EXECUTION

A. Operation and Maintenance Instructions:

Arrange for each Installer of equipment that requires maintenance to provide instruction in proper operation and maintenance. Include a detailed review of the following items.

1. Maintenance manuals.
2. Spare parts, tools, and materials.

3. Lubricants and fuels.
4. Identification systems.
5. Control sequences.
6. Hazards.
7. Warranties and bonds.
8. Maintenance agreements and similar.

B. As part of instruction for operating equipment, demonstrate the following:

1. Startup and shutdown.
2. Emergency operations and safety procedures.
3. Noise and vibration adjustments.

C. Final Cleaning: Employ experienced cleaners for final cleaning. Clean each surface or unit to the condition expected in a normal, commercial building cleaning and maintenance program. Complete the following operations before requesting inspection for certification of Substantial Completion.

1. Remove labels that are not permanent labels.
2. Clean transparent materials, including mirrors and glass. Remove glazing compounds. Replace chipped or broken glass.
3. Clean exposed finishes to a dust-free condition, free of stains, films, and foreign substances. Leave concrete floors broom clean. Vacuum carpeted surfaces.
4. Wipe surfaces of mechanical and electrical equipment. Remove excess lubrication. Clean plumbing fixtures. Clean light fixtures and lamps.
5. Clean the site of rubbish, litter, and foreign deposits. Rake grounds to a smooth, even textured surface.

D. Pest Control: Engage a licensed exterminator to make a final inspection and rid the Project of rodents, insects, and other pests.

E. Removal of Protection: Remove temporary protection and facilities.

F. Compliance: Comply with regulations of authorities having jurisdiction and safety standards for cleaning. Remove waste materials and dispose of lawfully.

END OF SECTION